



PROJECT DOCUMENTS

FOR

GENERATOR RELIABILITY IMPROVEMENTS PROJECT

VOLUME 1 OF 2

BID DOCUMENTS AND
GENERAL REQUIREMENTS

SAUSALITO-MARIN CITY SANITARY DISTRICT
1 EAST ROAD
SAUSALITO, CA 94965

October 2020

SAUSALITO-MARIN CITY SANITARY DISTRICT
GENERATOR RELIABILITY IMPROVEMENTS PROJECT

SAUSALITO, CALIFORNIA

OCTOBER 2020

VOLUME 1 OF 2
TECHNICAL SPECIFICATIONS

TABLE OF CONTENTS

**DIVISION 00 - BIDDING REQUIREMENTS, CONTRACT FORMS,
AND CONDITIONS OF THE CONTRACT**

SECTION NO.	TITLE
00100	NOTICE INVITING BIDS
00200	INSTRUCTIONS TO BIDDERS
00210	ACCESS, INDEMNITY AND RELEASE AGREEMENT
00300	SUPPLEMENTAL PROJECT INFORMATION AVAILABLE TO BIDDERS
00400	BID FORM
00500	NOTICE OF AWARD
00510	AGREEMENT
00515	CORPORATE CERTIFICATE OF AUTHORIZATION
00520	PARTNERSHIP CERTIFICATE OF AUTHORIZATION
00525	JOINT VENTURE CERTIFICATE OF AUTHORIZATION
00530	LLC CERTIFICATE OF AUTHORIZATION
00540	PERFORMANCE BOND
00550	PAYMENT BOND
00560	CONTRACTORS WORKERS COMP CERTIFICATION
00600	NOTICE TO PROCEED
00610	SAMPLE ESCROW AGREEMENT
00700	GENERAL CONDITIONS
00800	SUPPLEMENTARY GENERAL CONDITIONS

DIVISION 01 - GENERAL REQUIREMENTS

SECTION NO.	TITLE
01060	REGULATORY REQUIRMENTS AND PERMITS
01110	SUMMARY OF WORK
01140	WORK RESTRICTIONS
01160	SITE SAFETY REQUIREMENTS
01170	NOVEL CORONAVIRUS (COVID-19) SAFETY REQUIREMENTS
01200	MEASUREMENT AND PAYMENT
01312	PROJECT MEETINGS
01322	WEB BASED CONSTRUCTION DOCUMENT MANAGEMENT
01324	PROGRESS SCHEDULES AND REPORTS
01326	PHOTOGRAPHIC AND VIDEOGRAPHIC DOCUMENTATION

01330	SUBMITTAL PROCEDURES
01340	REQUEST FOR INTREPRETATION
01353	HAZARDOUS MATERIALS (SOIL AND GROUNDWATER)
01354	HAZARDOUS MATERIAL PROCEDURES
01355A	STORMWATER POLLUTION PREVENTION
01364	UNDERGROUND STORAGE TANK REMOVAL
01410	REGULATORY REQUIREMENTS
01450	QUALITY CONTROL AND INSPECTIONS
01455	SPECIAL TESTS AND INSPECTIONS
01460	CONTRACTOR QUALITY CONTROL PLAN
01500	CONSTRUCTION FACILITIES AND TEMPORARY CONTROLS
01550	TRAFFIC CONTROL
01560	ENVIRONMENTAL CONTROLS
01600	PRODUCT REQUIREMENTS
01605	DELIVERY, STORAGE, AND HANDLING
01722	FIELD ENGINEERING
01734	WORK WITHIN PUBLIC RIGHT-OF-WAY
01738	SELECTIVE ALTERATIONS AND DEMOLITION
01745	SPARE PARTS, SPECIAL TOOLS AND MAINTENANCE MATERIALS
01756	FACILITY START-UP
01770	CONTRACT CLOSEOUT
01780	RECORD DOCUMENTS
01782	OPERATING AND MAINTENANCE DATA
01785	WARRANTIES AND BONDS
01999	REFERENCE FORMS

DIVISION 03 - CONCRETE

SECTION NO.	TITLE
031000	FORMWORK
032000	CONCRETE REINFORCEMENT
033000	CAST-IN-PLACE CONCRETE

DIVISION 05 - METALS

SECTION NO.	TITLE
055000	MISCELLANEOUS METALS METAL

DIVISION 08 - DOORS AND WINDOWS

SECTION NO.	TITLE
082200	FIBERGLASS REINFORCED PLASTIC (FRP) DOORS AND FRAMES
083300	OVERHEAD COILING DOORS
087100	DOOR HARDWARE

DIVISION 23 - HVAC

SECTION NO.	TITLE
230513	COMMON MOTOR REQUIREMENTS FOR HVAC EQUIPMENT
230719	RADIATOR PIPING INSULATION
231113	FUEL-OIL PIPING
232113	HYDRONIC PIPING
233113	METAL DUCTS

EQUIPMENT AND ELECTRICAL

SECTION NO.	TITLE
236900	FUEL STORAGE TANK
250000	INSTRUMENT AND CONTROL SYSTEMS
254700	SCADA PLC SYSTEM
254700A	IO LIST
254750	CONTROL STRATEGIES
260000	REQUIREMENTS FOR ELECTRICAL WORK
260250	ELECTRICAL DEMOLITION AND MODIFICATIONS
260750	ELECTRICAL IDENTIFICATION
260800	PERMANENT DIESEL GENERATOR
260850	PORTABLE STANDBY GENERATOR
261600	LIGHTING
262220	MOTORS
264220	MOTOR CONTROL
269200	600 VOLT MOTOR CONTROLLERS (MC)
269380	POWER SYSTEM STUDY
269500	ELECTRICAL TESTING
269550	LOW-VOLTAGE AUTOMATIC TRANSFER SWITCH

**SECTION 00100
NOTICE INVITING BIDS****ARTICLE 1 — BID OPENING**

- 1.01 NOTICE IS HEREBY GIVEN** that the Sausalito-Marín City Sanitary District, (hereafter referred to as “District” or “Owner”), invites and will receive sealed Bids at the District’s Main Office located at 1 East Road, Sausalito, California 94965, until **2:00 p.m., on Tuesday, December 1, 2020**, at which time bids will be publicly opened and read in the same location (the Main Office parking lot provides adequate space for social distancing), for the following project. Note that attendance at the bid opening is optional. All bidders will receive bid results immediately following the bid opening:

GENERATOR RELIABILITY IMPROVEMENTS PROJECT

WHILE SOCIAL DISTANCING ORDERS REMAIN IN PLACE BIDS SHALL BE SUBMITTED INTO A DROP BOX. BIDDERS SHALL NOT ACCESS THE DISTRICT’S OFFICE AND MUST MAINTAIN SOCIAL DISTANCING AT ALL TIMES. AMPLE PARKING IS AVAILABLE ON EAST ROAD BUT IS NOT PERMITTED IN THE DISTRICT’S MAIN OFFICE PARKING LOT DURING BID OPENINGS. FACE COVERINGS ARE REQUIRED AT ALL TIMES WHILE ON DISTRICT PROPERTY.

NO LATE BIDS WILL BE ACCEPTED.

ARTICLE 2 — PROJECT INFORMATION**2.01 DESCRIPTION OF THE WORK**

In accordance with Drawings and Specifications prepared by DTN Engineers, the Work includes furnishing of all labor, materials, tax, equipment, incidentals and services for the construction and completion of the Generator Reliability Improvements Project (“Project”). The Work includes but is not limited to:

1) demolition/removal of existing generators in five locations, 2) installation of new generators and appurtenances at seven locations, 3) modifications to two generator buildings, 4) removal of an underground fuel storage tank, 5) installation of an above ground fuel storage tank, 6) relocation of a pump station control panel, 7) procurement and delivery of a portable generator, and additional work as needed to construct a complete and operational project as specified in these Contract Documents.

2.02 CERTIFICATES OF EXPERIENCE AND QUALIFICATIONS

Due to the reliability requirements of this critically important project, the District requires minimum prior related successful project experience for General Contractors, General Contractor’s Project Manager and Electrical Subcontractors (or Electrical General Contractor) seeking to bid the Project. These requirements are found in Section 00400, Bid Form, – Attachments A and B.

2.03 ESTIMATED COST OF THE WORK

The estimated cost for this work is: **\$1.5 Million Dollars**

2.04 CONTRACT TIME

The Contract Time for the completion of all the Work of the Project is **300 (Three Hundred)** calendar Days.

ARTICLE 3 — CONTRACT DOCUMENTS

- 3.01** A full set of Contract Documents is available at various Bay Area Builders Exchanges. Complete electronic sets of Contract Documents and related Supplemental Project Information can also be obtained free of charge on the District's website at <http://www.smcsd.net> or by contacting the District at 415-332-0244. All bidders must obtain a complete electronic bid set of Contract Documents in order to be considered responsive. Bidders shall develop and submit Bids at their own expense. The District will not reimburse any costs associated with the development and submittal of any and all Bids.
- 3.02** Complete sets of Contract Documents must be used in preparing Bids. The District does not assume responsibility for errors or misinterpretations resulting from the use of incomplete sets of Contract Documents. Bidders shall develop and submit Bids at their own expense. The District will not reimburse any costs associated with the development and submittal of any and all Bids.
- 3.03** Bidding procedures are prescribed in the Contract Documents. Bids shall be executed upon the Bid Forms bound and made a part of the Contract Documents. Any exception taken to the Contract Documents and any changes made to terms and conditions of the Bid Forms may render the Bid non-responsive. All prescribed Bid Forms may be removed from the Contract Documents for submission in a sealed envelope.
- 3.04** The District, in making copies of the Contract Documents available on the above terms, does so only for the purpose of obtaining Bids for the Work and does not confer license or grant for any other use.

ARTICLE 4 — MANDATORY PRE-BID VIDEO AND MANDATORY SELF-GUIDED SITE INSPECTION.**4.01 Mandatory Pre-Bid Video**

Due to NOVEL CORONAVIRUS (COVID-19) safety concerns, there will be no in-person pre-bid meeting for this Project. A pre-bid video will be posted electronically at <http://www.smcsd.net> by November 13, 2020 (see Documents/Capital Improvement Projects). The District and their consultants will present the scope of work, work constraints, and bid submission requirements during this video. Prospective Bidders and Sub-Bidders can access the pre-bid video at their convenience by logging on to <http://www.smcsd.net> after they are made available by the District. It is mandatory that all Bidders watch this entire pre-bid video before submitting a Bid for this Project. Bidders must acknowledge meeting this mandatory requirement where indicated in Section 00400, Bid Form.

4.02 Mandatory Pre-Bid Site Inspection

All Bidders must conduct a self-guided Project walk-through of all worksite locations. Bidders must include a signed statement of compliance for this requirement with their Bid submission or their Bid will be deemed non-responsive. A verification sheet is included at the end of this Section 00100. Due to NOVEL CORONAVIRUS (COVID-19) all persons and organizations who conduct a pre-bid site walk must comply with the following requirements:

- a) All attendees must wear a face mask and hard soled shoes/boots.
- b) All attendees must practice safe social distancing during the site tour.

Two of the site locations, Main Street Pump Station and the Treatment Plant, will require access to generator rooms. Please contact Kevin Rahman at (415) 332-0244 or kevin@smcsd.net to set up an inspection time. Inspections can generally be accommodated weekdays between 8:00 AM and 3:00 PM. Generators at the other three existing sites are visible but located behind locked fences. Bidders may schedule appointments to access these sites as well if desired.

- 4.03** Where the District considers it necessary in response to questions raised during the Bid Period, District will post Addenda electronically at <http://www.smcsd.net> by November 24, 2020. Oral statements not confirmed by Addenda may not be relied upon and are not binding or legally effective. Bidders must acknowledge receipt of all Addenda where indicated in Section 00400, Bid Form. If a Bidder fails to acknowledge Addenda on their Bid Form their Bid may be deemed non-responsive.

ARTICLE 5 — BID SECURITY AND BONDS

- 5.01** Each Bid must also be accompanied by security in the form of a Bidder's Bond issued by a corporate surety, a certified check, or cashier's check payable to the District, or cash for an amount not less than ten percent (10%) of the Total Bid Price.
- 5.02** The successful Bidder shall be required to execute a Material and Labor Payment Bond and Performance Bond, issued by a corporate surety, in conformance with the requirements set forth in the Contract Documents, each for not less than one hundred percent (100%) of the Contract Price.
- 5.03** Pursuant to California Code of Civil Procedures Section 995.311, the District will verify all bonds for this Project are issued and executed by a California admitted surety.

ARTICLE 6 — INQUIRIES

- 6.01** Inquiries regarding further information about the Project may be directed to Kevin Rahman, P.E, District Engineer at kevin@smcsd.net.

ARTICLE 7 — LAWS AND REGULATIONS

7.01 CONTRACTOR'S LICENSE CLASSIFICATION AND REQUIREMENTS

Bidders and their proposed subcontractors shall hold such licenses as may be required by the laws of the State of California for the performance of the work specified in the Contract Documents. Bidders bidding as the prime Contractor shall possess a valid California Contractor's General Engineering License "A" at the time of contract award and throughout the contract term. The Contractor will also be required to ensure that all subcontractors working on this project are holding valid licenses suitable for their trade.

7.02 DEPARTMENT OF INDUSTRIAL RELATIONS REGISTRATION

No Contractor or subcontractor may be listed on a bid proposal for a public works project unless registered with the DIR pursuant to California Labor Code Section 1725.5 [with limited exceptions from this requirement for bid purposes only under California Labor Code Section 1771.1(a)]. No Contractor or subcontractor may be awarded a contract for public work on a public works project unless registered with the DIR pursuant to California Labor Code Section 1725.5. If awarded a contract, the Contractor and its subcontractors, of any tier, shall maintain active registration with the Department of Industrial Relations for the duration of the Project.

7.03 CALIFORNIA LABOR CODE

This Project is subject to the general prevailing rate of per diem wages as determined by the State of California Director of Industrial Relations (DIR), and travel and subsistence pay as such are defined in applicable collective bargaining agreements filed in accordance with Section 1773.8 of said Labor Code, for work needed and performed on this Project. These rate determinations may be found on the DIR's website at; <http://www.dir.ca.gov/OPRL/dprevagedetermination.htm>

The successful bidding Contractor and its subcontractors shall employ the appropriate number of apprentices, in each apprenticeable craft, on the project site as stipulated in California Labor Code Section 1777.5.

Pursuant to California Labor Code Section 1771.4, this Project is subject to compliance monitoring and enforcement by the California Department of Industrial Relations.

Pursuant to the provisions of California Labor Code Section 6707, each Bid submitted in response to this Invitation to Bid shall contain, as a separate bid item, adequate sheeting, shoring, and bracing, or equivalent method, for the protection of life or limb in trenches and open excavation, exceeding five feet, which shall conform to applicable safety orders. Neither this requirement, nor any payment by District for this separate bid item, shall be construed to impose tort liability on District, or its employees or agents, for any injury or damage caused by failure of any excavation or protective equipment or methods.

7.04 CALIFORNIA PUBLIC CONTRACT CODE 22300

Pursuant to California Public Contract Code Section 22300, for monies earned by the Contractor and withheld by the District to ensure the performance of the Contract, the Contractor, may, at its option, choose to substitute securities meeting the requirements of California Public Contract Code Section 22300, or have the retained, earned monies deposited in an escrow account at a federal or state-chartered bank.

7.05 CALIFORNIA PUBLIC CONTRACT CODE 3400

In accordance with California Public Contract Code Section 3400, Bidders may propose equals of products listed in the technical specifications or project plans by manufacturer name, brand or model number, unless the technical specifications or plans specify that the product is necessary to match others in use. Complete information for products proposed as equals must be submitted to the District's Office for review at least thirty (30) calendar days after the date of Notice to Proceed. The District may specify a product by a particular manufacturer on a "sole-sourced" basis for the following reasons:

- (1) In order that a field test or experiment may be made to determine the product's suitability for future use.
- (2) In order to match other products in use on a particular public improvement either completed or in the course of completion.
- (3) In order to obtain a necessary item that is only available from one source.

ARTICLE 8 — DISTRICT'S RIGHTS

- 8.01** The District reserves the right to award the Contract, to reject any or all Bids, to waive non-material and inconsequential irregularities in any Bid, and to reject nonconforming, nonresponsive, non-responsible, or conditional Bids.
- 8.02** No Bid received and read aloud may be withdrawn for a period of ninety (90) days after the bid opening date, except pursuant to California Public Contract Code Section 5101.



Kevin Rahman, P.E.
District Engineer

END OF SECTION

CONFIRMATION OF MANDATORY SITE VISIT

In accordance with Paragraph 4.2 of Section 00100, all bidders must conduct a self-guided project walk-through of all worksite locations. Face masks are required while visiting the project sites.

Provide the following information and initial each project site location visited. It is the Contractor's responsibility to thoroughly evaluate each worksite:

Company Name: _____

Representative: Name: _____

Phone: _____

E-Mail: _____

Marin City Pump Station Site was evaluated on _____, 2020. Initial _____

Gate 5 Rd. Pump Station Site was evaluated on _____, 2020. Initial _____

Locust St. Pump Station Site was evaluated on _____, 2020. Initial _____

Anchor St. Pump Station Site was evaluated on _____, 2020. Initial _____

Spinnaker Rd. Pump Station Site was evaluated on _____, 2020. Initial _____

Princess Pump Station Site was evaluated on _____, 2020. Initial _____

Main St. Pump Station Site was evaluated on _____, 2020. Initial _____

Treatment Plant Site was evaluated on _____, 2020. Initial _____

This sheet must be included with your bid. Bids received without verification of mandatory site visits may be deemed non-responsive.

SECTION 00200
INSTRUCTIONS TO BIDDERS

Index

Page

ARTICLE 1 — GENERAL..... 2

ARTICLE 2 — WORK TO BE DONE 2

ARTICLE 3 — COMPETENCE OF BIDDERS AND SUB-BIDDERS..... 2

ARTICLE 4 — COMPLIANCE WITH CONTRACTOR'S LICENSE LAWS 2

ARTICLE 5 — PRIOR DISQUALIFICATION..... 2

ARTICLE 6 — EXAMINATION OF CONTRACT DOCUMENTS 2

ARTICLE 7 — Novel Coronavirus (COVID-19) SAFETY REQUIREMENTS..... 3

ARTICLE 8 — EXAMINATION OF PROJECT SITE AND PRE-BID ACCESS TO THE SITE 3

ARTICLE 9 — INTERPRETATION OF CONTRACT DOCUMENTS AND ADDENDA 5

ARTICLE 10 — BIDDER'S SECURITY..... 6

ARTICLE 11 — BID FORM 6

ARTICLE 12 — SUBMISSION OF BID FORMS 7

ARTICLE 13 — WITHDRAWAL OF BID 8

ARTICLE 14 — PUBLIC OPENING OF BIDS..... 8

ARTICLE 15 — REJECTION OF IRREGULAR BIDS..... 8

ARTICLE 16 — COMPETITIVE BIDDING 8

ARTICLE 17 — ESTIMATED QUANTITIES 8

ARTICLE 18 — SUBSTITUTION OF ALTERNATIVE MATERIALS, ARTICLES, OR EQUIPMENT 8

ARTICLE 19 — LOCAL BUSINESS LICENSE 9

ARTICLE 20 — WORK PERCENTAGES 9

ARTICLE 21 — DESIGNATION OF SUBCONTRACTORS 10

ARTICLE 22 — CONTRACTS TO BE ASSIGNED- Not used 10

ARTICLE 23 — MANUFACTURER CERT. AND APPROVAL OF CONTRACTOR – Not used 10

ARTICLE 24 — DEBARMENT OF CONTRACTORS AND SUBCONTRACTORS 11

ARTICLE 25 — IRAN CONTRACTING ACT CERTIFICATION..... 11

ARTICLE 26 — SALES AND OTHER APPLICABLE TAXES, PERMITS, LICENSES AND FEES..... 11

ARTICLE 27 — SHEETING, SHORING AND BRACING 11

ARTICLE 28 — OFFER OF ASSIGNMENT OF ANTITRUST ACTIONS 11

ARTICLE 29 — BIDDERS INTERESTED IN MORE THAN ONE BID..... 11

ARTICLE 30 — RETURN OF BIDDER'S SECURITY 12

ARTICLE 31 — RELIEF OF BIDDERS 12

ARTICLE 32 — AWARD OF CONTRACT 12

ARTICLE 33 — CONTRACT BONDS 13

ARTICLE 34 — INSURANCE..... 13

ARTICLE 35 — EXECUTION OF CONTRACT AGREEMENT..... 13

ARTICLE 36 — FAILURE TO EXECUTE CONTRACT AGREEMENT 14

ARTICLE 37 — NO ORAL AGREEMENTS 14

ARTICLE 38 — BID PROTEST..... 15

ARTICLE 39 — ESCROW BID DOCUMENTS 16

ARTICLE 1 — GENERAL

- 1.01 Bidder must examine these instructions to Bidders carefully and respond to all requirements and conditions.
- 1.02 Bidders must be aware of the requirements of codes, permits and standards referenced in the Contract Documents.

ARTICLE 2 — WORK TO BE DONE

- 2.01 It is the intention of the District to construct improvements as shown and set forth in the Contract Documents. All of the Work is particularly set forth in the Contract Documents, and all of said Work, together with all other work incidental thereto, is included. The Work includes the furnishing of all labor, materials, equipment, tools, supervision, incidentals, transportation and services necessary for completion of the Project. Codes and standards, definition of words and terms, and abbreviations shall be as specified in the Contract Documents.

ARTICLE 3 — COMPETENCE OF BIDDERS AND SUB-BIDDERS

- 3.01 All Bidders must complete and submit with their Bid the following Certifications:
 - A. Section 00400, Attachment A- CERTIFICATION OF BIDDER'S EXPERIENCE AND QUALIFICATIONS.
 - B. Section 00400, Attachment B- CERTIFICATION OF ELECTRICAL SUBCONTRACTOR'S EXPERIENCE AND QUALIFICATIONS.

Failure to provide this information within the prescribed Bid deadlines may render the Bid non-responsive and may be the basis for rejection of the Bid.

ARTICLE 4 — COMPLIANCE WITH CONTRACTOR'S LICENSE LAWS

- 4.01 Bidder's attention is directed to the provisions of Chapter 9 of Division 3 of the California Business and Professions Code ("Contractors"), and of California Public Contract Code Section 3300. The Contractor must possess the required classification of Contractor's License at the time the Bid is submitted (per Business and Professions Code Section 7028.15). If federal funds are involved in this project, the Contractor must possess the required classification of Contractor's License at the time of the Contract Award (per California Public Contract Code Section 20103.5).
- 4.02 If a Bidder does not possess the required Contractor's License at the time a Bid is submitted, in accordance with Business and Professions Code Section 7028.15, the Bid will be considered non responsive and will be rejected by the District. The District may require forfeiture of the Bidder's Bond.
- 4.03 Joint venture Bidders must possess a joint venture license. Each party to a joint venture must be properly licensed for the Work of this Project.

ARTICLE 5 — PRIOR DISQUALIFICATION

- 5.01 Bid may be rejected on the basis of a Bidder, any officer of such Bidder, or any employee of such Bidder who has a proprietary interest in such Bidder, having been disqualified, removed or otherwise prevented from Bidding on, or completing a federal, state or local project because of a violation of a law or a safety regulation.
- 5.02 The District will review the circumstances presented in the Bid Form certification. The District will determine if acceptance of the Bid is in its best interest.

ARTICLE 6 — EXAMINATION OF CONTRACT DOCUMENTS

- 6.01 Each Bidder shall thoroughly examine and become familiar with the Contract Documents and Addenda (if any).

- 6.02** The submission of a Bid shall constitute an acknowledgment upon which the District may rely that the Bidder has thoroughly examined and is familiar with the Contract Documents and that, except as provided in the Pre-Bid Protest Procedures in Article 26 below, the Bidder has waived any objections or contentions regarding the Contract Documents and/or the bidding requirements in the Contract Documents.
- 6.03** The failure or neglect of a Bidder to receive or examine any of the Contract Documents shall in no way relieve it from any obligation with respect to its Bid or to the Contract. No claim for additional compensation will be allowed which is based upon a lack of knowledge of any Contract Documents.
- 6.04** The submission of a Bid will be conclusive evidence that the Bidder has investigated and is fully aware of the conditions and difficulties that may be encountered including the availability of labor and materials to be provided, of the character, quality and quantities of Work to be performed, and of the requirements of all Contract Documents.

ARTICLE 7 — NOVEL CORONAVIRUS (COVID-19) SAFETY REQUIREMENTS

- 7.01** Given the coronavirus impacts to society in 2020 preceding the bidding of this Project, as well as the prospective performance of the Work, it is paramount that each Bidder recognize that the means and methods of construction preceding the onset of the COVID-19 pandemic, and the ways of doing business, have been, at least for the foreseeable future, materially impacted and changed. These impacts and changes concern and relate to social distancing, ability to have commerce perform at the pace it previously performed, how labor can interact, the implementation of increased safety measures, and a myriad of other impacts to construction operations. Heightened and increased measures to protect persons from illness, and the adoption and implementation of many new and increased practices, will make the performance of construction work different and more challenging.
- 7.02** It is the intent of the District, by including the previous paragraph, as well as Section 01170, Novel Coronavirus (COVID-19) Safety Requirements, to alert all Bidders for all aspects of this Project, that they are to include in their Bids all known and reasonably estimated costs and impacts to the ability to obtain goods and materials required, as well as labor to perform the Work. Further, all costs for all of these and other aspects of the project, including supervision, testing, inspection, QA/QC, etc. (this listing is intended to be illustrative and not comprehensive) shall be evaluated by each Bidder so their Bid submitted to the District includes all such perceived impacts in recognition of the foregoing and subsequent notices in this and other sections of the Contract Documents.
- 7.03** Each Bidder is to critically appraise and evaluate the reasonably anticipated costs and time impacts, if any, which may need to be accounted for in light of the COVID-19 pandemic and all governmental directives and requirements, and commercial impacts, arising therefrom.
- 7.04** It is the responsibility of each Bidder to alert all Sub-Bidders (potential subcontractors and suppliers of every tier and trade) to also factor in the above-referenced COVID-19 cost and time impacts, if any, into their sub-bids to Bidders for any and all aspects of the Project.
- 7.05** By submitting a Bid for this Project, each Bidder represents to the District they included in their Total Bid Price all cost impacts, whether affecting labor (including, but not limited to obtaining qualified workers, quantity of workers, as well as their productivity), deliveries, supervision, testing and/or procurement of materials and/or equipment and time caused by COVID-19 safety requirements found in Section 01170 and also all public health and/or governmental directives in place at the time Bids are received by the District for this Project. Furthermore, each Bidder recognizes they will not be entitled to a change order granting a COVID-19 related time extension and/or for any COVID-19 related increased costs, which arise from Section 01170, Novel Coronavirus (COVID-19) Safety Requirements and/or from all public health and/or governmental directives in place at the time Bids are received by the District for this Project.

ARTICLE 8 — EXAMINATION OF PROJECT SITE AND PRE-BID ACCESS TO THE SITE

- 8.01** Prior to submitting a Bid, it will be the sole responsibility of each Bidder to conduct any additional examination, investigation, exploration, test, study or other inquiry, and obtain any additional information

pertaining to the physical conditions (including surface, subsurface, and underground utilities) at or near the Project site that may affect the cost, progress, or performance of the Project, and that the Bidder deems necessary to prepare its Bid for performance of the Project in accordance with the Contract Documents. Bidders seeking any such additional examination or other inquiries or information concerning the Project will do so at the Bidder's sole expense.

- 8.02** Bidders seeking to conduct any additional examination or other inquiry at the Project site must request site access from the District by contacting at least three (3) working days in advance to:

Mr. Kevin Rahman, P.E., District Engineer
Sausalito-Marín City Sanitary District
1 East Road, Sausalito, California 94965
Phone: (415) 332-0244
Email: kevin@smcsd.net

The location of any excavation, boring or other invasive testing will be subject to approval on behalf of the District and any other agencies with jurisdiction over such testing. Bidders may not conduct tests at the Project site prior to obtaining District approval. Additionally, any such Bidder must deliver an executed Section 00210, ACCESS, INDEMNITY AND RELEASE AGREEMENT, and provide an insurance certificate as described therein by noon of the Day prior to Bidder's approved site visit. Once approved testing is complete, Bidders must fill all trenches or holes, restore all pavement to match existing structural section, and otherwise clean up and restore the test site to its pre-test condition.

- 8.03** Bidders who intend only to observe site conditions and not conduct such examinations are not required to provide an executed Access, Indemnity and Release Agreement or insurance information.
- 8.04** If, during the course of its site inspection, a Bidder finds conditions which appear to be in conflict with the letter or spirit of the Contract Documents, the Bidder may apply to the District, in writing, for additional information and explanation at least ten (10) calendar days before the time specified for opening the Bids.
- 8.05** Where investigation of subsurface conditions has been made by District in respect to foundation or other design, Bidders may inspect District's records of such investigation, including examination of samples and drill cores, if any.
- 8.06** When logs of test borings indicating a record of the data obtained by District's investigation of subsurface conditions are made available, said logs represent only the investigator's opinion as to the character of material encountered in test borings and are made available only for the convenience of Bidders.
- 8.07** Investigation of subsurface conditions is made for the purpose of design, and District assumes no responsibility, whatsoever, in respect to the sufficiency of test borings, accuracy of the log of test borings, of other preliminary investigations, or in the interpretation thereof. There is no warranty or guarantee, express or implied, that the conditions indicated are representative of those existing throughout the Work, or any part of it, or that unforeseen conditions may not be encountered.
- 8.08** Making information available to Bidders is not to be construed in any way as a waiver of the aforesaid provisions, and Bidders must satisfy themselves through their own investigations as to conditions to be encountered.
- 8.09** No information derived from such inspection of records of preliminary investigations made by District, or from the maps, Drawings or Contract Documents, relieve Contractor from any risk or from properly fulfilling all the terms of the Contract. Records of such preliminary investigations as may have been made by District may be inspected by contacting the District's Project Manager identified in the Notice Inviting Bids.

- 8.10** Failure by Bidder to educate itself with available information will not relieve Bidder from responsibility for estimating properly the difficulty or cost of successfully performing the Work. The information provided by the District is not intended to be a substitute for, or a supplement to the independent verification by the Bidder to the extent such independent investigation of site conditions is deemed necessary or desirable by the Bidder.
- 8.11** Submission of a Bid by the Bidder shall constitute conclusive evidence that, if awarded the Contract, it has relied upon and is relying on its own examination of (1) the site of the Work, (2) access to the site, (3) all other data and matters requisite to the fulfillment of the Work and on its own knowledge of existing facilities on and in the vicinity of the site of the Work to be constructed under the Contract, (4) the conditions to be encountered, (5) the character, quality and scope of the proposed Work, (6) the quality and quantity of the materials to be furnished, and (7) the requirements of the Contract Documents, and other related information made available to Bidders by the District (see Section 00300, Supplemental Information Available to Bidders).
- 8.12** Bidders are required to inform themselves fully of the conditions relating to the construction and labor under which the Work will be or is now performed, and, so far as possible, the successful Bidder must employ such means and methods in carrying out its Work as will not cause any interruption or interference with any other contractor.

ARTICLE 9 — INTERPRETATION OF CONTRACT DOCUMENTS AND ADDENDA

9.01 If any person contemplating submitting a Bid is in doubt as to the intended meaning of any part of the Contract Documents, or finds discrepancies in, or omissions in the Contract Documents, that person must submit a written request for an interpretation or correction thereof at least seven (7) calendar Days before the deadline for receipt of Bids.

9.02 Inquiries must be submitted via electronic telecommunication (e-mail) to:

Mr. Kevin Rahman, P.E., District Engineer
 Sausalito-Marín City Sanitary District
 1 East Road, Sausalito, California 94965
 Phone: (415) 332-0244
 Email: kevin@smcsd.net

All questions submitted via (e-mail) shall be submitted in the time set forth herein. For e-mail to be effective, it shall have a date and time receipt acknowledgment from the recipient and shall be clearly identified with the following title in the Subject line:

"Bidder Questions: _____ Project."

- 9.03** It is the Bidder's sole responsibility to ensure that the e-mail question is received by the above designated recipient in a timely manner.
- 9.04** Any request received fewer than seven (7) calendar Days before the said deadline may not be answered. The person submitting the request will be responsible for its prompt delivery.
- 9.05** Any interpretation or correction of the Contract Documents will be made only by Addendum, and will be transmitted to all recipients who obtained a complete bid set of Contract Documents from the District in order to receive addenda notifications.
- 9.06** The District is not responsible for any explanation or interpretation of the Contract Documents not communicated to Bidders in an Addendum. If no Addenda are issued relating to supposed discrepancy, conflict, omissions or errors in figuring the Work, the supposed discrepancies, conflicts, or omissions are governed by Section 00700-1.05, Order of Precedence of the Contract Documents.
- 9.07** The District reserves the right to revise or amend any part of the Contract Documents, including but not limited to, the specifications, up to the time set for opening the Bids. Such revisions and amendments, if any, shall be announced by written addenda. Copies of such written addenda shall be furnished to all recipients of complete sets of Contract Documents. Addenda issued during the time of bidding shall become a part of the documents furnished Bidders for the preparation of Bids, shall be covered in the

Bids, and shall be made a part of the Contract Documents. Each Bid shall include specific acknowledgment in the space provided of receipt of all Addenda issued during the bidding period. Failure to so acknowledge may result in the Bid being rejected as not responsive. Failure of any Bidder to receive such Addenda shall not be grounds for non-compliance with the terms of the instructions.

- 9.08** Should the Bidder find patent ambiguities in the Contract Documents, the Bidder shall at once notify the District of such findings in writing prior to opening of the Bids. Replies to such notification of patent ambiguities may be made in the form of Addenda, which will be issued simultaneously to all persons who have obtained a copy of the Contract Documents from the District. Failure of the Bidder awarded the Contract to notify the District of such patent ambiguity shall eliminate any and all recourse, including time extensions and Contract price adjustments the Bidder may have, against the District occurring as a result or arising out of such patent ambiguity.

ARTICLE 10 — BIDDER'S SECURITY

- 10.01** Each Bid must be accompanied by a certified or cashier's check, or an original Bidders Bond in an amount not less than ten percent (10%) of the total aggregate of the base Bid, not including all additive Bid items, to be used in determining the lowest monetary Bid for the Project.
- 10.02** A Bid will be considered non-responsive if not accompanied by proper Bidder's Security.
- 10.03** The original Bid Bond must be provided by an admitted Surety insurer, authorized to issue Surety bonds in the State of California, and it must execute the Bid Bond.
- 10.04** Bonds and checks must be made payable to "Sausalito-Marin City Sanitary District".
- 10.05** All bonds must be provided on the forms included with the Contract Documents or the Bid will be considered non-responsive.

ARTICLE 11 — BID FORM

- 11.01** Prospective Bidders are furnished Bid forms (Section 00400, Bid Form, and all included Attachments).
- 11.02** A Bid not submitted on the Bid forms furnished by the District will be considered non-responsive.
- 11.03** Completing the Bid Forms:
- A. Bidder's name must be the same as listed on Bidder's California State Contractor's license provided by Bidder on its Bid Form and also Bidder's DIR registration provided on its Bid Form.
 - B. Bid price(s) must be in the manner required by the Bid Form.
 - C. Bid Form must be signed by Bidder or duly authorized representative.
 1. If Bidder is an individual, name must be stated.
 2. If Bidder is a partnership, name of the partnership must be stated and one or more partners must sign the Bid Form.
 3. If Bidder is a corporation, name of the corporation must be stated, the state of incorporation must be listed, the title of the person with authority to sign and bind corporation, must be stated, and the corporate seal must be affixed. **If the Bid is made by a corporation; a copy of the bylaws or resolution of the Board of Directors of the corporation shall be furnished, showing the authority of the officer signing the Bid, to execute Bid on behalf of the corporation.**
 4. If Bidder is a Limited Liability Company (LLC), state the name of the LLC exactly as it appears on it appears on file with the California Secretary of State, including the entity ending (ex: "Jones & Company, LLC" or "Smith Construction, a Limited Liability Company". Signature of the LLC's Managing Partner or Officer must include attached evidence of authority to bind the LLC under the LLC's articles of organization.

5. If the Bid is made by a joint venture, the Bid shall be signed by an authorized representative of the sponsoring partner of the joint venture. **Additionally, the Bid shall include a copy of the joint venture resolution or agreement empowering the authorized representative to execute the Bid and bind the joint venture.**
6. Bidder's business and mailing address must be stated.

11.04 Required Listing of Major Equipment Items

- A. Certain items of major equipment to be provided under this Contract are tabulated in the Section 00400, Bid Form - Attachment E, Schedule of Major Equipment and Material Suppliers. The Bidder shall designate the name of the manufacturer of the equipment to be provided for each major equipment item and submit this form with its Bid. By so indicating, the Bidder warrants that equipment manufactured and/or supplied by the designated manufacturer will be provided on the Project. See Attachment E to Bid Form for additional instructions and requirements.

ARTICLE 12 — SUBMISSION OF BID FORMS

- 12.01 Bid Forms must be completed in ink or typed, completely filled out, and submitted on the Bid Form furnished as part of the Contract Documents. Faxed Bids will not be considered by District. All signatures on the Bid Forms shall be wet signatures.
- 12.02 It is the sole responsibility of the Bidder to ensure that its Bid is received at the proper time and at the proper location. Bids received after the time fixed for receiving them will not be considered.
- 12.03 Late Bids will be returned by the District to the Bidder unopened.
- 12.04 The Bid Form submitted must not contain any erasure, interlinear additions, or other corrections unless each such correction is authenticated. Authentication may be made by affixing in the margin, immediately opposite the correction, the signature of the person submitting the Bid.
- 12.05 Changes in or additions to the Bid Form, recapitulations of the Work bid upon, alternative or additive bids, or any other modifications of the Bid Form which are not specifically called for in Section 00400, Bid Form, may result in rejection of the Bid by the District. The District may treat all such Bids as not being responsive to the Notice Inviting Bids.
- 12.06 The District will consider no oral, telephonic or email modification of any Bid submitted.
- 12.07 **Each Bid shall be enclosed in a sealed envelope distinctly marked "BID" and include:**
 - **Project title as given,**
 - **Date and Time of Bid Opening,**
 - **Name and address of Bidder, and**
 - **Attention: District Secretary**

A Federal Express, UPS, or other courier delivery envelope is not considered the sealed "BID" envelope. Such delivery envelope must also be marked with the above required information.

Failure to mark sealed envelopes may result in a premature opening of, or failure to open, such Bid. Bid submissions with improperly marked sealed envelopes may be disregarded.

- 12.08 Bids shall either be (1) delivered in person and deposited into the locked drop box located outside the Sausalito-Marin City Sanitary District office located at 1 East Road, Sausalito, California 94965, during normal business hours, being 7 a.m. to 3 p.m., Monday through Friday, or, (2) mailed to "Office of the District Secretary of the Sausalito-Marin City Sanitary District located at 1 East Road, Sausalito, California 94965, Attention: District Secretary" so that either delivery method is received by the Bid submission deadline time and date.
- 12.09 The preparation of a Bid shall be by and at the expense of the Bidder.

ARTICLE 13 — WITHDRAWAL OF BID

- 13.01 Any Bid may be withdrawn at any time before the time fixed in the Notice Inviting Bids, or as postponed by Addenda, for the opening of Bids only by written request of the Bidder or a duly authorized representative.
- 13.02 Withdrawal of a Bid does not prejudice the right of the Bidder to file a new Bid at any time prior to the time fixed for receiving Bids in the Notice Inviting Bids, or as postponed by Addenda.
- 13.03 Whether or not Bids are opened exactly at the time stated in the Notice Inviting Bids, or as postponed by Addenda, a Bid will not be accepted after the time stated.
- 13.04 After the opening of Bids, Bids may only be withdrawn in accordance with the provisions of California Public Contract Code Sections 5100-5107.

ARTICLE 14 — PUBLIC OPENING OF BIDS

- 14.01 Bids will be opened and read publicly at the time and place indicated in the Notice Inviting Bids. Bidders or their authorized agents are invited to be present.

ARTICLE 15 — REJECTION OF IRREGULAR BIDS

- 15.01 The District may reject any Bid if there appears to be any alteration of the Bid Form, any addition or condition not called for, or any incompleteness, erasure or irregularity of any kind.
- 15.02 Bids not completed in Ink or typed will be rejected.
- 15.03 If the Bid amount or other material information is changed, the change must be initialed.

ARTICLE 16 — COMPETITIVE BIDDING

- 16.01 More than one Bid from an individual, firm, partnership, corporation, or combination thereof, as a principal, under the same or different names will not be considered. Reasonable basis for believing that any individual, firm, partnership, corporation, or combination thereof is a principal in more than one Bid for the Work contemplated may cause the rejection of all Bids in which such individual, firm, partnership, corporation, or combination thereof is a principal.
- 16.02 If there is a reason for believing that collusion exists among the Bidders, any or all Bids may be rejected.
- 16.03 A person, firm, or corporation submitting a sub-bid to a Bidder, or who quoted prices on materials to a Bidder, is not thereby disqualified from submitting a sub-bid or quoting prices to other Bidders or from being a principal Bidder for the same Work.
- 16.04 Bids in which unit prices are obviously unbalanced may be rejected.
- 16.05 Bidders are put on notice that any collusive agreement fixing the prices to be Bid so as to control or affect Awarding of the Contract is in violation of competitive bidding requirements of the Public Contract Code and may render void any Contract let under such circumstances.

ARTICLE 17 — ESTIMATED QUANTITIES

- 17.01 The quantities stated in a schedule of items for which unit prices are asked to be Bid are approximate only.
- 17.02 The quantities are given as a basis for the comparison of Bids.
- 17.03 The District does not, expressly or by implication, represent that the actual amount of Work will correspond with quantities given and reserves the right to increase or decrease the quantities of Work for any Bid item, or to omit portions of the Work, as the District may deem necessary or advisable.

ARTICLE 18 — SUBSTITUTION OF ALTERNATIVE MATERIALS, ARTICLES, OR EQUIPMENT

- 18.01 Bids must be based upon use of items named in the Contract Documents.

- 18.02** By so indicating, the Bidder warrants that equipment manufactured and/or supplied by the named manufacturer will be provided on the Project unless review of submittal information or performance under tests reveals that the equipment does not meet the Contract requirements.
- 18.03** Failure to indicate a manufacturer for any single item of the equipment listed in the schedule may render the Bid unresponsive to the Notice Inviting Bids and may be a basis for rejection of the Bid.
- 18.04** In certain cases, specific items have been named (Named Products) because of operational or maintenance considerations; approval of proposed equals should not be assumed.
- 18.05** Pursuant to California Public Contract Code §3400, alternative material(s), article(s), or equipment that are of equal quality and of required characteristics for the purpose intended may be proposed provided the Bidder complies with the following requirements:
- A. The proposal will not be considered unless the submittal is accompanied by complete information and descriptive data necessary to determine equality of offered material(s), article(s) or equipment.
 - B. Samples must be submitted when requested by the District.
 - C. Burden of proof as to comparative quality, suitability, and performance of offered material(s), article(s), or equipment is the responsibility of the Bidder submitting the proposal.
 - D. Requests for approval must comply with the requirements of Section 01600, Product Requirements.
- 18.06** District's Authorized Representative is the sole judge as to such matters. In the event District's Authorized Representative rejects the use of such Alternative(s) submitted, then the Contractor must furnish one of the particular Named Products specified in the Contract Documents.
- 18.07** Proposals for Alternative material(s), process, article(s), or equipment will not be accepted during the bid period.
- 18.08** See Section 01600, Product Requirements, for additional substitution requirements.

ARTICLE 19 — LOCAL BUSINESS LICENSE

- 19.01** If required by District or County, the Contractor shall have a local business license for the Work contemplated before the Contract can be executed. All subcontractors will be required to secure the appropriate local business license before they commence work on the Project.

ARTICLE 20 — WORK PERCENTAGES

- 20.01** The Contractor shall perform at least forty percent (40%) of the Contract Total Base Bid Amount. This portion of work shall encompass the performance of work by the Contractor's forces and equipment, the procurement of materials and equipment by the Contractor and field related general conditions required to support and supervise the construction effort. Subcontractors shall not be responsible for the performance of any work or procurement of materials or equipment within the above Contractor's work percentage allotment. Materials purchased by the Contractor but installed by a subcontractor will not contribute to the above Contractor's work percentage allotment.
- 20.02** The value of the work subcontracted shall be determined by summing all of the percentages identified for the subcontractors listed in Section 00400, Bid Form, Attachment D, Designation of Subcontractors. If the sum of such percentages exceeds sixty percent (60%), the District may treat the Bid as nonresponsive and reject it on that basis.
- 20.03** By submitting a Bid, the Bidder is certifying that it is in compliance with this obligation. This self-performance requirement is a material term of these Contract Documents and shall be subject to verification upon request. If it is determined that the Bidder's certification was other than true in all respects, the Bidder agrees that the following shall occur:

- A. if the Contract has not been awarded to the Bidder, the Bidder's Bid shall be deemed non-responsive; or
- B. if the Contract has been awarded, at the discretion of the District, Contractor shall be subject to either:
 - 1. A reduction of its Total Bid Price by ten (10) percent of the value of the amount by which the certification was in error (e.g., if the Total Bid Price is \$10,000,000 and the amount of self-performed work is determined to be \$3,000,000 instead of at least \$4,000,000, then the amount of the shortfall (\$1,000,000) shall be multiplied by 10% to yield the sum of \$100,000 to be reduced from the contract price); or
 - 2. Termination of the Contractor's right to proceed for default.

ARTICLE 21 — DESIGNATION OF SUBCONTRACTORS

- 21.01** In accordance with California Public Contracting Code Section 4100, et. seq., "Subletting and Subcontracting Fair Practices Act," each general Bid shall have listed in Section 00400, Bid Form, Attachment D, DESIGNATION OF SUBCONTRACTORS, the name, location of the place of business and the portion of work to be performed by each subcontractor who will perform work or labor or render service to the Bidder in or about the construction of the work or improvement, or of any subcontractor licensed by the State of California who, under subcontract to the Bidder, will specially fabricate and install a portion of the work or improvement according to detailed drawings contained in the plans and specifications, in an amount in excess of one-half of one percent of the Bidder's Total Bid Price. If required by District or County, the Contractor shall have a local business license for the Work contemplated before the Contract can be executed. All subcontractors will be required to secure the appropriate local business license before they commence work on the Project.
- 21.02** If no subcontractor is specified for a portion of the work, or if more than one subcontractor is specified for the same portion of Work, to be performed under the Contract in excess of one-half of one percent (1/2%) of the Bidder's Total Bid Price, then the Bidder shall be deemed to have agreed that it is fully qualified to perform that Work and that it shall perform that portion itself.
- 21.03** Failure to comply with the provisions of the California "Subletting and Subcontracting Fair Practices Act" shall make the Contractor subject to the sanctions as set forth in the Act.
- 21.04** The Bidder's listed subcontractors in Section 00400, Bid Form, Attachment D, DESIGNATION OF SUBCONTRACTORS, must be registered with the State of California, Department of Industrial Relations (DIR) accordance with California Labor Code section 1725.5. Bidders shall list all applicable DIR registration numbers contact information on Section 00400, Bid Form, Attachment D, DESIGNATION OF SUBCONTRACTORS.
- 21.05** Bidders' attention is directed to the provisions of the Subletting and Subcontracting Fair Practices Act, beginning with California Public Contract Code Section 4100, related to penalties for use of unauthorized Subcontractors or by making unauthorized substitutions.
- 21.06** Alternate subcontractors shall not be listed for the same work.

ARTICLE 22 — CONTRACTS TO BE ASSIGNED- NOT USED

ARTICLE 23 — MANUFACTURER CERTIFICATION AND APPROVAL OF CONTRACTOR – NOT USED

ARTICLE 24 — DEBARMENT OF CONTRACTORS AND SUBCONTRACTORS

- 24.01** In accordance with the provisions of the California Labor Code, contractors or subcontractors may not perform work on a public works project with a subcontractor who is ineligible to perform work on a public project pursuant to section 1777.1 or section 1777.7 of the Labor Code. Any contract on a public works project entered into between a contractor and a debarred subcontractor is void as a matter of law. A debarred subcontractor may not receive any public money for performing work as a subcontractor on a public works contract. Any public money that is paid to a debarred subcontractor by the Contractor shall be returned to the District. The Contractor shall be responsible for the payment of wages to workers of a debarred subcontractor used on the Work.

ARTICLE 25 — IRAN CONTRACTING ACT CERTIFICATION

- 25.01** Each Bidder shall submit the Iran Contracting Act Certification form (Section 00400, Bid Form, Attachment H) required by the Iran Contracting Act of 2010, Public Contract Code section 2200 et seq. with its Bid.

ARTICLE 26 — SALES AND OTHER APPLICABLE TAXES, PERMITS, LICENSES AND FEES

- 26.01** Contractor and its subcontractors performing work under this Contract will be required to pay California sales tax and other applicable taxes, and to pay for permits, licenses and fees required by the agencies with authority in the jurisdiction in which the work will be located, unless otherwise expressly provided by the Contract Documents. Bidders shall include all applicable taxes and fees that are in effect or reasonably anticipated on the bid date in their bid price.

ARTICLE 27 — SHEETING, SHORING AND BRACING

- 27.01** Pursuant to the provisions of California Labor Code Section 6707, each Bid submitted shall contain, in the bid item indicated, the amount included in its Bid for adequate sheeting, shoring, and bracing, or equivalent method, for the protection of life and limb in trenches and open excavation, which shall conform to applicable safety orders. By listing this sum, the Bidder warrants that its action does not convey tort liability to the District, the Engineer, the Construction Manager, and their employees, agents, and subconsultants.

ARTICLE 28 — OFFER OF ASSIGNMENT OF ANTITRUST ACTIONS

- 28.01** As provided by Public Contract Code Section 7103.5, in submitting a Bid to the District, the Bidder offers and agrees that if the Bid is accepted, it does assign to the District all rights, title, and interest in and to all causes of action it may have under Section 4 of the Clayton Act (15 U.S.C. Section 15) or under the Cartwright Act (Chapter 2 [commencing with Section 16700] of Part 2 of Division 7 of the Business and Professions Code), arising from purchases of goods, materials, or services by the Bidder for sale to the District pursuant to the Bid. Such assignment shall be made and become effective at the time the District tenders final payment to the Bidder.

ARTICLE 29 — BIDDERS INTERESTED IN MORE THAN ONE BID

- 29.01** No person, firm, or corporation, under the same or different name, shall make, file, or be interested in more than one Bid for the same work unless alternate Bids are called for. A person, firm, or corporation may, however, submit sub-proposals or quote prices on materials to more than one Bidder.
- 29.02** Pursuant to California Public Contract Code Section 7106, Bidders shall execute and furnish with their Bids Section 00400, Bid Form, Attachment G, NON-COLLUSION DECLARATION. Reasonable grounds to believe that any individual, partnership, corporation, or combination is interested in more than one Bid for the proposed Work may cause rejection of all Bids in which that individual, partnership, corporation, or combination is interested.

ARTICLE 30 — RETURN OF BIDDER'S SECURITY

- 30.01** The Bid guarantees of the three lowest Bidders will be retained until the Agreement is signed, evidence of insurance provided, and satisfactory bonds furnished or other disposition made thereof.
- 30.02** The Bid guarantees of all Bidders except the three lowest, responsive Bids will be returned within twenty-one (21) calendar days after the Bids are opened.
- 30.03** If all Bids are rejected and no award is made, all Bid guarantees will be returned within ten (10) calendar days of the decision by the District not to award the Contract, and in any event not later than seventy (70) calendar days after the Bids are opened.

ARTICLE 31 — RELIEF OF BIDDERS

- 31.01** Attention is directed to the provisions of California Public Contract Code §5100 through §5107 that set forth the criteria and procedures for relief of Bidders, and for authorizing Contract Award to another Bidder.

ARTICLE 32 — AWARD OF CONTRACT

- 32.01** After the Bids have been opened and read, they will be checked for accuracy and compliance with the Contract Documents. If a Contract is awarded, it will be to the lowest responsible, Bidder submitting a responsive Bid. All Bids will be compared on the basis of the Engineer's estimate of the quantities of work to be done. The District reserves the right to reject an unbalanced Bid which is a Bid having nominal or artificially reduced prices for some bid items and enhanced prices for other bid items.
- 32.02** In the evaluation of any Bid, the District shall have the right to consider information provided by sources other than Bidder.
- 32.03** The criteria which will be used to determine the lowest responsible Bidder submitting a responsive Bid are as follows:
- A. Responsive Bid: Means a Bid which conforms in all material respects to the Contract Documents.
 - B. Responsible Bidder: Means a Bidder who has the qualifications, fitness, capacity and experience in all respects to perform fully the requirements under the Contract Documents and who has the integrity and reliability to assure good faith performance, including:
 - 1. Financial, material, equipment, facility, and personnel resources and expertise necessary to meet contractual requirements;
 - 2. A record of integrity;
 - 3. A record of Successful Project Completions defined as:
 - a. Completion of project on time and without liquidated damages.
 - b. Completion of project without excessive defective work issues.
 - c. Completion of project without excess or meritless claims or disputes issues;
 - 4. Qualified legally to contract with the District, and;
 - 5. Has not failed to supply any necessary information in connection with the inquiry concerning responsibility.
- 32.04** No Bidder may withdraw its Bid for the period of Days stated in Section 00400, Bid Form, after the date set for the opening thereof, and the Bid will be subject to acceptance by the District throughout this period.
- 32.05** The Contract, if awarded, will be to that responsible Bidder submitting the lowest responsive Total Base Bid Price as stated in Section 00400, Bid Form, subject to District's right to reject any or all Bids and to waive any informality or irregularity in the Bids or in the bidding procedures.

- 32.06** The time period within which Award of Contract may be made is subject to an extension of such further period as may be agreed upon in writing between the District and the Bidder.
- 32.07** Only one Contract will be awarded.
- 32.08** After Contract award, no Contract is formed until all Contract Bonds and Insurance documents have been accepted by the District and the Agreement is signed by the District; the Contractor submits the signed Contractor's Certification regarding Worker's Compensation; and the Agreement is signed by the District.

ARTICLE 33 — CONTRACT BONDS

- 33.01** The successful Bidder (hereinafter "Contractor") must pay all Contract Bond premiums, costs thereof, and incidental thereto.
- 33.02** Each Contract Bond must be signed by both Contractor and the Sureties.
- 33.03** As a condition to the District signing the Agreement, the successful Bidder must file with District on the bond forms furnished by the District, the two surety bonds in the amounts and for the purposes noted below, duly executed by a Surety company meeting the requirements of Article 21 herein.
- 33.04** The Payment Bond must be in an amount of one hundred percent (100%) of the Contract Sum as determined from the prices in the Bid Form, including the base Bid and all additive and/or deductive Bid items accepted by the District and identified in the Award, and shall inure to the benefit of persons performing labor or furnishing materials in connection with the Work. This bond must be maintained in full force and effect until all Work is completed and accepted by District, and until all claims for materials and labor have been paid.
- 33.05** The Performance Bond must be in an amount of one hundred percent (100%) of the Contract Sum as determined from the prices in the Bid Form, including the base Bid and all additive and/or deductive Bid items accepted by the District and identified in the Award, and must be so conditioned as to ensure the faithful performance by Contractor of all Work. It shall also include the replacing, or making acceptable, of any defective materials or faulty workmanship during the Guarantee period(s).
- 33.06** If any Surety or Sureties are deemed unsatisfactory at any time by District, District will notify Contractor, and Contractor must forthwith substitute a new Surety or Sureties satisfactory to District. No further payment will be deemed due or made until the replacement Sureties qualify and are accepted by District.
- 33.07** All changes to the Contract Sum, Contract Time, or Contract Documents may be made without securing the consent of the Surety or Sureties on the Contract Bonds.
- 33.08** Sureties must be California admitted Sureties.

ARTICLE 34 — INSURANCE

- 34.01** Contractor will be required to furnish to District, concurrently with execution of the Agreement, insurance documents evidencing coverage as required by Section 00700, Insurance and Indemnification.

ARTICLE 35 — EXECUTION OF CONTRACT AGREEMENT

- 35.01** The form of Agreement which the successful Bidder, as Contractor, must execute, and the form of Contract Bonds and Insurance coverage that it must provide are included in the Bid Documents and must be carefully examined by each Bidder. All Contract Bonds, policies or certificates of insurance, and Insurance policy endorsements must be delivered with or before the delivery of the signed Agreement form, and must be acceptable to District.
- 35.02** The Bidder to whom the Contract is awarded by District must, within fifteen (15) calendar Days after Bidder's receipt of Section 00500, Notice of Award, sign and deliver the following documents to the District Engineer at Sausalito-Marin City Sanitary District located at 1 East Road, Sausalito, California 94965:

- A. One (1) original and one (1) copy of the signed Agreement form furnished by District. See Section 00510, Agreement; and
 - B. One (1) original and two (2) copies of the Faithful Performance Bond. See Section 00540, Performance Bond"; and
 - C. One (1) original and two (2) copies of the Payment Bond. See Section 00550, Payment Bond Form; and
 - D. Policies of Insurance, Insurance certificates and endorsements as required by the Contract Documents; and
 - E. Worker's Compensation Certification. See Section 00560, Contractor's Worker's Compensation Certification.
- 35.03** Should Contractor begin Work in advance of the start date for the Work, as stated in the Notice to Proceed, the Work will be considered as having been done at Contractor's risk as a volunteer.

ARTICLE 36 — FAILURE TO EXECUTE CONTRACT AGREEMENT

- 36.01** Failure of the successful Bidder to execute the Agreement in the form satisfactory to District is just cause for the annulment of the Award and the forfeiture of the Bidder's Security.
- 36.02** Failure of the successful Bidder to sign and return the Agreement within fifteen (15) calendar Days after notification of Award by the District constitutes failure to execute the Agreement.
- 36.03** Failure to return required Contract Bonds and insurance documents within fifteen (15) calendar Days after notification of Award by the District constitutes failure to execute the Agreement.
- 36.04** The failure to execute the Agreement or to furnish the bonds or evidences of insurance required by these Instructions to Bidders within fifteen (15) calendar days after receiving written notice of the Award of the Contract constitutes default. In case of default, the District may, at its sole discretion, award the Contract to the next lowest responsive and responsible Bidder or may re- advertise the project for new Bids. If a more favorable Bid is received by re-advertising, the defaulting Bidder agrees that it shall have no claim against the District including for a refund, should a more favorable Bid be received via re-advertising.
- 36.05** If a Bidder to whom an award is made fails or refuses for any reason to execute the Contract or fails to furnish any or all of the required insurance or Contract Bonds in proper form, within the time stated, it is agreed and stipulated between District and the Bidder to whom any award is made that damage has been and will be sustained by the District. It is further agreed by the District and any and all Bidders that it will be impractical and extremely difficult to fully ascertain and determine the actual damage that the District will sustain by such delay and failure to provide timely or in full the required documentation and to properly and fully execute the Contract Documents. All parties who submit a Bid under the Notice Inviting Bids are hereby advised and, by submitted Bids agree, that the damages suffered by the District by such delay under these circumstances is difficult to precisely ascertain, and further are advised and agree that in the event that the provisions of this paragraph are triggered, the amount of the Bidder's bond or check is agreed to as the liquidated damages payable by such Bidder(s). This Bidder's bond or check will be collected and held by the District as the sole property of the District for full compensation for the damages suffered by the District as a result of the Bidder's failure to timely and completely execute the Agreement and furnish the bonds and evidences of insurance as required.

ARTICLE 37 — NO ORAL AGREEMENTS

- 37.01** No conversation with any officer, employee, agent or Consultant of District, either before, during, or after the execution of the Agreement, affects or modifies any terms or obligations contained in the Contract Documents, nor entitle Contractor to any adjustment in the Contract Time or Contract Sum whatsoever.

ARTICLE 38 — BID PROTEST

38.01 The lack of a prompt procedure to resolve disputes regarding the bidding process would impair the District's ability to carry out its purpose of constructing this Project in a timely manner. Therefore, to the maximum extent authorized by law and notwithstanding any other procedures specified in documents referenced herein, all disputes and/or protests regarding the bidding process shall be subject to the following procedures. In submitting a Bid to the District for this Project, the Bidder agrees to comply with and to be bound by the following Bid protest procedures

38.02 Pre-Bid

- A. If any potential Bidder believes that any part of the form or content of the Contract Documents, including, without limitation, the Bidder experience and qualification requirements, is vague, ambiguous, gives an unfair advantage or unfairly limits competition, such Bidder must give notice and protest on such grounds to the District Representative as soon as practical, but in no event later than ten (10) working days before the original noticed date for receiving Bids. The District shall, within five (5) working days upon receiving any such protest(s), review the same and publish a written response thereto.
- B. Any pre-bid protest shall include:
 - 1. The protest document shall contain a complete and detailed statement of the factual and/or legal basis for the protest and, with respect to any authority relied upon, a copy of said authorities shall be provided.
 - 2. The protest shall identify the specific portion(s) of the Bidding or Contract Documents that form the basis for the protest.
 - 3. The protest shall include the name, address, telephone number, fax number and email address of the protestant, and if applicable, the person representing the protesting party.
- C. The procedure and time limits set forth in these Bid protest procedures are mandatory and are the Bidder's sole and exclusive remedy in the event of a pre-bid protest related to the form or content of the Contract Documents. Failure to comply with these procedures shall constitute a waiver of any right to further pursue the protest, including, without limitation, filing a Bid or award protest on the grounds applicable to a pre-bid protest, filing a Government Code claim or filing legal proceedings.

38.03 Bid of Award Protest

- A. Any protest relating to any particular Bid opened by the District or the award of the Contract must be submitted in writing to the District Representative before 5:00 p.m. on the Fifth (5th) working day after the District has received and opened Bids.
- B. The initial protest document must contain a complete statement of the basis for the protest, and all supporting documentation. The protest must state the facts and refer to the specific portion(s) of the document that forms the basis for the protest.
- C. The party filing the protest must have actually submitted a Bid for the Work. A subcontractor of a party submitting a Bid for the Work may not submit a Bid protest. A party may not rely on the Bid protest submitted by another Bidder, but must timely pursue its own protest.
- D. The protest must include the name, address, telephone number, fax number and email address of the person representing the protesting party.
- E. The party filing the protest must concurrently transmit a copy of the initial protest document and any attached documentation to all other parties with a direct financial interest which may be adversely affected by the outcome of the protest. Such parties shall include all other Bidders who appear to have a reasonable prospect of receiving an award depending upon the outcome of the protest, and delivery to all such parties must be in a manner to ensure their receipt on the same day the protest is filed.

- F. The District will give the protested Bidder five (5) working days from the date the protest is filed and served to submit a written response. The responding Bidder shall transmit the response to the protesting Bidder concurrent with delivery to the District.
- G. The procedure and time limits set forth in this paragraph are mandatory and are the Bidder's sole and exclusive remedy in the event of a Bid or award protest. The Bidder's failure to comply with these procedures shall constitute a waiver of any right to further pursue the Bid or award protest, including filing a Government Code Claim or legal proceedings.
- H. The District will review all timely protests prior to award of the Contract. The District will issue a prompt decision on the protest. The District will not be required to hold an administrative hearing to consider any protests, but may do so at its option. At the time of the District Board's consideration of the Project Contract award, the District Board of Directors will also consider the merits of any timely protests in its determination of the lowest responsible responsive Bidder. Nothing in these procedures for protesting a particular Bid or award of the Contract will be construed as a waiver of the District's right to reject all Bids.

ARTICLE 39 — ESCROW BID DOCUMENTS

PROGRAM

- 39.01** The lowest monetary Bidder shall submit, within three (3) business days after receipt of Bids, one copy of all documentary information generated in preparation of Bid prices for this Project. This material is hereinafter referred to as "Escrow Bid Documents." The Escrow Bid Documents of the Successful Bidder will be held in escrow for the duration of the Contract. The District may request Escrow Bid Documents of another Bidder should the determination of lowest responsive monetary Bidder change. Should another Bidder receive such a request, they will have five (5) business days after receipt of the request to furnish all Escrow Bid Document information to the District as specified in this Article 39.
- 39.02** The Successful Bidder agrees, as a condition of award of the contract, that the Escrow Bid Documents constitute the complete, only, and all documentary information used in preparation of its Bid. No other Bid preparation information shall be able to be furnished in support of any claims or request for compensation, and said documents may be accessed by the District, and used, in addressing any and all requests for additional compensation considered in resolving disputes.
- 39.03** Nothing in the Escrow Bid Documents shall change or modify the terms or conditions of the Contract Documents.

OWNERSHIP

- 39.04** The Escrow Bid Documents are, and shall always remain, the property of Contractor, subject only to joint review by District and Contractor, as provided herein. The District stipulates and expressly acknowledges that the Escrow Bid Documents, as defined herein, may constitute trade secrets, corporate financial information, or be otherwise proprietary and/or privileged. This acknowledgment is based on District's express understanding that the information contained in the Escrow Bid Documents is not known outside the Bidder's business, is known only to a limited extent and only by a limited number of employees of the Bidder, is safeguarded while in Bidder's possession, is extremely valuable to Bidder, and could be extremely valuable to Bidder's competitors by virtue of it reflecting Bidder's contemplated techniques of construction. District acknowledges that the Bidder may have expended substantial sums of money in developing the information included in the Escrow Bid Documents and further acknowledges that it would be difficult for a competitor to replicate the information contained therein. The District further acknowledges that the Escrow Bid Documents and the information contained therein are made available to District only because such action is an express prerequisite to award of the contract. The District further acknowledges that the Escrow Bid Documents include a compilation of information used in the Bidder's business, intended to give the Bidder an opportunity to obtain an advantage over competitors who do not know of or use the contents of the documentation.
- 39.05** The District agrees to safeguard the Escrow Bid Documents, and all information contained therein, against disclosure to the fullest extent permitted by law.

PURPOSE

- 39.06** Escrow Bid Documents will be used to assist in the negotiation of price adjustments and Change Orders and in the settlement of disputes, claims, and other controversies. Escrow Bid Documents may be used by the District prior to award of Contract to confirm Bidder has met the Contractor's self-performance of the Work percentage found in the Supplementary General Conditions, Paragraph 1.5, Subcontractors. Escrow Bid Documents will not be used for pre- award evaluation of Contractor's anticipated methods of construction or to assess Contractor's qualifications for performing the Work.

FORMAT AND CONTENTS

- 39.07** Bidders may submit Escrow Bid Documents in their usual cost estimating format. It is not the intention of this Section to cause the Bidder extra work during the preparation of the Bid, but to ensure that the Escrow Bid Documents will be adequate to enable complete understanding and proper interpretation for their intended use. The Escrow Bid Documents shall be in the language of the Specifications. It is required that the Escrow Bid Documents clearly itemize the estimated costs of performing the work of each Bid item contained in the Bid schedule. Bid items should be separated into sub items as required to present a complete and detailed cost estimate and allow a detailed cost review.
- 39.08** The Escrow Bid Documents shall include all quantity takeoffs; crew; equipment; calculations of rates of production and progress; copies of quotations from equipment manufacturers, Subcontractors, and Suppliers; and memoranda, narratives, consultants' reports, add/deduct sheets, and all other information used by the Bidder to arrive at the prices contained in the Bid Form.
- 39.09** Estimated costs should be broken down into the Bidder's usual estimate categories, such as direct labor, repair labor, equipment operation, equipment ownership, expendable materials, permanent materials, and subcontract costs as appropriate. Plant and equipment and indirect costs should be detailed in the Bidder's usual format. Contractor's allocation of plant and equipment, indirect costs, contingencies, markup, and other items to each Bid item shall be included.
- 39.10** All costs shall be identified. For Bid items amounting to less than \$10,000, estimated unit costs are acceptable without a detailed cost estimate, provided that labor, equipment, materials, and subcontracts, as applicable, are included, and provided that indirect costs, contingencies, and markup, as applicable, are allocated. Contract Documents provided by the District should not be included in the Escrow Bid Documents unless needed to comply with the requirements of this Section.

SUBMITTAL

- 39.11** The Escrow Bid Documents shall be submitted in a sealed container no later than three (3) business days after receipt of Bids. The container shall be clearly marked on the outside with the Bidder's name, date of submittal, project name, and the words "Escrow Bid Documents". The Escrow Bid Documents shall be accompanied with the certification found in Section 00400, Bid Form, Attachment J, BID DOCUMENT CERTIFICATION, signed by an individual authorized by the Bidder to execute the Bid Form, stating that the material in the Escrow Documentation constitutes the complete, only, and all documentary information used in preparation of the Bid and that he has personally examined the contents of the Escrow Bid Documents container and has found that the documents in the container are complete. Prior to Award, Escrow Bid Documents of the apparent Successful Bidder will be unsealed, examined, organized, and inventoried by representatives of District, together with members of Contractor's staff who are knowledgeable in how the Bid was prepared. This examination is to ensure that the Escrow Bid Documents are authentic, legible, and complete. It will not include review of, and will not constitute approval of, proposed construction methods, estimating assumptions, or interpretations of Contract Documents. This examination is subject to the condition that the Escrow Bid Documents may constitute trade secrets, corporate financial information, or be otherwise proprietary and/or privileged as described in the Paragraph entitled, "Ownership", and shall be treated accordingly. Examination will not alter any condition(s) or term(s) of the Contract.
- 39.12** If all the documentation required in the Paragraph entitled "Format and Contents," has not been included in the original submittal, additional documentation shall be submitted, at District's discretion, prior to award of the contract. The detailed breakdown of estimated costs shall be reconciled and revised, if appropriate, by agreement between Contractor and District before making the Award.

- 39.13** If the Contract is not awarded to the apparent Successful Bidder, the Escrow Bid Documents of the Bidder next to be considered for Award shall be processed as described above.
- 39.14** Timely submission of complete Escrow Bid Documents is an essential element of the Bidder's responsibility and a prerequisite to Contract Award. Failure to provide the necessary Escrow Bid Documents will be sufficient cause for District to reject the Bid.
- 39.15** If the Bidder's Bid is based on subcontracting any part of the Work, each Subcontractor whose total subcontract price exceeds five percent (5%) of the total Contract Price proposed by the Bidder shall provide separate Escrow Bid Documents to be included with those of the Bidder. These documents will be opened and examined in the same manner and at the same time as the examination described above for the apparent Successful Bidder.
- 39.16** If Contractor subcontracts any portion of the Work after award, the District retains the right to require Contractor to submit Escrow Bid Documents from the Subcontractor before the subcontract is approved.

END OF SECTION

SECTION 00210

ACCESS, INDEMNITY AND RELEASE AGREEMENT

POTENTIAL BIDDER: _____

OWNER: Sausalito-Marín City Sanitary District

SITE: _____

PROJECT: _____

In consideration of the Sausalito-Marín City Sanitary District ("District"), permitting the undersigned potential bidder ("Bidder") to have access to and to conduct investigations, tests and/or inspections on, the Site, the Bidder hereby agrees as follows:

- A. To the greatest extent permitted by law, Bidder hereby releases, and shall defend, indemnify and hold harmless District, and their respective officers, employees, consultants, representatives, and agents, and all other parties having any other interest in the Site, against any claim or liability, including attorney's fees, arising from or relating to any Site- related access, investigation, test, inspection and/or other activity conducted by Bidder or any of Bidder's officers, employees, consultants, representatives, and/or agents, regardless of whether claim or liability is caused in part by the negligence of the District or by any released and indemnified party.
- B. Bidder hereby waives the provisions of California Civil Code Section 1542 which provides as follows:
 - 1. A general release does not extend to claims which the creditor does not know or suspect to exist in his favor at the time of executing the release, which if known by him, must have materially affected his settlement with the debtor.
- C. Bidder shall, prior to disturbing the existing site and/or adjacent property, provide written notice of its intent and action plan, obtain the consent of the District, and fully execute this Access, Indemnity and Release Agreement. Bidder shall repair any damage to the Site or adjacent property resulting from activities authorized hereunder, and comply with and be subject to all other requirements and obligations described or referenced in Contract Documents.
- D. Attached hereto (or to be delivered separately to the District before Bidder's visit to the Site) is a certificate for comprehensive general liability and auto liability insurance and workers compensation insurance satisfying the requirements of Section 00700- Article 13, Insurance and Indemnification.
- E. Although this Access, Indemnity and Release Agreement is not a Contract Document, it shall be fully effective and binding regardless of whether Bidder submits a Bid for the subject Project, is awarded a contract for the Project, or otherwise.

Name of Bidder: _____

Signed By: _____

Name: _____

Title: _____

Note: If a Corporation, this Indemnity Agreement must be signed by either the Corporation's Chairman, President or Vice-President.

Address: _____

Telephone: _____

California Contractors License #: _____

END OF SECTION

SECTION 00300
SUPPLEMENTAL PROJECT INFORMATION AVAILABLE TO BIDDERS

ARTICLE 1 — PURPOSE OF SUPPLEMENTAL PROJECT INFORMATION

1.01 GENERAL

- A. The information referenced in this Section 00300 can be provided by the District electronically free of charge. Where files are too large to send via e-mail they can be provided on a flash drive provided by the Contractor.
- B. The information is furnished as reference material and is not part of the Contract Documents.
- C. The information is to be used by Bidders "For Information Only".

1.02 DISTRICT'S DISCLAIMER

- A. The District assumes no responsibility for completeness or accuracy of survey investigations, records compiled therefrom, or interpretation(s) contained in the subsequent report(s).
- B. Survey investigations were performed for purposes of study and design, and there is no expressed or implied warranty that conditions indicated in the report(s) are representative of those existing throughout the Project, structures, or site.
- C. Conditions differing substantially from those indicated in the information referenced in Section 00300, Supplemental Project Information Available to Bidders, may be encountered.

ARTICLE 2 — SUPPLEMENTAL PROJECT INFORMATION AVAILABLE TO BIDDERS

2.01 Not Used

2.02 EXISTING RECORD DOCUMENTS

- A. Previous construction activities have occurred at portions of the Site. The following record drawings have been used by the Engineer in preparing the Contract Documents and are available for review during regular business hours at the District offices, upon 48 hours' notice to District. The District makes no warranty as to the accuracy of this information:
 - 1. "Beach Force Main Replacement," dated 3-5-1977. (Main Street Pump Station)
 - 2. "Wastewater Plant Improvements," dated 1-29-1981.
 - 3. "Modifications of Princess Street Pump Station," dated January 1969.

END OF SECTION

Name of Bidder: _____

SECTION 00400

BID FORM

**SAUSALITO-MARIN CITY SANITARY DISTRICT
MARIN COUNTY, CALIFORNIA**

Project Title: GENERATOR RELIABILITY IMPROVEMENTS PROJECT

THIS BID IS SUBMITTED BY:

Name of Bidder: _____

SUBMIT BID TO:

**Kevin Rahman, District Engineer
Sausalito-Marin City Sanitary District,
1 East Road
Sausalito, California 94965**

**NO LATER THAN: 2:00 P.M.
DATE OF BID: December 1, 2020**

BID OPENING:

**District's Main Office parking lot
Sausalito-Marin City Sanitary District,
1 East Road
Sausalito, California 94965**

**Right after 2:00 P.M.,
December 1, 2020**

TO: Honorable Board of Directors

BID IS SUBMITTED ON: _____, 2020 (Month/Day)

IMPORTANT NOTE:

All prices and bids must be in ink or typewritten. No pencil figures or erasures are permitted. Mistakes may be crossed out and corrections inserted adjacent thereto and must be initialed in ink by the person submitting the Bid. Changes in or additions to the Bid Form, recapitulations of the work bid upon, alternative bids, or any other modifications of the Bid Form which are not specifically called for in the Bid Form, will result in rejection of the bid by the District. The District will treat all such bids as not being responsive to the Invitation for Bids. The District will not consider any oral or telephonic or email modification of any bid submitted.

Proposed prices shall be for the total net price including all applicable taxes and charges (unless otherwise specified), delivered F.O.B., Project site. Information must be furnished complete in compliance with the Contract Documents. The information requested and the manner of submission are essential to permit prompt evaluation of all Bids on a fair and uniform basis. Accordingly, the District reserves the right to declare as non-responsive, and reject any Bid in which material information requested is not furnished or where indirect or incomplete answers or information is provided.

TABLE OF CONTENTS

SECTION	PAGE
I BIDDER REPRESENTATIONS	3
II ADDENDA.....	4
III BID SCHEDULE	4
IV COMPLETION	6
V BID GUARANTY	6
VI NON-COLLUSION DECLARATION.....	6
VII SUBCONTRACTOR LISTING	7
VIII ATTACHMENTS	7
IX BIDDER'S CALIFORNIA CONTRACTOR'S LICENSE INFORMATION.....	8
Attachment A	15
Certification of Bidder's Experience and Qualifications.....	15
Attachment B	25
Certification of Electrical Subcontractor's Experience and Qualifications	25
Attachment C	34
PUBLIC WORKS CONTRACTOR REGISTRATION CERTIFICATION	34
Attachment D	35
Designation of Subcontractors	35
Attachment E	37
Schedule of Major Equipment and Material Suppliers	37
Attachment F	40
Bid Bond	40
Attachment G Non-Collusion Declaration	42
Attachment H	44
Iran Contracting Act Certification	44
Attachment I	46
Attachment J	48
Bid Document Certification	48

Name of Bidder: _____

I BIDDER REPRESENTATIONS

The undersigned Bidder proposes and agrees, if this Bid is accepted, to enter into an agreement with District in the form included in the Contract Documents to perform all Work as specified or indicated in the Contract Documents within the specified time and for the price indicated in this Bid and in accordance with the other terms and conditions of the Contract Documents.

The undersigned Bidder accepts all of the terms and conditions of the Invitation to Bid and Instructions to Bidders, including without limitation, those dealing with the disposition of Bid security. The Bid will remain subject to acceptance for ninety (90) days after the Bid opening, or for such longer period of time that Bidder may agree to in writing upon request of District.

As provided by Section 4552, et. Seq., of the California Government Code, in submitting a Bid to the District, the Bidder offers and agrees that if the Bid is accepted, it will assign to the District all rights, title, and interest in and to all causes of action it may have under Section 4 of the Clayton Act (15 U.S.C. Section 15) or under the Cartwright Act (Chapter 2 (Chapter 2 [commencing with Section 16700] of Part 2 of Division 7 of the Business and Professions Code), arising from purchases of goods, materials, or services by the Bidder for sale to the District pursuant to the bid. Such assignment shall be made and become effective at the time the District tenders final payment to the Bidder.

In submitting this Bid, the undersigned Bidder represents that:

Bidder has watched City's entire pre-bid video posted electronically on <http://www.smcsd.net>.

Bidder has visited the site and become familiar with and satisfied itself as to the general, local, and site conditions that may affect cost, progress, and performance of the Work.

Date of mandatory pre-bid site visit	Name of representative who visited all project sites	Signature of Bidder

Bidder is familiar with and has satisfied itself as to all federal, state, and local laws and Regulations and Permits that may affect cost, progress, and performance of the Work.

Bidder has carefully studied all reports of explorations and tests of subsurface conditions at or contiguous to the Site and all drawings of physical conditions in or relating to existing surface or subsurface structures at or contiguous to the Site which have been identified in the Contract Documents.

Bidder has obtained and carefully studied (or assumes responsibility for having done so) all additional or supplementary examinations, investigations, explorations, tests, studies and data concerning conditions (surface, subsurface and underground facilities) at or contiguous to the Site which may affect cost, progress, or performance of the Work or which relate to any aspect of the means, methods, techniques, sequences, and procedures of construction to be employed by Bidder, including applying the specific means, methods, techniques, sequences, and procedures

Name of Bidder: _____

of construction expressly required by the Contract Documents to be employed by Bidder, and safety precautions and programs incident thereto.

Bidder does not consider that any further examinations, investigations, explorations, tests, studies, or data are necessary for the determination of this Bid for performance of the Work at the price(s) bid and within the times and in accordance with the other terms and conditions of the Contract Documents.

Bidder is aware of the general nature of work to be performed by District and others at the Site that relates to the Work as indicated in the Contract Documents.

Bidder has correlated the information known to Bidder, information and observations obtained from visits to the Site, reports and drawings identified in the Contract Documents, and all additional examinations, investigations, explorations, tests, studies, and data with the Contract Documents.

As to the nature and scope of the Work, Bidder has given the District written notice of all conflicts, errors, ambiguities, or discrepancies that Bidder has discovered in the Contract Documents, and the written resolution thereof by the District, if made, is acceptable to Bidder, and where said conflicts, etc., have not been resolved through the interpretations or clarifications by the District because of insufficient time or otherwise, Bidder has included in the Bid the greater quantity or better quality of Work, or compliance with the more stringent requirement resulting in a greater cost.

The Contract Documents are generally sufficient to indicate and convey understanding of all terms and conditions for the performance of the Work for which this Bid is submitted.

II ADDENDA

Bidder has purchased a complete set of Contract Documents and carefully examined and studied the Contract Documents, all related information available to Bidders as defined in the Contract Documents, and the following Addenda, receipt of all of which is hereby acknowledged. A Bid may be deemed non-responsive if all Addenda issued by Owner are not listed.

Addendum Number	Addendum Date	Signature of Bidder

III BID SCHEDULE

Pursuant to your published Invitation for Bids for the above-referenced project, and in accordance with the approved Plans and Specifications for that project, the following Bid for said entire project is submitted by the firm indicated on this Bid Form.

Name of Bidder: _____

The undersigned Bidder proposes and agrees to contract with the District to perform all of the above work, including subsidiary obligations as defined in the Contract Documents for the prices indicated in the BID SCHEDULE below.

Pursuant to the provisions of the California Labor Code Section 6707, each bid submitted shall contain, in the bid, the amount included for adequate sheeting, shoring, and bracing, or equivalent method, for the protection of life and limb in trenches and open excavation, which shall conform to applicable safety orders. By listing this sum in base bid item 2 below, the Bidder warrants that its action does not convey tort liability to the Owner, the Engineer, the Construction Manager, and other employees, agents, and subconsultants.

Further in submitting its Bid, the undersigned Bidder understands and agrees that that the Total Bid Price are each determined by the sum total of all respective bid item amounts in the applicable Bid Schedule shown below. In the event the addition of the bid item extended amounts does not equal the Total Bid Price the corrected addition of all bid item extended amounts will govern and the Owner will correct the respective total(s) accordingly. In case of discrepancy between words and figures, words will prevail.

Bid Items are further described in Section 01200, Measurement and Payment

BID SCHEDULE

Bid Items				
Item	Description	Approx. quantity	Unit	Total bid item price, dollars
1	Mobilization/Demobilization including SWPPP (not to exceed 6% of Total Bid Price)	1 Job	L.S.	
2	All work required for the generator replacement at Marin City Pump Station	1 Job	L.S.	
3	All work required for the generator replacement at Gate 5 Rd. Pump Station	1 Job	L.S.	
4	All work required for the generator replacement at Locust St. Pump Station	1 Job	L.S.	
5	All work required for installation of a new generator and ATS at Anchor St. Pump Station	1 Job	L.S.	
6	All work required for installation of a new generator and ATS at Spinnaker Rd. Pump Station	1 Job	L.S.	
7	All work required for the generator and ATS replacement, underground fuel tank removal and installation of an above ground fuel tank at Main St. Pump Station	1 Job	L.S.	
8	All work required for the generator replacement at the Treatment Plant	1 Job	L.S.	

Name of Bidder: _____

Bid Items				
Item	Description	Approx. quantity	Unit	Total bid item price, dollars
9	All work required for the control panel replacement at Princess Pump Station	1 Job	L.S.	
10	All work required for furnishing and delivery of a new portable generator and accessories to the District	1 Job	L.S.	
11	All other Work as required to complete the project in accordance with the Contract Documents, with the exception of work included under bid items 1 thru 10.	1 Job	L.S.	

TOTAL BID PRICE (Items 1 through 11) \$ _____

TOTAL BID PRICE (in words) _____

Bid prices shall include everything necessary for the completion of the work stipulated in the Contract Documents, including but not limited to providing the materials, equipment, tools, plant and other facilities, and the management, superintendence, labor and services. Bid prices shall include all federal, state and local taxes.

The lowest bid will be based on the Total Bid Price. Bid prices shall include everything necessary for the completion of the work stipulated in the Contract Documents, including but not limited to providing the materials, equipment, tools, plant and other facilities, and the management, superintendence, labor and services. Bid prices shall include all federal, state and local taxes.

IV COMPLETION

In accordance with the Specifications, the undersigned Bidder agrees to plan the Work and to prosecute it with such diligence that said Work shall be commenced within ten (10) days after the date of Notice to Proceed and shall be completed within the contract completion times specified in the Agreement. Furthermore, the undersigned Bidder accepts the liquidated damages specified in the Agreement in the event of failure to complete the Work within the specified times.

V BID GUARANTY

Bid security must be a Bidders Bond, a certified check or cashiers check payable to the Sausalito-Marin City Sanitary District, or cash. Bids secured by personal checks or personal guarantees will be rejected. Bid security must be in an amount not less than ten percent (10%) of the Total Bid Price.

VI NON-COLLUSION DECLARATION

The Bidder swears, deposes and says that he, she or it, as the party making the foregoing Bid, that the Bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the Bid is genuine and not collusive or sham; that the

Name of Bidder: _____

Bidder has not directly or indirectly induced or solicited any other Bidder to put in a false or sham Bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any Bidder or anyone else to put in a sham Bid or to refrain from bidding; that the Bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the Bidder or any other Bidder, or to fix any overhead, profit, or cost element of the bid price, or that of any other Bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the Bid are true; and, further, that the Bidder has not, directly or indirectly submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agency thereof to effectuate a collusive or sham Bid.

Any person executing this affidavit on behalf of the Bidder that is a corporation, partnership, joint venture, limited liability company, limited liability partnership, or any other entity, hereby represents that he or she has full power to executed, and does execute, this affidavit on behalf of the Bidder.

VII SUBCONTRACTOR LISTING

In accordance with the California Public Contract Code, Division 2, Part 1, Chapter 4, Section 4100, and following, the subcontractors listed on the Bid Form attachment will perform the indicated work of improvement on the project.

VIII ATTACHMENTS

The Bidder shall complete all parts of, and submit with its Bid, the following attachments:

- A. Certification of Bidder's Experience and Qualifications.
- B. Public Works Contractor Registration Certification
- C. Designation of Subcontractors
- D. Schedule of Major Equipment and Material Suppliers
- E. Bid Bond
- F. Non-Collusion Declaration
- G. Iran Contracting Act Certification
- H. Debarment Certification
- I. Section 00100- CONFIRMATION OF MANDATORY SITE VISIT

In addition, the following attachment requires submittal within three (3) business days following the opening of Bids:

- A. Item C – Bidder's Financial Information marked "Confidential"
- B. Certification of Electrical Subcontractor's (or Prime if Prime is performing Electrical scope of Work) Experience and Qualifications
- C. Bid Document Certification

Name of Bidder: _____

IX BIDDER'S CALIFORNIA CONTRACTOR'S LICENSE INFORMATION

The undersigned Bidder is licensed in accordance with Chapter 9, Division 3 of California Business and Professions Code and Section 3300 of the California Public Contract Code, and the laws of the State of California

Name of Bidder: _____

Bidder's Valid California Contractor's License No. _____

Classification(s) _____

Expiration Date: _____

Bidder has contracted under this license number for _____ Years

X BIDDER'S CALIFORNIA PUBLIC WORKS PROJECT REGISTRATION INFORMATION

The undersigned Bidder is registered with the Department of Industrial Relations pursuant to Labor Code Section 1725.5.

Bidder's Valid Public Works Registration No. _____

Registration Date: _____

Expiration Date: _____

The undersigned hereby swears and certifies under the penalty of perjury that all representations made herein are true.

Name of Bidder: _____

If Bidder is:

An Individual

Name (typed or printed): _____

By: _____
(Individual's signature)

Doing business as: _____

Business Address: _____

Phone Number: () _____ FAX Number: () _____

Email Address of Authorized Representative: _____

A Partnership

Partnership Name (typed or printed): _____

By: _____
(Signature of general partner- attach evidence of authority to sign)

Name (typed or printed): _____

Business Address: _____

Phone Number: () _____ FAX Number: () _____

Email Address of Authorized Representative: _____

Name of Bidder: _____

A Corporation

Corporation Name (typed or printed): _____

State of Incorporation: _____

By: _____ (SEAL)
(Signature - attach evidence of authority to sign)

Name (typed or printed): _____

Title: _____

(CORPORATE SEAL)

Attest: _____
(Signature of Corporate Secretary)

Name (typed or printed): _____

Date of Qualification to do business is _____

Business Address: _____

Phone Number: () _____ FAX Number: () _____

Email Address of Authorized Representative: _____

Name of Bidder: _____

A Joint Venture

Joint Venture Name (typed or printed): _____

By: _____
(Signature of Joint Venture Partner - attach evidence of authority to sign)

Name (typed or printed): _____

Name (typed or printed): _____

Title: _____

Business Address: _____

Phone Number: () _____ FAX Number: () _____

Email Address of Authorized Representative: _____

Joint Venture Name (typed or printed): _____

By: _____
(Signature of Joint Venture Partner - attach evidence of authority to sign)

Name (typed or printed): _____

Title: _____

Business Address: _____

Phone Number: () _____ FAX Number: () _____

Email Address of Authorized Representative: _____

Each joint venturer must sign. Add additional Joint Venture names and information below if needed. The manner of signing for each individual, partnership, and corporation that is a party to the joint venture should be in the manner indicated above.

Name of Bidder: _____

A Limited Liability Company

Limited Liability Company Name (typed or printed): _____

By: _____
(Signature of Managing Partner or Officer - attach evidence of authority to bind the LLC under the LLC's articles of organization)

Name (typed or printed): _____

Title: _____

Business Address: _____

Phone Number: () _____ FAX Number: () _____

Email Address of Authorized Representative: _____

Name of Bidder: _____

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ATTACHMENT A

CERTIFICATION OF BIDDER'S EXPERIENCE AND QUALIFICATIONS
(To Be Submitted with Bid)

PROJECT: _____

The undersigned Bidder represents that it is duly licensed, competent, and knowledgeable and has the special skills on the nature, extent and inherent conditions of the work to be performed on this project. Bidder further acknowledges that the conditions inherent in the construction of particular facilities may create, during construction, unusual or unsafe conditions hazardous to persons and property. Bidder expressly acknowledges that it is aware of such risks and that it has the skill and experience to foresee and to adopt and implement protective measures to adequately and safely perform the construction work with respect to such hazards. The prospective Bidder's qualifications and responses to the questions set forth below are part of the District's evaluation of the Bidder's eligibility to receive the award based on the Bidder's responsibility and responsiveness. The Owner has determined that only Bidders meeting the mandatory minimum experience and qualification requirements set forth below will have the requisite quality, fitness, capacity and experience to perform the highly complex and vital construction work on this Project.

If the Bidder is a Joint Venture of two or more companies, each participant in the Joint Venture shall separately complete this ATTACHMENT A, **CERTIFICATION OF BIDDER'S EXPERIENCE AND QUALIFICATIONS**, and each participant shall meet the mandatory prior company project experience requirement and provide project information for each Joint Venture participant in the format found below. The Joint Venture's named Project Manager information should be the same for each participant's certification. Each Joint Venture participant shall also provide the required financial information found in Item C below.

A. QUESTIONS REGARDING BIDDER'S RESPONSIBILITY AND FITNESS TO CONTRACT FOR THE WORK.

If the Bidder answers "Yes" to any of questions 1 through 9 below, the Bidder must provide on a separate sheet a complete, detailed explanation of all of the facts and circumstances concerning the reasons for answering "Yes", and shall provide written documentation supporting its response.

1. Has your company's contractor's license been revoked at any time in the last five years?
 Yes No

2. Within the last five years, has a surety company completed a contract on your company's behalf, or paid for the completion of a contract to which your company was a party, because your company was considered to be in default or was terminated with cause by the project owner?
 Yes No

3. At the time of submitting this minimum qualification questionnaire, is your company ineligible to bid on or be awarded a public works contract, or perform as a subcontractor on a public works contract, pursuant to either California Labor Code Section 1777.1 or Labor Code Section 1777.7?
 Yes No

Name of Bidder: _____

4. At any time in the last five years, has your company, or any of its officers or partners been convicted of a crime involving the awarding of a contract for a government construction project, or the bidding or performance of any federal, state or local government contract?

Yes No

5. Has your company or any of its owners, officers or partners ever been found liable in a civil suit for making any false claim or material misrepresentation to any federal, state or local public agency or entity?

Yes No

6. In the last five years, has your company been denied an award of a public works contract based on a finding by any federal, state or local public agency that your company was not a responsible Bidder

Yes No

7. In the last three years, has your company been debarred from bidding on, or completing, any government agency or public works construction contract for any reason?

NOTE: If there is a pending administrative or court action challenging a debarment, you need not include that debarment in responding to this question.

Yes No

8. As a result of your company's actions or inactions, has the federal EPA, Region IX, or California Air Quality Management or Air Pollution Control District, or Regional Water Quality Control Board, or State Water Resources Control Board successfully and finally assessed penalties either against your company, or against an owner for violations occurring on a project on which your company was the contractor, three or more times in the past three years?

NOTE: If there is a pending administrative or court action appealing a penalty assessment, you need not include that penalty assessment in responding to this question.

Yes No

9. As a result of your company's actions or inactions, has the federal EPA, Region IX, or California Air Quality Management or Air Pollution Control District, or Regional Water Quality Control Board, or State Water Resources Control Board successfully and finally assessed a single penalty either against your company, or against an owner for a violation occurring on a project on which your company was the contractor, for a penalty amount over \$100,000 in the last three years?

NOTE: If there is a pending administrative or court action appealing a penalty assessment, you need not include that penalty assessment in responding to this question.

Yes No

10. At any time in the last five years, has your company, or any of its officers or partners submitted a formal claim against the District.

Yes No

B. MANDATORY MINIMUM EXPERIENCE REQUIREMENTS

The Bidder has been engaged in the contracting business, under the present business name for _____ years and has experience in work of a nature similar to this project which extends

Name of Bidder: _____

over a period of _____ years (Bidder must show at least eight (8) years of related experience).
Work of similar nature is described below.

The Bidder, as a Contractor, has never failed to satisfactorily complete a contract awarded to him,
except as follows: N/A Yes, if yes, explain on a separate, signed sheet:

For the District to consider the Bidder a responsible Bidder for this specific procurement, given
the complexity and importance of the timely and successful completion of the Project to further
interests of the District and its residents, the Bidder must provide all information required
below demonstrating that it has performed at least **\$5 Million** in construction volume on no
more than **ten (10)** projects completed since **January 1, 2013** on one or more of the following
types of projects:

1. Industrial and/or Municipal Facilities where the mechanical, electrical, and
instrumentation systems were part of the Contractor's contract.

The Bidder can include project(s) currently under construction, but only the total amount paid by
the Owner(s) as of **June 1, 2020** on uncompleted project(s) can be included in this summation of
construction volume. The Bidder is allowed to list up to a maximum of ten (10) projects of the
types listed above, that combined, will add up to at least **\$5 Million** in completed volume of work.
Any projects listed below which are not as defined above may not be considered by the District in
meeting this pre-requisite experience requirement.

Bidder also certifies that Bidder self-performed with its own labor force, including equipment and
materials, at least **forty percent (40%)** of the value of the Work on each of the projects listed
below. The District considers this level of past self-performance demonstrates a benefit to a
Project in terms of better control of cost, schedule and safety.

Bidders are to complete this form and not attach their own form to the Bid Form.

Project #1 Name: _____

Owner: _____

Construction Cost: \$ _____

Construction Time: _____ Calendar Days

Owner's Representative: _____

Owner's Representative Telephone No.: _____

Engineer or On-Site Construction Mgr.: _____

Engineer or On-Site CM's Telephone No.: _____

Date of Substantial Completion: _____

Duration of Project (in months) _____

Project #2 Name: _____

Owner: _____

Name of Bidder: _____

Construction Cost: \$ _____

Construction Time: _____ Calendar Days

Owner's Representative: _____

Owner's Representative Telephone No.: _____

Engineer or On-Site Construction Mgr.: _____

Engineer or On-Site CM's Telephone No.: _____

Date of Substantial Completion: _____

Duration of Project (in months) _____

Project #3 Name: _____

Owner: _____

Construction Cost: \$ _____

Construction Time: _____ Calendar Days

Owner's Representative: _____

Owner's Representative Telephone No.: _____

Engineer or On-Site Construction Mgr.: _____

Engineer or On-Site CM's Telephone No.: _____

Date of Substantial Completion: _____

Duration of Project (in months) _____

Project #4 Name: _____

Owner: _____

Construction Cost: \$ _____

Construction Time: _____ Calendar Days

Owner's Representative: _____

Owner's Representative Telephone No.: _____

Engineer or On-Site Construction Mgr.: _____

Engineer or On-Site CM's Telephone No.: _____

Date of Substantial Completion: _____

Duration of Project (in months) _____

Name of Bidder: _____

Project #5 Name: _____

Owner: _____

Construction Cost: \$ _____

Construction Time: _____ Calendar Days

Owner's Representative: _____

Owner's Representative Telephone No.: _____

Engineer or On-Site Construction Mgr.: _____

Engineer or On-Site CM's Telephone No.: _____

Date of Substantial Completion: _____

Duration of Project (in months) _____

Project #6 Name: _____

Owner: _____

Construction Cost: \$ _____

Construction Time: _____ Calendar Days

Owner's Representative: _____

Owner's Representative Telephone No.: _____

Engineer or On-Site Construction Mgr.: _____

Engineer or On-Site CM's Telephone No.: _____

Date of Substantial Completion: _____

Duration of Project (in months) _____

Project #7 Name: _____

Owner: _____

Construction Cost: \$ _____

Construction Time: _____ Calendar Days

Owner's Representative: _____

Owner's Representative Telephone No.: _____

Name of Bidder: _____

Engineer or On-Site Construction Mgr.: _____

Engineer or On-Site CM's Telephone No.: _____

Date of Substantial Completion: _____

Duration of Project (in months) _____

Project #8 Name: _____

Owner: _____

Construction Cost: \$ _____

Construction Time: _____ Calendar Days

Owner's Representative: _____

Owner's Representative Telephone No.: _____

Engineer or On-Site Construction Mgr.: _____

Engineer or On-Site CM's Telephone No.: _____

Date of Substantial Completion: _____

Duration of Project (in months) _____

Project #9 Name: _____

Owner: _____

Construction Cost: \$ _____

Construction Time: _____ Calendar Days

Owner's Representative: _____

Owner's Representative Telephone No.: _____

Engineer or On-Site Construction Mgr.: _____

Engineer or On-Site CM's Telephone No.: _____

Date of Substantial Completion: _____

Duration of Project (in months) _____

Project #10

Name: _____

Owner: _____

Name of Bidder: _____

Construction Cost: \$ _____

Construction Time: _____ Calendar Days

Owner's Representative: _____

Owner's Representative Telephone No.: _____

Engineer or On-Site Construction Mgr.: _____

Engineer or On-Site CM's Telephone No.: _____

Date of Substantial Completion: _____

Duration of Project (in months) _____

Total Construction Volume of listed Project(s) above: \$ _____

This form is to be fully completed and submitted by the Bidder with the Bid. Bidder is not allowed to provide a substitute form of similar information.

The District will check project references listed to verify information provided along with skills and capacity represented by Bidder. It is very important that the Bidder verify that all contact information is current for each name listed above.

Failure of the Bidder to provide current and valid project contact information, all information required by this Attachment A certification, and/or failure of the Bidder to meet both the pre-requisite Company and Project Manager experience may be grounds for the District to determine the Bidder to be non-responsive and/or non-responsible and therefore ineligible for contract award.

C. FINANCIAL INFORMATION- DO NOT SUBMIT THE FOLLOWING INFORMATION WITH YOUR BID! The three lowest Bidders shall submit, within three (3) business days following the bid opening date, the following information demonstrating that the Bidder has sufficient financial resources to provide all work necessary to complete the Project, including construction, start-up, and warranty services.

1. Bidder must provide Bidder's most recent complete financial statement audited or reviewed by an independent CPA with accompanying notes and supplemental information. A financial statement that is not either reviewed or audited is not acceptable. Financial statement must be 2019 or later.
2. Bidder shall identify each claim filed against it in the last five (5) years by any project owner in arbitration or litigation seeking in excess of \$100,000 and which (i) the project owner prevailed; or (ii) the resolution resulted in the project owner receiving an amount equal to or in excess of 55% of the amount asserted. For each such claim, Bidder shall provide the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution and the amount of the resolution). Are there any pending claims against your company that should you lose the claim(s), would adversely affect your financial position or your ability to meet your obligations if awarded the contract for this project? If so, please explain.

Name of Bidder: _____

Claims Filed Against Bidder

Project Name: _____

Date of Claim: _____

Claimant Name: _____

Court: _____

Status of Claim: _____

Explanation: _____

Bidder shall identify each claim filed by it in the last five (5) years against any project owner in arbitration or litigation seeking in excess of \$100,000 and which (i) the Bidder lost; or (ii) the resolution resulted in the Bidder receiving an amount equal to less than 50% of the amount asserted. For each such claim, Bidder shall provide the project name, date of the claim, name of the project owner, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution and the amount of the resolution). Are there any pending claims by your company against a project owner that should you lose the claim(s), would adversely affect your financial position or your ability to meet your obligations if awarded the contract for this project? If so, please explain.

Claims Filed By Bidder

Project Name: _____

Date of Claim: _____

Claimant Name: _____

Court: _____

Status of Claim: _____

Explanation: _____

To the extent permitted by law, all financial information provided by Bidder that is marked "Confidential" or "Proprietary" shall be handled by the District as non-disclosable in accordance with California Public Records Act (Government Code 6250). The District, upon receipt of the requested financial information shall treat the same as confidential and take such reasonable measures as to protect it from public access. If the District receives a request, whether via the Public Records Act and/or via a judicial process that seeks the publication and/or production of

Name of Bidder: _____

said information, District agrees to provide notice in writing to the entity whose information is sought to afford them an opportunity to challenge the third party's access and/or to seek to quash the judicial process which seeks the publication and/or production thereof.

VERIFICATION AND SIGNATURE

I, the undersigned, certify and declare that I have read all the foregoing answers to this Statement of Qualifications Questionnaire. I also certify and declare that I have personally reviewed and approved the information provided for the Company Experience, Project Manager Experience, Company Safety Information and Financial Information. I certify and declare that the foregoing is true and correct.

Signed this _____ day of _____, 20____

Bidder's Name

Authorized Signature

Date

Title of Signator

**END OF CERTIFICATION OF BIDDER'S
EXPERIENCE AND QUALIFICATIONS**

Name of Bidder: _____

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Name of Bidder: _____

ATTACHMENT B

IF THE ELECTRICAL CONTRACTOR IS SUBMITTING A BID AS THE PRIME CONTRACTOR, THE ELECTRICAL CONTRACTOR SHALL SUBMIT ATTACHMENT B WITH THEIR BID.

Identify if the Electrical Contractor is a Prime or Sub-Contractor: _____

IF THE ELECTRICAL CONTRACTOR IS SUBMITTING AS A PRIME AND HIRING A MECHANICAL SUB-CONTRACTOR, ATTACHMENT A MUST BE FILLED OUT BY THE MECHANICAL SUBCONTRACTOR AND SUBMITTED WITHIN 3 DAYS AFTER THE BID OPENING.

CERTIFICATION OF ELECTRICAL SUBCONTRACTOR'S EXPERIENCE AND QUALIFICATIONS (To Be Submitted within Three Business Days after Bid Opening)

PROJECT: _____

Important Note: To be eligible for an award of Contract, the Bidder must submit to District, within three (3) days after bids are due, this signed Certification from the Electrical Subcontractor listed on Bidder's DESIGNATION OF SUBCONTRACTORS. If the Bidder does not list an Electrical Subcontractor for the electrical work, then Bidder must submit to District with its Bid this signed Certification of Bidder's experience and qualifications to self-perform the electrical work with the understanding that all references to Electrical Subcontractor in this certification shall mean Contractor, and Contractor shall possess independently the minimum standards of experience and qualifications set forth below. The Owner has determined that only Electrical Subcontractors meeting these mandatory minimum experience and qualification requirements will have the requisite quality, fitness, capacity and experience to perform the highly complex and vital electrical work on this Project. Failure of the Electrical Subcontractor or Bidder to meet or comply with the requirements of this certification, including, but not limited to, the mandatory minimum experience requirements and qualifications required herein, may be grounds for the Owner to determine the Bidder as non-responsive and/or non-responsible and ineligible for contract award. None of the requirements herein are to determine pre-qualification to bid on the Project, but are part of the Owner's evaluation of bids received.

The undersigned Electrical Subcontractor certifies that it is, at the time of bidding, and shall be, throughout the period of the contract, licensed under the provisions of Chapter 9, Division 3, of the Business and Professions Code of the State of California, to do the type of work contemplated in the Contract Documents. The Electrical Subcontractor shall further certify that it is skilled and regularly engaged in the general class and type of work called for in the Contract Documents.

The Electrical Subcontractor represents that it is competent, knowledgeable, and has special skills on the nature, extent, and inherent conditions of the work to be performed. The Electrical Subcontractor further acknowledges that there are certain peculiar and inherent conditions existent in the construction of the particular facilities which may create, during the construction program, unusual or peculiar unsafe conditions hazardous to persons and property. The Electrical Subcontractor expressly acknowledges that it is aware of such peculiar risks and that it has the skill and experience to foresee and to adopt and implement protective measures to adequately and safely perform the construction work with respect to such hazards.

If the Electrical Subcontractor is a Joint Venture of two or more companies, each participant in the Joint Venture shall separately complete this ATTACHMENT B, CERTIFICATION OF ELECTRICAL

SUBCONTRACTOR'S EXPERIENCE AND QUALIFICATIONS and each participant shall meet the mandatory prior company project experience requirement and provide project information for each Joint Venture participant in the format found below.

A. QUESTIONS REGARDING ELECTRICAL SUBCONTRACTOR'S RESPONSIBILITY AND FITNESS TO SUBCONTRACT FOR THE ELECTRICAL WORK.

If Electrical Subcontractor answers "Yes" to any of Questions 1 through 7 below, the Electrical Subcontractor must provide on a separate sheet a complete, detailed explanation of all of the facts and circumstances concerning the reasons for answering "Yes", and shall provide documentation supporting its response.

1. Has your company's contractor's license(s) been revoked at any time in the last five years?
 Yes No
2. Within the last five years, has a surety company completed a contract on your company's behalf, or paid for the completion of a contract to which your firm was a party, because your firm was considered to be in default or was terminated with cause by the project owner?
 Yes No
3. At the time of submitting this Certification of Electrical Subcontractor's Experience and Qualifications, is your company ineligible to bid on or be awarded a public works contract, or perform as a subcontractor on a public works contract, pursuant to either California Labor Code Section 1777.1 or Labor Code Section 1777.7?
 Yes No
4. At any time in the last five years, has your company, or any of its owners, officers or partners been convicted of a crime involving the awarding of a contract for a government construction project, or the bidding or performance of any federal, state or local government contract?
 Yes No
5. Has your company or any of its owners, officers or partners ever been found liable in a civil suit for making any false claim or material misrepresentation to any federal, state or local public agency or entity?
 Yes No
6. In the last five years has your company been denied an award of a public works contract based on a finding by any federal, state or local public agency that your firm was not a responsible Bidder?
 Yes No
7. In the last three years has your company been debarred from bidding on, or completing, any government agency or public works construction contract for any reason?
NOTE: If there is a pending administrative or court action challenging a debarment, you need not include that debarment in responding to this question.
 Yes No

B. ELECTRICAL SUBCONTRACTOR MANDATORY MINIMUM EXPERIENCE REQUIREMENTS

For the District to consider the Bidder a responsible Bidder for this specific procurement, given the complexity and importance of the timely and successful completion of the Project to further interests of

Name of Bidder: _____

the District and its residents, the Bidder must list an Electrical Subcontractor on Attachment D of the Bid Form that is properly experienced in work of similar nature to this project. To demonstrate such required experience, the Electrical Subcontractor must provide all information required below demonstrating that the Electrical Subcontractor has performed at least **\$5 Million** in electrical and instrumentation construction volume on no more than **ten (10)** projects completed since **January 1, 2013** of the following types of projects where the Electrical Subcontractor completed all electrical and instrumentation portions of the project:

Industrial and/or Municipal Facilities

The Electrical Subcontractor can include project(s) currently under construction, but only the total amount paid by the Owner(s) as of **July 1, 2020** on uncompleted project(s) can be included in this summation of construction volume. The Bidder is allowed to list up to a maximum of ten (10) projects of the types listed above, that combined, will add up to at least **\$5 Million** in completed volume of work. Any projects listed below which are not as defined above will not be considered by the District in meeting this mandatory minimum experience requirement. **For example, pipeline projects are not considered a sewage pump station or treatment plant.**

Bidder also certifies that Bidder self-performed with its own labor force, including equipment and materials, at least **forty percent (40%)** of the value of the Work on each of the projects listed below. The District considers this level of past self-performance demonstrates a benefit to a Project in terms of better control of cost, schedule and safety.

The Electrical Subcontractor also certifies that it self-performed with its own workforce at least fifty percent (50%) of the Work on each of the projects listed below.

Bidders are to complete this form and not attach their own form to the Bid Form.

Project #1 Name: _____

Owner: _____

Electrical and
Instrumentation
Construction Cost: \$ _____

Construction Time: _____ Calendar Days

Owner's Representative: _____

Owner's Representative Telephone No.: _____

Engineer or On-Site Construction Mgr.: _____

Engineer or On-Site CM's Telephone No.: _____

Date of Substantial Completion: _____

Duration of Project (in months) _____

Project #2 Name: _____

Name of Bidder: _____

Owner: _____

Electrical and
Instrumentation

Construction Cost: \$ _____

Construction Time: _____ Calendar Days

Owner's Representative: _____

Owner's Representative Telephone No.: _____

Engineer or On-Site Construction Mgr.: _____

Engineer or On-Site CM's Telephone No.: _____

Date of Substantial Completion: _____

Duration of Project (in months) _____

Project #3 Name: _____

Owner: _____

Electrical and
Instrumentation

Construction Cost: \$ _____

Construction Time: _____ Calendar Days

Owner's Representative: _____

Owner's Representative Telephone No.: _____

Engineer or On-Site Construction Mgr.: _____

Engineer or On-Site CM's Telephone No.: _____

Date of Substantial Completion: _____

Duration of Project (in months) _____

Project #4 Name: _____

Owner: _____

Electrical and
Instrumentation

Construction Cost: \$ _____

Construction Time: _____ Calendar Days

Owner's Representative: _____

Owner's Representative Telephone No.: _____

Name of Bidder: _____

Engineer or On-Site Construction Mgr.: _____

Engineer or On-Site CM's Telephone No.: _____

Date of Substantial Completion: _____

Duration of Project (in months) _____

Project #5 Name: _____

Owner: _____

Electrical and
Instrumentation
Construction Cost: \$ _____

Construction Time: _____ Calendar Days

Owner's Representative: _____

Owner's Representative Telephone No.: _____

Engineer or On-Site Construction Mgr.: _____

Engineer or On-Site CM's Telephone No.: _____

Date of Substantial Completion: _____

Duration of Project (in months) _____

Project #6 Name: _____

Owner: _____

Electrical and
Instrumentation
Construction Cost: \$ _____

Construction Time: _____ Calendar Days

Owner's Representative: _____

Owner's Representative Telephone No.: _____

Engineer or On-Site Construction Mgr.: _____

Engineer or On-Site CM's Telephone No.: _____

Date of Substantial Completion: _____

Duration of Project (in months) _____

Name of Bidder: _____

Project #7 Name: _____

Owner: _____

Electrical and
Instrumentation

Construction Cost: \$ _____

Construction Time: _____ Calendar Days

Owner's Representative: _____

Owner's Representative Telephone No.: _____

Engineer or On-Site Construction Mgr.: _____

Engineer or On-Site CM's Telephone No.: _____

Date of Substantial Completion: _____

Duration of Project (in months) _____

Project #8 Name: _____

Owner: _____

Electrical and
Instrumentation

Construction Cost: \$ _____

Construction Time: _____ Calendar Days

Owner's Representative: _____

Owner's Representative Telephone No.: _____

Engineer or On-Site Construction Mgr.: _____

Engineer or On-Site CM's Telephone No.: _____

Date of Substantial Completion: _____

Duration of Project (in months) _____

Project #9 Name: _____

Owner: _____

Electrical and
Instrumentation

Construction Cost: \$ _____

Construction Time: _____ Calendar Days

Owner's Representative: _____

Owner's Representative Telephone No.: _____

Name of Bidder: _____

Engineer or On-Site Construction Mgr.: _____

Engineer or On-Site CM's Telephone No.: _____

Date of Substantial Completion: _____

Duration of Project (in months) _____

Project #10

Name: _____

Owner: _____

Electrical and
Instrumentation
Construction Cost: \$ _____

Construction Time: _____ Calendar Days

Owner's Representative: _____

Owner's Representative Telephone No.: _____

Engineer or On-Site Construction Mgr.: _____

Engineer or On-Site CM's Telephone No.: _____

Date of Substantial Completion: _____

Duration of Project (in months) _____

Total Construction Volume of listed Project(s) above: \$ _____

Important Note: Any projects found on Electrical Subcontractor's completed projects list which are not as defined above will not be considered by the District in meeting this pre-requisite experience requirement. It is very important that Electrical Subcontractor provide current and valid project contact information for all projects listed above. All information should be checked for accuracy before completing this Attachment.

C. BONDING PROFILE

At the time of submission of the bid the Electrical Subcontractor shall have an unencumbered bonding capacity equal to at least 100 percent of the Electrical Subcontract cost for this project.

Current Total Bonding Capacity: _____

Current Unencumbered Bonding Capacity: _____

To verify the above information, the Owner will contact the Electrical Subcontractor's surety. The Electrical Subcontractor shall authorize its surety to release this information.

Surety: _____

Contact Person for Insurance Company: _____

Name of Bidder: _____

Telephone Number: _____

Signed this ____ day of _____, 20 ____.

If the Bidder (Contractor) is satisfied with the financial capability and capacity of the Electrical Subcontractor as required for the performance of the work on the Project, the Bidder may indicate such by signing below and the Electrical Subcontractor will in such case not be required to provide the bonding information listed above.

Bidder acknowledgement of Electrical Subcontractor's financial capability and capacity and waiver of bond information:

Name of Bidder

Date

Bidder, Signature

Printed Name of person's Signature

ELECTRICAL SUBCONTRACTOR VERIFICATION AND SIGNATURE

I, the undersigned, certify and declare that I have read all the foregoing answers to this Statement of Qualifications Questionnaire. I also certify and declare that I have personally reviewed and approved the information provided for the Company Experience Information. I certify and declare that the foregoing is true and correct.

Signed this _____ day of _____, 20____

Electrical Subcontractor's Name

Authorized Signature

Date:

Title of Signator

Valid CA Contractors License No.

License Classification

Expiration Date

**END OF CERTIFICATION OF ELECTRICAL SUBCONTRACTOR'S
EXPERIENCE AND QUALIFICATIONS**

Name of Bidder: _____

(THIS PAGE LEFT BLANK INTENTIONALLY)

Name of Bidder: _____

ATTACHMENT C

PUBLIC WORKS CONTRACTOR REGISTRATION CERTIFICATION

Pursuant to Labor Code sections 1725.5 and 1771.1, all contractors and subcontractors that wish to bid on, be listed in a bid proposal, or enter into a contract to perform public work must be registered with the Department of Industrial Relations. See <http://www.dir.ca.gov/PublicWorks/PublicWorks.html> for additional information.

No bid will be accepted nor any contract entered into without proof of the contractor's and subcontractors' current registration with the Department of Industrial Relations to perform public work.

Bidder hereby certifies that it is aware of the registration requirements set forth in Labor Code sections 1725.5 and 1771.1 and is currently registered as a contractor with the Department of Industrial Relations.¹

Name of Bidder: _____

DIR Registration Number: _____

DIR Registration Expiration: _____

Small Project Exemption: _____ Yes or _____ No

Unless Bidder is exempt pursuant to the small project exemption, Bidder further acknowledges:

- Bidder shall maintain a current DIR registration for the duration of the project.
- Bidder shall include the requirements of Labor Code sections 1725.5 and 1771.1 in its contract with subcontractors and ensure that all subcontractors are registered at the time of bid opening and maintain registration status for the duration of the project.
- Failure to submit this form or comply with any of the above requirements may result in a finding that the bid is non-responsive.

Name of Bidder _____

Signature _____

Name and Title _____

Dated _____

¹ If the Project is exempt from the contractor registration requirements pursuant to the small project exemption under Labor Code Sections 1725.5 and 1771.1, please mark "Yes" in response to "Small Project Exemption."

ATTACHMENT D

DESIGNATION OF SUBCONTRACTORS

(To Be Submitted with Bid)

In compliance with the Subletting and Subcontracting Fair Practices Act of the Public Contract Code of the State of California, sections 4100 et seq., each bidder shall set forth below: (a) the name and the location of the place of business, (b) the California State Licensing Board contractor license number, (c) the Department of Industrial Relations public works contractor registration number, and (d) the portion of the work which will be done by each subcontractor who will perform work or labor or render service to the Contractor in or about the construction of the work in an amount in excess of one-half of one percent (1/2%) of the Contractor's Total Bid Price. Notwithstanding the foregoing, if the work involves the construction of streets and highways, including bridges, then the Contractor shall list each subcontractor who will perform work or labor or render service to Contractor in or about the work in an amount in excess of one-half of one percent (1/2%) of the Contractor's total Bid Price or \$10,000, whichever is greater. No additional time shall be granted to provide the below requested information.

If no subcontractor is specified, for a portion of the work, or if more than one subcontractor is specified for the same portion of Work, then the Contractor shall be deemed to have agreed that it is fully qualified to perform that Work, and that it shall perform that portion itself.

The Bidder's attention is directed to the provisions found in Section 00200-20.0, WORK PERCENTAGES, which stipulates the percent of the Work to be performed with the Bidder's own forces.

Work to be done by Subcontractor	Percent of Total Bid Price	Name of Subcontractor	Address of Business	CSLB Contractor License Number	DIR Registration Number

Name of Bidder: _____

Work to be done by Subcontractor	Percent of Total Bid Price	Name of Subcontractor	Address of Business	CSLB Contractor License Number	DIR Registration Number

Name of Bidder _____

Signature _____

Print Name and Title _____

Dated _____

(USE ADDITIONAL SHEETS IF NECESSARY)

ATTACHMENT E

SCHEDULE OF MAJOR EQUIPMENT AND MATERIAL SUPPLIERS

(To Be Submitted with Bid)

1. The undersigned Bidder represents that, if awarded the Contract, the items of major equipment and materials specified below will be supplied by the manufacturers or suppliers specified below. By so indicating, Bidder warrants that the equipment and materials manufactured and/or supplied by the named manufacturer or supplier will be provided on the Project unless review of submittal information or performance tests reveal that the equipment or material does not meet Contract requirements or the manufacturer or supplier is unable to meet the delivery requirements necessary to maintain progress of the Project based upon the accepted baseline construction schedule. Failure to indicate a manufacturer or supplier listed in the following schedule may render the Bid non-responsive and may be the basis for rejection of the Bid.
2. All major Equipment shall be bid according to the following:
 - (a) Not used.
 - (b) The following Major Equipment Schedule Table designates major equipment items to be provided. All costs for providing these equipment items shall be included in the Lump Sum Bid. The Bidder shall indicate which Manufacturer/Supplier of equipment he proposes to provide, by checking ONE of the named, listed items and, if the Bidder desires to propose an "or equal" equipment item, by writing in a proposed "or equal." If the Bidder writes in a proposed "or equal," he shall also check one of the named, listed items. It is the Bidder's responsibility that all equipment to be provided, either checked or proposed "or equal" write-in, meet the requirements of the Specifications.
 - (c) The opinion of the Engineer will be the final determination of whether a write-in "or-equal" meets the design intent. In the event that the Engineer allows the Bidder's proposed "or equal" material or equipment item for use as an "or equal", the proposed "or equal" material or equipment item shall be provided for the amount included in the Lump Sum Bid with NO change in Contract price. Should the write-in proposed "or equal" item be determined "not equal" by the Engineer, then the Bidder hereby agrees to provide the checked Manufacturer/Supplier item for the amount included in his Lump Sum Bid. Should a Bidder fail to indicate which named manufacturer or supplier his Lump Sum Bid is based on, or checks more than one named Manufacturer/Supplier per listed equipment item, the Bidder hereby agrees to provide the Manufacturer/Supplier item noted as "A" for the amount included in his Lump Sum Bid with NO change in Contract Price.
 - (d) Allowances of proposed "or equal" equipment does not constitute a waiver of the Specifications. Proposed "or equal" equipment is defined as equipment proposed and named by the Bidder under the Manufacturer/Supplier column in the Major Equipment Schedule Table as equal and equivalent to the specified equipment.

Name of Bidder: _____

(e) Proposed "or equal" equipment will only be considered after award and execution of contract. For each "or equal" (write-in) equipment item, Contractor shall submit a properly completed Section 01600.1 MATERIAL/ PRODUCT SUBSTITUTION REQUEST FORM, within thirty (30) calendar days after the date of the Notice to Proceed. Proposed "Or Equal" Substitution Form must be completely filled out, certified and include all required supporting documentation to allow Engineer to assess the "or equal" acceptability of the product or system. Failure to submit all the required information within the established time limit shall be grounds for determination that the proposed "or equal" major equipment item is "not equal" to the named, listed Manufacturer/Supplier equipment item.

(f) Within thirty (30) calendar days after receiving the proposed "or equal" major equipment submittals, the Engineer will notify Contractor, in writing, as to whether or not the submitted proposed "or equal" equipment, if any, are accepted as meeting the general specification requirements. The consideration of this equipment shall not be construed to indicate final acceptance by the District, nor relieve the Contractor and/or Manufacturer/Supplier of the equipment from providing the post-Contract award submittal information.

(g) To be deemed equal, the proposed "or equal" equipment shall be the same or better than the specified named product with respect to features, materials of construction, function, performance, reliability, quality, general dimensional configuration, operations and maintenance access and costs, and static and dynamic loads. Determination of equality in reference to the project design requirements will be made by the Engineer.

(h) No proposed "or equal" major equipment will be considered unless, in the opinion of the Engineer, it conforms to the Contract Drawings and Specifications in all respects, except for make and manufacturer and minor details.

(i) Design of this project is based upon the Manufacturer/Supplier equipment item noted as the "A" item in the Major Equipment Schedule Table. When a "B," "C," or "D" named item is named in the Major Equipment Schedule Table, the equipment or product of this "B," "C," or "D" named manufacturer or supplier is deemed "or equal" in quality; however, should a Bidder propose providing a "B," "C," or "D" named item, or proposed "or equal" (write-in) equipment, he shall comply with the following:

- 1) If the Bidder proposes "or equal" (write-in) equipment or equipment other than an "A" named manufacturer, he shall include in the Lump Sum Bid all additional costs (including all general construction, process mechanical, HVAC, plumbing, electrical, and instrumentation and control work), required to accommodate, furnish, and install the proposed equipment or material, training, and spare parts.
- 2) Contractor shall reimburse the District for Engineer's time and expense charges associated with the review of proposed "or equal" Major Equipment or System submittals, subsequent notification to Contractor of disposition, and any associated modifications to the Drawings.

Name of Bidder: _____

**MAJOR EQUIPMENT OR SYSTEM SCHEDULE
SUPPLIED BY CONSTRUCTION CONTRACTOR**

SECTION	EQUIPMENT OR SYSTEM	NAME OF EQUIPMENT OR SYSTEM SELECTED Indicate with a check mark within the ()
269550	Automatic Transfer Switches	A. () Asco, no equals
260800	Permanent Diesel Generators	A. () Caterpillar B. () Kohler C. () Cummins/Onan D. () MQ Power "or equal" write-in: _____

END OF SCHEDULE OF MAJOR EQUIPMENT AND MATERIAL SUPPLIER

Name of Bidder: _____

ATTACHMENT F

BID BOND

(To Be Submitted with Bid)

KNOW ALL MEN BY THESE PRESENTS, THAT WE, THE UNDERSIGNED _____ as principal; and _____ as Surety, are hereby held and bound unto the SAUSALITO-MARIN CITY SANITARY DISTRICT, hereinafter called the "District", in the sum of _____ dollars (\$ _____) which sum is equal to at least ten (10) percent of the total amount of the Bid, for the Work, payment of which sum, well and truly to be made, we hereby jointly and severally bind ourselves, our heirs, executors, administrators, successors, and assigns.

The condition of the above obligation is such that whereas the Principal has submitted to the District a certain Bid, attached hereto and hereby made a part hereof, to enter into a Contract in writing, for the construction of:

PROJECT

NOW, THEREFORE,

- a) If the Bid is rejected, or in the alternative,
- b) If the Bid is accepted and the Principal shall sign and deliver a Contract, in the form of Contract attached hereto (all completed in accordance with said Bid and Contract), and shall in all other respects perform the agreement created by the acceptance of said Bid;

Then this obligation shall be void, otherwise the same shall remain in force and effect; it being expressly understood and agreed that the liability of the Surety for any and all default of the Principal hereunder shall be the amount of this obligation as herein stated.

The Surety, for value received, hereby stipulates and agrees that the obligations of said Surety and its bond shall be in no way impaired or affected by any extension of the time within which the District may accept sub bid, and said Surety does hereby waive notice of any such extension.

Should the District file an action in a court of law to enforce this bond, the prevailing party shall be entitled to recover any and all costs and fees associated with the litigation, including but not limited to attorneys' fees and experts' fees. The parties agree that proper venue and jurisdiction for such an action will be the Superior Court of California in Sacramento County; any party's rights to other venue or jurisdiction under law (such as California Code of Civil Procedure sections 392 et seq.) are expressly waived.

IN WITNESS THEREOF, the above-bounden parties have executed this instrument under their several seals this _____ day of _____, 20____, the name and corporate seal of each corporate party being hereto affixed and those presents duly signed by its undersigned representative, pursuant to authority of its governing body.

Name of Bidder: _____

IN PRESENCE OF:

Principal Signature _____ (Seal)

Principal Name _____

Business Address _____

Surety Signature _____

Surety Principal Name _____

Surety Name _____ (Seal)

Business Address _____

(Note: This bond must be signed and acknowledged by both the Principal and Surety before a Notary Public, and acknowledgments, with Notarial Seals, attached hereto. Surety must be authorized and licensed by the California Insurance Commissioner as an "admitted surety insurer.") 1/05

SUBMIT BOND OR OTHER CASH GUARANTEE AS PART OF YOUR BID

END OF BID BOND

Name of Bidder: _____

**ATTACHMENT G
NON-COLLUSION DECLARATION**

TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID

The undersigned declares:

I am the _____ of _____, the party making the foregoing bid.

The bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation. The bid is genuine and not collusive or sham. The bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid. The bidder has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or to refrain from bidding. The bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder. All statements contained in the bid are true. The bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid, and has not paid, and will not pay, any person or entity for such purpose.

Any person executing this declaration on behalf of a bidder that is a corporation, partnership, joint venture, limited liability company, limited liability partnership, or any other entity, hereby represents that he or she has full power to execute, and does execute, this declaration on behalf of the bidder.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct and that this declaration is executed on _____[date], at _____[city], _____[state].

(Signature)

(Print Name)

(Print Title)

(Date)

Name of Bidder: _____

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Name of Bidder: _____

ATTACHMENT H

IRAN CONTRACTING ACT CERTIFICATION
(To Be Submitted with Bid)

(Public Contract Code Section 2200 et seq.)

As required by California Public Contract Code Section 2204, the Contractor certifies subject to penalty for perjury that the option checked below relating to the Contractor's status in regard to the Iran Contracting Act of 2010 (Public Contract Code Section 2200 et seq.) is true and correct:

- The Contractor is not:
 - (I) identified on the current list of persons and entities engaging in investment activities in Iran prepared by the California Department of General Services in accordance with subdivision (b) of Public Contract Code Section 2203; or
 - (II) a financial institution that extends, for 45 days or more, credit in the amount of \$20,000,000 or more to any other person or entity identified on the current list of persons and entities engaging in investment activities in Iran prepared by the California Department of General Services in accordance with subdivision (b) of Public Contract Code Section 2203, if that person or entity uses or will use the credit to provide goods or services in the energy sector in Iran.
- The District has exempted the Contractor from the requirements of the Iran Contracting Act of 2010 after making a public finding that, absent the exemption, the District will be unable to obtain the goods and/or services to be provided pursuant to the Contract.
- The amount of the Contract payable to the Contractor for the work does not exceed \$1,000,000.

Signed _____

Titled _____

Firm _____

Date _____

Note: In accordance with Public Contract Code Section 2205, false certification of this form shall be reported to the California Attorney General and may result in civil penalties equal to the greater of \$250,000 or twice the Contract Price, termination of the Contract and/or ineligibility to bid on contracts for three years.

Name of Bidder: _____

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Name of Bidder: _____

ATTACHMENT I

DEBARMENT CERTIFICATION

(To Be Submitted with Bid)

By submitting its bid the bidder certifies in accordance with California Public Contract Code Section 6109 that neither the bidder nor any subcontractor included on the list of proposed subcontractors submitted with the bid is ineligible to perform work on public works projects pursuant to California Labor Code Sections 1777.1 or 1777.7. In accordance with California Public Contract Code Section 6109, contractors and subcontractors who are ineligible to perform work on public works projects pursuant to California Labor Code Sections 1777.1 or 1777.7 may neither bid on, be awarded or perform as a subcontractor on public works projects.

By: _____
(Official authorized to bind Bidder)

Title: _____

Firm: _____

Name of Bidder: _____

(THIS PAGE LEFT BLANK INTENTIONALLY)

Name of Bidder: _____

ATTACHMENT J

BID DOCUMENT CERTIFICATION

(To Be Submitted within three (3) Business Days after the District has served written notice to all Bidders of the District's determination of the lowest monetary Bidder)

Project Name: _____ **PROJECT**

The undersigned hereby certifies that the bid documentation contained herein constitutes the complete, only and all documentary information used in the preparation of the Bid as specified in the Instructions to Bidders, and that I have personally examined these contents and have found that this bid documentation is complete. Furthermore, I certify that all Subcontractors whose total subcontract amount exceeds five percent (5%) of our Total Bid Amount have furnished separate Escrow Bid Documents and their bid documentation is also furnished herein.

By: _____

Title: _____

Firm: _____

Name of Bidder: _____

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SECTION 00500
NOTICE OF AWARD

Date: _____

To: _____
(Name of Bidder)

Address: _____

Project Name: _____

You are notified that your Bid dated _____ for the above Contract has been considered. You are the Successful Bidder and are awarded a Contract for constructing the GENERATOR RELIABILITY IMPROVEMENTS Project.

The Total Contract Price of your Contract is _____ Dollars (\$_____).

Three (3) copies of each of the proposed Section 00520, Agreement, accompany this Notice of Award.

You must comply with the following conditions precedent within fifteen (15) calendar days of the date you receive this Notice of Award.

1. Deliver to the District Engineer at 1 East Road, Sausalito, California 94965 three (3) signed copies of the Agreement, as found in Section 00510, leaving the date blank. Deliver to the attention of: _____. If mailed, please mail to _____.
2. Deliver with the Agreement the appropriate Certificate of Authorization found in Sections 00515, 00520, 00525, or 00530.
3. Deliver with the Agreement the Contract Bonds as specified and found in Sections 00540, Performance Bond Form, and 00550, Payment Bond for Public Works.
4. Deliver with the Agreement all required original Insurance Certificates and endorsements as required in Sections 00700, Article 13- Insurance and Indemnification.
5. Deliver with the Agreement a signed Workers' Compensation Insurance Certificate found in Section 00560.

Failure to comply with these conditions within the time specified will entitle the District to consider you in default, annul this Notice of Award and declare your Bid security forfeited.

Within ten (10) calendar days after you comply with the above conditions, the District will return to you one fully executed Agreement for your records.

Sausalito-Marín City Sanitary District

By: _____

Its: _____

Copy to:

END OF SECTION

**SECTION 00510
AGREEMENT**

**AGREEMENT FOR THE CONSTRUCTION OF
GENERATOR RELIABILITY IMPROVEMENTS PROJECT**

(Document will be re-typed after Contract Award)

THIS AGREEMENT made and entered into this ____ day of _____, 2020, between the Sausalito-Marín City Sanitary District, a public entity organized and existing under the laws of the State of California, hereinafter referred to as the "District" or "Owner" and , _____, hereinafter referred to as the "Contractor";

RECITALS

WHEREAS, the District Board of Directors heretofore caused Drawings and Specifications for the Work hereinafter mentioned to be prepared, and therefore did approve and adopt the Drawings and Specifications; and

WHEREAS, the District Board of Directors did cause to be published for the time and in the manner required by law, a Notice inviting sealed Bids for the performance of the Work; and

WHEREAS, the Contractor, in response to such Notice, submitted to the District Board of Directors within the time specified in the Notice, and in the manner provided for therein, a sealed Bid for the performance of the Work specified in the Contract Documents, which the Bid, and the other Bids submitted in response to the Notice, the District publicly opened and canvassed in the manner provided by law; and

WHEREAS, the Contractor was the lowest responsible Bidder for the performance of the Work, and the District Board of Directors, as a result of the canvass of the Bids, did determine and declare Contractor to be the lowest responsible Bidder for the Work and award a Contract to Contractor to do the Work referred to in the aforementioned plans and specifications; and

WHEREAS, Contractor is ready, willing and able to complete all Work specified in the Contract Documents, in accordance with the Specifications, Drawings and all other terms and conditions of the Contract Documents; and

NOW, THEREFORE, in consideration of the mutual covenants hereinafter, the District and Contractor agree as follows:

ARTICLE 1 — ARTICLE 1 – SCOPE OF WORK

1.1 The Work. The Contractor shall furnish all labor, materials, tools, apparatus, equipment, transportation, insurance, bonds, special services and skill to construct and complete in good workmanlike and substantial manner to the satisfaction of the District all the Work called for, and in the manner designated in, and in strict conformity with the Contract Documents for the project entitled: **GENERATOR RELIABILITY IMPROVEMENTS PROJECT**. All incidental work not shown on the Plans or specified herein which is necessary to complete the Work so as to provide the project described, or shown, shall be furnished and installed as part of this Contract at no additional cost to the District.

1.2 Location of Work. The Work will be performed at the following locations as shown on the Contract Drawings:

- A. Marin City Pump Station: Unincorporated Marin City in the Marin Gateway Shopping Center. Station is located behind Target store at North-West corner.
- B. Gate 5 Pump Station: City of Sausalito at 305 Gate 5 Rd.
- C. Locust St. Pump Station: City of Sausalito at intersection of Locust St. and Bridgeway.
- D. Anchor St. Pump Station: City of Sausalito at intersection of Anchor St. and Humboldt Ave., near entrance to public parking lot.
- E. Spinnaker Rd. Pump Station: City of Sausalito in Spinnaker parking lot off Spinnaker Dr.
- F. Main St. Pump Station: City of Sausalito at East end of Main St., adjacent to 301 Main St.
- G. Treatment Plant: Immediately South of the City of Sausalito at 1 East Rd., a Sausalito address.
- H. Princess Pump Station: City of Sausalito at 558 Bridgeway, on dock adjacent to sidewalk.

ARTICLE 2 – CONTRACT DOCUMENTS

2.1 Contract Documents.

The Contract Documents consist of the following documents:

- Bidding Requirements (Sections 00100 through 00400. Does not include Section 00300, Supplemental Project Information Available to Bidders);
- Contracting Requirements (Sections 00510 through 00800);
- General Requirements (Division 1);
- Technical Specifications (Division 2 and all other Divisions following);
- District Standard Specifications
- Contract Drawings;
- Addenda (numbers ___ to ___, inclusive);
- Permits from other agencies as may be required by law; and
- All other documents incorporated by reference into these Contract Documents.
- The following which may be delivered or issued on or after the Effective Date of the Agreement and are not attached hereto:
 - Notice to Proceed (Section 00600);
 - Field Directive(s);
 - Change Order(s);
 - Construction Change Directive; and
 - Field Order(s).

2.2 Not Contract Documents. There are no Contract Documents other than those listed in this Section 00510, Article 2, Contract Documents, Section 00300, Supplemental Project Information Available to Bidders, and the information supplied therein, are not Contract Documents. The Contract Documents may only be amended, modified or supplemented as provided for in Section 00700, General Conditions and Section 00800, Supplemental General Conditions.

2.3 Definitions and Terms. Unless otherwise specifically provided herein, all terms, words and phrases defined in Section 00700- 1.02, Definitions of Words and Terms, shall have the same meaning and intent in this Agreement.

2.4 Entire Contract; Interpretation. This Agreement, together with the Contract Documents, constitutes the entire agreement between the Parties with respect to the subject matter hereof, and supersedes all prior oral or written agreements between the Parties with respect thereto (including without limitation, District's award of the Contract to Contractor and any applicable letter of intent), unless such agreement is expressly incorporated herein. District makes no representations or warranties, express or implied, not specified in this Agreement or the Contract Documents. This Agreement and the Contract Documents are the product of negotiation between the Parties. Accordingly, any rule of construction of contracts (including, without limitation, California Civil Code §1654) that ambiguities are to be construed against the drafting party shall not be employed in the interpretation of this Agreement or the Contract Documents.

2.5 Severability. Any provision or part of the Contract Documents held to be void or unenforceable under any Law or Regulation shall be deemed stricken, and all remaining provisions shall continue to be valid and binding upon District and Contractor, who agree that the Contract Documents shall be reformed to replace such stricken provision or part thereof with a valid and enforceable provision that comes as close as possible to expressing the intention of the stricken provision.

2.6 Amendments. The terms of this Agreement or the Contract Documents shall not be waived, altered, modified, supplemented or amended in any manner whatsoever except as authorized by the Contract documents or by a written instrument signed by the Parties.

2.7 Further Assurances. The Parties shall cooperate fully in the execution of any and all other documents and in the completion of any additional actions that may be necessary or appropriate to give full force and effect to the terms and intent of this Agreement and the Contract Documents.

ARTICLE 3 – CONTRACT PRICE

3.1 Contract Price Breakdown. Subject to additions and deductions by Change Order(s) as provided in the Contract Documents, the District agrees to pay and Contractor agrees to accept, in full payment for completion of the Work in accordance with the Contract Documents as follows:

Bid Items				
Item	Description	Approx. quantity	Unit	Total bid item price, dollars
1.	Mobilization/Demobilization including SWPPP (not to exceed 6% of Total Bid Price)	1 Job	L.S.	
2.	All work required for the generator replacement at Marin City Pump Station	1 Job	L.S.	
3.	All work required for the generator replacement at Gate 5 Rd. Pump Station	1 Job	L.S.	
4.	All work required for the generator replacement at Locust St. Pump Station	1 Job	L.S.	
5.	All work required for installation of a new generator at Anchor St. Pump Station	1 Job	L.S.	
6.	All work required for installation of a new generator at Spinnaker Rd. Pump Station	1 Job	L.S.	
7.	All work required for the generator replacement, underground fuel tank removal and installation of an above ground fuel tank at Main St. Pump Station	1 Job	L.S.	
8.	All work required for the generator replacement at the Treatment Plant	1 Job	L.S.	
9.	All work required for the control panel relocation at Princess Pump Station	1 Job	L.S.	
10.	All work required for furnishing and delivery of a new portable generator and accessories to the District.	1 Job	L.S.	

Bid Items				
Item	Description	Approx. quantity	Unit	Total bid item price, dollars
11.	All other Work as required to complete the project in accordance with the Contract Documents, with the exception of work included under bid items 1 thru 10.	1 Job	L.S.	

TOTAL BID AWARD PRICE (Items 1 through 11) \$ _____

TOTAL BID AWARD PRICE (in words) _____

The above Total Contract Price includes all allowances, if any, provided for in the Contract Documents. Progress and final payments shall be in accordance with the General Conditions.

When, under the provisions of this Agreement, the District shall charge any sum of money against Contractor, District shall deduct and retain the amount of such charge from the amount of the next succeeding progress estimate, or from any other moneys due or that may become due to the Contractor from District. If, on completion or termination of the Contract, sums due Contractor are insufficient to pay District's charges against him, the District shall have the right to recover the balance from Contractor or its sureties.

Compensation for Unit Price Items shall be based upon the unit prices stated in above schedule times the actual quantities or units of work and materials performed or furnished. Unit prices paid by the District may change depending on actual quantities or units or work completed in accordance with Section 00700-9.04, Increased or Decreased Quantities.

3.2 Substitution of Securities for Money Withheld. At any time prior to final payment, Contractor may request substitution of securities for any money withheld by the District to ensure performance of the Agreement in the manner as provided by California Public Contract Code §22300. At the expense of the Contractor, securities equivalent to the money withheld may be deposited with the District or with an approved financial institution as escrow agent according to a separate Security Agreement. Securities eligible for substitution shall include those listed in §16430 of the California Government Code or bank or savings and loan certificates of deposit.

ARTICLE 4 – CONTRACT TIMES

4.1 Time is of the Essence. All time limits for Milestones, if any, Substantial Completion, and completion and readiness for final payment as stated in the Contract Documents are of the essence of this Agreement.

4.2 Commence Work. Contractor shall commence execution of the Work on the date established in the Notice to Proceed. The District reserves the right to modify or alter the Commencement Date of the Work.

4.3 Days to Achieve Contract Times. The Contractor shall diligently execute the Work to complete each Milestone listed below within the following number of consecutive calendar days from the date established in the Notice to Proceed for the commencement of the Contract Time.

Times Allowed for Completion

Contractual Completion Event	Completion Time (# of calendar days from Notice to Proceed date) or Seasonal Dates
Substantial Completion	280
Final Completion	300

Milestones – Milestones are further defined in Section 01140, Work Restrictions and Section 00800, Supplementary Conditions. .

4.4 Liquidated Damages. The District and Contractor recognize that time is of the essence of this Agreement and that the District will suffer financial loss if the Work is not completed within the contract times specified in 4.3, **Days to Achieve Contract Times**. The actual fact of the occurrences of damages and the actual amount of the damages which District would suffer if the Work were not completed within the specified times set forth above are dependent upon many circumstances and conditions which could prevail in various combinations, and, from the nature of the case, it would be impracticable and extremely difficult to fix the actual damages.

Damages which District would suffer in the event of delay include loss of the use of the Project, and, in addition, expenses of prolonged employment of an architectural and engineering staff; costs of administration, construction management, inspection, and supervision; the loss suffered by the public within the District’s constituent cities by reasons of the delay in the completion of the project to serve the public at the earliest possible time; and, costs associated with ongoing and extended permit compliance.

Accordingly, the District and the Contractor agree that as liquidated damages for delay, in accordance with California Government Code Section 53069.85, the Contractor will forfeit and pay to the District liquidated damages in the amounts set forth in the table below, per day for each and every calendar day that expires after the time for completion specified in the above section 4.3, Days to Achieve Contract Times, except as otherwise provided by extension of time pursuant to Section 00700-8.04, Time Extensions, of the General Conditions.

It is further understood and agreed in accordance with California Government Code Section 53069.85 that the liquidated damages sum specified in this provision is not manifestly unreasonable under the circumstances existing at the time this Contract was made, and that the District may deduct liquidated damages sums in accordance with this provision from any payments due or that may become due the Contractor.

Damages for Delays

Milestone	Contractual Completion Event	Dollars Per Day Liquidated Damages (Amount in Dollars)
	Substantial Completion	\$1,500
	Final Completion	\$1,000

4.6 Damages for Violations of Environmental Impact Mitigation Requirements. The Contractor recognizes that the District has defined environmental controls for the Work to promote compliance with the environmental impact mitigation requirements identified in the District’s certified Final Initial Study / Mitigated Negative Declaration and related mitigation monitoring and reporting plan for the Work. In addition to the liquidated damages specified in Section 4.4, **Liquidated Damages**, the District and the Contractor agree that penalties imposed on and costs incurred by the District as a result of violations of such requirements caused by the actions or inactions of the Contractor, its employees, or subcontractors, the Contractor will forfeit and pay the District any and all penalties and costs for such violations, up to a maximum of \$10,000 per calendar day. Costs related to such penalties and violations include, without limitation, District staff time, District equipment costs, materials, District’s consultants and legal fees.

ARTICLE 5 – INSURANCE AND BONDS

5.1 Insurance. Contractor shall maintain in full force and effect at all times during the term of the Agreement, at its sole expense, policies of insurance in accordance with the General Conditions and the Supplementary Special Conditions. By execution of this Agreement, the Contractor certifies as follows:

“I am aware of the provisions of §3700 of the Labor Code which require every employer to be insured against liability for workers’ compensation or to undertake self-insurance in accordance with the provisions of that code, and I will comply with such provisions before commencing the performance of the Work of this contract.”

5.2 Faithful Performance Bond and Payment Bond. The Contractor, simultaneously with the execution of the Agreement, will be required to furnish a Faithful Performance Bond to guarantee the Faithful Performance of the Contract equal to one hundred (100%) of the Total Contract Price set forth in Article 4 and a Payment Bond required by Part 4, Title 15, Chapter 7, Division Three of the Civil Code of the State of California equal to one hundred percent (100%) of the Total Contract Price set forth in Article 4. The bonds must be issued by a surety company admitted in California and with a current A.M. Best’s rating of no less than A-VII or equivalent, unless otherwise approved by Agency.

Any alteration(s) made in any provision of this Agreement shall not operate to release any surety from liability on any bond required hereunder and the consent to make such alteration(s) is hereby given, and any surety on said bonds hereby waives the provisions of Sections 2819 and 2845 of the California Civil Code.

Contractor must submit the following document with the bonds: The original, or a certified copy, of the unrevoked appointment, power of attorney, bylaws or other instrument entitling or authorizing the person who executed the bond to do so.

ARTICLE 6 – CITY’S REPRESENTATIVE(S) AND AUTHORITY’S CONSULTANTS

6.1 District’s Representative. The District has designated **Kevin Rahman**, or his/her designee to act as District’s Representative. The District may change the individual(s) acting as District’s Representative(s), or delegate one or more specific functions to one or more specific District’s Representative at any time with notice and without liability to Contractor. Each District’s Representative is the beneficiary of all Contractor obligations to the District, including without limitation, all releases and indemnities. All documents requiring the signature/approval of District shall be signed by the District’s Representative.

6.2 Design Engineer. The District has designated **DTN Engineers** to act as Design Engineer. The Design Engineer is included within and covered by Contractor’s release and indemnity obligations to the District.

6.3 Construction Manager. The District has designated **Kevin Rahman**, to act as Construction Manager. The District may assign, upon mutual agreement, all or part of the District’s Representative’s duties, rights and responsibilities to the Construction Manager. The Construction Manager is the beneficiary of all Contractor obligations to the District, including without limitation, all releases and indemnities.

ARTICLE 7 – CONTRACTOR’S REPRESENTATIONS

7.1 Representations and Warranties. In order to induce the District to enter into this Agreement, Contractor makes the following representations and warranties:

- A. Contractor has visited the Site and has examined thoroughly and understood the nature and extent of the Contract Documents, Work, Site, locality, actual conditions, and all local conditions, and federal, state and local laws and regulations that in any manner may affect cost, progress, performance or furnishing of Work or which relate to any aspect of the means, methods, techniques, sequences or procedures of construction to be employed by Contractor and safety precautions and programs incident thereto.
- B. Contractor has examined thoroughly and understood all reports of exploration and tests of subsurface conditions, record drawings, drawings, products specifications or reports, available for bidding purposes, of physical conditions, including Underground Facilities, which are identified in Section 00300, Supplemental Project Information Available to Bidders, or which may appear in the Contract Documents.

Contractor further acknowledges and agrees that, in executing the Agreement, it is relying on its own observation of (1) the site of the Work, (2) access to the Site, (3) all other data and matters requisite to the fulfillment of the Work and on its own knowledge of existing facilities on and in the vicinity of the site of the Work to be constructed under the Contract, (4) the conditions to be encountered, (5) the character, quality and scope of the proposed Work, (6) the quality and quantity of the materials to be furnished, and (7) the requirements of the Contract, the plans, the specifications, and other related information made available to Contractor by the District.

- C. Contractor has correlated its knowledge and the results of all such observations, examinations, investigations, explorations, tests, reports and studies with the terms and conditions of the Contract Documents.
- D. Contractor has given the District prompt written notice of all conflicts, errors, ambiguities, or discrepancies that it has discovered in or among the Contract Documents and record drawings and actual conditions and the written resolution thereof (if any) through Addenda issued by the District is acceptable to Contractor.
- E. Contractor is duly organized, existing and in good standing under applicable state law, and is duly qualified to conduct business in the State of California.
- F. Contractor has duly authorized the execution, delivery and performance of this Agreement, the other Contract Documents and the Work to be performed herein. The Contract Documents do not violate or create a default under any instrument, agreement, order or decree binding on Contractor.

Contractor has listed the following Subcontractors consistent with the Subcontractor Listing Law, California Public Contract Code §4100 <i>et seq.</i> : Name of Subcontractor and Address of Mill or Shop	Description of Subcontractor’s Work	Subcontractor’s License No.

ARTICLE 8 – LABOR CODE COMPLIANCE AND DAVIS-BACON WAGES

8.1 California Labor Code. Pursuant to the provisions of §1770 *et seq.* of the California Labor Code, which are hereby incorporated by reference and made a part hereof, the Director of the Department of Industrial Relations has determined the general prevailing rate of the per diem wages and the general prevailing rate for holiday and overtime Work in the locality in which the Work is to be performed, for each craft, classification or type of worker needed to perform the Work. Per diem wages shall be deemed to include employer payments for health and welfare, pension, vacation, apprenticeship or other training programs, and similar purposes. Copies of the rates are on file at District office, or may be downloaded from the State Department of Industrial Relations website, Division of Labor Statistics and Research, <http://www.dir.ca.gov/dlsr/>. The rate of prevailing wage for any craft, classification or type of workmanship to be employed in performing the Work is the rate established by the applicable collective

bargaining contract which rate so provided is hereby adopted by reference and shall be effective for the life of this Agreement or until the Director of the Department of Industrial Relations determines that another rate is adopted.

It shall be mandatory for the Contractor and all subcontractors to pay not less than such specified prevailing wage rates to all workers employed in the performance of the Work. If any worker engaged in the performance of the Work is paid less than the specified prevailing wage rate, the Contractor shall pay to each such worker the difference between the specified prevailing wage rate and the actual amount paid to such worker for each calendar day or portion thereof. In addition, Contractor shall comply with the provisions of California Labor Code §1775, including provisions which require Contractor to (a) forfeit as penalty to District not more than \$200 for each calendar day or portion thereof for each worker (whether employed by Contractor or any Subcontractor) paid less than the applicable prevailing wage rates for any work done under this Contract in violation of the provisions of the California Labor Code, and (b) pay to each worker the difference between the prevailing wage rate and the amount paid to each worker for each calendar day or portion thereof for which the worker was paid less than the prevailing wage.

The Contractor and each Subcontractor shall keep or cause to be kept an accurate record showing the names, addresses, social security numbers, work classifications, straight time and overtime hours worked each day and week for all laborers, workers and mechanics employed in connection with the performance under the Contract Documents or any subcontract thereunder, and showing the actual per diem wage paid to each of such workers, which records shall be open at all reasonable hours to inspection by District and its agents and to the representatives of the Division of Labor Law Enforcement of the State Department of Industrial Relations. Contractor assumes all responsibility for such payments and shall defend, indemnify and hold the District harmless from any and all claims made by the State of California, the Department of Industrial Relations, any Subcontractor, any worker or any other third party with regard thereto. Contractor shall be responsible to ensure compliance with section 1776. Failure to comply with that section may result in the California Labor Commissioner's assessment of a penalty of \$100 per day per affected worker.

The Contractor shall post, at each jobsite, a copy of such prevailing rate of per diem wages as determined by the Director for the California Department of Industrial Relations.

The successful Bidder and its subcontractors shall employ the appropriate number of apprentices, in each apprenticeable craft, on the project site as stipulated in California Labor Code sections 1777.5, 1777.6 and 1777.7. Failure to comply may result in the California Labor Commissioner's assessment of a penalty of \$100 for each day of noncompliance.

Pursuant to California Labor Code Section 1771.1, by execution below, the Bidder and its Subcontractors certify that when the Bidder's bid was submitted, the Bidder and its Subcontractors were registered and qualified to perform public work pursuant to Section 1725.5 of the California Labor Code, subject to limited legal exceptions. Pursuant to California Labor Code Section 1771.1, by execution below, the Bidder and its Subcontractors certify that they are registered and qualified to perform public work pursuant to Section 1725.5 of the California Labor Code, subject to limited legal exceptions.

8.2 Davis-Bacon Wages - Not Used.

ARTICLE 9 – MISCELLANEOUS PROVISIONS

9.1 Assignment. Contractor shall not assign this Contract or any rights under or interests in the Contract without the District's written approval. No assignment will release or discharge the Contractor from any duty or responsibility under the Contract Documents.

9.2 Successors and Assigns; No Third Party Beneficiaries. The provisions of this Agreement and the Contract Documents shall inure to the benefit of and shall apply to and bind the successors and permitted assigns of the Parties. Nothing contained in this Agreement or the Contract Documents is intended to or shall be deemed to confer upon any person, other than the Parties and their respective successors and permitted assigns, any rights or remedies hereunder.

9.3 Contractor Bankrupt. If Contractor should commence any proceeding under federal bankruptcy law, or if Contractor be adjudged a bankrupt, or if Contractor should make any assignment for the benefit of creditors, or if a receiver should be appointed on account of Contractor's insolvency, then the District may, without prejudice to any other right or remedy, terminate the Agreement and complete the Work by giving notice to Contractor and its surety according to the General Conditions.

The District shall have the right to complete, or cause completion of the Work, all as specified in the General Conditions.

9.4 Unfair Competition. The following provision is included in this Agreement pursuant to California Public Contract Code §7103.5.

"In entering into a public works contract or a subcontract to supply goods, services, or materials pursuant to a public works contract, the contractor or subcontractor offers and agrees to assigning to the awarding body all rights, title, and interest in and to all causes of action it may have under Section 4 of the Clayton Act (15 U.S.C. Sec. 15) or under the Cartwright Act (Chapter 2 (commencing with §16700) of Part 2 of Division 7 of the Business and Professions Code), arising from purchases of goods, services, or materials pursuant to the public works contract or the subcontract. This assignment shall be made and become effective at the time the awarding body tenders final payment to the contractor, with further acknowledgment by the parties."

9.5 Nondiscrimination and Equal Opportunity. The Contractor shall not discriminate on the basis of a person's race, religion, color, national origin, age, physical or mental handicap or disability, medical condition, marital status, sex, or sexual orientation, against any employee, applicant for employment, subcontractor, bidder for a subcontract, or participant in, recipient of, or applicant for any services or programs provided by Contractor under this Agreement. Contractor shall comply with all applicable federal, state, and local laws, policies, rules, and requirements related to equal opportunity and nondiscrimination in employment, contracting, and the provision of any services that are the subject of this Agreement, including but not limited to the satisfaction of any affirmative obligations required of Contractor thereby.

Contractor shall include the provisions of this Subsection in any subcontract.

9.6 No Waiver of Remedies. Neither the inspection by the District or its agents, nor any order or certificate for payment of money, nor any payment for, nor acceptance of the whole or any part of the Work by the District, nor any extensions of time, nor any positions taken by District or its agents shall operate as a waiver of any provision of this Agreement or the Contract Documents or of any power herein reserved to District or any right to damages herein provided, nor shall any waiver of any breach of this Agreement or of the Contract Documents be held to be a waiver of any other or subsequent breach. All remedies provided in this Agreement and in the Contract Documents shall be taken and construed as cumulative; that is, in addition to each and every other remedy provided in this Agreement and/or the Contract Documents, and the District shall have any and all equitable and legal remedies, which it would in any case have.

9.7 Governing Law. This Agreement and the Contract Documents shall be deemed to have been entered into in the County of Contra Costa, State of California, and governed by California law (excluding choice of law rules). By entering into this Agreement, the Contractor consents and submits to the jurisdiction of Courts of the State of California, over any action of law, suit in equity, and/or other proceeding that may arise out of the Contract Documents.

9.8 Notices. Except as otherwise specified herein, all notices to be sent pursuant to this Agreement or the Contract Documents shall be made in writing, and sent to the Parties at their respective addresses specified below or to such other address as a Party may designate by written notice delivered to the other parties in accordance with this Section. All such notices shall be sent by:

- A. personal delivery, in which case notice is effective upon delivery;
- B. certified or registered mail, return receipt requested, in which case notice shall be deemed delivered on receipt if delivery is confirmed by a return receipt;

- C. nationally recognized overnight courier, with charges prepaid or charged to the sender's account, in which case notice is effective on delivery if delivery is confirmed by the delivery service;
- D. facsimile transmission, in which case notice shall be deemed delivered upon transmittal, provided that (a) a duplicate copy of the notice is promptly delivered by first-class or certified mail or by overnight delivery, or (b) a transmission report is generated reflecting the accurate transmission thereof. Any notice given by facsimile shall be considered to have been received on the next business day if it is received after 5:00 p.m. recipient's time or on a non-business day.

Any written notice to Contractor shall be sent to:

Company Name _____

Company Address _____

Individual's Name _____

Individual's Email Address _____

Individual's Direct Dial Phone #: _____

Individual's Fax # _____

Any written notice to District shall be sent to:

9.9 Execution in Counterparts. This Agreement may be executed in counterparts each of which shall be deemed an original and all of which taken together shall constitute one and the same instrument.

IN WITNESS WHEREOF, the parties hereto have signed the Agreement on the date set forth opposite their names.

CONTRACTOR

Under penalty of perjury, I certify that the taxpayer identification number and all other information provided here are correct.

DATE: _____

BY _____

Print Name

Title

BY _____

Print Name

Title

DIR Registration #

Federal ID#

State ID#

California Contractor's License # & Classifications

Contractor's License Expiration Date

Type of Business Entity (*check one*):

- _____ Individual/Sole Proprietor
- _____ Partnership
- _____ Corporation
- _____ Limited Liability Company
- _____ Other (*please specify*: _____)

If Contractor is an out-of-state corporation, Contractor warrants and represents that it possesses a valid certificate of qualification to transact business in the State of California issued by the California Secretary of State pursuant to Section 2105 of the California Corporations Code.

Sausalito-Marin City Sanitary District
a California Public Entity

DATE: _____

BY _____
District Manager

Original Approved As To Form:

Attest:

*****, District Attorney

*****, District Secretary

Original Approved as to Form:

*****, District Engineer

****END OF SECTION 00510****

SECTION 00515
CERTIFICATE OF AUTHORIZATION
(If Contractor is a Corporation)

STATE OF CALIFORNIA

COUNTY OF MARIN

I HEREBY CERTIFY that at a meeting of the Board of Directors of the _____
_____, a corporation existing under the

laws of the State of California, held on _____, 20____, the following resolution duly
passed and adopted:

“RESOLVED, that _____,
as _____ President of the Corporation, be and is

hereby authorized to execute the Agreement dated _____, 20__, to the Sausalito-
Marin City Sanitary District and this Corporation and that his/her execution thereof, attested by
the Secretary of the Corporation, and with the Corporate seal fixed, shall be the official act and
deed of this Corporation.”

I further Certify that said resolution is now in full force and effect.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the official seal of the
Corporation this _____ day of _____, 20__.

(seal)

Secretary

Corporate Officer

Company Address:

END OF SECTION

SECTION 00520
CERTIFICATE OF AUTHORIZATION
 (If Contractor is a Partnership)

STATE OF CALIFORNIA

COUNTY OF MARIN

I HEREBY CERTIFY that at a meeting of the Partners of the _____

_____, a Partnering existing under the

laws of the State of California, held on _____, 20____, does hereby certify that

_____,

as _____ of the Partnership, be and is

hereby authorized to execute the Agreement dated _____, 20__, to the Sausalito-
Marin City Sanitary District and this Partnership and that his/her execution thereof, attested
by _____, shall be the official act and deed of this Partnership."

I further certify that said authorization is now in full force and effect.

Managing Partner

Date: _____

Company Address:

END OF SECTION

SECTION 00525
CERTIFICATE OF AUTHORIZATION
 (If Contractor is a Joint Venture)

STATE OF CALIFORNIA

COUNTY OF MARIN

I HEREBY CERTIFY that at a meeting of the Principals of the _____

_____, a Joint Venture existing under the

laws of the State of California, held on _____, 20____, the following resolution duly passed and adopted:

“RESOLVED, that _____,

as _____ of the Joint Venture, be and is

hereby authorized to execute the Agreement dated _____, 20__, to the Sausalito-Marin City Sanitary District (“District”) and this Corporation and that his/her execution thereof, attested by the _____, shall be the official act and deed of this Joint Venture.”

I further Certify that said resolution is now in full force and effect.

Managing Principal

Date: _____

Joint Venture Address:

END OF SECTION

SECTION 00530
CERTIFICATE OF AUTHORIZATION

(If Contractor is a Limited Liability Company (LLC))

STATE OF CALIFORNIA

COUNTY OF MARIN

The undersigned, being (a/the) duly elected, qualified and active (member / manager) of _____, a California limited Liability Company (hereinafter "the Company") does hereby certify that:

1. The Articles of Organization of the Company were duly filed with the Office of the Secretary of State of the State of California on _____, and the Articles of Organization have not been (further) amended.
2. There exists an Operating Agreement of the Company and that the said Operating Agreement has not been amended or repealed and that the said Operating Agreement remains in full force and effect as of this date.
3. Neither the Articles of Organization nor the Operating Agreement (as amended) require any further act to be taken or a meeting to be held by its members other than as follows:
4. All said requirements, whether as contained in the Articles of Organization or in the Operating Agreement or by operation of law as to the transaction of _____, 20__ have been met.
5. The following person or persons has/have been duly authorized by the Company to execute the Agreement dated _____, 20__, to the Sausalito-Marín City Sanitary District ("District") and this Company and that his/her execution of all documents in connection with said transaction and that the signature appearing to the right of their name(s) is his/her genuine signature.

NAME	OFFICE HELD	SIGNATURE
_____	_____	_____
_____	_____	_____
_____	_____	_____

END OF SECTION

SECTION 00540
PERFORMANCE BOND

KNOW ALL PERSONS BY THESE PRESENTS, that

WHEREAS, the SAUSALITO-MARIN CITY SANITARY DISTRICT, (hereinafter referred to as "District") has entered into a Contract with _____, (hereinafter referred to as "Principal" or "Contractor"), for construction of the _____ (the "Project");

WHEREAS, the work to be performed by the Contractor is more particularly set forth in the Contract Documents for the Project dated _____, (hereinafter referred to as "Contract Documents"), the terms and conditions of which are expressly incorporated herein by reference; and

WHEREAS, the Principal is required under the terms of the Contract Documents to furnish a bond of faithful performance of the Contract Documents.

NOW, THEREFORE, we, the undersigned Principal, and _____, as Surety, are held and firmly bound unto the District, in the sum of _____ Dollars (\$ _____) lawful money of the United States, to be paid to the District or its successors and assigns; for which payment, we bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH, that if the above-bound Principal, or its heirs, executors, administrators, successors, or assigns approved by the District, shall promptly and faithfully perform the covenants, conditions and agreements in the Contract Documents during the original term and any extensions thereof as may be granted by the District, with or without notice to Surety, and during the period of any guarantees or warranties required under the Contract Documents, and shall also promptly and faithfully perform all the covenants, conditions, and agreements of any alteration of the Contract Documents made as therein provided, notice of which alterations to Surety being hereby waived, on Principal's part to be kept and performed at the time and in the manner therein specified, and in all respects according to their true intent and meaning, and shall indemnify, defend, protect, and hold harmless the District as stipulated in the Contract Documents, then this obligation shall become and be null and void; otherwise it shall be and remain in full force and effect.

As a condition precedent to the satisfactory completion of the Contract Documents, unless otherwise provided for in the Contract Documents, the above obligation shall hold good for a period of one (1) year after the acceptance of the work by the District, during which time if Contractor shall fail to make full, complete, and satisfactory repair and replacements, then the obligations of Surety hereunder shall continue so long as any such obligation of Contractor remains. Nothing herein shall limit the District's rights or the Contractor or Surety's obligations under the Contract Documents, law or equity, including, but not limited to, California Code of Civil Procedure Section 337.15.

1. Principal and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors and assigns to the District and the State of California for the complete and proper performance of the Construction Contract, which is incorporated herein by reference.
2. If Principal completely and properly performs all of its obligations under the Construction Contract, Surety and Principal shall have no obligation under this Bond.
3. If there is no District Default, Surety's obligation under this Bond shall arise after:
 - 3.1 The District provides Surety with written notice that the District has declared a Principal Default under the Construction Contract pursuant to the terms of the Construction Contract; and
 - 3.2 The District has agreed to pay the Balance of the Contract Sum:
 - 3.2.1 To Surety in accordance with the terms of this Bond and the Construction Contract; or
 - 3.2.2 To a Principal selected to perform the Construction Contract in accordance with the terms of this Bond and the Construction Contract.
4. When the District has satisfied the conditions of Paragraph 3 above, Surety shall promptly (within 40 Days) and at Surety's expense elect to take one of the following actions:
 - 4.1 Arrange for Principal, with consent of the District, to perform and complete the Construction Contract (but the District may withhold consent, in which case the Surety must elect an option described in Paragraphs 4.2, 4.3 or 4.4 below); or
 - 4.2 Undertake to perform and complete the Construction Contract itself, through its agents or through independent contractors or Construction entities; provided, that Surety may not select Principal as its agent or independent contractor or Contractor without the District's consent; or
 - 4.3 Undertake to perform and complete the Construction Contract by obtaining bids from qualified contractors or Construction entities acceptable to the District for a contract for performance and completion of the Construction Contract and, upon determination by the District of the lowest responsive and responsible Bidder, arrange for a contract to be prepared for execution by the District and the contractor or Principal selected with the District's concurrence, to be secured with performance and payment bonds executed by a qualified surety equivalent to the bonds issued on the Construction Contract; and, if Surety's obligations defined in Paragraph 6 below, exceed the Balance of the Contract Sum, then Surety shall pay to the District the amount of such excess; or
 - 4.4 Waive its right to perform and complete, arrange for completion, or obtain a new contractor or Principal, and with reasonable promptness under the circumstances and, after investigation and consultation with the District, determine in good faith its monetary obligation to the District under Paragraph 6 below, for the performance and completion of the Construction Contract and, as soon as practicable after the amount is determined, tender payment therefor to the District

with full explanation of the payment's calculation. If the District accepts Surety's tender under this Paragraph 4.4, the District may still hold Surety liable for future damages then unknown or unliquidated resulting from the Principal Default, as agreed by the District and Surety at the time of tender. If the District disputes the amount of Surety's tender under this Paragraph 4.4, the District may exercise all remedies available to it at law to enforce Surety's liability under Paragraphs 6 and 7 below.

5. At all times the District shall be entitled to enforce any remedy available to the District at law or under the Construction Contract including, without limitation, and by way of example only, rights to perform work, protect Work, mitigate damages, advance critical Work to mitigate schedule delay, and coordinate Work with other consultants or contractors.
6. If Surety elects to act under Paragraphs 4.1, 4.2 or 4.3 above, within the time period provided in Paragraph 4, above, and complies with its obligations under this Bond, Surety's obligations under this Bond are commensurate with Principal's Construction Contract obligations. Surety's obligations include, but are not limited to:
 - 6.1 Principal's obligations to complete the Construction Contract and correct Defective Work;
 - 6.2 Principal's obligations to pay liquidated damages; and
 - 6.3 To the extent otherwise required of Principal under the Construction Contract, Principal's obligations to pay additional legal, design professional, and other costs not included within liquidated damages resulting from Principal Default (but excluding attorney's fees incurred to enforce this Bond).
7. If Surety does not elect to act under Paragraphs 4.1, 4.2, 4.3, or 4.4, above, within the time period provided in Paragraph 4, above, or comply with its obligations under this Bond, then Surety shall be deemed to be in default on this Bond ten Days after receipt of an additional written notice from the District to Surety demanding that Surety perform its obligations under this Bond. Such Surety default shall be independent of the Principal Default. To the extent Surety's independent default causes the District to suffer damages including, but not limited to, delay damages, which are different from, or in addition to (but not duplicative of) damages which the District is entitled to receive under the Construction Contract, Surety shall also be liable for such damages. In the event any Surety obligation following its independent default is inconsistent or conflicts with California Civil Code Section 2809, or any other law which either prohibits, restricts, limits or modifies in any way any obligation of a surety which is larger in amount or in any other respect more burdensome than that of the principal, Surety hereby waives the provisions of such laws to that extent.
8. If Surety elects to act under Paragraphs 4.1, 4.3 or 4.4 above, within the time period provided in Paragraph 4, above, and complies with all obligations under this Bond, Surety's monetary obligation under this Bond is limited to the Penal Sum.
9. No right of action shall accrue on this Bond to any person or entity other than the District or its successors or assigns.

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10. Surety hereby waives notice of any change, alteration or addition to the Construction Contract or to related subcontracts, design agreements, purchase orders and other obligations, including changes of time, and of any the District action in accordance with Paragraph 5 above. Surety consents to all terms of the Construction Contract, including provisions on changes to the Contract. No extension of time, change, alteration, Modification, deletion, or addition to the Contract Documents, or of the Work (including services) required thereunder, or any District action in accordance with Paragraph 5 above shall release or exonerate Surety on this Bond or in any way affect the obligations of Surety on this Bond, unless such action is a District Default.
11. Any proceeding, legal or equitable, under this Bond shall be instituted in any court of competent jurisdiction where a proceeding is pending between the District and Principal regarding the Construction Contract, or in the Superior Court of the County of Marin, California, or in a court of competent jurisdiction in the location in which the Work is located. Communications from the District to Surety under Paragraph 3.1 above shall be deemed to include the necessary agreements under Paragraph 3.2 above unless expressly stated otherwise.
12. All notices to Surety or Principal shall be mailed or delivered (at the address set forth on the signature page of this Bond), and all notices to the District shall be mailed or delivered as provided in Document 005200 (Agreement). Actual receipt of notice by Surety, the District or Principal, however accomplished, shall be sufficient compliance as of the date received at the foregoing addresses.
13. Any provision in this Bond conflicting with any statutory or regulatory requirement shall be deemed deleted herefrom and provisions conforming to such statutory requirement shall be deemed incorporated herein.
14. **Definitions**
- 14.1 **Balance of the Contract Sum:** The total amount payable by the District to Principal pursuant to the terms of the Construction Contract after all proper adjustments have been made under the Construction Contract, for example, deductions for progress payments made, and increases/decreases for approved Modifications to the Construction Contract.
- 14.2 **Construction Contract:** The agreement between the District and Principal identified on the signature page of this Bond, including all Contract Documents and changes thereto.
- 14.3 **Principal Default:** Material failure of Principal, which has neither been remedied nor waived, to perform or otherwise to comply with the terms of the Construction Contract, limited to "default" or any other condition allowing a termination for cause as provided in Document 00 7200 (General Conditions).
- 14.4 **District Default:** Material failure of the District, which has neither been remedied nor waived, to pay Principal progress payments due under the Construction Contract or to perform other material terms of the Construction Contract, if such failure is the cause of the asserted Principal Default and is sufficient to justify Principal termination of the Construction Contract.

IN WITNESS WHEREOF, the above-bound parties have executed this instrument under their seals this _____ day of _____, 20____, the name and corporate seal of each corporate party being hereto affixed and these presents duly executed by its undersigned representative, pursuant to authority of its governing body.

(Corporate Seal)

Contractor/ Principal

By _____

Title _____

(Corporate Seal)

Surety

By _____
Attorney-in-Fact

(Attach Attorney-in-Fact Certificate)

Title _____

The rate of premium on this bond is _____ per thousand. The total amount of premium charges is \$_____.
(The above must be filled in by corporate attorney.)

THIS IS A REQUIRED FORM

Any claims under this bond may be addressed to:

(Name and Address of Surety)

(Name and Address of Agent or Representative for service of process in California, if different from above)

(Telephone number of Surety and Agent or Representative for service of process in California)

Notary Acknowledgment

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA
COUNTY OF _____

On _____, 20____, before me, _____, Notary Public, personally appeared _____, who proved to me on the basis of satisfactory

evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

CAPACITY CLAIMED BY SIGNER

DESCRIPTION OF ATTACHED DOCUMENT

- Individual
- Corporate Officer

Title(s)

Title or Type of Document

- Partner(s)
 - Limited
 - General

Number of Pages

- Attorney-In-Fact
- Trustee(s)
- Guardian/Conservator
- Other:

Date of Document

Signer is representing:
Name Of Person(s) Or Entity(ies)

Signer(s) Other Than Named Above

NOTE: This acknowledgment is to be completed for Contractor/Principal.

Notary Acknowledgment

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA
COUNTY OF _____

On _____, 20____, before me, _____, Notary Public, personally appeared _____, who proved to me on the basis of satisfactory

evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature of Notary Public

OPTIONAL

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NOTE: This acknowledgment is to be completed for the Attorney-in-Fact. The Power-of Attorney to local representatives of the bonding company must also be attached.

END OF SECTION

**SECTION 00550
PAYMENT BOND**

KNOW ALL PERSONS BY THESE PRESENTS, that

WHEREAS, the SAUSALITO-MARIN CITY SANITARY DISTRICT, (hereinafter referred to as "District") and _____, (hereinafter referred to as "Principal") have entered into a Contract for the _____ (the "Project"); and

WHEREAS, the work to be performed by the Contractor is more particularly set forth in the Contract Documents for the Project dated _____, (hereinafter referred to as "Contract Documents"), the terms and conditions of which are expressly incorporated herein by reference; and

WHEREAS, said Principal is required to furnish a bond in connection with said contract; providing that if said Principal or any of its Subcontractors shall fail to pay for any materials, provisions, provender, equipment, or other supplies used in, upon, for or about the performance of the work contracted to be done, or for any work or labor done thereon of any kind, or for amounts due under the Unemployment Insurance Code or for any amounts required to be deducted, withheld, and paid over to the Employment Development Department from the wages of employees of said Principal and its Subcontractors with respect to such work or labor the Surety on this bond will pay for the same to the extent hereinafter set forth.

NOW THEREFORE, we, the Principal and _____ as Surety, are held and firmly bound unto the District in the penal sum of _____ Dollars (\$_____) lawful money of the United States of America, for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH that if said Principal, his or its subcontractors, heirs, executors, administrators, successors or assigns, shall fail to pay any of the persons named in Civil Code Section 9100, fail to pay for any materials, provisions or other supplies, used in, upon, for or about the performance of the work contracted to be done, or for any work or labor thereon of any kind, or amounts due under the Unemployment Insurance Code with respect to work or labor performed under the contract, or for any amounts required to be deducted, withheld, and paid over to the Employment Development Department or Franchise Tax Board from the wages of employees of the contractor and his subcontractors pursuant to Revenue and Taxation Code Section 18663, with respect to such work and labor the Surety or Sureties will pay for the same, in an amount not exceeding the sum herein above specified, and also, in case suit is brought upon this bond, all litigation expenses incurred by the District in such suit, including reasonable attorneys' fees, court costs, expert witness fees and investigation expenses.

This bond shall inure to the benefit of any of the persons named in Civil Code Section 9100 so as to give a right of action to such persons or their assigns in any suit brought upon this bond.

It is further stipulated and agreed that the Surety on this bond shall not be exonerated or released from the obligation of this bond by any change, extension of time for performance, addition, alteration or modification in, to, or of any contract, plans, specifications, or agreement pertaining

or relating to any scheme or work of improvement herein above described, or pertaining or relating to the furnishing of labor, materials, or equipment therefore, nor by any change or modification of any terms of payment or extension of the time for any payment pertaining or relating to any scheme or work of improvement herein above described, nor by any rescission or attempted rescission of the contract, agreement or bond, nor by any conditions precedent or subsequent in the bond attempting to limit the right of recovery of claimants otherwise entitled to recover under any such contract or agreement or under the bond, nor by any fraud practiced by any person other than the claimant seeking to recover on the bond and that this bond be construed most strongly against the Surety and in favor of all persons for whose benefit such bond is given, and under no circumstances shall Surety be released from liability to those for whose benefit such bond has been given, by reason of any breach of contract between the owner or the District and original contractor or on the part of any obligee named in such bond, but the sole conditions of recovery shall be that claimant is a person described in Civil Code Section 9100, and has not been paid the full amount of his claim.

The Surety, for value received, hereby stipulates and agrees that no change, extension of time, alteration or addition to the terms of the Contract to be performed thereunder, shall in any way affect its obligations on this bond, and it does hereby waive notice of any such change, extension of time, alteration or addition to the terms of Contract, including but not limited to, the provisions of Sections 2819 and 2845 of the California Civil Code.

IN WITNESS WHEREOF, we have hereunto set our hands and seals this _____ day of _____, 20__.

(Corporate Seal)

Contractor/ Principal

By _____

Title _____

(Corporate Seal)

Surety

By _____

Attorney-in-Fact

(Attach Attorney-in-Fact Certificate)

Title _____

Notary Acknowledgment

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STATE OF CALIFORNIA
COUNTY OF _____

On _____, 20____, before me, _____, Notary Public, personally appeared _____, who proved to me on the basis of satisfactory

evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature of Notary Public

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Signer is representing:
Name Of Person(s) Or Entity(ies)

Signer(s) Other Than Named Above

NOTE: This acknowledgment is to be completed for the Attorney-in-Fact. The Power-of-Attorney to local representatives of the bonding company must also be attached.

END OF SECTION

SECTION 00560
CONTRACTORS CERTIFICATION REGARDING
WORKER'S COMPENSATION

Contract with the Sausalito-Marín City Sanitary District for the construction of:

PROJECT TITLE:

PROJECT NO.:

Labor Code §3700:

"Every employer, except the State, and all political subdivisions or institutions thereof, shall secure the payment of compensation in one or more of the following ways:

- (a) By being insured against liability to pay compensation by one or more insurers, duly authorized to write compensation insurance in this State.
- (b) By securing from the Director of Industrial Relations a certificate on consent to self-insure, which may be given upon furnishing proof satisfactory to the Director of Industrial Relations of ability to self-insure and to pay any compensation that may become due to employees."

I am aware of the provisions of §3700 of the Labor Code that require every employer to be insured against liability for Worker's Compensation or to undertake self-insurance. In accordance with the provisions of that code, I will comply with such provisions before commencing the performance of the Work of this Contract.

Contractor: _____

By: _____

Title: _____

Dated: _____

(Labor Code §1861 requires that this Contractor certification must be signed and filed by the Contractor with the public agency prior to performing any Work.)

END OF SECTION

SECTION 00600
NOTICE TO PROCEED

Date: _____

To: _____
(Name of Contractor)

Address: _____

Project Name: _____

You are notified that the Contract Times under the above contract will commence to run three (3) consecutive calendar days after the above date of this Notice to Proceed. On that date, you are to start performing your obligations under the Contract Documents.

Before you may start any Work at the Site, you must:

1. Submit certified Safety Program as required by California Code of Regulations, Title 8, General Industry Safety Orders and other related regulatory requirements.
2. Submit copies of applicable permits (Example: Cal DOSH Annual Trench Excavation Permit)
Submit approved fire protection plan, if applicable.
3. Submit approved Excavation and Shoring Plan.
4. Attend preconstruction conference.

Sausalito-Marin City Sanitary District

By: _____

Its: _____

Copy to: Construction Manager
Design Engineer

END OF SECTION

**SECTION 00610
SAMPLE ESCROW AGREEMENT
FOR
SECURITY DEPOSITS IN LIEU OF RETENTION**

This Escrow Agreement is made and entered into by and between: Sausalito-Marin City Sanitary District whose address is 1 East Road, Sausalito, California 94965, hereinafter called "**District**", and _____ whose address is _____ hereinafter called "**Contractor**", and _____ whose address is _____ hereinafter called "**Escrow Agent**".

For the consideration hereinafter set forth, District, Contractor, and Escrow Agent agree as follows:

1. Pursuant to Section 22300 of the Public Contract Code of the State of California, Contractor has the option to deposit securities with Escrow Agent as a substitute for retention earnings required to be withheld by District pursuant to the Construction contract entered into between the District and Contractor for the Project, in the amount of \$_____ dated _____ (hereinafter referred to as the "Contract"). Alternatively, on written request of the contractor, the District shall make payment of the retention earnings directly to the Escrow Agent. When the Contractor deposits the securities as a substitute for Contract earnings, the Escrow Agent shall notify the District within ten (10) days of the deposit. The market value of the securities at the time of the substitution shall be at least equal to the cash amount then required to be withheld as retention under the terms of the Contract between the District and Contractor. Securities shall be held in the name of _____ and shall be designated the Contractor as the beneficial owner.
2. District shall make progress payments to Contractor for those funds which otherwise would be withheld from progress payments pursuant to the Contract provisions, provided that Escrow Agent holds securities in the form and amount specified above.
3. When the District makes payment of retentions earned directly to the Escrow Agent, the Escrow Agent shall hold them for the benefit of Contractor until the time that the escrow created under this contract is terminated. The Contractor may direct the investment of the payments into securities. All terms and conditions of this Escrow Agreement and the rights and responsibilities of the parties shall be equally applicable and binding when the District pays the Escrow Agent directly.
4. Contractor shall be responsible for paying all fees for the expenses incurred by Escrow Agent in administering the Escrow Account and all expenses of the District. The District, Contractor, and Escrow Agent shall determine these expenses and payment terms.
5. The interest earned on the securities or money market accounts held in escrow and all interest earned on that interest shall be for the sole account of Contractor and shall be subject to withdrawal by Contractor at any time and from time to time without notice to District.
6. Contractor shall have the right to withdraw all or any part of the principal in the Escrow Account only by written notice to Escrow Agent accompanied by written authorization from District to Escrow Agent that District consents to the withdrawal of the amount sought to be withdrawn by Contractor.
7. District shall have a right to draw upon the securities in the event of default by Contractor. Upon seven (7) days written notice to Escrow Agent from District of the default, Escrow Agent shall immediately convert the securities to cash and shall distribute the cash as instructed by District.
8. Upon receipt of written notification from District certifying that the Contract is final and complete, and that Contractor has complied with all requirements and procedures applicable to the Contract, Escrow Agent shall release to Contractor all securities and interest on deposit less escrow fees and charges of the Escrow Account. The escrow shall be closed immediately upon disbursement of all moneys and securities on deposit and payment of all fees and charges.
9. Escrow Agent shall rely on the written notifications from the District and the Contractor pursuant to Sections (5) through (8), inclusive, of this Escrow Agreement, and the District and the Contractor shall hold Escrow Agent harmless from Escrow Agent's release and disbursement of securities and interest as set forth above.

10. The names of the persons who are authorized to give written notice or to receive written notice on behalf of District, Contractor and Escrow Agent in connection with the foregoing, and exemplars of their respective signatures are as follows:

On behalf of District:

Signature

Name

Title

Address

On behalf of Contractor:

Signature

Name

Title

Address

On behalf of Escrow Agent:

Signature

Name

Title

Address

At the time the Escrow Account is opened, District and Contractor shall deliver to Escrow Agent a fully executed counterpart of this Escrow Agreement.

IN WITNESS WHEREOF, the parties have executed this Escrow Agreement by their proper officers on

_____.

DISTRICT:

Signature

Name

Title

Address

CONTRACTOR:

Signature

Name

Title

Address

END OF SECTION

SECTION 00700
GENERAL CONDITIONS
TABLE OF CONTENTS

ARTICLE 1 - GENERAL PROVISIONS.....1

1.01 ABBREVIATIONS 1

1.02 DEFINITION OF WORDS AND TERMS:..... 1

1.03 CONTRACT DOCUMENTS- REPRESENTATIONS, CORRELATION AND INTENT 9

1.04 INTERPRETATION OF THE CONTRACT DOCUMENTS 11

1.05 ORDER OF PRECEDENCE OF THE CONTRACT DOCUMENTS 12

1.06 ADDITIONAL DETAILED INSTRUCTIONS..... 12

1.07 RIGHTS AND REMEDIES 13

ARTICLE 2 - CONTRACT ADMINISTRATION 14

2.01 ADMINISTRATION OF THE CONTRACT 14

2.02 DISTRICT’S AUTHORIZED REPRESENTATIVE 14

2.03 CONSTRUCTION MANAGER 14

2.04 DESIGN ENGINEER..... 16

ARTICLE 3 - DISTRICT 17

3.01 GENERAL 17

3.02 ATTENTION TO WORK..... 17

3.03 OBSERVATION AND INSPECTION 17

3.04 DISTRICT’S RIGHT TO USE OR OCCUPY 17

3.05 DISTRICT’S RIGHT TO CARRY OUT THE WORK 17

3.06 DISTRICT’S RIGHT TO PERFORM WORK AND TO AWARD SEPARATE CONTRACTS.. 18

3.07 DISTRICT ACCESS TO WORK AREAS 18

3.08 DISTRICT-ACQUIRED PERMITS 18

3.09 DISTRICT-ACQUIRED EASEMENTS 18

3.10 NON-RESPONSIBILITY OF THE DISTRICT 19

ARTICLE 4 - CONTRACTOR 20

4.01 GENERAL RESPONSIBILITIES 20

4.02 STATUS OF CONTRACTOR, SUBCONTRACTORS AND SUPPLIERS 20

4.03 CONTRACTOR REPRESENTATIVE 21

4.04 WORKPLACE ENVIRONMENT 21

4.05 COMPLIANCE WITH LAWS 22

4.06 COMPLIANCE WITH ENVIRONMENT LAWS 27

4.07 AUDITS AND ACCESS TO RECORDS 28

4.08 USE AND PROTECTION OF DISTRICT’S SITE AND ADJACENT PROPERTY 28

4.09 FEES AND PERMITS 29

4.10 PROVISIONS FOR HANDLING EMERGENCIES..... 30

4.11 standard AND NONSTANDARD WORKING HOURS..... 30

ARTICLE 5 - SUBCONTRACTORS 31

5.01 SUBLETTING AND SUBCONTRACTING 31

5.02 SUBCONTRACTOR’S CONTRACTUAL OBLIGATIONS 31

5.03 CONTROL OF SUBCONTRACTORS 32

ARTICLE 6 - CONSTRUCTION BY DISTRICT OR BY SEPARATE CONTRACTORS..... 33

6.01 DISTRICT’S RIGHT TO PERFORM CONSTRUCTION AND AWARD SEPARATE CONTRACTS
..... 33

6.02 COOPERATION WITH DISTRICT’S FORCES AND OTHER CONTRACTORS EMPLOYED BY
DISTRICT 33

6.03 MUTUAL RESPONSIBILITY..... 33

6.04 DISTRICT’S RIGHT TO CLEAN UP 33

ARTICLE 7 - CONTROL OF THE WORK AND MATERIALS 34

- 7.01 MEANS, METHODS AND APPLIANCES 34
- 7.02 CONTRACTOR'S RESPONSIBILITY FOR WORK 34
- 7.03 SUPPLY OF SUFFICIENT WORKERS 34
- 7.04 MATERIALS AND WORKMANSHIP 35
- 7.05 USE OF MATERIALS FOUND ON THE PROJECT SITE 35
- 7.06 EXISTING UTILITIES 35

ARTICLE 8 - PROGRESS OF THE WORK AND TIME 38

- 8.01 COMMENCEMENT OF THE WORK 38
- 8.02 CONTRACT TIME 38
- 8.03 DELAYS 38
- 8.04 TIME EXTENSIONS 39
- 8.05 INDIRECT OVERHEAD 40
- 8.06 LIQUIDATED DAMAGES FOR Failure to meet completion dates 41
- 8.07 LIQUIDATED DAMAGES FOR PUBLIC HARDSHIP, NEGATIVE IMPACT, AND DAMAGE TO EXISTING UTLITY MAINS 41
- 8.08 ACCELERATION OF WORK 42
- 8.09 SUSPENSION OF WORK 43
- 8.10 DISTRICT'S RIGHT TO TERMINATE CONTRACT 43
- 8.11 CONTRACTOR'S RIGHT TO TERMINATE 46

ARTICLE 9 - CHANGES IN THE WORK 47

- 9.01 GENERAL 47
- 9.02 CONTRACT MODIFICATION PROCEDURES AND TIMELINES 48
- 9.03 COST DETERMINATION 52
- 9.04 INCREASED OR DECREASED QUANTITIES 54
- 9.05 COST PRICING DATA AND ACCESS TO RECORDS 56
- 9.06 TIME EXTENSIONS FOR CHANGE ORDERS 56
- 9.07 CONTRACTOR'S ACCEPTANCE OF CHANGE ORDERS 56
- 9.08 CONSTRUCTION COST REDUCTION INCENTIVE (Value Engineering Proposal) 57

ARTICLE 10 - PAYMENTS 60

- 10.01 BASIS OF PAYMENT 60
- 10.02 PARTIAL PAYMENTS 60
- 10.03 PARTIAL PAYMENTS- INCLUSION OF MATERIALS ON HAND 61
- 10.04 RIGHT TO WITHHOLD AMOUNTS 63
- 10.05 STOP NOTICES 63
- 10.06 SECURITY SUBSTITUTION FOR RETENTION 63
- 10.07 WARRANTY OF TITLE 64
- 10.08 SUBSTANTIAL COMPLETION 65
- 10.09 FINAL INSPECTION AND PAYMENT 65

ARTICLE 11 - PROTESTS, DISPUTES AND CLAIMS 67

- 11.01 PROTESTS 67
- 11.02 DISPUTES 67
- 11.03 NOTICE OF POTENTIAL CLAIM 68
- 11.04 CONTEMPORANEOUS DOCUMENTATION 68
- 11.05 CLAIMS 68
- 11.06 PROCESSING OF CLAIMS 70
- 11.07 UNSUCCESSFUL NEGOTIATIONS 73

ARTICLE 12 - PROTECTION OF PERSONS AND PROPERTY 74

- 12.01 SAFETY PROVISIONS 74
- 12.02 PUBLIC ACCESS, SAFETY AND CONVENIENCE 79
- 12.03 PROTECTION AND RESTORATION OF PROPERTY 80
- 12.04 PRESERVATION OF CULTURAL RESOURCES 81

ARTICLE 13 - INSURANCE AND INDEMNIFICATION 83

- 13.01 Insurance 83
- 13.02 General Indemnification Obligations 88
- 13.03 Hazardous Substance Indemnifications Obligations 90

ARTICLE 14 - UNDERCOVERING AND CORRECTION WORK 92

- 14.01 GENERAL 92
- 14.02 CORRECTION OF WORK AND CORRECTIVE ACTION PLANS 92
- 14.03 ACCEPTANCE OF NONCONFORMING WORK 93

ARTICLE 15 - FINAL COMPLETION AND ACCEPTANCE 94

- 15.01 USE BEFORE ACCEPTANCE 94
- 15.02 CONTRACTOR'S RESPONSIBILITY TO MANAGE INCOMPLETE AND DEFICIENT WORK 94
- 15.03 COMPLETION OF INTERMEDIATE MILESTONES 95
- 15.04 PRELIMINARY FINAL INSPECTION FOR INTERMEDIATE MILESTONE(S) 95
- 15.05 FINAL INSPECTION FOR INTERMEDIATE MILESTONE(S) 95
- 15.06 COMPLETION OF FINAL PROJECT MILESTONE 95
- 15.07 RECOMMENDATION FOR ACCEPTANCE BY THE DISRICT ENGINEER 96
- 15.08 ACCEPTANCE OF THE WORK 96
- 15.09 FINAL PAYMENT 96
- 15.10 CONTRACTOR'S DUTIES AND RESPONSIBILITIES AFTER ACCEPTANCE 97
- 15.11 RETENTION PROCEEDS, WITHHOLDING AND DISBURSEMENT 97
- 15.12 WARRANTY & GUARANTEES 97

ARTICLE 16 - MISCELLANEOUS PROVISIONS 99

- 16.01 CONTRACTOR'S USE OF COMPUTER SOFTWARE 99
- 16.02 RIGHTS IN LAND AND IMPROVEMENTS 99

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ARTICLE 1 - GENERAL PROVISIONS

1.01 ABBREVIATIONS

- A. References in the General Conditions are sometimes referred to only by corresponding abbreviation. Not all abbreviations are listed and not all listed abbreviations are used. Unless otherwise specifically defined in the General Conditions, when the following abbreviations are used, the intent and meaning will be interpreted as follows:
1. CM – Construction Manager.
 2. DE- Design Engineer.
 3. LDs – Liquidated Damages.
 4. NTP – Notice to Proceed.
 5. QC – Quality Control.
 6. RFI – Request for Interpretation.
 7. SOV – Schedule of Values.
- B. Additional abbreviations related to the Technical Specifications are found in Section 01424, Abbreviations.

1.02 DEFINITION OF WORDS AND TERMS:

- A. Where used in the Contract Documents, the following words and terms shall have the meanings indicated. The meanings shall be applicable to the singular, plural, masculine and feminine of the words and terms. For the sake of convenience, the masculine/feminine pronoun may be used to designate who performs the work and for other purposes and, in call case, applies equally to all persons.
- B. The Contract Documents include the terms “as allowed,” “as approved,” “as ordered”, “as directed” or terms of like effect or import to authorize an exercise of professional judgment by the District, Construction Manager or Engineer. In addition, the adjectives “reasonable,” “suitable,” “acceptable,” “proper,” “satisfactory,” or adjectives of like effect or import are used to describe an action or determination of the District, Construction Manager or Engineer as to the Work. It is intended that such exercise of professional judgment, action or determination will be solely to evaluate, in general, the Work for compliance with the requirements of and information in the Contract Documents and conformance with the design concept of the completed Project as a functioning whole as shown or indicated in the Contract Documents (unless there is a specific statement indicating otherwise). The use of any such term or adjective is not intended to and shall not be effective to assign to District, Construction Manager or Engineer any duty or authority to supervise or direct the performance of the Work or any duty or authority contrary to the provisions of the Contract Documents.
- C. Wherever in the Contract Documents, the following terms, or pronouns in place of them are used, the intent and meaning will be interpreted as follows:
1. **Acceptance, Final Acceptance** - The formal acceptance by the District Board of Directors, or as delegated by the District Board of Directors, of the Completion of the entire Work of the Contract, which to District’s knowledge has been performed in accordance with the requirements of the Contract Documents and all Approved modifications thereof.
 2. **Acts of God** - "Acts of God" shall include only the following occurrences or conditions and effects: earthquakes in excess of a magnitude of 3.5 on the Richter Scale and tidal waves
 3. **Addendum** - A written change to the Contract Documents issued before the time fixed for the opening of Bids.
 4. **Agreement** - The written and signed document known as Section 00510, Agreement. (Sometimes also referred to as Contract Agreement or Contract.)
 5. **Allowance** - “Allowance” shall mean an amount of money set aside under the Contract for a special purpose identified and defined in the Contract Documents.
 6. **Alternative** - Refer to Approved Equal and Substitution.
 7. **Applicable Laws** - All laws, codes, ordinances, rules and regulations of governmental authorities having jurisdiction over the Site and/or the Work.

8. **Application for Payment** - The form acceptable to District's Representative which is to be used by Contractor during the course of the Work in requesting progress or final payments and which is to be accompanied by such supporting documentation as is required by the Contract Documents.
9. **Approved, Directed, Ordered, or Required** - Whenever these words or their derivatives are used, it is the intent, unless otherwise clearly stated, that written approval, acceptance, or direction by the District's Authorized Representative or District's Project Manager is required.
10. **Asbestos** - Any material that contains more than one percent asbestos and is friable or is releasing asbestos fibers into the air above current action levels established by the United States Occupational Safety and Health Administration.
11. **As-Built Documents** - A set of the Contract Documents including Drawings and Specifications updated on a continuous basis to indicate conditions encountered and the final configuration of a Project as it was constructed. May also be referred to as Project Record Documents. As-Built Documents must include all Addenda, Changes, Additional Detailed Instructions or other clarifications to the Contract Documents and dimensional information showing the actual locations of Installed components of the Work.
12. **Award Date** - Date of action taken by the District Board of Directors accepting Contractor's Bid and authorizing its designee to execute the Agreement. (Sometimes also referred to as Award.)
13. **Bid Alternate** – Additive and/or deductive Bid items identified as such on the Bid Form.
14. **Bid** - The offer of a Bidder to perform the Work pursuant to a completed prescribed Bid Form, properly executed and guaranteed, and timely submitted.
15. **Bidder** - Any individual, firm, partnership, corporation, or combination thereof, submitting a Bid for the Work contemplated, acting directly or through a duly authorized representative.
16. **Bid Form** - The approved form upon which District requires a formal Bid be prepared and submitted for the Work.
17. **Bidder's Security** - The cash, cashier's check, certified check, or Bidder's bond accompanying the Bid submitted by the Bidder as a guaranty that the Bidder will enter into a Contract with District for the performance of the Work of the Contract is awarded to the Bidder.
18. **Business Day** - "Business Day" means any day when the office of the of the District is open for business.
19. **Caltrans**- The California Department of Transportation.
20. **Change Order** - A document, which is signed as recommended by the Construction Manager, accepted by the Contractor, and accepted by an authorized representative of the District, which authorizes an addition, deletion, or revision in the Work or an adjustment in the Contract Price or the Contract Time, issued on or after the Effective Date of the Agreement.
21. **District's Authorized Representative** (District's Representative) - The District's Engineer or District appointed Project Manager's authority includes but is not limited to the authority to approve Addenda, Change Orders, Payment Requests, Milestone and Project Completion dates(s).
22. **District General Manager**- District General Manager means the General Manager of the District acting either directly or through properly authorized representatives acting within the scope of their authorized duties.
23. **Claim** - A separate unresolved Dispute by the Contractor for: (A) a Contract Time extension, (B) payment of money or damages arising from Work done by, or on behalf of, the Contractor pursuant to the contract for a public work and payment of which is not otherwise expressly provided for or the claimant is not otherwise entitled to, or (C) an amount the payment of which is disputed by the District.
24. **Completion** - Acceptance of the Work.
25. **Construction Manager (CM)** - A consultant firm or such other individual or entity designated, in writing, by the District to act as its representative at the construction Site and to perform administrative functions relating to this Contract. The Construction Manager may also furnish inspection services as provided by the Contract. All contact by the Contractor with the District shall be through the Construction Manager.
26. **Consultants** - Architects, Engineers, Construction Managers and other professionals engaged to provide the District with professional services for the Project.

27. **Contract** - The written Agreement on the District's form encompassing the performance of the Work and the furnishing of labor, materials, tools, and equipment in the construction of the Work. Synonymous with "Agreement", and "Contract Agreement".
28. **Contract Bonds** - The Performance Bond and the Payment Bond for Public Works.
29. **Contract Documents** - The words "Contract Documents" shall mean any or all of the items listed in Section 00510, Agreement, Article 2, Contract Documents.
30. **Contract Price (also referred to as Contract Amount or Contract Sum)** - The Contract Price is stated in the Agreement and, including authorized adjustments by Change Order, is the total amount payable by District to the Contractor for performance of the Work pursuant to the Contract Documents.
31. **Contract Time(s)** - Unless otherwise provided, the Contract Time is the period of time, including authorized adjustments, identified in the Contract Documents for Completion of the Work or a designated portion of the Work.
32. **Contractor** - The individual, partnership, corporation or limited liability company who enters into the Contract for the performance of the Work. The term "Contractor" means the Contractor or his authorized representative. The term "Contractor" also may include subcontractors, sub-tier subcontractors, consultants, equipment and material suppliers, and their employees. Sometimes also referred to as "Prime Contractor" or "General Contractor")
33. **Contractor's Authorized Representative** – The Contractor's authorized representative(s) who has the authority to represent and act for Contractor.
34. **Contractor's Plant and Equipment** - The equipment, material, supplies and all other items, except labor, brought onto the Site by the Contractor to carry out the Work, but not to be incorporated in the Work.
35. **Controlling Operation**- A Controlling Operation means an item of the Work on the Project's critical path whose duration time directly affects the date that the entire Work can be completed.
36. **Coordination Drawings** - Contractor prepared drawings submitted by Contractor to District to demonstrate the coordination of methods, materials, equipment, plans, or sequence the Contractor proposes to use when limited space is available for installation of different components, coordination is required for installation of Products and materials Fabricated by separate entities, or the relationship of components is shown on separate Shop Drawings or Submittals. Coordination Drawings are not considered Contract Documents.
37. **Corrective Work Item List** - List of incomplete items of work, incomplete administrative requirements and items of work which are not in conformance with the Contract, prepared by the Construction Manager and issued to the Contractor as an attachment to the response to the Contractor's notification of Substantial Completion.
38. **Cost Proposal** - A proposed cost made by the Contractor to the District to add, delete or change the Work based on a District Request for Proposal (RFP).
39. **County** – The County of Marin, a political subdivision of the State of California.
40. **Critical Path** - The path(s) of activities on the current updated version of the Official Progress Schedule without any Total Float Time.; all references in the Contract Documents to the Critical Path mean the longest path of dependent activities within the current updated version of the Official Progress Schedule that determine when the Work of a Milestone or the entire Work of the Project will be complete. A Critical Path Method (CPM) schedule is in the form of precedents, networks, and time sequences.
41. **Critical Supply Shortage** -- An unusual shortage in materials that is (a) supported by documented proof that Contractor made every effort to obtain such materials from all available sources; (b) such shortage is due to the fact that such materials are not physically available from single or multiple sources or could have been obtained only at exorbitant prices entirely inconsistent with current and standard rates taking into account the quantities involved and the usual industry practices in obtaining such quantities; and (c) such shortages and the difficulties in obtaining alternate sources of materials could not have been known or anticipated by Contractor at the time it submitted its bid or entered the Contract. Market fluctuations in prices of materials, whether or not resulting from a Force Majeure Event, does not constitute a Critical Supply Shortage.
42. **Date of Acceptance** - The date that the Notice of Completion is filed by the District.

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43. **Day(s)** - The word "Days" shall mean calendar days, including legal holidays, Saturdays and Sundays, unless specifically designated otherwise. The day shall be 24 hours measured from midnight to the next midnight.
 44. **Defective** - The word "defective," when modifying the word "Work," refers to Work that is unsatisfactory, faulty, or deficient in that it:
 - a. does not conform to the Contract Documents, or
 - b. does not meet the requirements of any applicable inspection, reference standard, test, or approval referred to in the Contract Documents, or
 - c. has been damaged prior to Engineer's recommendation of final payment (unless responsibility for the protection thereof has been assumed by District at Substantial Completion in accordance with the Contract Documents).
 45. **Demobilization** –Demobilization includes: movement off the Project Site, upon completion of the Work, of personnel, equipment, temporary offices, buildings and other facilities, supplies and incidentals; and submission of final Project submittals.
 46. **Design Engineer** - The engineer, architect or specialty design consultant designated by the District to have design control over the Work or a specified portion of the Work, acting either directly or through duly authorized representatives. Such representatives shall act within the scope of the particular duties delegated to them. The Design Engineer may also furnish inspection services as provided by the Contract. The Design Engineer may be also be referred to as "Engineer" if the definition is used in a Design Engineer role and capacity.
 47. **Direct** - Action of the District or Construction Manager by which the Contractor is ordered to perform or refrain from performing work under the Contract.
 48. **Director** - The definition contained in Section 1-1.15, "Director," of the Standard Specifications is hereby deleted and replaced with the following: the District Board of Directors or members thereof.
 49. **Dispute** - A written disagreement submitted by the Contractor seeking adjustment of Contract terms, payment of money, extension of Contract Time or other relief with respect to the terms of the Contract. A Dispute is not a Claim.
 50. **Drawings** - The graphic and pictorial portions of the Contract Documents, illustrating the design, character, location, and dimensions of the Work to be performed, generally including but not limited to, elevations, sections, details, schedules, General Notes, specific notes, and diagrams. Synonymous with "Contract Drawings" and "Plans".
 51. **Easements** - A recorded document in which the land owner gives the District permanent rights to construct and maintain water mains, sanitary sewers, storm drains and/or facilities across private property.
 52. **Emergency** – A sudden, unexpected occurrence that poses a clear and imminent danger, requiring immediate action to prevent or mitigate the loss or impairment of life, health, property, or essential public services.
 53. **Engineer** - The Engineer shall mean the District Engineer as well as the District Engineer's subordinates and other District Representative(s) who have been duly authorized to exercise control and supervision of the Work. The Engineer generally will be either a professional engineer or architect, depending on the nature of the Work, but the Engineer is not required to be a professional engineer or architect.
 54. **Equipment (Construction)** - All machinery and equipment, together with the necessary supplies for upkeep and maintenance, including tools and apparatus necessary for the proper construction and acceptable completion of the Work contemplated. (Installation) - All material or articles used in equipping a facility or apparatus required to fulfill a functional design.
 55. **Execution** - Field or Site performance, workmanship, installation, erection, application, field fabrication, quality control, and protection of installed products on the Site.
 56. **Extra Work** - New or unforeseen work, or added work of a different character or function and for which no basis for payment is prescribed in the Contract Documents; or that involves revisions of the details of the Work in such a manner as to render inequitable payment under items upon which the Contractor bid in its bid; or that work to be done under "stipulated prices" as given in the Bid Schedule of Prices.
 57. **Fabricated** - Specifically assembled or made out of selected materials to meet Project specific design requirements.

58. **Field Directive** - A Field Directive (FD) is used for providing written direction to the Contractor to perform work which: a) directs the Contractor to proceed with work that the Construction Manager has not recognized as extra work, b) directs the Contractor to proceed with work that the Construction Manager has determined is extra work but costs have not been determined or are difficult to determine by the Construction Manager. Also referred to as a "Directive".
59. **Field Order** - A written instruction given to the Contractor authorizing work that is a change to the scope of work carried out on a time and material basis or a lump sum cost agreed to between District and Contractor.
60. **Final Acceptance** - Action taken by the District Board of Directors, or as delegated by the District Board of Directors, accepting the Work as fully completed.
61. **Final Completion** - The date when the Work is 100% complete, including completion and acceptance of all punch list corrections, as certified by the Construction Manager.
62. **Final Inspection List** - List of materials, equipment, workmanship, or administrative requirements which are not in conformance with the Contract. The list shall be prepared by the Construction Manager and submitted to the Contractor following the Contractor's notice of completion of the Work, including all items on the Punch List.
63. **Force Account** - The method of performing Work by or on behalf of Contractor on a time, materials and equipment basis.
64. **Force Majeure Event**- An event that materially affects a party's performance and is one or more of the following: (1) Acts of God or other natural disasters occurring at the Site; (2) terrorism or other acts of a public enemy; (3) orders of governmental authorities (including, without limitation, unreasonable and unforeseeable delay in the issuance of permits or approvals by governmental authorities that are required for the Work); (4) pandemics, epidemics or quarantine restrictions; (5) strikes and other organized labor action occurring at the Site and the effects thereof on the Work, only to the extent such strikes and other organized labor action are beyond the control of Contractor and its Subcontractors, of every tier, and to the extent the effects thereof cannot be avoided by use of replacement workers; and (6) a Critical Supply Shortage. For purposes of this section, "orders of governmental authorities," includes ordinances, emergency proclamations and orders, rules to protect the public health, welfare and safety, and other actions of the District in its capacity as a municipal authority.
65. **Furnish** - The word "furnish" when used in connection with services, materials, or equipment, shall mean to supply and deliver said services, materials, or equipment to the Site (or some other specified location) ready for use or installation and in usable or operable condition.
66. **General Conditions** - Sections 00700, General Conditions, and 00800, Supplementary General Conditions, which form the part of the Contract Documents setting forth legal and contractual instructions to the Contractor and general clauses that establish how the Project is to be administered.
67. **General Requirements** - Division 1, GENERAL REQUIREMENTS, which forms the part of the Contract Documents establishing special conditions or requirements peculiar to the Work and supplementary to the General Conditions.
68. **Governing Body** - The Sausalito-Marín City Sanitary District Board of Directors.
69. **Governmental Agencies** - Whenever, in the Contract Documents, reference is made to any governmental agency or officer, such reference will be deemed made to any agency or officer acting in accordance with law to the power, duties, jurisdiction, and authority of the agency or officer mentioned.
70. **Guarantee** - A promise or assurance given by one party to a second party that a third party's obligations will be fulfilled (i.e., Contractor agrees to guaranty the Work performed by one of its Subcontractors to the District). (Also sometimes referred to as Warranty/Guarantee)
71. **Hazardous Waste** - The term Hazardous Waste shall have the meaning provided in Section 1004 of the Solid Waste Disposal Act (42 USC Section 6903) as amended from time to time, or any other material with Hazardous Waste requirements.
72. **Herein** - Refers to information presented in the Contract Documents.
73. **Hold Harmless** - Agreement by one (1) party to indemnify and defend a second party when the second party is sued by a third party as a result of the first party's actions or inactions.

74. **Holidays** - Legal holidays shall include the following holidays designated by the District: January 1- New Year's Day, Third Monday in January- Martin Luther King Jr. Day, Third Monday in February- President's Day, Last Monday in May- Memorial Day, July 4- Independence Day, First Monday in September- Labor Day, November 11- Veterans Day, Thanksgiving Day, the day after Thanksgiving and December 24 & 25.
75. **Incomplete Work** - Work required by the Contract Documents that is not yet installed.
76. **Inspector** – Inspector shall mean an engineering or construction inspector acting within the authorized scope of the particular duties and authority delegated to such inspector by the District. Inspector may include the person(s), firm(s), or agency(ies) employed by the District to perform inspection during construction of the Work, under the direction of the Construction Manager. It shall also mean any representative of the District who will perform inspections of the Work for code compliance and quality assurance reporting in addition to those inspections performed by the Engineer. Said inspector may be the Construction Manager or may be another representative of the District.
77. **Install** - The word “install” when used in connection with services, materials, or equipment, shall mean to put into use or place in final position said services, materials, or equipment, complete and ready for intended use. Synonymous with “Provide” for the purposes of this Contract.
78. **Laboratory** - Any laboratory authorized or accepted by District to test materials and Work involved in the Contract.
79. **Laws and Regulations; Laws or Regulations** - Any and all applicable laws, rules, regulations, ordinances, codes, and orders of any and all governmental bodies, agencies, authorities, and courts having jurisdiction.
80. **Liens** - Charges, security interests, or encumbrances upon Project funds, real property or personal property.
81. **Liquidated Damages** - The amount prescribed in the Contract Documents to be paid to District or to be deducted from any payments due or to become due Contractor for each Day's delay in completing the whole or any specified portion of the Work, beyond the time(s) allowed in the Contract Documents plus approved time extensions, or as otherwise used in provisions for liquidated damages for public hardship.
82. **May** - “May,” wherever or in whatever manner used, refers to permissive actions.
83. **Named Products** - Products identified in the Contract Documents by Manufacturer's product name. Named Products may include Manufacturer's make or model number or other designation.
84. **Notice Inviting Bids** - The Section inviting sealed Bids for the Work. See Section 00100, Notice Inviting Bids.
85. **Notice of Award** - The document from the District notifying Contractor that the District Board of Directors accepted Contractor's Bid and authorized the District General Manager to execute the Agreement.
86. **Notice of Completion** - A document executed by the District, as authorized by the District Engineer and filed with the County Recorder, signifying that the Contract has been Completed and Accepted.
87. **Notice to Proceed** - The written notice issued by District's Authorized Representative to Contractor whereby the Contractor is notified of the official construction Contract start date and is authorized to proceed with the Work. Unless otherwise specified in the Contract Documents or Directed by written Order of District's Authorized Representative, the Contractor must begin Work within fourteen (14) Days following the start date for the Work as stated in the Notice to Proceed.
88. **Operational Acceptance** - Written notice by the Construction Manager accepting the Work, or portions of the Work, as operationally complete. Used for the purpose of placing equipment or facilities in service before all of the Contract Work is completed, thus affording the District beneficial use of the equipment or facilities
89. **Paragraph** - For reference or citation purposes, a paragraph shall refer to the paragraph, or paragraphs, called out by paragraph number and alphanumeric designator.
90. **Party** - The District or the Contractor individually, and "Parties" shall mean the District and the Contractor collectively.
91. **Perform** - Refer to “Provide.”
92. **Person** - The term, person, includes firms, companies, corporations, partnerships, and joint ventures.
93. **Plans** - See Drawings

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94. **Prejudiced** - As used herein, the term "Prejudiced" shall include, but is not limited to, material interference with the District's timely consideration of action to prevent increases in the cost or time required to perform the Work, ability to monitor the Contractor's increased costs resulting from the situation, to timely marshal facts, and to timely plan its affairs.
 95. **Products** - Materials, equipment, systems, shop fabrications, mixtures, and source controls.
 96. **Progress Schedule** - A schedule, prepared and maintained by Contractor, describing the sequence and duration of the activities comprising the Contractor's plan to accomplish the Work within the Contract Times.
 97. **Project** – The total construction of which the Work to be provided under the Contract Documents, may be the whole, or a part thereof as indicated elsewhere in the Contract.
 98. **Product Data** - Illustrations, Manufacturer's literature, standard schedules, performance charts, instructions, brochures, diagrams and other information submitted by the Contractor to illustrate materials or equipment for some portion of the Work. Product Data are not considered Contract Documents.
 99. **Punch List** – "Minor" Work that remains to be completed before the District's Authorized Representative will issue a Milestone Completion Letter. Punch List work includes minor architectural cosmetic work to correct minor flaws or deficiencies related to painting, trim, ceiling tile, joinery work or other architectural features; minor adjustments to door hardware; minor cleaning to include scuff marks and small stains; correction of minor mechanical or electrical deficiencies such as tightening of plumbing fixtures and connections, affixing electrical cover plates, minor adjustments to HVAC controls and settings; minor corrections to landscaping; etc. Punch List does not include "Major" Work that would prevent District from occupying and using the facility for its intended use.
 100. **Quality** - Conformance to the requirements established by the Contract Documents
 101. **Quality Control (QC)** - The Contractor's system in place during execution of the Work, to manage and control its own, and its Supplier's and Subcontractor's activities to comply with the requirements of the Contract Documents.
 102. **Reference Specifications** - Those standards, rules, method of tests or analysis, codes, and specifications of other agencies, engineering societies, or industrial associations referred to in the contract documents. These refer to the current edition of amendments in effect at the date of Section 00100, Notice Inviting Bids, unless specifically referred to by edition, volume or date.
 103. **RFI or Request for Interpretation** - A written request by the Contractor for information or clarification regarding the requirements of the Contract Documents.
 - a. RFI's are not to be used in place of a Substitution.
 - b. RFI's are not to be used in lieu of a Corrective Action Plan, described in Article 14 of this Section.
 - c. Requests for Information must be numbered sequentially and presented in a format furnished by, or acceptable to, the District's Project Manager. The District's response to an RFI does not change the requirements of the Contract Documents, Contract Time, or Contract Sum.
 104. **RFP or Request for Proposal** - A request for a proposed cost made to the Contractor by the District to add, delete or change the Work. RFP's shall not be deemed to be directions to proceed with any addition, deletion or change to the Work. RFP's may also be referred to as "Request for Quotation".
 105. **Retention** - A defined percentage of the Contract Sum held by the District pending Completion of the Work, or any portion of the Work.
 106. **Salvage** - All items specified to be salvaged shall be carefully removed so as not to damage the item, and neatly stockpiled at the construction Site by the Contractor. The exact location to stockpile items shall be determined by the Construction Manager. The Construction Manager shall then make a determination as to which items are to be retained by the District. All other items shall be properly disposed of at no additional cost to the District.
 107. **Samples** - Physical examples that illustrate materials, equipment or workmanship and establish standards by which the Work will be evaluated.
 108. **Schedule of Values** – A document submitted by the Contractor to the District reflecting the portions of the Contract Sum allotted for the various parts of the Work. (Sometimes also referred to as "Cost Breakdown.")

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109. **Shall** - Refers to actions entered into by the Contractor or the District as a covenant with the other party to do or to perform the action.
110. **Shop Drawings** - Drawings, diagrams, schedules, and other data specially issued for the Work by the Contractor or a Subcontractor, Sub-Subcontractor, and Suppliers to demonstrate and/or illustrate the way by which the Contractor proposes to conform to the information given and the design concept expressed in the Contract Documents for some specific portion of the Work. Shop Drawings are not considered Contract Documents.
111. **Site** - Lands or areas indicated in the Contract Documents as being furnished by District upon which the Work is to be performed, including rights-of-way and easements for access thereto, and such other lands furnished by District which are designated for the use of Contractor.
112. **Specifications** - The written directions, provisions and requirements pertaining to the materials to be provided and to the method and manner of performing the Work, including any Addenda and Approved revisions by District. (Also sometimes referred to as "Technical Specifications" or "Specs.")
113. **Standard Drawings, Standard Plans, Standard Specifications** – Unless specifically stated otherwise, the latest edition of the District's Standard Drawings, Standard Plans or Standard Specifications referenced in the Contract Documents.
114. **State of California Specifications** - The State of California Department of Transportation Standard Specifications in effect at the date of Section 00100, Notice Inviting Bids. Also referred to as State Standard Specifications and Caltrans Standard Specifications. Where the terms the "State" or the "Engineer" are used in the California Standard Specifications, they shall be considered as meaning the "District" or the "Engineer" as defined herein. Paragraphs of the California Standard Specifications on Measurement and Payment shall not apply.
115. **State** - The State of California.
116. **Stop Notice** - A legal remedy for subcontractors and suppliers who contribute to public works, but who are not paid for their work which secures payment from construction funds possessed by the District.
117. **Subcontractor** - A contractor, within the meaning of the provisions of Chapter 9 (commencing with §7000) of Division 3 of the Business and Professions Code, who contracts directly with the Contractor to perform any Work of the Project. The term Subcontractor is referred to throughout the Contract Documents as if singular in number and means a Subcontractor or its authorized representative.
118. **Sub-subcontractor** - A contractor, within the meaning of the provisions of Chapter 9 (commencing with §7000) of Division 3 of the Business and Professions Code, that has a direct or indirect contract with a Subcontractor to perform any Work of the Project. The term Sub-subcontractor is referred to throughout the Contract Documents as if singular in number and means a Sub-subcontractor or an authorized representative thereof.
119. **Submittal** - Data or items required by the Contract Documents to be submitted by the Contractor to the District. Submittals demonstrate the method, materials, plan, or sequence the Contractor proposes to use to conform to the design concept expressed in the requirements of the Contract Documents. Submittals include but are not limited to Shop Drawings, Coordination Drawings, layouts, Progress Schedules, Substitution requests, Samples, mockups, catalogs, Product Data and literature, equipment data sheets, maintenance and operating data. Unless otherwise stated in the Contract Documents, Submittals are not considered Contract Documents.
120. **Substantial Completion** - Sufficient completion of the Project, or the portion thereof, to permit a utilization of the Project. Determination of substantial completion is solely at the discretion of the District. Substantial completion does not mean complete in accordance with the Contract nor shall substantial completion of all or any part of the Project entitle the Contractor to acceptance under the Contract.
121. **Substantial Completion Date** - Date when the District puts into service, the Project or that portion of the Project that has been determined to be substantially complete.
122. **Substitution** - A material and/or process offered by the Contractor in lieu of the specified material and/or process, and accepted by the District's Authorized Representative in writing as being equivalent (equal) to the specified material and/or process, such as an excepted or equal. (Also sometimes referred to as Product Substitution)

123. **Supplementary General Conditions** - That part of the Contract Documents which amends or supplements the General Conditions.
124. **Supplier** - A person or organization contracting with Contractor, a Subcontractor or a Sub-subcontractor to supply materials and/or equipment for the Work.
125. **Surety** - A company that provides Contractor's bonds for bidding, performance and payment and is admitted as a surety insurer as defined in §995.120(a) of the California Code of Civil Procedure.
126. **Surveyor** - A land surveyor licensed in the State of California.
127. **Total Float Time** - The time difference between the earliest start date and the latest start date, or between the earliest finish date and the latest finish date, of Project activities. (Also sometimes referred to as "slack time" or "Total Float" or "Float").
128. **Trade Names** - Where a certain product is called by its Trade Name, it is intended as a guide for type and quality.
129. **Typical Details** - Details of standard structures, devices or instructions referred to on the Plans and Specifications by title or number and developed by the Engineer.
130. **Unbalance Bid** - A Bid containing a combination of lump sum and unit price Contract Items where individual Contract Items contained in the bid do not reflect reasonable actual direct costs plus a reasonable proportionate share of the Bidder's anticipated indirect costs and profit.
131. **Underground Facilities** - All underground pipelines, conduits, ducts, cables, wires, manholes, vaults, tanks, tunnels, or other such facilities or attachments, and any encasements containing such facilities, including those that convey electricity, gases, steam, liquid petroleum products, telephone or other communications, cable television, water, wastewater, storm water, other liquids or chemicals, or traffic or other control systems.
132. **Unilateral Change Order** - A Change Order issued by the District without the consent or signature of the Contractor.
133. **Unit Price Work** - Work to be paid for on the basis of unit prices.
134. **Utility** - Public or private fixed works for the transportation of fluids, gasses, power, signals, or communications.
135. **Warranty** - A Contractor's, Subcontractor's, Manufacturer's or material Supplier's promise or assurance, written or otherwise, that its Products and services provided meet industry (implied) or contractual (the requirements of the Contract Documents) standards of performance. (Also sometimes referred to as Warranty/Guarantee.)
136. **Will** - See definition of "Shall".
137. **Work** - Any and all obligations, duties, and responsibilities necessary to complete the construction assigned to, or undertaken by, the Contractor pursuant to the Contract Documents including all labor necessary to produce such construction and all materials, equipment, and supplies incorporated or to be incorporated in the construction. Also, the completed construction or parts thereof required to be provided under the Contract Documents.
138. **Work Day** - A working day is defined as any day, except Saturdays, Sundays and District Legal Holidays. Any work scheduled by the Contractor on non-working days (Saturdays, Sundays, and District Legal Holidays) shall be verified with the District at least 72 hours in advance. Unless specifically provided for otherwise in the Contract Documents, the District shall be compensated for inspection work, at an hourly rate, for any work on non-working days and for overtime. A "working day" may also be referred to as a "business day".

1.03 CONTRACT DOCUMENTS- REPRESENTATIONS, CORRELATION AND INTENT

- A. Upon Notice to Proceed, the Contractor may obtain from the District, free of charge, five (5) copies of the Drawings (half-size) and Specifications. Drawings and Specifications will include all changes made by Addenda

issued during the bid period. The District will also provide one electronic copy of the Contract Documents in PDF format.

- B. The Contractor shall keep on the Project Site a complete copy of the Conformed Drawings and Specifications and shall at all times give the Construction Manager and District's staff access thereto.
- C. The Contract Documents are complementary; what is called for by one is as binding as if called for by all. The intent of the Contract Documents is to include all labor, materials, equipment, and all other items necessary for the proper execution and completion of the entire Work. Unless otherwise specifically noted, Contractor must provide and pay for all labor, materials, equipment, tools, construction equipment and machinery, water, heat, utilities, transportation, and all other facilities and services necessary for the proper execution and completion of the Work.
- D. Work not explicitly depicted or mentioned in any portion of the Contract Documents is nevertheless required if it is consistent therewith and is reasonably inferable therefrom as being necessary to produce the intended results. Words and abbreviations having well known technical, trade or industry meanings are herein in accordance with such recognized meanings.
- E. Any Work called for by the Drawings and not mentioned in the Specifications, or vice versa, is to be provided as though fully set forth by both.
- F. The intent of the Drawings specifically includes the intent to depict construction that complies with all applicable laws, codes and standards.
- G. The Contract Documents prescribe the details for the construction and completion of the Work that Contractor undertakes to perform in accordance with the terms of the Contract.
- H. Subject to applicable law, including without limitation to California Public Contract Code sections 4100 et seq., and the terms of the Contract governing subcontracting, the Divisions and Sections of the Specifications and identifications of any Drawings shall not control Contractor in dividing the Work among subcontractors or suppliers or delineating the work to be performed by any specific trade. Paragraphs titled "Section Includes" are not intended to "scope" the Section nor imply a trade responsibility, but serve merely as a listing of significant items in the Section to allow the reader to quickly assess the Section content. Similarly, Paragraphs titled "Related Sections" or "Related Requirements" or "Related Documents" are not intended to coordinate the Contractor's work, but merely indicate where certain other significant items that may be related to the Work of the Section are specified.
- I. Reasonably implied parts of the Work shall be performed as "incidental work" even though absent from the Drawings and Specifications. "Incidental" work shall be performed by Contractor without extra cost to District. Incidental work includes any work not shown on Drawings nor described in Specifications, but which is necessary or normally or customarily required as a part of the Work shown on the Drawings or described in the Specifications, or is necessary or required to make each installation satisfactory, legally operable, functional, consistent with the intent of the Drawings and Specifications or the requirements of the Contract Documents. Incidental work shall be treated as if fully described in Specifications and shown on Drawings, and expense thereof shall be included in the Bid Price. Incidental work includes, but is not limited to, tasks required to be performed under Division 1, GENERAL REQUIREMENTS, of the Specifications.
- J. By entering into the Agreement, Contractor represents it is familiar with the Project Site conditions including the availability of labor and materials in the local geographic area where the Work is to be performed and has correlated personal observations with requirements of the Contract Documents.
- K. While the District has endeavored to accurately represent the physical conditions which may affect the cost of the proposed work shown on the plans or indicated in the Specifications, the District does not warrant the completeness or accuracy of such information. It is the Contractor's responsibility to ascertain the existence of any such conditions affecting the cost of the work which would have been disclosed by reasonable examination to the Site.
- L. No test, investigation, statement or estimate of a factual situation not incorporated in the Contract shall be relied on by the Contractor. Any test, investigation, statement or estimate of fact incorporated in the Contract shall be considered by the Contractor to be a suggestion only, and he or she may request equal access to the underlying

or background informative material or source and shall arrive at his or her own opinion thereon, including his or her own determination of how reliable any conclusion appearing in (or inferred from) the Contract.

- M. The Contractor may not rely on "drawings of record" or similarly final or accepted drawings or maps of facilities constructed on public or private property for or under inspection by the District. Such information may be used for reference only. Actual locations and depths shall be determined by field investigations by the Contractor.
- N. In the event of any difference, discrepancy, inconsistency, error, omission, or other apparent conflict within the Contract Documents and/or with actual field conditions, Contractor must immediately notify District in writing, requesting clarification. If Contractor is aware the Work is affected and proceeds with the Work without instructions from District, Contractor must make good any resultant damage or defects without additional cost to the District.
- O. The misplacement, addition, omission of a word or character shall not change the intent of any part of the Contract form that set forth by the Contract as a whole.
- P. When standards of the Federal Government, trade societies, trade associations, or similar commercial standards are referred to in the Contract Documents by specific date of issue, these standards, including amendments or supplements, will be considered as part of the Contract Documents. When such references do not bear date of issue, the current published edition, including amendments or supplements, at the date of the first Notice Inviting Bids will be considered as part of the Contract Documents.
- Q. Contractor is responsible for the complete and proper execution of the Work as described in, and as reasonably implied by, the Contract Documents. Contractor is solely responsible for ensuring that all Subcontractors, Suppliers, Manufacturers, etc., working with any portion of the Contract Documents are fully aware that all the Contract Documents apply to their Work, although the other portions of the Contract Documents may not be fully reproduced or repeated therein.
- R. The Contract Documents issued by District and copies furnished to the Contractor, are for use solely with respect to the Work of this Project. They are not to be used by the Contractor or any Subcontractor, Sub-Subcontractor or Supplier on other projects, nor for additions to this Project outside the scope of the Work without the specific written consent of District. The Contractor, Subcontractors, Sub-Subcontractors and Suppliers are granted permission to use and reproduce applicable portions of the Contract Documents issued by District appropriate to, and for use in, the execution of their Work pursuant to the requirements of the Contract Documents.
- S. Unless otherwise indicated, highlighted, emboldened, italicized, or underlined text is not indented to imply special significance but serves merely as an aid to the reader to distinguish or quickly reference selected text.

1.04 INTERPRETATION OF THE CONTRACT DOCUMENTS

- A. Notwithstanding any omission from these Specification or the Drawings it shall be the duty of the Contractor to call the Construction Manager's attention to apparent errors or omissions and request instructions in writing before proceeding with the work. The Contractor, as part of the Contract, shall agree not take advantage of any errors or omissions in the Contract Documents. It is also the duty of the Contractor to promptly notify the Construction Manager in writing of any design, materials, or specified method that the Contractor believes may prove defective or insufficient or for which there are procurement challenges affecting the ability of the Contractor to complete the Work within the times required. If the Contractor believes that a defect or insufficiency exists in design, materials or specified method and fails to promptly notify the Construction Manager in writing of this belief, the Contractor thereby waives any right to assert entitlement to and/or recover any damages and/or time extensions arising from any such that a defect or insufficiency in design, materials or specified method at any later date in any legal or equitable proceeding against the District, or in any subsequent arbitration or settlement conference between the District and the Contractor. The Construction Manager may, by appropriate instructions correct errors and supply omitted information, which instructions shall be as binding upon the Contractor as though contained in the original Specifications or Drawings.
- B. Any discrepancies found between the Contract Documents and Project Site conditions or any inconsistencies or ambiguities in the Contract Documents shall be immediately reported, in writing, to the Construction Manager. Questions regarding the meaning and intent of the Contract Documents shall be referred in writing by the Contractor to the Construction Manager with a RFI. The Construction Manager shall respond to the Contractor in writing with a decision within fifteen (15) days of receipt of the request, or if it is necessary to extend this

period, the Construction Manager shall notify the Contractor in writing as to when a decision will be provided. RFI procedures are further described in Section 01340, Request for Interpretation.

- C. Work done by the Contractor after its discovery of such errors, omissions, discrepancies, inconsistencies or ambiguities without such notice and prior to response from the Construction Manager shall be done at the Contractor's risk.

1.05 ORDER OF PRECEDENCE OF THE CONTRACT DOCUMENTS

- A. In resolving conflicts resulting from errors or discrepancies in any of the Contract Documents, the order of precedence shall be as follows:

1. Permits from other agencies as may be required by law.
2. Addenda, Supplemental Agreements and Change Orders, the one dated later having the precedence over another dated earlier.
3. Agreement (Section 00510).
4. Contractor's accepted Bid Form (Section 00400).
5. General Requirements (Division 1).
6. Supplementary General Conditions (Section 00800).
7. General Conditions (Section 00700).
8. Instructions to Bidders (Section 00200).
9. Notice Inviting Bids (Section 00100).
10. Technical Specifications (Division 2 and all other Divisions following).
11. Contract Drawings.
12. District Standard Specifications.
13. Reference/Standard Plans.
14. Reference/Standard Specifications.

- B. With reference to the Drawings, the order of precedence is as follows:

1. Enumerated dimensions govern over scaled dimensions.
2. Detail drawings govern over general drawings.
3. Addenda/Change Order drawings govern over any other drawings.
4. Contract Drawings govern over standard drawings/plans.

- C. The provisions of the Contract Documents shall take precedence over any Laws or Regulations applicable to the performance of the Work unless such an interpretation of the provisions of the Contract Documents would result in a violation of such Law or Regulation.

- D. Minor Omissions:

1. If the Contract Documents are not complete as to any minor detail or required construction system or with regard to the manner of combining or installing of parts, materials, or equipment, but there exists accepted trade standard for good and workmanlike construction, such detail will be deemed to have been included by the requirements of the Contract Documents in accordance with such standard.

1.06 ADDITIONAL DETAILED INSTRUCTIONS

- A. District may furnish additional detailed written and/or graphic instructions to explain the Work more fully, and such instructions become a part of the requirements of the Contract Documents. Should Additional Detailed Instructions, in the opinion of the Contractor, constitute Work in excess of the requirements of the Contract Documents, the Contractor must submit written notice to the District within seven (7) Days following receipt of such instructions, and in any event prior to commencement of the Work thereon. If in the District's judgment the

Additional Detailed Instructions constitute Work in excess of the requirements of the Contract Documents, the Additional Detailed Instructions will be revised or the extra Work will be added by Change Order.

1.07 RIGHTS AND REMEDIES

- A. The duties and obligations imposed by the Contract Documents and the rights and remedies available thereunder shall be in addition to, and not a limitation of, any duties, obligations, rights, and remedies otherwise imposed or available by law.
- B. No action or failure to act by the District, the Design Engineer, or the Construction Manager shall constitute a waiver of any right or duty afforded any of them under the Contract, nor shall any such action or failure to act constitute an approval of or acquiescence in any breach thereunder, except as may be specifically agreed in writing.

****END ARTICLE 1****

ARTICLE 2 - CONTRACT ADMINISTRATION**2.01 ADMINISTRATION OF THE CONTRACT**

- A. The District's Representative, the Construction Manager, and the Design Engineer will provide administration of the Contract as hereinafter described. These parties are designated in Section 00510, Agreement. If the status of any of the above parties should change, the District will provide written notice to the Contractor of such change.
- B. Contractor recognizes that the District is a public agency and that it can act only through its duly authorized agents, and in this regard agrees that only written change orders, executed as specifically authorized by the governing body of the District either directly or through properly authorized agents, shall be valid. The District's Representative shall have no authority to issue a change order unless so specifically authorized, and no person shall have authority to issue any oral change order. Unless a valid change order is issued therefore, all changes in the work performed by the Contractor shall be at its own risk, and the Contractor shall not be entitled to any additional compensation on account thereof, and the Contractor may be required to make the work conform to the Specifications. No act or series of acts by the District during the course of the Contract shall be deemed to constitute a waiver of the right of the District to rely upon the provisions of this subparagraph.
- C. The District's Representative shall decide any and all questions which may arise as to the quality or acceptability of materials furnished and work performed and as to the manner of performance and rate of progress of the work; all questions which arise as to the interpretation of the Plans and Specifications; all questions as to the acceptable fulfillment of the Contract on the part of the Contractor; and all questions as to claims and compensations.
- D. Any decision of the District's Representative shall be final and he or she shall have executive authority to enforce and make effective such decisions and orders should the Contractor fail to carry out promptly.

2.02 DISTRICT'S AUTHORIZED REPRESENTATIVE**A. General:**

- 1. The District's Representative has the authority to act on behalf of the District on change orders, field orders, progress payments, Contract decisions, acceptability of the Contractor's work, or early possession.

B. Change Orders/Field Orders:

- 1. The District's Representative has the authority to accept or reject change orders, field orders and cost proposals submitted by the Contractor or as recommended by the Construction Manager.

C. Progress Payments:

- 1. The District's Representative has the authority to accept or reject requests for progress payments which have been submitted by the Contractor and recommended by the Construction Manager.

D. Contract Decisions:

- 1. Should the Contractor disagree with the Construction Manager's decision with respect to the Contract, the Contractor may appeal to the District's Representative in accordance with the provisions of the Contract.

E. Acceptability of Work:

- 1. The District's Representative has the authority to make the final determination of the acceptability of the Work. The District's Representative also has the authority to accept or reject the Design Engineer's recommendations regarding retention of defective work as provided.

2.03 CONSTRUCTION MANAGER**A. General:**

- 1. The Construction Manager is a representative of the District employed to act as advisor and consultant to the District in construction matters related to the Contract. The term Construction Manager may include more than one individual to perform contract administration and construction observation. Hereinafter, the term Construction Manager includes any and all representatives working under the direction of the Construction Manager.

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2. All instructions to the Contractor and all communications from the Contractor to the District or the Design Engineer shall be forwarded through the Construction Manager. The Construction Manager will have authority to act on behalf of the District only to the extent provided in the Contract Documents. The District has delegated its authority to the Construction Manager to make initial decisions regarding questions which may arise as to the quality or acceptability of materials furnished and work performed, and as to the manner of performance and rate of progress of the Work under the Contract. The Construction Manager shall interpret the intent and meaning of the Contract and shall make initial decisions with respect to the Contractor's fulfillment of the Contract and the Contractor's entitlement to compensation. The Contractor shall look initially to the Construction Manager in matters relating to the Contract.
 3. The Construction Manager's authority to act under Section 00700- Article 2, Contract Administration, and any decision made by it in good faith either to exercise or not to exercise such authority, shall not be interpreted or construed as control or responsibility of any of the work performed under this Contract.
- B. Construction Manager's Responsibility:
1. The Construction Manager will observe the progress, quality, and quantity of the Work to determine, in general, if the Work is proceeding in accordance with the provisions of the Contract Documents. The Construction Manager shall not be responsible for construction means, methods, appliances techniques, sequences, or procedures, or for safety precautions and programs in connection with the Work.
 2. In accordance with the provisions detailed elsewhere in these General Conditions, the Construction Manager will make decisions relative to all matters of interpretation or execution of the Contract Documents.
- C. Observation and Inspections of Construction:
1. The Construction Manager shall observe the construction and shall have the authority to reject work and materials which do not conform to the Contract Documents, and to require special inspection or testing.
 2. Observation and inspection by an Inspector is not an authorization to revoke, alter, or waive any requirements of the Specifications. Observation and inspection by an Inspector is an authorization to call the attention of the Contractor to any failure of the Work, materials, or workmanship to conform to the Contract Documents. The Inspector shall have this authority including the ability to reject materials or, in any emergency, suspend the Work. The Contractor may appeal any such issue which it disagrees with to the Construction Manager for decision. If the decision of the Construction Manager is not satisfactory to the Contractor, the Contractor may appeal such decision to the District's Representative.
- D. Acceptability of the Work:
1. The Construction Manager has the authority to make a recommendation as to the acceptability of the Work.
- E. Change Orders:
1. The Construction Manager has the authority to initiate change orders; to reject change orders proposed by the Contractor or Design Engineer; to negotiate and recommend acceptance of change orders; or to order minor changes in the Work at no cost to the District.
- F. Construction Schedule:
1. The Construction Manager has the authority to review and recommend acceptance of the Progress Schedule submitted by the Contractor at the start of the Work and subsequent significant revisions for conformance to the specified sequence of work and logic.
- G. Progress Payments:
1. The Construction Manager has the authority to recommend acceptance or rejection of requests for progress payments which have been submitted by the Contractor.

H. Completion:

1. The Construction Manager, with the assistance of the Design Engineer, will conduct inspections to determine the dates of Substantial Completion of the Work and final completion of the Work, and will receive and forward to the District, for the District's review, written warranties, and related documents required by the Contract and assembled by the Contractor.

2.04 DESIGN ENGINEER

A. General:

1. The Design Engineer will have the authority to act on behalf of the District only to the extent provided in the Contract Documents.

B. Interpretations:

1. The Design Engineer has the authority to be the initial interpreter of the technical requirements of the Contract Documents. Either party to the Contract may make written request to the Construction Manager for interpretations necessary for the proper execution or progress of the Work. The Construction Manager shall refer such written requests to the Design Engineer, who will render such interpretations. Where the Contractor has requested an interpretation from the Construction Manager, or been notified by the Construction Manager that such interpretation has been requested by the District, any work done before receipt of such interpretations, if not in accordance with same, shall be removed and replaced or adjusted as directed by the Construction Manager without additional expense to District.

C. Acceptability of the Work:

1. The Design Engineer has the authority to make a recommendation as to the acceptability of the Work. The Design Engineer has the authority to recommend acceptance regarding the retention of defective work.

D. Submittals

1. The Design Engineer shall receive, through the Construction Manager, shop drawings, product data, and samples for review in accordance with Section 01330, Submittal Procedures.
2. The Design Engineer has the authority to review and take other appropriate action upon the Contractor's submittal such as shop drawings, product data and samples, but only for conformance with the design concept of the Work and the information given in the Contract Documents.

****END ARTICLE 2****

ARTICLE 3 - DISTRICT**3.01 GENERAL**

- A. The District, acting through the District's Representative or the Construction Manager, shall have the authority to act as the sole judge of the Work and materials with respect to both quantity and quality as set forth in the Contract Documents.

3.02 ATTENTION TO WORK

- A. The District's Representative, Construction Manager, and Design Engineer are designated in Section 00510, Agreement. The Construction Manager's designated representative may be available at the Project Site of the Work. An alternate representative may be designated when the designated Construction Manager's representative is not available at the Project Site of the Work. The Design Engineer may assign a representative to be available at the Project Site of the Work.

3.03 OBSERVATION AND INSPECTION

- A. In addition to the Construction Manager's designated representative, the District may provide one or more Inspectors to the Construction Manager to observe the work and with the same authority.
- B. Separate and independent from the observations and inspections above, the Project may be inspected by others for code and permit compliance. Such inspectors shall have the authority provided to them by local jurisdiction.

3.04 DISTRICT'S RIGHT TO USE OR OCCUPY

- A. The District reserves the right, prior to Substantial Completion, to occupy, or use, any completed part or parts of the Work, providing these parts or areas have been approved for occupancy or use by the District. Subject to applicable laws, the exercise of this right shall in no way constitute an acceptance of such parts, or any part of the Work, nor shall it in anyway affect the dates and times when progress payments shall become due from the District to the Contractor or in any way prejudice the District's rights in the Contract, or any bonds guaranteeing the same. The Contract shall be deemed completed only when all the Work contracted has been duly and properly performed and accepted by the District.
- B. Prior to such occupancy or use, the District and Contractor shall agree in writing regarding the responsibilities assigned to each of them for payments, security, maintenance, heat, utilities, damage to the Work, insurance, the period for correction of the Work, and the commencement of warranties required by the Contract Documents.
- C. In exercising the right to occupy or use completed parts of the Work prior to the Substantial Completion thereof, the District shall not make any use which will materially increase the cost to the Contractor, without increasing the Contract Amount, nor materially delay the completion of the Contract, without extending the time for completion.
- D. The part or parts of the Work, if any, which the District anticipates the use or occupancy of prior to Substantial Completion are noted in Division 1, General Requirements,. Failure to include a part of the Work in the above referenced section, shall not limit the District's right to use or occupy parts of the Work not listed.

3.05 DISTRICT'S RIGHT TO CARRY OUT THE WORK

- A. If the Contractor should neglect to prosecute the Work properly or fail to perform any provision of the Contract, and fails within five (5) days after receipt of written notice from the District to commence and continue correction of such neglect or deficiency with diligence and promptness, the District may, and without prejudice to any other remedy, make good such default, neglect or failure.
- B. The District also reserves the right to perform any portion of the Work due to an emergency threatening the safety of the Work, public, District, and any property or equipment.
- C. In either case, a Change Order shall be issued unilaterally deducting from the payments then or thereafter due the Contractor the cost of correcting such deficiencies and/or for performing such work, including compensation

for the Design Engineer's, the Construction Manager's, and District's additional services made necessary by such default, neglect, failure or emergency.

3.06 DISTRICT'S RIGHT TO PERFORM WORK AND TO AWARD SEPARATE CONTRACTS

- A. The District reserves the right to perform work related to the Project with the District's own forces, and to award separate contracts in connection with the Project or other work on the Project Site.
- B. When separate contracts are awarded for different portions of the Project or other work on the Project Site, the term "Contractor" in the Contract Documents in each case shall mean the contractor who executes each separate Agreement.
- C. The District will provide for the coordination of the work of the District's own forces and of each separate contractor with the Work of the Contractor, who shall cooperate therewith as provided in Section 00700-6.02, Cooperation with District's Forces, and other contractors employed by District.

3.07 DISTRICT ACCESS TO WORK AREAS

- A. The District, and its officers, agents, employees, and any other duly authorized representatives and employees, and all duly authorized representatives of governmental agencies having jurisdiction over work areas or any part thereof shall at all reasonable times, for the purpose of determining compliance with Contract requirements, have access to such areas and the premises used by the Contractor. The Contractor shall also arrange for the District, and its officers, agents, employees, and any other duly authorized representatives and employees, to have access at all reasonable times to all places where equipment or materials are being manufactured, produced, or fabricated for use under the Contract.
- B. The Contractor's attention is drawn to the fact that during the course of the work of this Contract, existing facilities will be used and maintained by District's personnel. The Contractor shall coordinate its work in such a way as to interfere as little as possible with the routine work of existing facility operation consistent with the necessity for making the connections as specified and as shown on the Project Drawings. The intent of this item is also that the Contractor's work force shall be excluded from access to and use of existing facilities except in direct pursuit of the work of this Contract, unless approved by the Engineer. The Contractor shall provide safe access at all times to all existing facilities for operating personnel and equipment.

3.08 DISTRICT-ACQUIRED PERMITS

- A. Attention is directed to the Contract Documents and to any permits that may have been acquired by or imposed upon the District that contain requirements related to performance of the work, including but not limited to encroachment permits and storm water pollution prevention. All work within public properties and rights of way shall be accomplished in conformance with any specific conditions, instructions, and/or requirements contained in permits issued by the agencies having jurisdiction over such property and rights of way.

3.09 DISTRICT-ACQUIRED EASEMENTS

- A. The District may provide easements for work under the Contract. District-provided easements are shown in the Contract Documents. All work within private and public properties shall be accomplished in conformance with any specific conditions, instructions, and/or requirements of the respective easements.
- B. The District may provide additional easements for use of public or private property for working space, haul roads, and for storage of materials and equipment. District provided easements are shown in the Project Documents. The Contractor may use such property so provided for working space, haul roads, and for storage of materials and equipment. Should the Contractor find it necessary or advantageous to use any land, over and above that land that is provided, for any purpose whatever, the Contractor shall, at its expense, obtain a written agreement with the property owner and obtain approval from the District for the use of such land. A copy of any such agreement shall be submitted to the Engineer prior to implementation.
- C. Nothing in the Contract shall be construed as allowing the Contractor to make any arrangements with any person to permit occupancy or use of any land, structure, or building for any Contract purpose whatsoever,

either with or without compensation, in conflict with any agreement between the District and any owner, former owner, or tenant of such land, structure, or building.

3.10 NON-RESPONSIBILITY OF THE DISTRICT

- A. The District shall not be held responsible for the care or protection of any material or parts of the Work prior to the final acceptance, except as expressly provided in these Contract Documents.

****END ARTICLE 3****

ARTICLE 4 - CONTRACTOR**4.01 GENERAL RESPONSIBILITIES**

- A. Contractor must supervise and direct the Work, using its best skill and attention.
- B. Contractor is solely responsible for all construction means, methods, techniques, operations, sequences and procedures, and for coordinating all portions of the Work.
- C. Contractor is responsible to District for the acts and omissions of Contractor's employees, Subcontractors, Suppliers, their agents and employees, and all other persons performing any Work pursuant to a contract with Contractor.
- D. Contractor will not be relieved from its obligations to perform the Work in accordance with the requirements of the Contract Documents, either by the activities or duties of District or District's consultants in their administration of the Contract, or by inspections, tests, acceptance, or approvals required or performed by persons other than Contractor.
- E. Contractor must at all times enforce good order and discipline among its employees and must not employ on the Work anyone not skilled in the task assigned.
- F. Contractor shall at all times employ qualified workers sufficient to prosecute the Work at a rate and in a sequence and manner necessary to complete the Work within the Contract Time(s). This obligation shall remain in full force and effect notwithstanding disputes or claims of any type.
- G. Contractor warrants to District that all materials and equipment provided pursuant to the Contract are new unless otherwise specified, and that all Work is of good quality, free from faults and defects and in conformance with the requirements of the Contract Documents. All Work not conforming to these requirements, including substitutions not properly accepted and authorized by District's Authorized Representative may be considered defective. Upon request at any time, Contractor must furnish evidence, satisfactory to District, demonstrating the quality of installed materials and equipment.
- H. Unless otherwise provided in the Contract Documents, the Contractor must provide and pay for all labor, materials, equipment, tools, construction equipment and machinery, water, heat, transportation, and other facilities and services necessary for proper execution and completion of the Work, whether temporary or permanent and whether or not incorporated or to be incorporated in the Work. The provision of utilities such as gas, electricity and water is governed by Section 01500, Construction Facilities and Temporary Controls.
- I. Contractor has charge and care of all Work and all materials to be used therein (including materials for which Contractor has received partial payment or materials which have been furnished by District) until Acceptance of the Work. Contractor bears the risk of injury, loss, or damage to any part thereof by the action of the elements or from any other cause, whether arising from the performance or nonperformance of the Work, except as otherwise expressly provided.
- J. Contractor must rebuild, repair, restore, and make good all injuries, losses, or damages to any portion of the Work or the materials by any cause before Completion and Acceptance.
- K. Contractor must Provide suitable drainage and erect such temporary structures as are necessary to protect the Work or materials from damage. Suspension of the Work from any cause whatever will not relieve Contractor of responsibility for the Work and materials as herein specified.
- L. The Contractor, at its expense, store and maintain all materials and equipment as specified in the Contract Documents or, where not specified, in such a manner as to assure the preservation of their quality and fitness, including warehousing if required by the Engineer, and so as to facilitate job-site safety and convenient inspection by the Engineer. Contractor must properly store materials which have been partially paid for by District or which have been furnished by District. Such storage by Contractor is on behalf of District who shall at all times be entitled to the possession of such materials. Contractor must promptly return such materials to the Project Site when requested. Contractor must not dispose of any of the materials so stored except upon District's written authorization.

4.02 STATUS OF CONTRACTOR, SUBCONTRACTORS AND SUPPLIERS

- A. It is stipulated and agreed that the Contractor shall be an independent contractor in the performance of this Contract and shall have complete charge of persons engaged in performance of the Work. During the term of

the Contract, Contractor shall not under any circumstances be considered an employee, agent, or other representative of the District. Contractor is not authorized to bind District to any obligation. Nothing in this Contract creates any relationship of joint venture, partnership or any other association of any nature whatsoever between District and Contractor other than that of owner and independent contractor. District has the right to control Contractor only insofar as provided in this Contract and only insofar as the results of Contractor's work pursuant to the Contract. The District's right of supervision does not reduce or abrogate Contractor's liability for any and all damage or injury to persons, public property or private property that may arise directly or indirectly from Contractor's performance of the Work.

- B. The Contractor shall perform the Work in accordance with its own means, methods, and appliances subject to compliance with the requirements of the Contract.
- C. Subcontractors and Suppliers will not have or be recognized as having a direct relationship with the District. The persons engaged in the work, including employees of Subcontractors and Suppliers, will be considered employees of the Contractor and their work shall be subject to the provisions of the Contract. References in the Contract Documents to actions required of subcontractors, manufacturers, suppliers, or any person other than the Contractor, the District, or the Construction Manager shall be interpreted as requiring that the Contractor shall require such subcontractor, manufacturer, supplier, or person to perform the specified action.

4.03 CONTRACTOR REPRESENTATIVE

- A. Only the Project Manager named in Section 00400 Bid Form Attachment A-**CERTIFICATION OF BIDDER'S EXPERIENCE AND QUALIFICATIONS**, or an equally qualified alternate approved by the District, will be permitted as the Contractor's on-site authorized representative. The Contractor shall confirm in writing, before starting work, an authorized representative who shall have complete authority to represent and to act for the Contractor. Said authorized representative, or designated alternate, which has the authority to act in matters relating to the Contract, shall be personally present at the Project Site at all times while work is actually in progress on the Contract. During periods when the Work is suspended, arrangements acceptable to the District shall be made for any emergency work that may be required. The Contractor's authorized representative, or designated alternate(s), shall be fluent and proficient in the English language in order to understand, receive, and carry out oral and written communications or instructions relating to all job functions and responsibilities.
- B. When the Contractor consists of two or more persons, firms, partnerships, limited liability companies, or corporations functioning on a joint venture basis, said Contractor shall designate in writing to the Construction Manager, the name of their authorized representative who shall have supreme authority to direct the work and to whom orders will be given by the Construction Manager, to be received and obeyed by the Contractor.
- C. Information shall include the representative's name, street address, town, and office telephone number, cell phone number and email address.
- D. The Contractor's authorized representative shall give its personal attention to and shall supervise the Work to the end that it shall at all reasonable times be prosecuted faithfully; and when the authorized representative or designated alternate is not personally present on the Work, the representative shall at all reasonable times be represented by a competent superintendent or foreman who shall receive and obey all instructions or orders given under this Contract, and who shall have full authority to supply materials, tools, and labor without delay, and who shall be the legally appointed representative of the Contractor. The Contractor shall be liable for the faithful observation of any instructions delivered to the Contractor or to its authorized representative.
- E. The District's Representative shall have the right to remove and replace the Contractor's Authorized Representative at any time.

4.04 WORKPLACE ENVIRONMENT

- A. The use or possession of alcohol, weapons, or illegal controlled substances by the Contractor, or others subject to the Contractor's control, on District property is prohibited. The Contractor shall not sell and shall neither

permit nor suffer the introduction or use of intoxicating liquors or non-prescribed illegal narcotics or drugs upon or about the Work.

- B. The Contractor must ensure and maintain a workplace environment free of personal harassment and intimidation.
- C. Conduct that creates an intimidating, hostile, or offensive workplace environment is prohibited. Such conduct includes, but is not limited to, the following:
 - 1. Verbal harassment, e.g., epithets, derogatory comments or slurs;
 - 2. Physical harassment, e.g., assault, impeding or blocking movement, gestures, staring, or any physical interference with normal work or movement;
 - 3. Visual forms of harassment, e.g., derogatory posters, letters, poems, graffiti, cartoons, or drawings.
- D. Unwelcome and unwanted sexual advances constitute sexual harassment that is prohibited. For example, requests for sexual favors and verbal or physical conduct of a sexual nature are prohibited.
- E. It is the responsibility of the Contractor to:
 - 1. Inform its employees and Subcontractors that behavior that creates an intimidating, hostile, or offensive workplace environment is prohibited;
 - 2. Create a workplace environment that is free from harassment; and,
 - 3. Take corrective action to stop prohibited behavior/conduct.
- F. None but competent superintendents, forepersons, and workers shall be employed on the Work. The Contractor shall remove from the Work any person who commits trespass, possesses firearms or other weaponry, is under the influence or is in the possession of alcohol or other illegal drugs/controlled substance, or is, in the opinion of the Contractor or Construction Manager, disorderly, dangerous, insubordinate, incompetent, or otherwise objectionable.
- G. If in the opinion of the District's Authorized Representative, any employee of the Contractor or Contractor's Subcontractors violate the prohibitions of this Section 00700-4.04, Workplace Environment, Contractor must immediately remove that person or Subcontractor from the Project upon District's request, and such person or Subcontractor must not be permitted to perform further Work on the Project Site.
- H. Such above discharges and removal from the Project Site shall not be the basis of any claim for compensation or damages against the District, its officers, officials, employees, agents, and volunteers, the Design Engineer, the Construction Manager, and their partners, officers, employees, agents or any of its officers or representatives.
- I. Nothing contained in 4.04, Workplace Environment, shall be used to shift the responsibility for supervision of Contractor's employees or subcontractors from the Contractor to the District's Authorized Representative or to require the District's Authorized Representative to take any action with regard to any employee of the Contractor or its subcontractors.
- J. The Contractor shall maintain labor relations in such manner and by such methods as will provide for harmony among workers. The Contractor shall assure that its subcontractors of all tiers comply with the provisions set forth in this Section. Failure of the Contractor or any of its subcontractors at any tier to comply with these provisions shall be considered as grounds for termination of the Contract in accordance with Section 00700-8.09, District's Right to Terminate Contract.

4.05 COMPLIANCE WITH LAWS

A. General:

- 1. The District is a public agency in the State of California and is subject to the provisions of law relating to public contracts. It is agreed that all provisions of law applicable to public contracts are a part of these Contract Documents to the same extent as though set forth herein and will be complied with by Contractor.
- 2. The Contractor, shall at its own cost and expense, observe and keep itself and its Subcontractors fully informed of all existing and future legislated State and Federal Laws and District and County ordinances and regulations which in any manner affect those engaged or employed in the Work, or the materials and equipment used in the Work, or which in any way affect the conduct of the Work, and all such orders and

decrees of bodies or tribunals having any jurisdiction or authority over the same. If any discrepancy or inconsistency is discovered in the Drawings, Specifications, or in any other part of this Contract, in relation to any such law, ordinance, regulation, order, or decree, the Contractor shall immediately report the same to the Construction Manager in writing. The Contractor shall at all times observe and comply with all such existing and future laws, ordinances, regulations, orders and decrees; and shall protect, indemnify, defend and hold harmless the District, the Construction Manager, the Design Engineer, and all of their officers, officials, employees, agents, volunteers, and servants against any claim or liability arising from or based upon the violation of any such law, ordinance, regulation, order, or decree, whether by the Contractor itself, its employees, subcontractors, suppliers or others acting on the Contractor's behalf.

3. The following regulations and requirements that are included in the Contract Documents do not relieve the Contractor of the burden of ascertaining legal requirements that must be satisfied in accordance with this Section and applicable law.

B. Eight Hour Day Limitation

1. The Contractor's attention is directed to the provisions of Division 2, Part 7, Chapter 1, Article 3 of the Labor Code, State of California, and in particular Sections 1810 to 1815 inclusive. In accordance with California Labor Code §1810, eight (8) hours of labor in performance of the Work shall constitute a legal day's work under this Agreement. Contractor and any subcontractor shall pay workers overtime pay (not less than 1 1/2 times the base rate of pay) as required by Sections 1810 to 1815, inclusive, of the California Labor Code relating to working hours. Contractor and any Subcontractor shall, as a penalty to the District, forfeit the sum of twenty-five dollars (\$25) for each worker employed in the execution of the Contract by the respective Contractor or Subcontractor for each calendar day during which the worker is required or permitted to work more than 8 hours in any one calendar day and 40 hours in any one calendar week in violation of the provisions of Article 3 of Chapter 1 of Part 7, Division 2 of the California Labor Code, which is incorporated by this reference as though fully set forth herein.

C. Prevailing Wage

1. The Work to be performed pursuant to this contract is "public works" subject to California Labor Code §1771, et. seq. and the applicable implementing regulations. The General Prevailing Wage Rates issued by the California Department of Industrial Relations may be adjusted by the State during the term of this Contract. Notwithstanding any other provisions of this Contract, Contractor will not be entitled to any adjustment in compensation rates in the event there are adjustments to the General Prevailing Wage Rates.
2. Contractor shall comply with California Labor Code §1775, whereby Contractor shall be assessed a penalty for each calendar day or portion thereof, for each worker paid less than the stipulated prevailing rates for such work or craft in which such worker is employed for any Work done pursuant to the Contract by Contractor or any Subcontractor in violation of the California Labor Code and in particular §1770 through §1780. In addition to said penalty and pursuant to §1775, Contractor shall pay each worker the difference between such stipulated prevailing wages and the amount paid to each worker for each calendar day or portion thereof for which each worker was paid less than the stipulated prevailing wage rate.
3. Pursuant to the provisions of California Labor Code §1770 and following, the California Department of Industrial Relations has ascertained the general prevailing rate of wages (which rate includes employer payments for health and welfare, vacation, pension, and similar purposes) applicable to the Work, for straight time, overtime, Saturday, Sunday, and Holiday work. The Holiday wage rate listed shall be applicable to all holidays recognized in the collective bargaining agreement of each craft, classification, or type of workers concerned. Said prevailing wage rates are on file in the District's offices, incorporated herein by reference, and copies of which are available to any interested party on request. The rates are also available on the State of California's Department of Industrial Relations home page website at <http://www/dir.ca.gov>.
4. If Contractor uses a craft or classification not shown on the prevailing wage determinations, Contractor may be required to pay the wage rate of that craft or classification most closely related to it, shown in the general determinations in effect when the Bids were received. Pursuant to California Labor Code §1773.2, Contractor shall prominently post a copy of such prevailing wages at each job site.
5. Pursuant to California Public Contract Code §6109, the Contractor shall not perform Work on this public works project with any Subcontractor who is ineligible to perform Work on a public works project pursuant

to §1777.1 or §1777.7 of the California Labor Code. Any contract on a public works project entered into between the Contractor and a debarred Subcontractor is void as a matter of law. A debarred Subcontractor may not receive any public money for performing Work as a Subcontractor on a public works contract, and any public money that may have been paid to a debarred Subcontractor by the Contractor on this Project shall be returned to the District. The Contractor shall be responsible for the payment of wages to workers of a debarred Subcontractor who has been allowed to work on the Project.

6. Pursuant to California Labor Code §1771.5.b.6, the District will withhold contract payments equal to the amount of underpayment and applicable penalties when, after investigation, it is established that underpayment of prevailing wage has occurred.

D. Certified Payrolls:

1. Contractor shall provide certified payroll records electronically to the Division of Labor Standards Enforcement in accordance with California Labor Code §1776. In addition to the California Labor Code requirements related to certified payroll records enumerated herein, the Contractor shall submit weekly certified payrolls and comply with all payroll requirements of this Section. Contractor and any subcontractor shall maintain and make available for inspection payroll records as required by California Labor Code §1776, which is incorporated by this reference as though fully set forth herein. Contractor is responsible for ensuring compliance with this Section. Contractor and each subcontractor shall keep an accurate payroll record, showing the name, address, social security number, work classification, and straight time and overtime hours worked each day and week, and the actual per diem wages paid to each journeyman, apprentice, worker, or other employee employed by the Contractor in connection with the Project. Said payroll shall be certified and shall be available for inspection at all reasonable hours at the principal office of the Contractor on the following basis:
 - a. A certified copy of an employee's payroll record shall be made available for inspection or furnished to the employee or his or her authorized representative on request.
 - b. A certified copy of all payroll records enumerated in Section 00700-4.07.D, herein, shall be made available for inspection or furnished upon request to the District, the Division of Labor Standards Enforcement, and the Division of Apprenticeship Standards of the Department of Industrial Relations.
 - c. A certified copy of all payroll records enumerated in Section 00700-4.07.D, herein, shall be made available upon request by the public for inspection or for copies thereof; provided, however, that a request by the public shall be made through either the District, the Division of Apprenticeship Standards, or the Division of Labor Standards Enforcement. If the requested payroll records have not been provided pursuant to subparagraph (2) herein, the requesting party shall, prior to being provided the records, reimburse the costs of preparation by the Contractor, subcontractors, and the entity through which the request was made. The public shall not be given access to the records at the principal offices of the Contractor.
2. Certified payroll records shall be submitted electronically as required under California Labor Code §1776 to the Labor Commissioner pursuant to California Code of Regulations Chapter 8, §16404. Per §1776 of the Labor Code, the Contractor shall be responsible for the submittal of its own payroll records and the payroll records of all its subcontractors. All certified payroll records shall be accompanied by a statement of compliance signed by the Contractor indicating that the payroll records are correct and complete, that the wage rates contained therein are not less than those determined by the Director of the Department of Industrial Relations, and that the classifications set forth for each employee conform with the work performed. All such payroll records and compliance forms required hereunder shall be maintained by Contractor for a period of no less than three (3) years after the completion of the Work.
3. The Contractor is responsible for its and its subcontractors' compliance with the provisions of §1776 of the Labor Code.
4. Each Contractor shall file a certified copy of the records, enumerated in Section 00700-4.07.D with the entity that requested the records within ten (10) days after receipt of a written request. Any copy of records made available for inspection as copies and furnished upon request to the public or any public agency by the District, the Division of Apprenticeship Standards, or the Division of Labor Standards Enforcement shall be marked or obliterated in such a manner as to prevent disclosure of an individual's name, address, and social security number. The name and address of the Contractor awarded the Contract or performing the Contract shall not be marked or obliterated. The Contractor shall inform the District of the location of

the records enumerated under Section 00700-4.07.D including the street address, city and county, and shall, within five (5) working days, provide a notice of change of location and address. The Contractor shall have ten (10) days in which to comply subsequent to receipt of written notice specifying in what respects the Contractor must comply with this Section 00700-4.07.D. In the event that the Contractor fails to comply within the 10-day period, he or she shall, as a penalty to the State of California or the District, forfeit one hundred dollars (\$100) for each calendar day, or portion thereof, for each worker, until strict compliance is effectuated. Upon the request of the Division of Apprenticeship Standards or the Division of Labor Standards Enforcement, these penalties shall be withheld from progress payments then due. Responsibility for compliance with Section 00700-4.07.D lies with the Contractor

5. On-Site Worker Interviews. The District or a representative of the District may make periodic Site visits to observe and interview workers regarding the payment of prevailing wages and proper work classifications. Contractor and each subcontractor shall cooperate and coordinate with the District and provide unaccompanied access to workers on the job Site.
6. Additionally, each contractor and every lower-tier subcontractor and supplier may be required to submit certified payrolls and labor compliance documentation electronically at the discretion of and the manner specified by the District. If requested, electronic submittal will be on a web-based system. Each contractor and subcontractor will be given a Log On identification and password to access the District reporting system. Use of the system may entail additional data entry of weekly payroll information including; employee identification, labor classification, total hours worked and hours worked on this project, wage and benefit rates paid, etc. This requirement will be necessary for every lower-tier subcontractor and vendor required to provide labor compliance documentation. Submission of payroll information electronically shall be inclusive of the project base bid indirect costs and no additional payment shall be made.
7. As required by §1773.1 of the California Labor Code, the Contractor shall pay travel and subsistence payments to each worker needed to execute the work, as such travel and subsistence payments are defined in the applicable collective bargaining agreements filed in accordance with this Section.
8. To establish such travel and subsistence payments, the representative of any craft, classification, or type of workman needed to execute the contracts shall file with the Department of Industrial Relations fully executed copies of collective bargaining agreements for the particular craft, classification, or type of work involved. Such agreements shall be filed within ten (10) days after their execution and thereafter shall establish such travel and subsistence payments whenever filed thirty (30) days prior to the call for bids.
9. The Contractor shall comply with the provisions of §1775 of the California Labor Code and shall, as a penalty to the District, forfeit not more than two hundred dollars (\$200) for each calendar day, or portion thereof, for each worker paid less than the prevailing rate of per diem wages for each craft, classification, or type of worker needed to execute the contract. The Contractor shall pay each worker an amount equal to the difference between the prevailing wage rates and the amount paid worker for each calendar day or portion thereof for which a worker was paid less than the prevailing wage rate. Contractor is required to pay all applicable penalties and back wages in the event of violation of prevailing wage law, and Contractor and any subcontractor shall fully comply with California Labor Code §1775, which is incorporated by this reference as though fully set forth herein.
10. In accordance with the provisions of §1727 of the California Labor Code, the District, before making payment to the Contractor of money due under this contract, shall withhold and retain therefrom sufficient funds to satisfy any civil wage and penalty assessment issued by the Labor Commissioner under Chapter 1, Part 7, Division 2 of the California Labor Code (commencing with §1720). If the District has not retained sufficient money under the Contract to satisfy a civil wage and penalty assessment based on a Subcontractor's violations, Contractor shall, upon the request of the Labor Commissioner, withhold sufficient money due the Subcontractor under the Contract to satisfy the assessment and transfer the money to the District. These amounts shall not be disbursed by the District until receipt of a final order that is no longer subject to judicial review. However, no sum shall be withheld, retained, or forfeited, except from the final payment, without a full investigation by either the Division of Labor Standards Enforcement or by the District.

E. Apprentices:

1. The Contractor's attention is directed to the provisions of Labor Code §1777.5. The Contractor and any subcontractors shall, when they employ any person in any apprenticeable craft or trade, apply to the joint

apprenticeship committee administering the apprenticeship standards of the craft or trade in the area of the construction Site for a certificate approving the Contractor or subcontractor under the apprenticeship standards for the employment and training of apprentices in the area or industry affected; and shall comply with all other requirements of §1777.5 of the California Labor Code, which is incorporated by this reference as though fully set forth herein. The responsibility of compliance with California Labor Code §1777.5 during the performance of this contract rests with the Contractor. Pursuant to California Labor Code §1777.7, in the event the Contractor willfully fails to comply with the provisions of California Labor Code §1777.5, the Contractor shall be denied the right to bid on any public works contract for up to three (3) years from the date noncompliance is determined and shall be assessed civil penalties.

F. Receipt of Workers' Wages, Fee for Registering or Placing Persons In Public Works

1. Attention is directed to the provisions of §1778 and §1779 of the California Labor Code, which read as follows:
 - a. "Section 1778. Every person, who individually or as a representative of an awarding or public body or officer, or as a contractor or subcontractor doing public work, or agent or officer thereof, who takes, receives or conspires with another to take or receive, for its own use or the use of any other person any portion of the wages of any workman or working subcontractor, in connection with services rendered upon any public work is guilty of a felony."
 - b. "Section 1779. Any person or agent or officer thereof who charges, collects, or attempts to charge or collect, directly or indirectly, a fee or valuable consideration for registering any person for public work, or for giving information as to where such employment may be procured, or for placing, assisting in placing, or attempting to place, any person in public work, whether the person is to work directly for the state, or any political subdivision or for a contractor or subcontractor doing public work is guilty of a misdemeanor."

G. Labor Discrimination:

1. Contractor shall abide by all federal and state laws preventing discrimination in the employment of persons upon public works and shall ensure by appropriate contract provisions that all subcontractors are similarly obligated to comply with all such laws. These laws include, but are not limited to the following. California Labor Code §1735 which provides that "No discrimination shall be made in the employment of persons upon public works because of the race, religious creed, color, national origin, ancestry, physical disability, mental disability, medical condition, marital status, or sex of such persons, except as provided in Section 12940 of the Government Code, and every contractor for public works violating this Section is subject to all the penalties imposed for a violation of this chapter." Likewise, Contractor and all of its subcontractors shall abide by the provisions of California Labor Code §1777.6 prohibiting discrimination in the acceptance of otherwise qualified apprentices; and California Labor Code §3095 which declares unlawful the discrimination in any recruitment or apprenticeship program on stated grounds. District shall be entitled to retain and withhold all penalties as authorized pursuant to California Labor code, Division 2, Part 7, Chapter 1, commencing with §1720 and following, in accordance with the provisions of that Chapter, and the regulations established by the Director of Industrial Relations pursuant to the statutory authority of such chapter.

H. Workers' Compensation Insurance:

1. Attention is directed to the provisions found in Section 00700- Article 13, Insurance and Indemnification, for requirements of Workers' Compensation Insurance and California Labor Code §3700.

I. Certified Electricians:

1. It is the sole responsibility of the Contractor to ensure compliance with California Labor Code §108- 108.5- Electrician Certification. The Contractor shall use, and/or cause its electrical subcontractor to use, properly certified electricians to perform electrical work and specifically all work covered under the Contract Documents.
2. Information on the electrician certification program may be obtained at the Division of Apprenticeship Standards (DAS) at:

<https://www.dir.ca.gov/dlse/ecu/electricaltrade.html>

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3. The Division of Apprenticeship Standards also requires that all electricians in the State of California possess adequate training to perform their job. As such, all electricians must fall into one of the following categories:
 - Journeyman- Certified
 - Journeyman- Trainee
 - Apprentice
 4. Prior to commencement of electrical work, submit current and complete documentation of electrician certification or enrollment in a California state or Federal approved apprenticeship program for all electrical workers, or any relevant exception on which you intend to rely for satisfying the certification requirements.
- J. Lateral and Subjacent Supports:
1. Attention is directed to §832 of the Civil Code of the State of California relating to lateral and subjacent supports, and wherever structures or improvements adjacent to the excavation may be damaged by such excavation, the Contractor shall comply with this law. As provided in California Labor Code §6707, a separate bid item is provided for costs of shoring and bracing of excavations five (5) feet or more in depth.
- K. Safety Standards:
1. The Contractor shall comply with all applicable provisions of the Safety and Health Regulations of Construction, promulgated by the Secretary of Labor under Section 107 of the Contract Work Hours and Safety Standards Act (40 USC 327 et. seq.) as set forth in Title 29, C.F.R., Cal/OSHA, and the regulations issued thereunder. Compliance shall be the Contractor's sole responsibility, and neither the District, the Construction Manager nor the Design Engineer shall have any liability for non-compliance. See Section 00700-Article 12, Protection of Persons and Property, for additional safety requirements.
- L. Asbestos Related Work:
1. All work involving asbestos containing material must be performed in accordance with California Labor Code, Sections 6501.5 through 6510, inclusive, and California Administrative Code, Title 8, Section 5208 and all other pertinent laws, rules, regulations, codes, ordinances, decrees and orders.
- M. Public Records Act:
1. Except as otherwise provided herein, all records, documents, drawings, plans, specifications, and all other information relating to the conduct of District's business, including information submitted by the Contractor ("Records"), shall become the exclusive property of District and shall be deemed public records. Said Records are subject to the provisions of the California Public Records Act (Government Code §6250 et. seq.). The District's use and disclosure of its records are governed by this Act. The District will use its best efforts to inform the Contractor of any request for any financial records or documents marked "Trade Secret", "Confidential" or "Proprietary" provided by the Contractor to District.
 2. Contractor, at its sole expense and risk, shall be fully responsible for any and all fees for prosecuting or defending any action brought or instituted by Contractor opposing disclosure of Records and shall indemnify and hold District harmless from all costs and expenses including attorney's fees and costs of litigation in connection with any such action.
- N. District will have no liability to Contractor or Contractor's privity concerning disclosure of records in accordance with the California Public Records Act or other applicable law or in accordance with the direction of any competent authority, and Contractor, on behalf of itself, and its privities waives, releases and fully discharges such liability.

4.06 COMPLIANCE WITH ENVIRONMENT LAWS

- A. During construction, the Contractor shall comply with all pertinent requirements of Federal, State, and local environmental laws and regulations, including, but not limited to, the Federal Clean Air Act, State and local air pollution and noise ordinances, construction Site erosion control regulations, and if applicable, shoreline

construction requirements. Specific requirements are further specified in Section 01060, Regulatory Requirements, and Permits, and Section 01560, Environmental Controls.

4.07 AUDITS AND ACCESS TO RECORDS

- A. The Contractor must maintain all books, records, documents, electronic media, and other evidence directly pertinent to the performance of the Work in accordance with generally accepted accounting principles and practices consistently applied. The Contractor must also maintain all financial information and data used by the Contractor in the preparation or support of any cost submission, including the Contractor's Bid estimate, any Change Order, Dispute, Claim, Pay Application, or other request for equitable adjustment. District and its representatives will have access upon 24 hours advanced written notice, at all times during normal business hours, to all Contractors books, summary reports, records, accounts, estimates, documents, detailed financial information, certified payroll records, and all other relevant information and documentation for the purposes of inspection, audit, and copying. The Contractor will, at no cost to District, provide proper facilities for such access, inspection and copying purposes.
- B. Contractor agrees to include and make the requirements of Section 00700-4.07, Audits and Access to Records, applicable to all Subcontracts and Sub-subcontracts or purchase orders in excess of \$10,000, at any tier.
- C. Audits conducted pursuant to Section 00700-4.07, Audits and Access to Records. A will be in accordance with general accepted auditing standards and established procedures and guidelines of the reviewing or audit agency.
- D. The Contractor agrees to provide all information and reports resulting from access to records to District and other affected parties.
- E. Records must be maintained and made available during the performance of the Work and for five (5) years after Final Payment, and until final settlement of all Disputes, Claims, or litigation, whichever occurs later. In addition, those records which relate to any portion of this Contract, to any Change Order, to any Dispute, to any litigation, to the settlement of any Claim arising out of such performance, or to the cost or items to which an audit exception has been taken, must be maintained and made available until Final Payment or final resolution of such Dispute, litigation, Claim, or exception, whichever occurs later.
- F. The right of access provisions of Section 00700-4.07, Audits and Access to Records, applies to all financial records pertaining to this Contract:
 - 1. to the extent the records pertain directly to Contract performance;
 - 2. to the extent required for verification of the costs incurred where such costs are the basis for billings pursuant to this Contract including Change Orders;
 - 3. to the extent there is any indication of violation of the California False Claims statute or that fraud, gross abuse, or corrupt practices may be involved;
 - 4. if the Contract is terminated for default or convenience.
- G. Access to records is not limited to the required retention periods. District's Authorized Representative or designee will have access to records at any reasonable time for as long as the records are maintained.
- H. Pursuant to California Government Code §8546.7, if the amount of public funds to be expended is in excess of \$10,000, this Contract will be subject to the examination and audit of the State Auditor, at the request of the District, or as part of any audit of the District, for a period of three (3) years after final payment under the Contract.

4.08 USE AND PROTECTION OF DISTRICT'S SITE AND ADJACENT PROPERTY

- A. With the approval of the District, the Contractor may use portions of the District's Site for storage of construction equipment, materials, and field offices. Any Work site office facilities used by the Contractor and/or its privities must conform to all applicable codes, ordinances and regulations. The cost of such Work site office facilities shall be included in the Contract Price. The District will not accept any responsibility for damage to or loss of the Contractor's equipment or materials stored on any Project related Site caused by vandalism, theft, nature, or otherwise, suffered by the Contractor. Protection of all construction equipment, stores, and supplies shall be the sole responsibility of the Contractor. Where additional work space is desired by the Contractor or where the

District cannot provide the space to the Contractor, it shall be the Contractor's sole responsibility and expense to obtain such a space for its use.

- B. All workers or representatives of the Contractor, subcontractors, or suppliers are admitted to the Site only for the proper execution of the Work in accordance with the Contract Documents. Furthermore, no persons may occupy property owned by the District outside the limit of the Work, as indicated on the drawings, without the express written permission of the District.
- C. The Contractor shall enforce any instructions from the District or Construction Manager regarding combustible materials, placement of signs, danger signals, barricades, radios, noise, dust, use of District's restrooms and shower facilities, and smoking. Upon completion of the Work, the Contractor shall remove all temporary barricades, signs, and related materials. No advertising signs shall be erected at the Site(s) of the Work.
- D. The Contractor shall determine safe loading capacities and shall not overload any structure, building, pipe, or other existing facility beyond its safe capacity during construction. In addition to any requirements imposed by law, the Contractor shall shore up, brace, underpin and protect as may be necessary all foundations and other parts of all existing structures, facilities and improvements on the Site or adjacent to the Site which are in any way affected by the Contractor's excavations or other operations connected with the Work. Prior to commencing any work which in any way affects adjoining or adjacent land or buildings thereon, or public utilities, the Contractor shall notify the Construction Manager to discuss responsibilities for properly notifying the owners/occupants of adjacent land and the protective measures taken by the Contractor. Upon request of the Construction Manager, the Contractor shall meet with the recipient of any notice or attend local public meetings as proper public outreach on local impacts caused by completion of the Work.
- E. The Contractor shall take all necessary precautions to protect existing facilities against the effects of all weather and environmental elements and Contractor shall be strictly liable for failure to protect any facility.
- F. All existing improvements and facilities shall be protected from any damage resulting from the operations, equipment, or workers of the Contractor during the entire Contract Time.
- G. The Contractor shall take all steps necessary to protect all structures, buildings, land, and other facilities from fires and sparks originating from the Work. The Contractor shall comply with all laws and regulations regarding fire protection and shall comply with all instructions given by the fire department with jurisdiction.
- H. Any damage to existing conditions, or to any other improvement or property above or below the ground surface, whether public or private, arising from the Contractor's operations or performance of the Work shall be repaired within forty-eight (48) hours by the Contractor without expense to the District, unless disruption of the District's operations or creation of a safety hazard has occurred, in which case damage will be repaired immediately. The forty eight (48) hour non-emergency repair response time may be extended only if agreed to in writing by the District and/or private property owner. Any delays to the project completion times caused by such repairs shall be considered non-compensable and no further extension of the Contract Time will be granted therefor. Should the Contractor fail to timely repair damage caused by its operations or performance in accordance with this Section, the District may take steps to protect property and life, in its sole discretion, and deduct entire cost of such work from amounts due or that may become due the Contractor. No prior notice to the Contractor shall be necessary for the District to take such action.
- I. The Contractor shall give at least 72 hours advance notice to the District before commencing any street work (such as pavement grinding or trenching) that may potentially damage any traffic signal detection loop wires or any other signal facility. This requirement is in addition to any Underground Services Alert notification action by the Contractor. The District will mark underground traffic signal facilities. The Contractor shall not proceed with any grinding, trenching, or other underground work until it has been verified with the Inspector that signal facilities have been marked. The Contractor shall be responsible for all damage to traffic signal facilities arising from failure to properly comply with these provisions.
- J. In the event that the Contractor's construction activities cause any failure of a traffic facility, it shall be repaired and be made fully operable within 24 hours of the damage occurring. In the event that such repair is not undertaken within this time limit, the District will repair the facility and deduct the cost from monies due to the Contractor. The amount deducted will consist of the repair cost and a 25% markup for administrative costs.

4.09 FEES AND PERMITS

- A. The California Environmental Quality Act (CEQA) (Public Resources Code, §21000 and following), may be applicable to permits, licenses and other authorizations which the Contractor must obtain from local agencies

in connection with performing the work of the Contract. The Contractor shall comply with the provision of those statutes in obtaining such permits, licenses, and other authorizations, and they shall be obtained in sufficient time to prevent delays in the Work. In the event that the District has obtained permits, licenses, or other authorization applicable to the work in conformance with the requirements of CEQA, the Contractor shall comply with the provisions of these permits, licenses, and other authorizations.

B. The requirements for fees and permits are specified in Section 01060, Regulatory Requirements and Permits.

4.10 PROVISIONS FOR HANDLING EMERGENCIES

A. It is possible that emergencies may arise during the progress of the Work, which may require special treatment or make advisable extra shifts of labor forces to continue the Work for twenty four (24) hours per day. These emergencies may be caused by damage or possible damage to nearby existing structures or property by reason of the work under construction, or by storm, accidents, or leakage. The Contractor shall be prepared in case of such emergencies to make all necessary repairs and shall promptly execute such work when required by the Construction Manager. The determinations made by the Construction Manager for handling emergencies shall be final and conclusive upon the parties. Upon start of the Work, Contractor shall provide means for immediate emergency notification of Contractor's designated representative and designated emergency alternates.

4.11 STANDARD AND NONSTANDARD WORKING HOURS

A. Work hours shall conform to all applicable Federal, State, County, and local laws, ordinances, and codes applicable to the Work. Where any of these laws are in conflict, the more stringent requirements shall be followed.

B. All work shall be performed during the hours of 7:00 a.m. and 6:00 p.m., Monday through Friday. This restriction includes deliveries of materials and equipment and servicing of construction equipment on the Project Site. Any work outside this time frame shall be allowed only with prior written permission from the Owner's Representative. The actual time the Owner's personnel, Construction Manager or Engineer spend working outside this time frame shall be billed to the Contractor at the personnel's standard charge out rate. Any work designated to have a special time frame shall be so noted on the Plans and/or elsewhere in these Specifications and shall be excluded from this reimbursement.

C. The Contractor may be required to prosecute the Work at night or outside of the standard working hours defined in 4.11.B above. Such work may be required due to project and/or operational constraints as defined in the Contract Documents, or if emergencies arise as provided for in Section 00700-4.10, Provisions for Handling Emergencies. When required, ordered, or permitted to work at night, the Contractor shall provide sufficient and satisfactory lighting and other facilities therefor. Subject to applicable law, for work outside of the normal working hours, the Contractor shall receive no extra payment, but compensation shall be considered as having been included in the price stipulated for the Work, except for authorized work performed outside of the Contract requirements.

D. Should the Contractor perform work outside of the standard hours of 7:00 a.m. and 6:00 p.m., Monday through Friday without the Owner's written permission, the Owner will charge the Contractor, as a penalty, five hundred dollars (\$500.00) for each infraction. This charge will be deducted from the next progress payment due Contractor.

E. If by 9:00 a.m. each and every work day the Contractor is not going to perform any work, the Contractor is to review the entire Site, considering all situations, and notify the Construction Manager that the Site has been reviewed and the Site is secure. Should any mitigation be necessary, the Contractor should also advise the Construction Manager.

****END ARTICLE 4****

ARTICLE 5 - SUBCONTRACTORS**5.01 SUBLETTING AND SUBCONTRACTING**

- A. The Contractor must adhere to the rules governing subcontracting as set forth in the Subletting and Subcontracting Fair Practices Act, commencing with Public Contract Code §4100. Subcontractor substitutions must be in accordance with provisions of the Subletting and Subcontracting Fair Practices Act, beginning with Public Contract Code §4100. Violations of this Act by the Contractor may subject the Contractor to penalties and disciplinary action pursuant to the Subletting and Subcontracting Fair Practices Act.
- B. The Contractor is responsible for all Work performed pursuant to the requirements of the Contract Documents, including Work Subcontracted to others. All persons engaged in the Work of the Project are the responsibility of and subject to the control of the Contractor.
- C. No Subcontractor will be recognized as such, and all persons engaged in the Work will be considered as employees of Contractor who is responsible for their work, which is subject to all the provisions of the Contract Documents.
- D. When any Subcontractor fails to execute a portion of the Work in a manner satisfactory to District, the Contractor must remove such Subcontractor immediately upon written notice from District, and the Subcontractor must not again be employed on the Project.
- E. Although the Contract Documents are divided into Sections, Articles, Parts, Sub-Parts and Divisions, Sections, and Paragraphs, it is not intended to provide a basis for the Bidding, assignment, or performance of the Work. Contractor is fully responsible for assigning the Work to the various Subcontractors, Suppliers and vendors that will be bidding or performing the Work. The District will not entertain requests to arbitrate disputes among Subcontractors or between the Contractor and Subcontractor(s) concerning responsibility for performing any part of the Work.

5.02 SUBCONTRACTOR'S CONTRACTUAL OBLIGATIONS

- A. By an appropriate agreement, Contractor shall require each Subcontractor, to the extent of that Subcontractor's work, to be bound to Contractor by the terms of the Contract, and to assume toward Contractor all the obligations and responsibilities which Contractor, by these Contract Documents, assumes toward District.
- B. Said subcontracts and agreements must preserve and protect District's rights pursuant to the Contract with respect to the Subcontractor's or Suppliers Work so the subcontracting thereof will not prejudice such rights. Contractor must require each Subcontractor to enter into similar agreements with its Sub subcontractors.
- C. Contractor must make available to each proposed Subcontractor and Supplier, prior to execution of the subcontract or agreement, copies of the Contract Documents to which the Subcontractor or Supplier will be bound and, upon written request of the Subcontractor or Supplier, identify to the Subcontractor or Supplier any terms and conditions of the proposed subcontract or agreement that may be at variance with the Contract. Each Subcontractor must similarly make copies of all such Documents available to its proposed Sub subcontractors.
- D. The Contractor shall not employ any subcontractors that are not properly licensed in accordance with State law. Prior to commencement of any work by a subcontractor, the Contractor shall submit verification to the Construction Manager that the subcontractor is properly licensed for the work it will perform.
- E. Each subcontract agreement shall expressly incorporate by reference the Contract Documents, including the following provisions:
 - 1. Each subcontractor shall carry insurance as required by the Contract Documents, and provide evidence of such insurance, as provided in Section 00700- Article 13, Insurance and Indemnification.
 - 2. Each subcontractor shall be obligated to defend, indemnify, and hold harmless the District and additional insureds listed in Section 00700- Article 13, Insurance and Indemnification, from all claims arising from the subcontractor's portion of the Work in the same manner as Contractor.
 - 3. Each subcontract shall include language assigning the subcontract to the District in the event District terminates Contractor. The assignment shall be effective at District's discretion. The Contractor hereby assigns to the District each Subcontract entered into by Contractor for performance of any part of the Work provided that:

- a. The assignment is effective only after the District's termination of the Contractor's right to proceed under the Contract Documents, (or portion thereof relating to that Subcontract), see Section 8.09, District's Right to Terminate Contract;
- b. The assignment is effective only for the Subcontracts which the District expressly accepts by notifying the Subcontractor in writing;
- c. The assignment is subject to the prior rights, if any, of the Surety, where the Surety exercises its rights to complete the Contract;
- d. After the effectiveness of an assignment, the Contractor shall, at its sole cost and expense, sign all instruments and take all actions reasonably requested by the District to evidence and confirm the effectiveness of the assignment to the District;
- e. Nothing in this paragraph shall modify or limit any of the Contractor's obligations to the District arising from acts or omissions occurring before the effectiveness of any Subcontract assignment, including but not limited to all defense, indemnity and hold harmless obligations arising from or related to the assigned Subcontract; and
- f. The District may accept the assignment at any time during the course of the Work and prior to Final Completion in the event of a suspension or termination of Contractor's rights under the Contract Documents. Such assignment is part of the consideration to the District for entering into the Contract with the Contractor and may not be withdrawn.

5.03 CONTROL OF SUBCONTRACTORS

- A. Subcontractors will not be recognized as having a direct relationship with the District. The persons engaged in the work, including employees of subcontractors and suppliers, will be considered employees of the Contractor and their work shall be subject to the provisions of the Contract. References in the Contract Documents to actions required of subcontractors, manufacturers, suppliers, or any person other than the Contractor, the District or the Construction Manager shall be interpreted as requiring that the Contractor shall require such subcontractor, manufacturer, supplier or person to perform the specified action.
- B. Contractor must:
 1. Schedule and coordinate the Work of all Subcontractors;
 2. Instruct all Subcontractors to consult with other Subcontractors to ascertain the locations of their various materials including stored materials and to familiarize themselves with their own material locations, making such changes as required to obtain the best results;
 3. Instruct all Subcontractors to schedule their Work and cooperate with the other Subcontractors to avoid delays, interferences, and unnecessary work, to conform to the schedule of operations as indicated in the Official Progress Schedule, and make Installations when and where directed.
 4. Make all necessary changes, including removing and reinstalling of materials, at their sole expense if they fail to check with other Subcontractors, and their Installed Work is later found to interfere with Work of other Subcontractors.
 5. Follow up to ensure that all Subcontractors install their Work when and where directed.

****END ARTICLE 5****

ARTICLE 6 - CONSTRUCTION BY DISTRICT OR BY SEPARATE CONTRACTORS**6.01 DISTRICT'S RIGHT TO PERFORM CONSTRUCTION AND AWARD SEPARATE CONTRACTS**

- A. District has the right to perform work at any time related to the Project with its own forces and/or to Award separate contracts in connection with other portions of the Project or other work on the Site pursuant to these or similar conditions of this Contract.
- B. When separate contracts are awarded for different portions of the Project or other work on the Site, the term contractor in the Contract Documents in each case means the contractor who executes each separate District/Contractor Agreement.

6.02 COOPERATION WITH DISTRICT'S FORCES AND OTHER CONTRACTORS EMPLOYED BY DISTRICT

- A. Unless otherwise indicated in the Contract Documents, District will provide for the coordination of the work of District's own forces and of each separate contractor with the Work of the Contractor, who must cooperate therewith as provided herein.
- B. When Contractor and one or more other contractors are employed by District on related or adjacent work, Contractor must not cause any unnecessary delay or hindrance to the other contractors.
- C. If the performance of the Work of this Contract is likely to be interfered with by the simultaneous performance of the work of some other separate contract or contracts, the District will decide which contractors or Contractor may proceed.
- D. Contractor must accommodate District move-in activities including installation of Furniture, Fixtures, and Equipment (FF&E) by District or others.

6.03 MUTUAL RESPONSIBILITY

- A. The Contractor must cooperate fully with District and all separate contractors including utility companies with regard to the execution of their Work as follows:
 - 1. The Contractor must cooperate fully with District and all separate contractors with regard to introduction and storage of their materials and equipment.
 - 2. The Contractor must coordinate with District, all separate contractors, and all utility companies with regard to construction scheduling, sequence of operations and Site access, all subject to approval of the District. Contractor must include activities in Contractor's Progress Schedule for all on-site activities performed by utility companies.
 - 3. The Contractor must coordinate and accommodate the concurrent installation of inserts, hangers, blocking, and all other items or embeds to be installed by others within or upon the Contractor's Work. The Contractor must coordinate and schedule the concurrent installation of these items in such a manner to cause no Critical Path delay to its Work or the work of others.
 - 4. The Contractor must properly connect the Work to the work of District or the separate contractors.
 - 5. The Contractor must inspect the work of District or other contractors affecting the Work and promptly report to the District in writing irregularities or defects in the separate work that render it unsuitable for reception or connection of the Work.
 - 6. Failure of the Contractor to inspect and report constitutes acceptance of the other work as fit and proper to receive the Work, except as to defects that may develop in the other work after execution of the Contractor's Work.

6.04 DISTRICT'S RIGHT TO CLEAN UP

- A. If a disagreement or Dispute arises among the Contractor, separate contractors and/or District as to the responsibility pursuant to their respective contracts for maintaining the Project Site and surrounding areas free from waste materials and rubbish, District may clean up or cause to be cleaned up the waste, materials, and rubbish and allocate the costs among those responsible, and deduct each contractor's share from progress payments due or to become due to each contractor.

****END ARTICLE 6****

ARTICLE 7 - CONTROL OF THE WORK AND MATERIALS**7.01 MEANS, METHODS AND APPLIANCES**

- A. The means, methods, and appliances adopted by the Contractor shall be planned and executed to produce the highest grade quality of work and will enable the Contractor to complete the Work in the time agreed upon. The District and Construction Manager shall not supervise, direct, or have control over, or be responsible for, Contractor's means, methods and appliances of construction or for the safety precautions and programs incident thereto, or for any failure of Contractor to comply with laws and regulations applicable to the furnishing or performance of Work. However, if at any time the means, methods and appliances appear inadequate or of inferior quality, the Construction Manager may order the Contractor to improve their character or efficiency, and the Contractor shall conform to such order; failure of the Construction Manager to order such improvement of methods of efficiency will not relieve the Contractor from its obligation to perform satisfactory work and to finish the Work in the time agreed upon.
- B. The approval by the Engineer of any drawing or any method of work proposed by Contractor does not relieve Contractor of responsibility for any errors and is not an assumption of risk or liability by District or any District officer or employee. Contractor has no claim under the Contract on account of the failure or partial failure or inefficiency of any plan or method so approved. Such approval by the Engineer merely means that the Engineer has no objection to Contractor's using, at Contractor's sole responsibility and risk, the plan or method Contractor proposes.
- C. Subject to the Contract Times specified in the Contract Documents, the Contractor is solely responsible for planning and scheduling the means, methods, and appliances to address weather conditions that may occur during the course of the Work. The Contractor is solely responsible for all costs to address such means, methods, and appliances and for the direct and indirect costs resulting from weather conditions

7.02 CONTRACTOR'S RESPONSIBILITY FOR WORK

- A. Until the formal acceptance of the work by the District, the Contractor shall have the charge and care and shall bear the risk of injury or damage to any part thereof by the action of the elements or from any other cause, whether arising from the execution or non-execution of the work.
- B. The Contractor shall rebuild, repair, restore and make good all injuries or damages to any portion of the work occasioned by any of the above causes before final acceptance and shall bear the expense, except such injuries or damages occasioned by the acts of the Federal government or acts of war.
- C. In case of suspension of work from any cause whatsoever, the Contractor shall be responsible for the work as previously specified and shall also be responsible for all materials delivered to the work. Where necessary to protect the work from damage, the Contractor shall, at his own expense, provide suitable drainage of the worksite and erect such temporary structures as necessary to protect the work from damage during any period of suspension of work.
- D. The Contractor shall provide twenty-four (24) hour emergency service for all maintenance and operations of the work specified and shall supply the District with the name and phone number of the responsible person. Emergency service shall be within thirty (30) minutes from the time of notification. If the Contractor fails to provide this service, the District shall perform such emergency service and the cost thereof shall be deducted from the next progress pay estimate due the Contractor.

7.03 SUPPLY OF SUFFICIENT WORKERS

- A. The Contractor shall at all times employ qualified workers sufficient to prosecute the Work at a rate and in a sequence and manner necessary to complete the Work within the Contract Time(s). This obligation shall remain in full force and effect notwithstanding disputes or claims of any type. At any time during the progress of Work, should Contractor directly or indirectly (through subcontractors), refuse, neglect, or be unable to employ a sufficient number of qualified workers to prosecute the Work as required, then the District may require the Contractor to accelerate the Work and/or furnish additional qualified workers as District may consider necessary, at no cost to District.
- B. If Contractor does not comply with the notice within five (5) days of date of service thereof. District shall have the right (but not a duty) to provide qualified workers to finish the Work or any affected portion of Work, as District may elect. District may at its discretion, exclude Contractor from the Site, or portions of the Site or separate Work elements during the time period that District exercises this right. District will deduct from moneys

due or which may thereafter become due under the Contract Documents, the sums necessary to meet expenses thereby incurred and paid to persons doing Work. District will deduct from funds or appropriations set aside for purposes of Contract Documents, the amount of such payments and charge them to Contractor as if paid to Contractor. Contractor shall remain liable for resulting delay, including liquidated damages and indemnification of District from claims of others.

- C. Exercise by District of the rights conferred upon District in this Section 00700, General Conditions, is entirely discretionary on the part of District. District shall have no duty or obligation to exercise the rights referred to in Section 00700-7.03, Supply of Sufficient Workers, and its failure to exercise such rights shall not be deemed an approval of existing Work progress or a waiver or limitation of District's right to exercise such rights in other concurrent or future similar circumstances. The rights conferred upon District under Section 00700-7.03, Supply of Sufficient Workers, are cumulative to District's other rights under any provision of the Contract Documents.

7.04 MATERIALS AND WORKMANSHIP

- A. Unless otherwise indicated in these Specifications, or favorably reviewed by the Design Engineer, materials and equipment for the construction work shall be the best grade in quality of a manufacturer regularly engaged in the production of such materials and equipment or materials and equipment of comparable character. All materials must be of the specified quality and equal to approved samples, if samples have been submitted. All work shall be done and completed in the best workmanlike manner. All permanent materials and equipment shall be new unless otherwise specified.
- B. All defective work or materials shall be promptly removed from the premises by the Contractor, whether in place or not, and shall be replaced or renewed in such manner as the Construction Manager may direct. All materials and workmanship of whatever description shall be subjected to the inspection of, and rejection by, the Construction Manager if not in conformance with the Contract Documents. The decision of the Construction Manager is final and conclusive upon the parties.
- C. Unless otherwise stipulated in the Contract Documents, any defective material or workmanship, or any unsatisfactory or imperfect work which may be discovered before the final acceptance of the Work or within one (1) year thereafter, shall be corrected immediately upon the receipt of notice from the Construction Manager, without extra charge, notwithstanding that it may have been overlooked in previous inspections and estimates. Failure to inspect work shall not relieve the Contractor from any obligation to perform sound and reliable work as herein described.
- D. In the event that the Contractor shall fail to comply with the conditions of the foregoing guarantee within ten (10) calendar days' time after the date of written notification of the defect, the District shall have the right, but shall not be obligated to repair, or obtain the repair of, the defect and the Contractor shall pay to the District on demand all costs and expense of such repair. Notwithstanding anything herein to the contrary, in the event that any defect in workmanship or material covered by the foregoing guarantee results in a condition which constitutes an immediate hazard to the health or safety, or any property interest, or any person, the District shall have the right to immediately repair, or cause to be repaired, such defect, and the Contractor shall pay to the District on demand all costs and expense of such repair. The foregoing statement relating to hazards to health, safety or property shall be deemed to include either temporary or permanent repairs which may be required as determined in the sole discretion and judgment of the District.

7.05 USE OF MATERIALS FOUND ON THE PROJECT SITE

- A. The District does not warrant the suitability of any native material on the Project Site for use in the Project. The Contractor, with the approval of the Engineer, may use in the proposed construction such stone, gravel, sand or other material as may be found on the Project Site and deemed suitable in the opinion of the Engineer. The Contractor shall replace at his own expense all of that portion of the material so removed and used with other suitable material. No charge for native materials so used will be made against the Contractor. The Contractor shall not excavate or remove any material from any roadway location that is not within the excavation, as indicated by the slope and grade lines shown on the Contract Drawings, without written authorization from the Engineer.

7.06 EXISTING UTILITIES

- A. General:

1. The location of known existing utilities and pipelines are shown on the Drawings in their approximate locations. However, nothing herein shall be deemed to require the District to indicate the presence of

existing service laterals or appurtenances whenever the presence of such utilities on the Site of the Project can be inferred from the presence of other visible facilities, such as buildings, cleanouts, meter and junction boxes, on or adjacent to the Site of the Project.

2. The District will assume the responsibility for the timely removal, relocation, or protection of existing main or trunkline utility facilities located on the Project Site if such utilities are not identified by the District in the Contract Documents or which cannot reasonably be inferred from the presence of other visible facilities.

B. Utility Relocation:

1. It shall be the Contractor's responsibility to determine the exact location and depth of all utilities, including service connections, which have been marked by the respective utility owners and which the Contractor believes may affect or be affected by the Contractor's operations. The Contractor shall not be entitled to additional compensation or time extensions for work necessary to avoid interferences nor for repair to damaged utilities if the Contractor does not expose all such existing utilities as required by this Section.
2. The locating of utilities shall be in conformance with California Government Code §4216 et seq. except for the District's utilities located on the District's property and not on public right-of-way.
3. A "High Priority Subsurface Installation" is defined in §4216 (j) as "high-pressure natural gas pipelines with normal operating pressures greater than 415kPA gauge (60 psig) or greater than six inches nominal pipe diameter, petroleum pipelines, pressurized sewage pipelines, high-voltage electric supply lines, conductors, or cables that have a potential to ground of greater than or equal to 60kv, or hazardous materials pipelines that are potentially hazardous to workers or the public if damaged."
4. A "Subsurface Installation" is defined in California Government Code §4216 (ls) as "any underground pipeline, conduit, duct, wire, or other structure, except non-pressurized sewer lines, non-pressurized storm drains, or other non-pressurized drain lines."
5. Pursuant to California Government Code §4216.2 the Contractor shall contact the appropriate regional notification center at least two (2) working days but not more than fourteen (14) calendar days before performing any excavation. The Contractor shall request that the utility owners conduct a utility survey and mark or otherwise indicate the location of their service. The Contractor shall furnish to the Construction Manager written documentation of its contact(s) with the regional notification center prior to commencing excavation at such locations.
6. After the utility survey is completed, the Contractor shall commence "potholing" or hand digging to determine the actual location of the pipe, duct, or conduit. The Construction Manager shall be given notice prior to commencing potholing operations. The Contractor shall uncover all piping and conduits, to a point one (1) foot below the pipe when required by the District, where crossings, interferences, or connections are shown on the Drawings, prior to trenching or excavating for any pipe or structures, to determine actual elevations. New pipelines shall be laid to such grade as to clear all existing facilities, which are to remain in service for any period subsequent to the construction of the run of pipe involved.
7. The Contractor's attention is directed to the requirements of California Government Code §4216.2 (c) which provides: "When the excavation is proposed within 10 feet of a high priority subsurface installation, the operator of the high priority subsurface installation shall notify the excavator of the existence of the high priority subsurface installation to set up an onsite meeting prior to the legal excavation start date and time or at a mutually agreed upon time to determine actions or activities required to verify the location and prevent damage to the high priority subsurface installation. As part of the meeting, the excavator shall discuss with the operator the method and tools that will be used during the excavation and the information the operator will provide to assist in verifying the location of the subsurface installation. The excavator shall not begin excavating until after the completion of the onsite meeting." The Contractor shall notify the Construction Manager in advance of this meeting.

C. Utility Relocation and Repair:

1. If interferences occur at locations other than those indicated in the Contract Documents with reasonable accuracy, the Contractor shall notify the Construction Manager in writing. The Construction Manager will supply a method for correcting said interferences in accordance with the responsibilities of this Section and California Government Code §4215.
2. Care shall be exercised by the Contractor to prevent damage to adjacent existing facilities and public or private works; where equipment will pass over these obstructions, suitable planking shall be placed. If high

- priority subsurface installations are damaged and the operator cannot be contacted, the Contractor shall call 911 emergency services.
3. The District will compensate the Contractor for the costs of locating and repairing damage not due to the failure of the Contractor to exercise reasonable care, and for removing or relocating such main or trunk line utility facilities not indicated in the Contract Documents with reasonable accuracy, and for the cost of equipment on the Project necessarily idled during such work. The payment for such costs will be made as provided in Section 00700-Article 9, Changes in the Work. The Contractor shall not be assessed liquidated damages for delay in completion of the Project, when such delay is caused by the failure of the District or utility company to provide for removal or relocation of such utility facilities. Requests for extensions of time arising out of utility relocation or repair delays shall be filed in accordance with Section 01324, Progress Schedules and Reports.
 4. The public utility, where they are the owner of the effected utility, shall have the sole discretion to perform repairs or relocation work or permit the Contractor to do such repairs or relocation work at a reasonable price. The right is reserved to the District and the owners of utilities or their authorized agents to enter upon the Work area for the purpose of making such changes as are necessary for the rearrangement of their facilities or for making necessary connections or repairs to their properties. The Contractor shall cooperate with forces engaged in such work and shall conduct its operations in such a manner as to avoid any unnecessary delay or hindrance to the work being performed by such forces and shall allow the respective utilities time to relocate their facility.
 5. When the Contract Documents indicate that a utility is to be relocated, altered, or constructed by others, the District will conduct all negotiations with the utility company and the work will be done at no cost to the Contractor, unless otherwise stipulated in the Agreement.
 6. Temporary or permanent relocation or alteration of utilities desired by the Contractor for its own convenience shall be the Contractor's responsibility and it shall make arrangements and bear all costs for such work.
 7. All Underground Services Alert (USA) markings on concrete or asphaltic pavement or other structures shall be removed when they are no longer required. Acceptable means of removal include sand blasting or high pressure water blasting.

****END ARTICLE 7****

ARTICLE 8 - PROGRESS OF THE WORK AND TIME**8.01 COMMENCEMENT OF THE WORK**

- A. Within ten (10) calendar days after receipt of the required bonds and evidences of insurance and the executed Agreement from the Contractor, written Notice to Proceed will be given by the District to Contractor. Notwithstanding other provisions of the Contract, the Contractor shall not be obligated to perform work, and the District shall not be obligated to accept or pay for work performed by the Contractor, prior to Notice to Proceed. The Contractor shall provide the required Contract bonds and evidences of insurance prior to Notice to Proceed and commencing work at the Site.
- B. The Contractor shall commence the Work covered by this Contract within ten (10) days after the date established in the Notice to Proceed for the commencement of Contract Time.
- C. The Contractor shall give the Construction Manager written notice not less than two (2) working days in advance of the actual date on which the work will be started. The Contractor shall be entirely responsible for any delay in the work which may be caused by its failure to give such notice.

8.02 CONTRACT TIME

- A. Time is of the essence in the performance of this Contract. The Contractor shall prosecute the work so that the various portions of the project shall be complete and ready for use within the time specified in Sections 00510, Agreement, and 00800, Supplementary General Conditions. It is expressly understood and agreed by and between the Contractor and the District that the Contract Time for completion of the Work described herein is a reasonable time taking into consideration the general climatic and economic conditions and other factors prevailing in the locality and the nature of the Work. The Contractor is hereby advised that the Contractor's Bid is to be based on the entire Contract Time and the Contractor shall include its field and home office overhead costs in the Bid for the entire Contract Time.

8.03 DELAYS**A. Notice of Delays**

- 1. When the Contractor foresees a delay in the prosecution of the Work and, in any event, immediately upon the occurrence of a delay, the Contractor shall notify the Construction Manager in writing of the probability of the occurrence of the delay, and its cause. The Contractor shall provide this notice no later than five (5) calendar days after the occurrence of such delay, including weather delays as specified herein. The Contractor shall take immediate steps to prevent, if possible the occurrence or continuance of the delay. The Contractor agrees that no claim shall be made for delays which the Construction Manager is not notified of within the time specified herein. Contractor further agrees that Contractor shall not be permitted any additional time for completion of the Work or any additional compensation as a result of delay unless Contractor notifies the Construction Manager of the delay within the time specified herein.

B. Non-Excusable Delays

- 1. Non-excusable delays in the prosecution of the Work shall include delays which could have been avoided by the exercise of care, prudence, foresight, and diligence on the part of the Contractor or its subcontractors, at any tier level, or suppliers. The Contractor shall receive no compensation or time extension for such delay.

C. Excusable Delays

- 1. Excusable delays in the prosecution or completion of the Work shall include delays to the Critical Path which result from causes beyond the control of the Contractor and District and which could not have been avoided by the exercise of care, prudence, foresight, and diligence on the part of the Contractor or its subcontractors, at any tier level, or suppliers. The Contractor shall receive no compensation for such delay.
 - a. Abnormal Delays- Delays caused by a Force Majeure Event shall be considered as excusable delays insofar as they prevent the Contractor from proceeding with the Work for at least five (5) hours per day toward completion of the current critical activity item(s) on the latest favorably reviewed progress schedule.
 - b. Weather Delays- Should inclement weather conditions or the conditions resulting from weather prevent the Contractor from proceeding with seventy five (75) percent of the normal labor and equipment force engaged in the current critical activity item(s), (as shown on the latest CPM Progress

Schedule accepted by the Construction Manager), for a period of at least five (5) hours per day toward completion of such operation or operations, and the crew is dismissed as a result thereof, it shall be a weather delay day. An allowance of ten (10) working days of weather caused delay have been included in the time allowed for completion. These weather days shall be included in the Contractor's schedule as specified in Section 01324-1.08, Weather Day Allowance.

- c. Material Critical Supply Shortages- Upon the submission of satisfactory proof to the Construction Manager by the Contractor, Critical Supply Shortage of material may be acceptable as grounds for granting a time extension. See definition of Critical Supply Shortage in Article 1.02, Definitions of Words and Terms. A time extension for shortage of material will not be considered for material ordered or delivered late or whose availability is affected by virtue of the mishandling of procurement. The above provisions apply equally to equipment to be installed in the work.

D. Compensable Delays

1. Compensable delays in the prosecution or completion of the Work shall include delays that occur through no fault of the Contractor or its subcontractors and prevent the Contractor from proceeding with the Work for at least five (5) hours per day toward completion of the current critical activity item(s) on the latest favorably reviewed progress schedule due to the following cause(s):
 - a. Delays due solely to the actions and/or inactions of the District.
 - b. Delays due to differing Site conditions as defined in Section 00700-9.02.G, Differing Site Conditions.
 - c. Delays due to other Contractors employed by the District who interfere with the Contractor's prosecution of the Work as defined above.

E. Concurrent Delays

1. Concurrent delays are those delay periods when the prosecution of the Work is delayed during the same period of time due to causes from a combination of the delays defined in Sections 00700-8.03.B, Non-Excusable Delays, 00700-8.03.C, Excusable Delays, or 00700-8.03.D, Compensable Delays. During such concurrent delay periods, time extensions will be granted in accordance with Section 00700-8.04, Time Extensions; however, the Contractor will be granted a non-compensable time extension, shall not be compensated for its overhead costs as defined in section 00700-8.05, Indirect Overhead and the District shall not assess its actual costs as defined in Section 00700-8.04.A, Non-Excusable Delays.

F. Critical Activity

1. The definition and application of the term "critical activity" and "critical path" as used in Section 8.03, Delays, and 8.04, Time Extensions, is specified in Section 01324, Progress Schedules and Reports.

8.04 TIME EXTENSIONS

A. Non-Excusable Delays

1. The District, at its sole option, may grant an extension of time for milestone or completion dates for non-excusable delays if the District deems it is in its best interest. If the District grants an extension of time for non-excusable delays, the Contractor agrees to pay the District's actual costs, arising from the delay, including charges for engineering, inspection, and administration incurred during the extension, as determined by District.

B. Excusable or Compensable Delays

1. If the Contractor is delayed in the performance of its work as defined in Sections 00700-8.03.C, Excusable Delays, or 00700-8.03.D, Compensable Delays, then milestone and Contract completion dates may be extended by the District for such time that, in the District's and Construction Manager's determination, the Contractor's completion dates will be delayed, provided that the Contractor strictly fulfills the following:
 - a. The Contractor shall provide notification, in accordance with Paragraph 00700 8.03.A, Notice of Delays, and submit in writing a request for an extension of time to the Construction Manager stating at a minimum the probable cause of the delay and the number of days being requested. The time extension request shall be submitted in accordance with the requirements of Section 01324, Progress Schedules and Reports.

- b. If requested by the Construction Manager, the Contractor shall promptly provide sufficient information to the Construction Manager to assess the cause or effect of the alleged delay, or to determine if other concurrent delays affected the Work.
 - c. Weather Delays. The Contractor will be granted a non-compensable time extension for weather caused delays, pursuant to Section 00700-8.03.C.1.b, Weather Delays, over and above an allowance as provided for in Section 01324, Progress Schedules and Reports.. No time extensions for weather delays will be granted until the total number of weather days exceeds this allowance. The provisions of paragraphs a. and b. above also apply to Weather Delays. Both the use of the Weather Day allowance and the granting of additional days to the Contract due to weather require an actual impact to the critical path as defined below and in Section 01324, Progress Schedules and Reports.
2. Should the Contractor fail to fulfill any of the foregoing, which are conditions precedent to the right to receive a time extension, the Contractor waives the right to receive a time extension.
 3. During such extension of time, neither extra costs incurred by the District for engineering, inspection and administration nor damages for delay will be charged to the Contractor. It is understood and agreed by the Contractor and District that time extensions due to excusable or compensable delays will be granted only if such delays involve an impact to the Critical Path that would prevent completion of the whole Work within the specified Contract time. Attention is directed to Section 01324, Progress Schedules and Reports, regarding the use of float in the Project schedule as related to time extensions.
 4. Should the Contractor fail to complete the Work within the time specified in the Contract, as extended in accordance with this Section if applicable, the Contractor shall forfeit and the District may recover liquidated damages in accordance with Section 00700-8.06, Liquidated Damages.
 5. Upon Contractor's submittal of satisfactory proof to Construction Manager of a Force Majeure event causing an unavoidable Controlling Operation delay, the delay shall be considered an Excusable Delay. Any claim by Contractor to classify a Force Majeure event as a Compensable Delay shall be handled pursuant to Article 11, Protests, Disputes and Claims. See definition of Force Majeure in Article 1.02, Definitions of Words and Terms.

8.05 INDIRECT OVERHEAD

- A. The Contractor shall be reimbursed for indirect overhead expenses for periods of time when the Work is delayed as defined in Section 00700-8.03.D, Compensable Delays, only when such delay involves an impact to the Critical Path that would prevent completion of the whole Work within the specified Contract Time. However, no reimbursement for indirect overhead shall be made for compensable delays which occur during a concurrent delay as defined in Section 00700-8.03.E, Concurrent Delays. If it is mutually agreed that the allowable markups provided for in Section 00700-Article 9, Changes in the Work, do not provide equitable compensation for the Contractor's indirect overhead expenses then the adjustments provided for under this Section shall be applied and the change order markups in 00700-Article 9, Changes in the Work, reduced to reflect the compensation provided by this Section. As a condition precedent to any reimbursement, the Contractor must fulfill all conditions as provided in Section 00700-8.02.C Excusable or Compensable Delays. No additional markup for overhead or profit shall be provided for such indirect overhead expenses.
- B. Payment to the Contractor for indirect overhead expenses will be made only if the extended Contract period granted for the compensable delay(s) is required to complete the work following the depletion of the original Contract period and any time extensions granted other than compensable time extensions.
- C. Indirect Field Overhead
 1. For those allowable delay periods as defined in Section 00700-8.05, Indirect Overhead, the Contractor shall be reimbursed for its indirect field overhead based on:
 - a. Actual invoice costs for on-Site field offices and temporary utilities as described in Section 01 50 00, Temporary Facilities and Controls and Section 01500, Temporary Utilities.
 - b. Actual labor costs, as determined consistent with Section 00700-9.03.A.1, Direct Field Labor for office staff labor.
 - c. Fair rental values acceptable to the Construction Manager as described in Section 00700-9.03.A.3, Construction Equipment, for construction equipment idled due to the delay.

D. Indirect Home Office Overhead

1. For those allowable delay periods as defined in Section 00700-8.05, Indirect Overhead, the Contractor shall be reimbursed for its home office overhead based on the following formula:
 - a. Total Contract Bid Price (\$) divided by the Total Contract Period (Calendar Days) multiplied by 0.03 equals the Daily Indirect Home Office Overhead (\$/Calendar Day of Delay)
2. As it is impractical to determine the actual home office overhead, such reimbursement shall encompass full payment for any and all home office overhead expenses for such periods of time for the Contractor and all subcontractors. Distribution of the markup amount among the Contractor and all subcontractors and suppliers is the responsibility of the Contractor. Contractor agrees to indemnify, defend, and hold harmless for any indirect home office overhead claims from its subcontractors.

8.06 LIQUIDATED DAMAGES FOR FAILURE TO MEET COMPLETION DATES

- A. The District and the Contractor recognize that time is of the essence of this Agreement and that the District will suffer financial loss if the Work is not completed within the time specified in Sections 00510, Agreement, and 00800, Supplementary General Conditions, and required milestone work in Sections 00510 and 00800, herein, plus any extensions thereof allowed in accordance with Section 00700-8.04, Time Extensions. It is hereby understood and agreed that it is and will be difficult and/or impossible to ascertain and determine the actual damage which the District will sustain in the event of and by reason of the Contractor's failure to fully perform the Work or to fully perform all of its contract obligations that have accrued by the time for completion as specified in Sections 00510 and 00800, herein and/or as specified for completion of any scheduled operations or works described in the Contract Documents. It is, therefore, agreed in accordance with California Government Code §53069.85 that the Contractor will forfeit and pay to the District liquidated damages in the amount set forth in Sections 00510 and 00800, per day for each and every calendar day that expires after the time for completion specified in Sections 00510 and 00800, herein and/or as specified for completion of any scheduled operations or works described in the Contract Documents, except as otherwise provided by extension of time pursuant to Section 00700-8.04, Time Extensions. It is further understood and agreed in accordance with California Government Code §53069.85 that the liquidated damages sum specified in this provision is not manifestly unreasonable under the circumstances existing at the time this Contract was made, and that the District may deduct liquidated damages sums in accordance with this provision from any payments due or that may become due the Contractor.
- B. Liquidated damages will continue to accrue at the stated rate until Substantial Completion of the Work. Accrued liquidated damages may be deducted by the District from amounts due or that become due to the Contractor for performance of the Work.

8.07 LIQUIDATED DAMAGES FOR PUBLIC HARDSHIP, NEGATIVE IMPACT, AND DAMAGE TO EXISTING UTILITY MAINS

- A. The liquidated damage provision described below is intended to compensate for the public hardship and negative impact resulting from the Contractor's failure to comply with specific construction constraints, which are difficult to quantify despite the definite impact to the District. The assessment of liquidated damages under this provision shall not preclude recovery by the District of other damages subject to reasonable quantification, including consequential damages. The liquidated damages are separate and distinct liability from any other damages that are subject to quantification resulting from such Contractor activities, for which the Contractor also shall remain liable. Consequential and other damages not provided for by this liquidated damages provision may include, but are not limited to, first- and third-party claims for personal injuries and/or property damages, inverse condemnation, environmental claims, or regulatory fees or fines imposed in whole or in part due to Contractor's acts or failures to act.
- B. Liquidated damages shall be deducted from the progress payments or from any other monies due to or to become due the Contractor. If the payments due to the Contractor are less than the amount of such liquidated damages, the Contractor or its Surety shall pay the balance to the District.
- C. The liquidated damages considered in this Section shall apply to the damages to the District that are difficult to quantify and that are caused by, among other causes, the Contractor's failure to comply with the following requirements:
 1. Traffic Control.
 2. Work Hours.

3. Site Cleanliness.
 4. Public Notification.
 5. Vegetation/Survey Monument Protection.
 6. Maintenance of Temporary Pavement.
 7. Rights-of-Way Restrictions.
- D. It is recognized that it is and will be impractical to ascertain and determine the exact amount of damages that the District will suffer as a result of the public hardship or negative impact. The factors relating to the impracticability of ascertaining a monetary value for such hardships or negative impacts include, but are not limited to, the fact that: (i) it is difficult to calculate all actual time spent by District staff, management, consultants, and other agency staff in dealing with the public hardship or negative impact; (ii) such public hardship or negative impact causes inconvenience, anxiety, and frustration to individual members of the general public in subjective ways and in varying degrees of intensity that bear on the District and that are incapable of measurement in precise monetary terms; (iii) the public hardship or negative impact is cumulative with each occurrence. Therefore, the Contractor and the District mutually agree that the amount set forth in Section 00800-1.1, Amount of Liquidated Damages, including the escalation amounts for repeated or protracted occurrences, is reasonable as a liquidated damage amount for each situation creating public hardship or negative impact. This liquidated damage provision is a separate and distinct liability from any other damages that are subject to quantification resulting from such Contractor activities, for which the Contractor also shall remain liable. Quantifiable damages may include, but shall not be limited to, repairs to damaged property, additional right-of-way compensation, or fines. Liquidated damages imposed shall be deducted from the progress payments or from any other monies due or to become due to the Contractor. If the payments due to the Contractor are less than the amount of such liquidated damages and actual damages, the Contractor or its Surety shall pay the balance to the District.
- E. The Contractor shall be responsible for the discovery and protection of all existing underground utilities and installations in accordance with the Contract Documents.
- F. If the Engineer determines that damage to an existing utility main is due to the Contractor's noncompliance with the Contract Documents, or inadequate effort in discovering or protecting the utility main lines, ducts, or cables (excluding service lines), the Contractor shall be responsible for the quantifiable District expenses and damages, including without limitation consequential damages, time and resources spent by District staff, management, and consultants in dealing with such utility damage and impacts, and any and all costs related to repairs by the utility, resulting from such damage to the utility.

8.08 ACCELERATION OF WORK

- A. District reserves the right to direct the Contractor to accelerate performance of the Work or any portion of the Work. No action or direction of District other than an express written Order by the District's Authorized Representative to accelerate performance of the Work shall be construed by the Contractor to be direction to accelerate the Work.
- B. If the Contractor believes that some action or inaction on the part of District constitutes an acceleration directive, the Contractor must immediately notify the District in writing that the Contractor considers the actions or inaction an acceleration directive. This written notification must detail the circumstances of the acceleration directive. Should the Contractor fail to provide timely written notice of any such acceleration directive prior to accelerating

its performance of the Work, or any portion thereof, the Contractor waives the right to receive additional compensation for any acceleration costs incurred.

- C. Contractor must keep Daily cost and other Project records related to the District's acceleration Directive separate from other Project costs and records, and must submit a written Daily record of acceleration cost to District at the end of each Day.
- D. Allowable labor costs are limited to overtime or shift premium costs. Allowable equipment costs are only the cost of added equipment mobilized to the Site to accomplish the accelerated Work effort.

8.09 SUSPENSION OF WORK

- A. If the Contractor fails to correct defective work as required by Section 00700-7.03, Supply of Sufficient Workers, or fails to carry out the Work in accordance with the Contract Documents or any other applicable rules and regulations, the District, by a written order of the District's representative or signed personally by an agent specifically so empowered by the District, in writing, may order the Contractor to stop the work, in its entirety or any portion thereof. In the event of a suspension of only a portion of the work, the Contractor is obligated to perform the portion of the work not suspended. The Suspension of Work shall remain in effect until the condition or cause for such order has been eliminated. The District's concurrence that the condition or cause has been eliminated will be provided to the Contractor in writing. This right of the District to stop and suspend the Work shall not give rise to any duty on the part of the District to exercise this right for the benefit of the Contractor or any other person or entity. All delays in the Work occasioned by such stoppage shall not relieve the Contractor of any duty to perform the Work or serve to extend the time for its completion. Any and all necessary corrective work done in order to comply with the Contract Documents shall be performed at no cost to the District.
- B. In the event that a suspension of Work is ordered, as provided in this paragraph, the Contractor, at its expense, shall perform all work necessary to provide a safe, smooth, and unobstructed passageway through construction for use by public, pedestrian, and vehicular traffic, during the period of such use by suspension. Should the Contractor fail to perform the Work as specified, the District may perform such work and the cost thereof may be deducted from partial payments and/or final payment due the Contractor under the Contract.
- C. The District shall also have authority to suspend the Work wholly or in part, for such period as the District may deem necessary, due to unsuitable weather, or to such other conditions as are considered unfavorable for the suitable prosecution of the Work. Such temporary suspension of the Work will be considered justification for time extensions to the Contract in an amount equal to the period of such suspension if such suspended work includes the current critical activity on the latest favorably reviewed progress schedule. The Contractor as directed by the District shall comply with the provisions in Section 00700-8.08, Suspension of Work, above. Such additional work shall be compensated as provided for in Section 00700-Article 9, Changes in the Work.

8.10 DISTRICT'S RIGHT TO TERMINATE CONTRACT

A. Termination for Default

- 1. If the Contractor refuses or fails to prosecute the Work or any separable part thereof with such diligence as will ensure its completion within the time specified herein, or any authorized extension thereof, or fails to perform the Work in a manner required by the Contract Documents and/or industry standards, or fails to complete such Work within such time as required under the Contract Documents or, if the Contractor should be adjudged as bankrupt, or is otherwise deemed insolvent by the District based on good cause and is unable to proceed with the Work, or if the Contractor should make a general assignment for the benefit of creditors, or if a receiver should be appointed on account of insolvency, or if the Contractor files a petition to take advantage of any debtor's act, or should any Subcontractor materially violate any of the provisions of the Contract Documents, or if the Contractor should persistently or repeatedly refuse or fail, except in cases for which an authorized extension of time is provided, to provide the required project management, supervision, quality control, and/or supply enough properly skilled workers or proper materials to complete the Work in the time specified, or if the Contractor should fail to make prompt payment to Subcontractors for material or labor, or if the Contractor should persistently disregard laws, or instructions given by District, or if the Contractor otherwise substantially fails to fulfill its obligations under the Contract Documents, the District may, without prejudice to any other right or remedy, serve written notice upon the Contractor and Sureties of the District's intention to terminate the Contractor's performance under the Contract Documents. Said notice shall contain the reasons for such intention to terminate the Contractor's performance under the Contract Documents, and unless, within ten (10) days after the service of such notice, such violations cease and/or satisfactory arrangements for the corrections thereof have

- been made, the District may terminate Contractor's performance under the Contract Documents and the Contractor shall not be entitled to receive any further payment until the Work is finished. Preliminary notice of any or all of the foregoing may be provided to the Surety by or on behalf of District so as to enable it to investigate the contentions and project status so as to be poised to promptly respond, as required in the performance bond, to a declaration from the District declaring the right of the Contractor to proceed to be terminated due to default. Upon receipt of such notice the Surety on the performance bond shall investigate the contentions raised and keep a record of its investigation in its files for a period of no less than five (5) years following the notice.
2. In the event of any such termination, the District shall serve written notice thereof upon the Surety and Contractor, and the Surety shall have the right to take over and perform the Work. However, if the Surety, within five (5) days after the service of a notice of termination, does not give the District written notice of its intention to take over and perform the Work, the District shall serve a demand upon Surety that it perform its obligations under the Performance Bond. If within ten (10) calendar days after receipt of such demand Surety fails to take over the Work or otherwise perform under the Performance Bond, or if Surety serves such notice of its intent to take over and perform the Work and does not begin performance thereof within fifteen (15) days from the date of serving said notice, the District may take over the Work and prosecute the same to completion by contract or by any other method it may deem advisable for the account and at the expense of the Contractor, and the Sureties and/or Contractor shall be liable to the District for any excess cost or other damage incurred by the District thereby. In such an event, the District may without liability for so doing, take possession of and utilize such materials, tools, equipment, supplies and other property belonging to the Contractor and/or assume assignment of any and all subcontracts for subcontractors and/or suppliers that may be on the worksite and be necessary to complete the Work. For any portion of such Work that District elects to complete by furnishing its own employees, materials, tools, and equipment, the District shall be compensated in accordance with the schedule of compensation for force account work as stated in Section 00700-Article 9, Changes in the Work.
 3. If the Surety assumes the Contractor's terminated Work, it shall take the Contractor's place in all respects for that part and shall be paid by District for all Work performed by it in accordance with the terms of the Contract Documents. If the Surety assumes the entire Contract, all money due the Contractor at the time of its default shall be payable to the Surety as the Work progresses, subject to the terms of the Contract Documents.
 4. Contractor hereby consents to assigning to the District and/or District's replacement contractor all subcontracts and other agreements of any and all Subcontractors and/or suppliers that may be on the worksite and/or may be necessary to complete the Work in the event of Termination for Default or Termination for Convenience, as set forth below. Contractor agrees to obtain, by way of a subcontract provision, the consent of each and every Subcontractor and/or supplier for such assignment prior to the commencement of each such Subcontractor's and/or supplier's conduct of the Work.
 5. In the event of such termination, the Contractor will be paid the actual amount due based on unit prices or lump sums Bid and the quantity of Work completed at the time of termination, less damages caused to the District by acts of the Contractor causing the termination, including but not limited to, all costs to the District arising from professional services and attorneys' fees and all costs generated to insure or bond the work of substituted Contractors or Subcontractors utilized to complete the Work, such excess shall be paid to the Contractor. If such costs exceed the unpaid balance, the Contractor shall pay the difference to the District promptly upon demand. On failure of the Contractor to pay, the Surety shall pay on demand by District. Any portion of such difference not paid by the Contractor or Surety within thirty (30) days following the mailing of a demand for such costs shall earn interest at the maximum rate authorized by California law.
 6. The Contractor and the District agree that nothing in this Section is intended to create a right of either party to recover attorney fees as prevailing party in any lawsuit on this Contract.
 7. The foregoing provisions are in addition to and not in limitation of any other rights or remedies under law or in equity available to District.
 8. If it is later determined by the District that the Contractor had an excusable reason for not performing, such as a fire, flood, or other event which was not the fault of or was beyond the control of the Contractor, the District, after setting up a new performance schedule, may allow the Contractor to continue Work, or treat the termination as a termination for convenience, and the rights and obligations of the parties shall be the same as if the termination had been issued for the convenience of the District. If a Termination for Default

is later determined to be wrongful, unsubstantiated, or otherwise without just cause, such Termination for Default will be automatically converted to, and treated as, a Termination for Convenience, in accordance with Section 00700- 8.10.B.

B. Termination for Convenience

1. The District may terminate the Contractor's performance under the Contract, either in whole or in part, at its own discretion or when conditions encountered during the Work make it impossible or impracticable to proceed, or when the District is prevented from proceeding with the Contract by act of God, by law, or by official action of a public authority, or upon a determination that such termination is in the best interest and convenience of the District, or whenever the District is prohibited from completing the Work for any reason. The District shall provide no less than ten (10) days written notice of its intent to terminate the Contract for convenience, and shall endeavor to provide the Contractor with consultation with the District prior to termination.
2. Upon receipt of such written notice of termination, the Contractor shall:
 - a. Stop work as specified in the written notice;
 - b. Terminate all orders and Subcontractors except as necessary to complete any portion of the Work that is not terminated;
 - c. If directed in writing by the District to do so, assign all right, title and interest in subcontracts and materials in progress, in which case the District will have the right at its discretion to settle, or pay any or all claims arising out of the termination of such subcontractors, but in no event shall recovery by any Contractor include lost profits for uncompleted portions of the Work;
 - d. Deliver or otherwise make available to the District all data, drawings, specifications, reports, estimates, summaries and such other information and material as may have been accumulated by the Contractor in performing the Work whether completed or in process;
 - e. Settle outstanding liabilities and claims with the approval of District;
 - f. Complete performance of such part of the Work as has not been terminated; and
 - g. Take such other actions as may be necessary, or as may be directed by the District for the protection and preservation of the Work and/or property related to the Work.
 - 1) Upon receipt of District's written notice of termination for convenience, and within a period of 30 to 60 days, as determined by the District at the time of termination, the Contractor shall submit to the Construction Manager a Termination Proposal which shall include, but is not limited to, the Contractor's estimated costs to be incurred by the Contractor as a result of the termination for convenience, and as allowed by the Contract Documents, including all documentation to support such costs; the status of the Work at time of termination; the status of termination of the Contractor's subcontractor(s) and supplier(s) agreement(s) including the amount of each such agreement, amount paid under each agreement up to the date of termination, and the amount that currently remains due and owing under each agreement for Work completed as of the date of termination, if any; a list, certified as to quantity and quality, of termination inventory not previously disposed of, excluding items authorized for disposition by the Construction Manager; and any other information and/or documentation as required by District.
 - 2) Upon receipt of District's written notice of termination for convenience, the Contractor shall submit to the Construction Manager a request for final payment in accordance with the requirements of the Contract. Such request shall be submitted promptly, but no later than sixty (60) days from the effective date of the termination for convenience.
 - 3) The final payment to the Contractor after termination for convenience shall be limited to amounts due and owing under the Contract at time of termination, including the following:
 - a) Any actual costs incurred by the Contractor for restocking charges;
 - b) The agreed upon price of protecting the Work in any manner, if any, as directed by the District;
 - c) The cost of settling and paying claims arising out of the termination of the Work under subcontract agreements or orders with the District's approval, as specified above, exclusive of the amounts paid or payable on account of goods delivered or work furnished by a subcontractor prior to the effective date of the termination; and

- d) The contract price allocable to the portion of the Work properly performed or goods supplied by the Contractor as of the date of termination, as determined in accordance with the Contract Documents, reduced by any sums previously paid to the Contractor.
3. The District shall have the right to withhold any portion or the whole of the final payment under this provision in the event there are any outstanding Claims for compensation asserted by the District against the Contractor, or by any third party against the District which arises out of the Contractor's work.

8.11 CONTRACTOR'S RIGHT TO TERMINATE

- A. Upon ten (10) days written notice to the District, the Contractor may terminate this Agreement for any of the following reasons:
 1. If the Work has been stopped for a one hundred and eighty (180) consecutive day period due to:
 - a. A court order or order of other governmental authorities having jurisdiction; or
 - b. As a result of the declaration of a national emergency or other governmental act during which, through no act or fault of the Contractor, materials are not available; or
 - c. The District's failure to pay the Contractor in accordance with the Contract Documents; or
 2. If the Work is suspended by the District, without cause attributable to the wrongful acts of Contractor, for one hundred and eighty (180) consecutive days.
- B. The Contractor's exclusive remedy in the event of termination under Section 8.10, Contractor's Right to Terminate, shall be to recover from District payment on the same terms as provided in Section 8.09.B, Termination for Convenience, resulting from a properly noticed termination for the specific reasons allowed for in this Section 8.10, Contractor's Right to Stop Work or Terminate.
- C. The provisions of this Section 8.10, Contractor's Right to Stop Work or Terminate, are not intended to preclude Contractor from making a Claim as provided for in the Contract Documents for an adjustment in Contract Price or Contract Times or otherwise for expenses or damage directly attributable to Contractor's stopping the Work as permitted by this Section 8.10, Contractor's Right to Stop Work or Terminate.

****END ARTICLE 8****

ARTICLE 9 - CHANGES IN THE WORK

9.01 GENERAL

- A. District may at any time, without notice to the Sureties, by written Order, make changes in the Work within the general scope of the Contract, including but not limited to additions, deletions or other revisions, changes in the Contract Documents and in the method and manner of performing the Work. Such changes will be ordered in one or more of the following ways.
1. **Field Directive:** A Field Directive (FD) is used for providing written direction to the Contractor to perform work which: a) directs the Contractor to proceed with work that the Construction Manager has not recognized as extra work, b) directs the Contractor to proceed with work that the Construction Manager has determined is extra work but costs have not been determined or are difficult to determine by the Construction Manager; or by
 2. **Field Order:** A written instruction given to the Contractor authorizing work that is a change to the scope of work carried out on a time and material basis or a lump sum cost agreed to between District and Contractor; or by
 3. **Change Order:** A document, which is signed as recommended by the Construction Manager, accepted by the Contractor, and accepted by an authorized representative of the District, which authorizes an addition, deletion, or revision in the Work or an adjustment in the Contract Price or the Contract Time, issued on or after the Effective Date of the Agreement. A Change Order may be a bi-lateral Change Order signed by both the District and Contractor or the Change Order may be a Unilateral Change Order signed only by the District.
- B. Any change in scope of Work or deviation from Contract Documents including, without limitation, extra Work, or alterations or additions to or deductions from the original Work, shall not invalidate the original Contract, and shall be performed under the terms of the Contract Documents. The difference in cost of the work affected by such change will be added to or deducted from the amount of said Contract price, as the case may be, by a fair and reasonable valuation, as outlined in this Section.
- C. The prices agreed upon and any agreed upon adjustment in Contract Time shall be incorporated in the written order issued by the District, which shall be written so as to indicate an acceptance on the part of the Contractor as evidenced by its signature. By signature of the Change Order, the Contractor acknowledges that the adjustments to cost and time contained in the Change Order are in full satisfaction and accord, payment in full, and so waives any right to claim any further cost and time impacts at any time during and after completion of the Contract for the changes encompassed by the Change Order.
- D. Only Contractor or District may initiate changes in scope of Work or deviation from Contract Documents.
- E. Timeline: Within fifteen (15) Days of receipt of a request for a proposed Change Order (PCO) from the District, Contractor must submit in a format acceptable to the District, four (4) copies of Contractor's proposed cost and time estimates detailing the amount to be added to or deducted from the Contract Sum and Contract Time due to the proposed change. The Contractor's proposal must include:
1. Detailed estimates and other documentation supporting the proposed cost; and
 2. Proposed adjustments of the Contract Time that is known by the Contractor to be directly or indirectly attributable to the proposed Change Order. All requests for adjustment to the Contract Time must be supported by a detailed schedule analysis as specified in Section 01324, Progress Schedule and Reports.
- F. Failure to submit cost and time estimate: If Contractor fails to submit the required information and documentation within the 15-Day time limit, District has the right to issue a Unilateral Change Order. The Contract Sum and Contract Time will be changed in accordance with the District's estimate of cost and time, unless, within fifteen (15) Days following completion of the added Work or with written notice to delete the Work, the Contractor submits to the District written proof that the District's estimate is in error.
- G. If, after the Contractor has submitted their cost and time proposal, the District and the Contractor fail to successfully negotiate and agree on a time and/or cost for the proposed Change Order, the District may issue a Unilateral Change Order to the Contractor and the Contractor must proceed with the changed Work. If the Contractor disputes any portion of the unilateral Change Order, the Contractor must maintain time and materials records as specified in Section 00700-9.02.D, Field Order- Force Account Work. If the Contractor fails to

maintain such records or fails to submit such records within fifteen (15) Days following completion of the added Work, District's estimate will be used for the purpose of final adjustment in Contract Time and Contract Sum.

- H. Adjustment of the Contract Sum and/or Contract Time will be determined in accordance with Section 00700-Article 9, Changes in the Work.
- I. Contractor's failure to provide a complete and timely notice of change/delay and/or Change Order request, or to comply with any other requirement of this Article, shall constitute a waiver by Contractor of the right to a contract adjustment on account of such circumstances and a waiver of any right to further recourse or recovery by reason of or related to such change by means of the claims dispute resolution process or by any other legal process otherwise provided for under applicable laws.
- J. See Section 01999, Referenced Forms, for forms related to Article 9.

9.02 CONTRACT MODIFICATION PROCEDURES AND TIMELINES

A. Field Directive:

1. The Contractor may be issued a Field Directive.
2. If Contractor is satisfied with Field Directive and does not request change in Contract Sum or Contract Time, then Field Directive shall be executed without a Change Order.
3. If Contractor believes the Field Directive results in a change to Contract Sum or Contract Time, then Contractor must notify the Construction Manager with seven (7) days following receipt of Field Directive. Contractor shall proceed with executing work described in the Field Directive regardless of time or cost impacts, which are to be evaluated subsequent to or concurrently with field directive work. A proposed change order may be issued for the District's consideration for any resulting time or cost impacts which are outside of the project scope of work. The Contractor shall within fifteen (15) days from the date of notification provide detailed justification and analysis as well as complete pricing and schedule CPM fragmentary network to support any request for time extension.
4. Should the Contractor proceed with the work affected before receipt of a response from the Construction Manager, any portion of the work which is not done in accordance with the District's interpretation, clarifications, instructions, or decisions subject to removal or replacement and the Contractor shall be responsible for all losses.
5. If the parties are unable to agree on the Field Directive's impact to the Contract Sum or Contract Time, then Contractor may file a Claim in accordance with Section 00700- Article 11, Protests, Disputes and Claims.

B. Request for Proposal (RFP) for a Potential Change Order (PCO)

1. A request for a proposed cost made to the Contractor by the District to add, delete or change the Work. RFP's shall not be deemed to be directions to proceed with any addition, deletion or change to the Work.
2. Whenever Contractor is required to prepare a Cost Proposal, and whenever Contractor is entitled to submit a Cost Proposal and elects to do so, Contractor shall prepare and submit to District for consideration. All Cost Proposals must contain a complete breakdown of costs of credits, deducts, and extras; and itemizing materials, labor, equipment, special services, taxes, overhead and profit. All Subcontractor Work shall be so indicated. Individual entries on the Cost Proposal form shall follow the cost items defined in Cost Determination in this Section. The Contractor shall provide all required information requested in the RFP no later than fourteen (14) days after receiving the RFP.
3. Upon receipt of a Cost Proposal with a detailed breakdown as prescribed herein this Section, the Construction Manager will act promptly thereon. If Construction Manager accepts a Cost Proposal, Construction Manager will prepare Change Order for District and Contractor signatures. If Cost Proposal is not acceptable to Construction Manager because it does not agree with cost and/or time included in Cost Proposal, Construction Manager will submit in a response what it believes to be a reasonable cost and/or adjustment, if any. Except as otherwise provided in this Section, Contractor shall have seven (7) days in which to respond to Construction Manager with a revised Cost Proposal.

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4. If the parties do not agree on the price or time for an RFP, District may either issue a unilateral change order pursuant to Section 00700-9.02.C, Unilateral Change Orders, as defined below. Contractor shall perform the changed Work notwithstanding any claims or disagreements of any nature.
 5. When necessity to proceed with a change does not allow the Construction Manager sufficient time to conduct a proper check of a Cost Proposal (or revised Cost Proposal), Construction Manager may order Contractor to proceed on basis to be determined at earliest practical date. In this event, value of change, with corresponding equitable adjustment to Contract, shall not be more than increase or less than decrease proposed.
- C. Unilateral Change Orders:
1. If at any time District believes in good faith that a timely Bilateral Change Order will not be agreed upon using the procedures in this Article, District may issue a Unilateral Change Order with its recommended cost and/or time adjustment. Upon receipt of the Unilateral Change Order, the Contractor shall promptly proceed with the change of Work involved and concurrently respond to District's Unilateral Change Order within ten (10) calendar days.
 2. Contractor's response must be any one of following:
 - a. Return Unilateral Change Order signed, thereby accepting District's response, time, and cost.
 - b. Submit a (revised if applicable) Cost Proposal with supporting documentation (if applicable, reference original Cost Proposal number followed by letter A, B, etc. for each revision), if District so requests.
 - c. Give notice of intent to submit a Claim as described in Section 00700-11.03, Notice of Potential Claim.
 3. If the Unilateral Change Order provides for an adjustment to the Contract Sum, the adjustment shall be based on one of the following methods:
 - a. Mutual acceptance of a lump sum properly itemized and supported by sufficient substantiating data to permit evaluation.
 - b. Unit prices stated in the Contract Documents or subsequently agreed upon.
 - c. Cost to be determined in a manner agreed by the District and Contractor.
 4. A Unilateral Change Order signed by Contractor indicates the agreement of Contractor therewith, including adjustment in Contract Sum and Contract Time or the method for determining them. Such agreement shall be effective immediately and shall be recorded as a Change Order.
 5. If Contractor does not respond promptly or disagrees with the method for adjustment in the Contract Sum, the method and the adjustment shall be determined by Construction Manager on the basis of reasonable expenditures and savings of those performing the Work attributable to the change including, in case of an increase in the Contract Sum, an allowance for overhead and profit in accordance with 00700-9.03.B, Mark-Up Allowances. If the parties still do not agree on the price for a Unilateral Change Order, Contractor may file a Claim in accordance with Section 00700-Article 11, Protests, Disputes and Claims. Contractor shall keep and present, in such form as Construction Manager may prescribe, an itemized accounting together with appropriate supporting data. Unless otherwise provided in the Contract Documents, costs for the purposes of this paragraph shall be limited to those provided in Section 00700-9.03, Cost Determination.
 6. Pending final determination of cost to District, amounts not in dispute may be included in Applications for Payment.
- D. Field Order- Force Account Work
1. If either the amount of work or payment for a Change Order cannot be determined or agreed upon beforehand, the District may direct by written Change Order or Field Order that the work be done on a force account basis. The term "force account" shall be understood to mean that payment for the work will be done on a time and expense basis, that is, on an accounting of the Contractor's forces, materials, equipment, and other items of cost as required and used to do the work. For the work performed, payment will be made for the documented actual cost of the work as described in Section 00700-9.03, Cost Determination.
 2. Prior to the commencement of force account work, the Contractor shall notify the Construction Manager of its intent to begin work. Labor, equipment and materials furnished on force account work shall be recorded daily by the Contractor using a District supplied form or equivalent form approved by the

Construction Manager. The reports, if found to be correct, shall be signed by both the Contractor and Construction Manager, or inspector, and a copy of which shall be furnished to the Construction Manager no later than the working day following the performance of said work. The Daily Extra Work Report sheet shall thereafter be considered the true record of force account work provided. If the Construction Manager, or inspector, do not agree with the labor, equipment and/or materials listed on the Contractor's daily force account report, the Contractor and Construction Manager, or inspector, shall sign-off on the items on which they are in agreement. The Construction Manager shall then review the items of disagreement and will advise the Contractor, in writing, of its determination. If the Contractor disagrees with this determination, it shall have the right to file a claim notice as provided in Section 00700- Article 11, Protests, Disputes and Claims.

3. The Contractor shall maintain its records in such a manner as to provide a clear distinction between the direct costs of work paid for on a force account basis and the costs of other operations.
4. To receive partial payments and final payment for force account work, the Contractor shall submit, in a manner approved by the Construction Manager, detailed and complete documented verification of the Contractor's and any of its subcontractor's actual costs involved in the force account pursuant to the pertinent Change Order or Field Order. Such costs shall be submitted within thirty (30) days after said work has been performed. No payments will be made for work billed and submitted to the Construction Manager after the thirty (30) day period has expired.
5. The force account invoice shall itemize the materials used and shall cover the direct costs of labor and the charges for equipment rental, whether furnished by the Contractor, subcontractor, or other forces. The invoice shall be in a form acceptable to the Construction Manager and shall provide names or identifications and classifications of workers, the hourly rate of pay and hours worked, and also the size, type, and identification number of equipment and hours operated. Material charges shall be substantiated by vendor's invoices acceptable to the Construction Manager.

E. Unit Price Work

1. Contractor must include in each unit price an amount considered by Contractor to be adequate to cover all Contractor's costs plus overhead and profit for each separately identified unit price item.
2. If there is any variance of less than twenty-five percent (25%) between the District's estimated number of units of Work stated on the Section 00400, Bid Form, Bid Schedule and the actual number of units of authorized Work performed, there shall not be an adjustment of unit prices by reason of overruns or underruns; each unit of authorized Work performed will be paid for at the Contract unit price for that item of Work.
3. If the final quantity of any item of authorized Work varies from the District's estimated quantity for that item of Work by twenty-five percent (25%) or more, the unit price for that item of work will be adjusted by Change Order.
4. All changes in Contract Sum and Contract Time due to quantity variations in unit price Work accepted by District's Authorized Representative are subject to approval by the District Engineer upon completion of the Contract by approval of a final balancing Change Order.
5. See Section 00700-9.04, Increased or Decreased Quantities for further Unit Price requirements.

F. Deleted Work

1. When Work is deleted, the District is entitled to a credit for the deleted Work.
2. The adjustment to the Contract Sum for deleted Work will be computed in accordance with Section 00700-9.02, Contract Modification Procedures and Timelines.
3. If Contractor has ordered acceptable material for the deleted Work before the date of notification of such deletion by District, and if orders for such material cannot be canceled, such material will be paid for by District at Contractor's actual cost. In such case, the material paid for will become District's property and District will pay the actual cost of any further handling. If the material is returnable to the vendor and if District so Directs, Contractor must return the material and District will pay the actual costs of returning the material, including reasonable and verifiable handling and restocking charges. The actual costs or charges to be paid by District to Contractor for deleted materials as provided in this paragraph will be computed in the same manner as if the Work were to be paid for on a Force Account basis as provided in Section

00700-9.02.D, Field Order-Force Account Work with a mark-up for deleted work as provided in Section 00700-9.03.B.4.

G. Differing Site Conditions

1. Contractor must immediately, and in any event no later than twenty-four (24) hours after discovery, and before such conditions are disturbed, notify District in writing of:
 - a. Material that the Contractor believes may be material that is hazardous waste, as defined in section 25117 of the Health and Safety Code, that is required to be removed to a Class I, Class II, or Class III disposal site in accordance with provisions of existing law
 - b. Subsurface or latent physical conditions at the Project Site which Contractor asserts differ materially from those indicated in the Contract Documents; or
 - c. Unknown physical conditions at the Project Site, of an unusual nature, which Contractor asserts differ materially from those ordinarily encountered and generally recognized as inherent in work of the character provided for in this Contract.
2. District will promptly investigate the conditions. If District finds that such conditions do materially differ, or do involve hazardous waste, and cause an increase or decrease in the Contractor's cost of, or the time required for, performance of any part of the Work pursuant to this Contract, whether or not changed as a result of such conditions, an equitable adjustment will be made in accordance with Section 00700- Article 9, Changes in the Work.
3. Contractor shall submit Notices of Hazardous Waste Conditions to resolve problems regarding hazardous materials encountered in the execution of the Work pursuant to Section 00700-9.02.G, Differing Site Conditions, which shall govern.
4. Contractor has no right to an adjustment in Contract Sum or Contract Time pursuant to this Section 00700- 9.02.G, Differing Site Conditions, unless Contractor submitted the notice required in Section 00700-8.03.A, Notice of Delays, except that District may extend the notification time upon Contractor's written request in order to obtain additional relevant information.
5. In the event that a dispute arises between the District and the Contractor as to whether the conditions materially differ, or involve hazardous waste, or cause a decrease or increase in the Contractor's cost of, or time required for, performance of any part of the Work, the Contractor shall not be excused from any scheduled completion date provided for by the Contract, but shall proceed with all Work to be performed under the Contract. Contractor shall retain any and all rights provided either by contract or by law which pertain to the resolution of disputes and protests between the parties.
6. Contractor has no right to an adjustment in Contract Time or Contract Sum after accepting Final Payment pursuant to this Contract.

H. Contractor Cost Reduction Proposals: See 00700-9.08, Cost Reduction Incentive, below for procedures on Cost Reduction Proposals, also known as Value Engineering Proposals.

I. All Changes

1. Upon request of the Construction Manager, the Contractor shall provide the following information to support the request for change in cost:
 - a. Copy of original quotations, purchase order or invoices to verify costs included in original bid.
 - b. Copy of all quotations, purchase order or actual invoices to support new costs submitted.
 - c. Copy of all subcontracts.
 - d. Copy of all employee time records and wage rates paid.
 - e. Copy of all insurance and bond costs resulting from change.
 - f. Copy of all quantity takeoff sheets for materials, labor and equipment.
 - g. Certified payroll records.
 - h. Certified composite wage rate statements including employees' base rate and Contractor's contributions for fringe benefits, subsistence and travel.
 - i. A list of equipment with manufacturer's name and model number and the alphanumeric designation used in the Equipment Rental Rates prepared by the California Department of Transportation.

- j. Invoices for all rental equipment.
 - k. Other information, as required, to document the labor, equipment and materials used.
2. 0700-9.05, Cost Pricing Data and Access to Records, provides expanded Contractor requirements regarding providing access to cost pricing data and related change records.
3. Correlation of Other Items:
- a. Contractor shall revise Schedule of Values and Application for Payment forms to record each authorized Change Order, Unilateral Change Order, or Field Order as a separate line item and adjust the Contract Sum as shown thereon prior to the next monthly pay period.
 - b. Contractor shall revise the Progress Schedules prior to the next monthly pay period to reflect the effects of all authorized Change Order, Unilateral Change Order, or Field Order.
 - c. Contractor shall enter changes in Project Record Documents prior to the next monthly pay period.

9.03 COST DETERMINATION

A. Direct Cost Categories: The categories described below are defined to be direct costs. No other type of costs will be allowable as a direct cost. Direct costs shall not include any labor costs or indirect costs pertaining to the Contractor's and subcontractor's managers or superintendents, their office and engineering staffs, the cost of their offices, facilities, vehicles, or anyone not directly employed on such work, nor small tools (as defined in item C below) and supplies. All such items are considered indirect costs which form a part of the Contractor's and subcontractors' overhead expenses. The District reserves the right to furnish such labor, materials and equipment as it deems expedient, and the Contractor shall have no claim for profit or added fees on the cost of such items.

1. Direct Field Labor:

- a. The cost of labor for workers (including forepersons when authorized by the Construction Manager) used in actual and direct performance of the work by the Contractor will be the sum of the following:
 - 1) The actual wages paid plus any employer payments to, or on behalf of workers for fringe benefits including health and welfare, pension, vacation and similar purposes.
 - 2) All payments imposed by State and Federal Laws including, but not limited to, workers' compensation insurance, and social security payments. The rates used for workers' compensation insurance shall be actual rates paid by the Contractor for each specific craft and broken down by wage rate if applicable to that craft.
 - 3) Liability insurance burden applied to Contractor's payroll. Contractor shall provide adequate backup information to Construction Manager to verify this burden rate is indicative of the actual rates paid by the Contractor as a percent of labor.
- b. Except as otherwise may be agreed to in writing by the Construction Manager, the actual wages and benefits paid for manual classifications of Contractor's on-site workers will not, in the aggregate, be more than the current applicable wage for each classification as established by the State of California Director of Industrial Relations.
- c. Specifically prohibited from the labor costs are other payroll burden factors such as small tools (as defined in item C below), bonuses of any kind and safety incentives.
- d. Contractor shall include the actual travel and/or subsistence costs, if any, as a separate line item under the labor cost category. Except as otherwise may be agreed to in writing by the Construction Manager, the actual travel and/or subsistence costs will not be more than established in an applicable Master Labor Agreement or the State of California Director of Industrial Relations.
- e. Within fifteen (15) days following Notice to Proceed date, the Contractor shall submit to Construction Manager for approval a breakdown of labor costs as allowed herein for each expected craft used in the Work showing straight time and overtime rates. These rates would be good during defined period for each craft until wages are changed by the either Union Master Labor Agreements, if Contractor is signatory, or the Department of Industrial Relations.
- f. Contractor shall revise Schedule of Values and Application for Payment forms to record each authorized Change Order, Unilateral Change Order, or Field Order as a separate line item and adjust the Contract Sum as shown thereon prior to the next monthly pay period.

- g. Contractor shall revise the Progress Schedules prior to the next monthly pay period to reflect the effects of all authorized Change Order, Unilateral Change Order, or Field Order.
- h. Contractor shall enter changes in Project Record Documents prior to the next monthly pay period.

2. Materials

- a. The cost of all materials, including all factory testing, freight and delivery costs of materials, accepted by the District and used in performing the work will be the cost to the Contractor from the supplier thereof. All discounts for early payment shall accrue to the Contractor unless the District's payment to Contractor is paid to Contractor before discount payment is due in which case discount savings will be fully credited to District on next progress billing. All rebates and all returns from the sale of surplus materials shall be credited to the Cost of the Work.
- b. For materials used in construction of the Project which may have additional uses on other projects for the Contractor's benefit, such as dimensional lumber, beams and plywood to form concrete or temporary diversion pipes, the Contractor shall submit a material unit cost to cover use of these types of materials for the approval of the District prior to delivery to the Work Site. This unit cost shall take into account the use of such materials on other past and future projects.

3. Construction Equipment

- a. The cost of construction machinery and equipment for changes shall be based on fair rental cost or equivalent rental cost of owned equipment. Such costs will be allowed for only those days or hours during which the equipment is in actual use. Payment shall be based on actual rental and transportation invoices but shall not exceed the rental rates listed for such equipment in the State of California Department of Transportation publication entitled "Labor Surcharge and Equipment Rental Rates" which is in effect on the date upon which the work is performed.
- b. District-operated equipment rates shall not exceed the rates in the aforesaid Rental Rate publication plus the labor costs as provided in Section 00700-9.03.A.1, Direct Field Labor. The rental cost allowed for equipment will, in all cases, be understood to cover all fuel, supplies, repairs, ownership, and incidental costs and no further allowances will be made for those items, unless specific written agreement to that effect is made. Compensation for idle time of equipment through delays caused by the District will be made consistent with Section 8-1.09, Right of Way Delays, of the Caltrans Standard Specifications.
- c. Individual items of construction equipment or small tools which have a replacement value of \$500 or less shall not be charged to the Change Order work unless it can be demonstrated that the particular item is needed solely for the completion of the Change Order work.
- d. Within fifteen (15) days following Notice to Proceed date, the Contractor shall submit to Construction Manager for approval a list of hourly rates for equipment owned by the Contractor and expected to be used in the Work.

4. Special Services

- a. When the Construction Manager and the Contractor, by agreement, determine that special service or item of extra Work cannot be performed by forces of the Contractor or those of any Subcontractors, service or extra Work item may be performed by a specialist. These types of services will be paid based on an agreed upon current market rate.

B. Mark-up Allowances: The Contractor and subcontractors shall be entitled to compensation for indirect field and home office overhead costs, and profit for Change Order work. This compensation shall be in the form of markup percentages applied to the direct cost of the Change Order work, as further described below. The maximum markup which will be allowed for the Contractor's combined overhead and profit will be:

- 1. For work by its own organization, the Contractor may add up to the following percentages:

Direct Cost Category	All Work except by Force Account	All Force Account Work
Direct Field Labor	25%	20%
Materials	10%	10%
Construction Equipment	15%	10%
Special Services	5%	5%

2. For all such work performed by subcontractors, such subcontractor may add the same percentages as the Contractor as listed in .1 above to its actual net increase in costs for combined overhead and profit. The Contractor may add up to five percent (5%) of the subcontractor's total for its combined overhead and profit. No further compensation will be allowed for the Contractor's administration of the work performed by the subcontractor.
3. For all such work done by sub-tier-subcontractors, such sub-subcontractors may add the same percentages as the Contractor as listed in .1 above to its actual net increase in costs for combined overhead and profit. The subcontractor may then add up to five percent (5%) of the sub-subcontractor's total for its combined overhead and profit. The Contractor may add up to five percent (5%) of the subcontractor's total for its combined overhead and profit. No further compensation will be allowed for the subcontractors' and Contractor's administration of the work performed by the sub-tier-subcontractor. No additional mark-ups will be allowed for further tiered subcontracts.
4. For Deleted Work: When work is deleted, the District is entitled to a credit for the deleted work. The credit must include direct labor, materials, equipment, and special services as defined above plus overhead and profit of the Contractor and Subcontractor, as applicable to deleted Work. Deleted overhead and profit shall be computed as five percent (5%) of the direct labor, materials, equipment, and special services as defined above. For example, if a \$10,000 direct cost item of Work is deleted, the credit to the District would be \$10,500. The Contractor shall not be entitled to nor claim for anticipated profits on work that may be deleted.
5. For combinations of Added and Delete Work: The costs before markups of added and deleted Work must be separately estimated. If the difference between such costs results in an increase, the markups for added Work will be applied to such difference. If the difference in such costs results in a decrease, the markups for deleted Work will be applied to such difference.
6. To the total of the actual costs and fees allowed herein for both Added and Deleted work, not more than two and one half percent (2.5%) shall be added for additional bond and builder's risk insurance. The compensable percentage for additional bonds and insurance shall be based on the Contractor's actual costs, as substantiated through documentation submitted to the Construction Manager.
7. The added fixed fees shall be considered to be full compensation, covering the cost of general supervision, overhead, profit, small tools, incidentals and any other general expenses. The above fixed fees represent the maximum limits which will be allowed, and they include but are not limited to the Contractor's and all subcontractors' indirect field and home office expenses and all other costs for cost proposal preparation, schedule analysis and preparation, operation and maintenance manual documentation, and record documents and change order administration.

9.04 INCREASED OR DECREASED QUANTITIES

A. General:

1. Increases or decreases in the quantity of a Contract unit price bid item of work will be determined by comparing the total pay quantity of such item of work with the Bid Schedule quantity.
2. If the total pay quantity of any item of work required under the Contract varies from the Section 00400, Bid Form, Bid Schedule quantity by twenty-five percent (25%) or less, payment will be made for the quantity of work of said item performed at the Contract unit prices therefor, unless eligible for adjustment pursuant to Section 9.04, Increased or Decreased Quantities.
3. If the total pay quantity of any item of work required under the Contract varies from the Bid Schedule quantity by more than twenty-five percent (25%), in the absence of an executed Contract change order specifying the compensation to be paid, the compensation payable to the Contractor will be determined in accordance with 9.04.E, Changes in the Character of the Work..

B. Increases of More Than 25 Percent:

1. Should the total pay quantity of any item of work required under the Contract exceed the Bid Schedule quantity by more than twenty-five percent (25%), the work in excess of 125 percent of the Bid Schedule quantity will be paid for by adjusting the Contract unit price, as hereinafter provided. At the option of the Contraction Manager, payment for the work involved in such excess will be made on the basis of force account as provided by Section 00700-9.02.D, Field Order-Force Account Work.

2. Such adjustment of the Contract unit price will be the difference between the Contract unit prices and the actual unit costs, which will be determined as hereinafter provided, of the total pay quantity of the item. If the costs applicable to such item of work include fixed costs, such fixed costs will be deemed to have been recovered by the Contractor by the payments made for 125 percent of the Bid Schedule quantity for such item, and in computing the actual unit cost, such fixed costs will be excluded. Subject to the above provisions, such actual unit cost will be determined by the Construction Manager in the same manner as if the work were to be paid for on a force account basis as provided in Section 00700-9.02.D, Field Order-Force Account Work, or such adjustment will be as agreed to by the Contractor and the Construction Manager.
 3. When the compensation payable for the number of units of an item of work performed in excess of 125 percent of the Bid Schedule quantity is less than \$5,000 at the applicable Contract unit price, the Construction Manager reserves the right to make no adjustment in said price if it so elects, except that an adjustment will be further considered if requested in writing by the Contractor.
- C. Decreases of More Than 25 Percent:
1. Should the total pay quantity of any item of work required under the Contract be less than seventy-five percent (75%) of the Bid Schedule quantity, an adjustment in compensation pursuant to this Section will not be made unless the Contractor so requests in writing. If the Contractor so requests, the revised quantity will be paid for by adjusting the Contract unit price as hereinafter provided. At the option of the Construction Manager, payment for the quantity of the work of such item performed will be made on the basis of force account as provided in Section 00700-9.02.D, Field Order-Force Account Work. However, in no case shall the payment for such work be less than that which would be made at the Contract unit price.
 2. Such adjustment of the Contract unit price will be the difference between the Contract unit price and the actual unit cost of the total pay quantity of the item, including fixed costs. Such actual unit cost will be determined by the Construction Manager in the same manner as if the work were to be paid for on a force account basis as provided in Section 00700-9.02.D, Field Order-Force Account Work; or such adjustment will be as agreed to by the Contractor and the Construction Manager.
 3. The payment for the total pay quantity of such item of work will in no case exceed the payment which would have been made for the performance of seventy-five percent (75%) of the Bid Schedule of the quantity for such item at the original Contract unit price.
- D. Eliminated Items Covered by Unit Prices:
1. In the event that a part of the Work is to be eliminated in its entirety and such Work is covered by unit price(s) contained in the Bid and/or Contract Documents, the price of the eliminated Work item shall be based on the applicable unit price(s). The unit price includes compensation for all Contractor's field and home office overhead costs and no further mark-ups will be allowed.
 2. Should any Contract item of the Work be eliminated in its entirety, in the absence of an executed Contract change order covering such elimination, payment will be made to the Contractor for actual costs incurred in connection with such eliminated Contract item if incurred prior to the date of notification in writing by the Construction Manager of such elimination.
 3. If acceptable material is ordered by the Contractor for the eliminated item prior to the date of notification of such elimination by the Construction Manager, and if orders for such material cannot be canceled, it will be paid for at the actual cost. In such case, the material paid for shall become the property of the District and the actual cost of any further handling will be paid for. If the material is returnable to the vendor and if the Construction Manager so directs, the material shall be returned and the Contractor will be paid for the actual costs of charges made by the vendor for returning the material. The actual cost of handling returned material will be paid for by the District. In addition for payment for actual material and handling charges, the Contractor shall be paid five percent (5%) for the eliminated Work item in consideration of the applicable Contractor's overhead costs.
- E. Changes in Character of Work:
1. If an ordered change in the Plans and Specifications materially changes the character of work of a Contract unit price bid item from that on which the Contractor based its Bid price, and if the change increases or decreases the actual unit cost of such changed item as compared to the actual or estimated actual unit cost of performing the work of said item in accordance with the Plans and Specifications originally

applicable thereto, in the absence of an executed Contract change order specifying the compensation payable, an adjustment in compensation therefor will be made in accordance with the following:

2. The basis of such adjustment in compensation will be the difference between the actual unit cost to perform the work of said item or portion thereof involved in the change as originally planned and the actual unit cost of performing the work of said item or portion thereof involved in the change, as changed. Actual unit costs will be determined by the Construction Manager in the same manner as if the work were to be paid for on a force account basis as provided in Section 00700-9.02.D, Field Order-Force Account Work; or such adjustment will be agreed to by the Contractor and the Construction Manager. Any such adjustment will apply only to the portion of the work of said item actually changed in character. At the option of the Construction Manager, the work of said item or portion of item which is changed in character will be paid for by force account as provided in Section 00700-9.02.D, Field Order-Force Account Work.
3. If the compensation for an item of work is adjusted under this Section, the costs recognized in determining such adjustment shall be excluded from consideration in making an adjustment for such item of work under the provisions in this Section 9.04.B, Increases of More Than 25 Percent, and 9.04.C, Decreases of More Than 25 Percent.

9.05 COST PRICING DATA AND ACCESS TO RECORDS

- A. All cost and pricing data submitted by the Contractor with respect to any change, prospective or executed, or any claim for extra compensation shall be a true, complete, accurate and current representation of actual cost and pricing of the work. The Construction Manager may require a formal certification as to cost and pricing data submitted by the Contractor.
- B. The Construction Manager shall have access, upon reasonable notice during normal business hours, to any books, documents, accounting records, papers, project correspondence, project files, scheduling information and other relevant records of the Contractor and all subcontractors directly or indirectly pertinent to the work, original as well as changes and claimed extra work, and the Contract for the purpose of making audit, examination, excerpts and transcriptions and in order to verify or evaluate any change, prospective or executed, or any claim for which compensation has been requested or notice of potential claim has been tendered.
- C. Such books, documents, and other records mentioned above shall include, but are not limited to all those reasonably necessary to determine the accurate amount of direct and indirect costs, job Site, and delay and impact costs, however characterized, and shall include the original Bid and all documents related to the Bid and its preparation, as well as, the as-planned construction schedule and all related documents.
- D. Such access shall include the right to examine and audit such records and make excerpts, transcriptions, and photocopies at the District's cost.

9.06 TIME EXTENSIONS FOR CHANGE ORDERS

- A. If the Contractor requests a time extension for the extra work necessitated by a proposed Change Order, the request must comply with the applicable requirements of this Section 00700 and Section 01324, Progress Schedule and Reports.

9.07 CONTRACTOR'S ACCEPTANCE OF CHANGE ORDERS

- A. Contractor's written acceptance of a Change Order constitutes final and binding agreement to the provisions thereof and a waiver of all Claims in connection therewith, whether direct, indirect or consequential. Pursuant to California Public Contract Code §7100, Contractor may specifically exclude individual Change Order items from operation of the Contractor's written acceptance of the Change Order and waiver of all Claims in

connection therewith. A general statement that the Contractor reserves their right to Claim additional time and/or money at a future date for all Work associated with the Change Order is not permitted.

- B. If Contractor disagrees with any terms or conditions of a Change Order, Contractor must sign it with the statement "Signed Under Protest" and attach a written statement detailing the basis of the disagreement.
- C. The written statement detailing the basis of the disagreement must specifically identify each item to be excluded from the Contractor's waiver and state why the Contractor is unable to determine the time and/or money due from the District for the items excluded from the Contractor's release.

9.08 CONSTRUCTION COST REDUCTION INCENTIVE (VALUE ENGINEERING PROPOSAL)

A. General

- 1. The cost-reduction incentive program provides a mechanism by which the Contractor can be motivated to use his construction expertise to improve contract performance and thereby create an overall reduction in the total cost of the Contract. The Contractor and its subcontractors may participate in the cost-reduction program; however, participation of the subcontractors shall be through the Contractor. In addition, the sharing arrangement between the Contractor and the subcontractor must be mutually agreed upon by the Contractor and its subcontractor, and written evidence of such agreement will be submitted along with the submittal of the cost-reduction proposal.
- 2. No cost reduction proposals shall be submitted for which the District's share in the participation of the proposals is not greater than \$5,000.
- 3. Cost-reduction proposals shall comply with the following conditions:
 - a. The proposed change shall not impair, in any manner, the essential functions or characteristics of the project, including but not limited to, service life, economy of operation, ease of maintenance, desired appearance, or design and safety standards.
 - b. The proposed change will not cause undue interruption of the Contract work, nor shall the proposed change be allowed to extend the Contract completion date of the project unless an extension provides a specific project benefit.
 - c. The proposed change shall be in compliance with all local permits and regulations and code requirements as set forth in the contract documents.
 - d. The proposed change shall not involve payment of royalties by the District to the Contractor.

B. Proposal Submittal:

- 1. The cost-reduction proposal shall generally conform to Section 01330, Submittal Procedures. The cost-reduction proposals shall contain as a minimum the following information:
 - a. Name of individuals associated with the development and preparation of the cost-reduction proposal.
 - b. A detailed description and duly signed plans and specifications showing work as presently designed and the proposed changes. Clear identification of all advantages and disadvantages for each change proposed.
 - c. A summary of estimated costs which shall include but not be limited to the following:
 - 1) Project construction costs before and after the cost-reduction proposal. This shall be a detailed estimate identifying the following items:
 - a) Quantities of material and equipment.
 - b) Unit prices of materials and equipment.
 - c) Labor hours and rates for installation.
 - d) Equipment hours and rates for installation.
 - e) Subcontractors and prime contractor markups.
 - f) Other estimate items necessary to evaluate the proposal.
 - 2) Operation and maintenance costs before and after the cost-reduction proposal.
 - 3) Costs for implementing the cost-reduction proposal not included in item 1 above.
 - 4) Other costs as required to meet all local permits, regulations, and code requirements as set forth in the contract documents.

5) Time required for execution of the proposed change.

d. A preliminary schedule indicating the general time impacts for implementing the proposed change. Also indicate the date that the cost-reduction proposal needs to be approved for implementation.

2. If the District advises the Contractor that the proposed change will be reviewed for more detailed consideration and approval, a detailed procedure and schedule for implementing the proposed change shall be submitted. This detailed procedure and schedule shall include all necessary Contract amendments. This submittal shall also include a copy of the current Contractor's schedule showing all changes which would occur if the cost-reduction proposal were accepted.
3. The provisions of Section 00700-9.08, Construction Cost Reduction Incentive, shall not be construed to require the District or Engineer to consider any cost-reduction proposal which may be submitted. The District will not be liable to the Contractor for failure to accept or act upon any cost-reduction proposal submitted pursuant to this Section nor for any delays to the work attributable to any such proposal. The District shall not be responsible or liable for payment of any of the Contractor's costs associated with a non-accepted proposal.
4. If a cost-reduction proposal is similar to a change in the Contract Documents under consideration by the District at the time said proposal is submitted, or if such a proposal is based upon or similar to standard specifications, standard special provisions, or standard plans adopted by the District after the advertisement for the Contract, the District will not accept such proposal, and the District reserves the right to made such changes without cost-reduction compensation to the Contractor under the provisions of this Section.
5. The Contractor shall continue to perform the work in accordance with the requirements of the contract until an executed change order, incorporating the cost-reduction proposal has been issued. If an executed change order has not been issued by the date upon which the Contractor's cost-reduction proposal specifies that a decision thereon should be made, or such other date as the Contractor may subsequently have specified in writing, such cost-reduction proposal shall be deemed rejected.
6. The District shall be the sole judge of the acceptability of the cost-reduction proposal and the estimated net savings in construction costs from the adoption of all or any part of such proposal. In determining the estimated net savings, the right is reserved to disregard the contract bid prices if in the judgment of the District, such prices do not represent a fair measure of the value of work to be performed or to be deleted.
7. The District reserves the right, where it deems such action appropriate, to require the Contractor to share in the District's costs of investigating a cost-reduction proposal submitted by the Contractor as a condition of considering such proposal. Where such a condition is imposed, the Contractor shall indicate its acceptance thereof in writing, and such acceptance shall constitute full authority for the District to deduct amounts payable to the District from any monies due or may become due to the Contractor under the Contract.

C. Acceptance:

1. If the Contractor's cost-reduction proposal is accepted in whole or in part, such acceptance will be by a Contract change order, which shall specifically state that it is executed pursuant to 00700-Article 9, Changes in the Work. Such change order shall incorporate the changes in the Plans and Specifications, which are necessary to be put into effect and shall include any conditions upon which the District's approval thereof is based, if the approval of the District is conditional. The change order shall also set forth estimated net savings in construction costs attributable to the cost-reduction proposal effectuated by the change order and shall further provide that the Contractor be paid fifty percent (50%) of said estimated net savings amount. The Contractor's cost of preparing the cost-reduction proposal and the District's costs of investigating a cost-reduction proposal, including any portion thereof paid by the Contractor, shall be excluded from consideration in determining the estimated net savings in construction costs.
2. Acceptance of the cost-reduction proposal and performance of the work thereunder shall not extend the time of completion of the contract, unless specifically provided for in the contract change order authorizing the use of the cost-reduction proposal.
3. The amount specified to be paid to the Contractor in the change order which effectuates a cost-reduction proposal shall constitute full compensation to the Contractor for the cost-reduction proposal and the performance of the work thereof pursuant to the said change order.

4. The District expressly reserves the rights to adopt a cost-reduction proposal for general use on contracts administered by the District when it determines that said proposal is suitable for the application to other contracts. When an accepted cost-reduction proposal is adopted for general use, only the Contractor who first submitted such proposal will be eligible for compensation pursuant to this Section, and in that case, only as to those contracts awarded to this same Contractor prior to submission of the accepted cost-reduction proposal and as to which such cost-reduction proposal is also submitted and accepted. Cost-reduction proposals identical or similar to previously submitted proposals will be eligible for consideration and compensation under the provisions of this Section, if the identical or similar previously submitted proposals were not adopted for general application to other contracts administered by the District.

****END ARTICLE 9****

ARTICLE 10 - PAYMENTS**10.01 BASIS OF PAYMENT****A. General:**

1. The Contractor shall accept the compensation, as herein provided, as full payment for furnishing all labor, materials, tools, equipment, and incidentals necessary to the completed Work and for performing all work contemplated and embraced under the Contract; also for loss or damage arising from the nature of the Work, or from the action of the elements, or from any unforeseen difficulties which may be encountered during the prosecution of the Work, also for all expenses incurred in consequence of the suspension or discontinuance of the Work as herein specified; and for completing the Work according to the Contract Documents. Neither the payment of any estimate nor of any retained percentage shall relieve the Contractor of any obligation to make good any defective work or material.
2. No compensation will be made in case of loss of anticipated profits. Increased or decreased work involving supplemental agreements will be paid for as provided in such agreements.
3. Full compensation for conforming to all of the provisions of the Contract Documents shall be considered as included in the prices paid for the various Contract items of work and no additional compensation will be allowed therefor.
4. See Section 01999, Referenced Forms, for forms related to Article 10.

B. Payment for Patents and Patent Infringement:

1. All fees or claims for any patented invention, article, or arrangement that may be used upon, or in, any manner connected with the performance of the Work or any part thereof shall be included in the price bid for doing the work, and the Contractor and its sureties shall defend, protect, and hold the District, its officers, agents, employees, the Construction Manager, and Design Engineers, together with all their officials, officers, volunteers, agents, and employees harmless from and any and all suits and claims including claims for attorney's fees, expert's fees and costs brought or made by the holder of any invention or patent, or on account of any patented or unpatented invention, process, article, or appliance manufactured for or used in the performance of the Contract, including its use by the District, unless otherwise specifically stipulated in the Contract. Before final payment is made on the Contract, the Contractor shall furnish an affidavit to the District regarding patent rights for the Project. The affidavit shall state that all fees and payments due as a result of the work incorporated into the Project or methods utilized during construction have been paid in full. The Contractor shall certify in the affidavit that no other fees or claims exist for work in this Project.

C. Payment of Taxes:

1. The Contractor shall pay and shall assume exclusive liability for all taxes levied or assessed on or in connection with its performance of this Contract, whether before or after acceptance of the work, including, but not limited to, State and local sales and use taxes, Federal and State payroll taxes or assessments, and excise taxes, including any taxes or assessments levied or increased during the performance period of the work. No separate allowance will be made therefor, and all costs in connection therewith shall be included in the total amount of the Contract price.

10.02 PARTIAL PAYMENTS**A. General:**

1. In consideration of the faithful performance of the Work prosecuted in accordance with the Contract Documents, the District will pay the Contractor for all such work installed on the basis of unit prices and/or percentage completion of lump sum Bid Items. Amounts earned for lump sum work will be based on accepted Cost Breakdown (See Section 01200, Measurement and Payment).
2. Payments will be made by the District to the Contractor on estimates duly certified and approved by the Construction Manager, based on the Lump Sum or unit price value of equipment installed and tested, labor and materials incorporated into said permanent work by the Contractor during the preceding month, and acceptable materials and equipment on hand (materials and equipment furnished and delivered to the Site

- by the Contractor and not yet incorporated into the work accompanied by an approved invoice). Payments will not be made for temporary construction unless specifically provided for in the Contract Documents.
3. Partial payments will be made monthly based on work accomplished as of a day mutually agreed to by the District and the Contractor.
 4. The Contractor shall submit a completed and signed progress payment request form with its estimate of the work completed during the prior month and the work completed to date in a format corresponding to the unit price schedule and accepted cost breakdown. Additionally, the Contractor shall submit a detailed statement of the Contractor's request for payment of acceptable materials and equipment on hand in compliance with Section 00700-10.03, Partial Payments - Inclusion of Materials on Hand. Each payment request shall list each Change Order executed prior to date of submission, including the Change Order Number.
 5. Contractor shall certify each payment request stating that the Contractor has met all requirements of the Contract Documents for all amounts included in the payment request and that all work included in the payment request has been performed in accordance with the Contract Documents.
 6. Upon receipt of Contractor's requests for payment, the District shall act in accordance with the following:
 - a. The Construction Manager shall review the submitted estimates, as soon as practicable after receipt for the purpose of determining that the estimates are a proper request for payment, and shall prepare a certified estimate of the total amount of work done and acceptable materials and equipment on hand.
 - b. Any request for payment determined not to be a proper payment request suitable for payment shall be returned to the Contractor as soon as practicable, but not later than seven (7) days after receipt. A request for payment returned pursuant to this paragraph shall be accompanied by a document setting forth in writing the reasons why the request for payment is not proper.
 - c. The number of days available to the District to make a payment without incurring interest pursuant to this Section shall be reduced by the number of days by which the District exceeds the seven (7) day return requirement set forth in subdivision (b) above.
- B. If requested, the Contractor shall provide such additional data as may be reasonably required to support the partial payment request. The Construction Manager will adjust or correct the payment request, and will be available to meet and discuss the partial payment request prior to its resubmittal(s). When the Contractor's estimate of amount earned conforms with the Construction Manager's evaluation, the Contractor shall submit to the Construction Manager a properly completed and signed progress payment request. The Construction Manager will submit the recommended progress payment request for the District's approval and processing. Payment will be made by the District to the Contractor in accordance with District's normal accounts payable procedures; the District shall retain amounts in accordance with Section 00700-10.04, Right to Withhold Amounts.
- C. No such estimate or payment shall be required to be made, when in the judgment of the Construction Manager, the Work is not proceeding in accordance with the provisions of the Contract, or when in the Construction Manager's judgment the total value of the Work done since the last estimate amounts to less than One Thousand Dollars (\$1,000).
- D. Subject to the provisions of this Section, the District shall pay the Contractor within thirty (30) days after receipt of undisputed and properly submitted requests for payment from the Contractor. In accordance with California Public Contract Code §20104.50, if the District fails to pay an undisputed request for payment within the allotted thirty (30) days, the District shall pay interest to the Contractor equivalent to the legal rate set forth in subdivision (a) of §685.010 of the California Code of Civil Procedure.
- E. Each progress payment request and the final payment request shall be deemed "proper" only if it is submitted on the form approved by the District, with all of the requested information completely and accurately provided by the Contractor.

10.03 PARTIAL PAYMENTS- INCLUSION OF MATERIALS ON HAND

- A. Materials, as used herein, shall be considered to be those items which are fabricated and manufactured material and equipment. Only those materials for which the Contractor can transfer clear title to the District will be qualified for partial payment. The Contractor may request payment of seventy-five (75) percent of the actual

net cost of these materials. The request for partial payment will be subject to retention as provided elsewhere in the Contract Documents.

- B. To receive partial payment for materials and equipment not incorporated in the Work, it shall be necessary for the Contractor to submit to the Construction Manager a list of such materials, at least seven (7) days prior to submitting the monthly estimate of amount earned for work completed. At the Construction Manager's sole discretion, it may approve items for which partial payment is to be made subject to the following:
1. Equipment and materials will only be eligible if given conditional or final acceptance by the Design Engineer and are in apparent compliance with favorably reviewed shop drawings.
 2. Only equipment or materials which have received favorable review of shop drawings will qualify. The Operation and Maintenance Manuals for equipment must be submitted in accordance with Section 01782, Operation and Maintenance Data, prior to payment for stored materials.
 3. Eligible equipment or materials must be delivered and properly stored, protected, and maintained in a manner favorably reviewed by the Construction Manager and in accordance with the accepted Operation and Maintenance Manuals, at the job Site or at a bonded warehouse in the vicinity, a maximum of 30 miles, of the Work. In addition to the requirements noted herein, equipment or materials stored offsite are subject to the following:
 - a. Contractor will immediately, permanently, and prominently label all of the materials with the following notice: "THIS MATERIAL IS THE PROPERTY OF THE DISTRICT".
 - b. Contractor will, concurrent with payment, execute and deliver to the District a Bill of Sale.
 - c. Contractor warrants to the District that no third party has a lien against any of the Materials, and that Contractor has full power and authority to convey clear title to the Materials to the District by Bill of Sale. If the District so requests, Contractor will cooperate in the filing of a UCC-1 notification or other documentation placing notice of the District's title in applicable state records.
 - d. Contractor to store all of the Materials in a climate controlled and secured facility protected from adverse weather conditions in accordance with the Operations and Maintenance Manuals. The District has the right to inspect and approve the proposed facility.
 - e. Contractor shall provide access to the facility when requested by the District.
 - f. All warranty periods for the Materials will commence as described in the Contract Documents.
 - g. Risk of loss as to the Material shall remain with the Contractor until Substantial Completion of the Work under the Contract.
 - h. Contractor shall keep the Material fully insured for the benefit to the District, and provide the District with a satisfactory certificate of insurance showing such coverage.
 - i. Any Material warranties under the Contract shall commence only upon Substantial Completion or beneficial occupancy as provided in the Contract.
 - j. The District reserves the right that if the above conditions are not met by the Contractor, the District shall adjust future monthly progress payments to reduce previously-paid materials on hand to zero or an amount the District deems appropriate.
 - k. The Contractor is responsible for the risk of damage, theft, vandalism, or loss.
- C. Effect of Payment: Payment will be made by District based on the Construction Manager's observations at the Site and the data comprising the progress payment request. Payment will not be a representation that the District has:
1. made exhaustive or continuous on-site inspections to check the quality or quantity of Work;
 2. reviewed construction means, methods, techniques, sequences or procedures;
 3. reviewed copies of requisitions received from subcontractors and material suppliers and other data requested by District to substantiate Contractor's right to payment;
 4. made examination to ascertain how or for what purpose Contractor has used money previously paid on account of the Contract Sum; or
 5. accepted all or part of the Work.

10.04 RIGHT TO WITHHOLD AMOUNTS

- A. Retention: The District will withhold from each of the partial payments and retain as part security, five percent (5%), of the amount earned until the final payment in accordance with Public Contract Code §7201.
- B. Other Withholds: In addition to the amount which the District may otherwise retain under the Contract, the District may withhold a sufficient amount or amounts of any payment or payments otherwise due the Contractor, as in its judgment may be necessary to cover:
1. For defective work not remedied.
 2. A reasonable doubt that the Contract can be completed for the balance then unpaid.
 3. Damage to another contractor or third party, or to property.
 4. Failure of the Contractor to maintain Project Record Documents current as required in Section 01780, Record Documents.
 5. Cost of insurance arranged by the District due to cancellation or reduction of the Contractor's insurance.
 6. Failure to submit, revise, resubmit or otherwise conform to the requirements herein for preparing and maintaining a construction schedule as required in Section 01324, Progress Schedules and Reports.
 7. Failure to make proper submissions, as specified herein.
 8. Payments due the District from the Contractor.
 9. Reduction of Contract Amount because of modifications.
 10. The Contractor's neglect or unsatisfactory prosecution of the Work including additional engineering and administrative costs related to construction and/or shop drawing errors and the failure to clean up.
 11. Provisions of law that enable or require the District to withhold such payments in whole or in part.
 12. Stop Notice claims filed by Contractor's subcontractors, of any tier, or its material suppliers.
 13. Failure of Contractor to submit Operation and Maintenance Manuals as required in Section 01782, Operation and Maintenance Data.
 14. Failure to comply with environmental or other regulatory requirements.
 15. Liquidated damages.
- C. When the above reasons for withhold amounts are removed, payment will be made to the Contractor for amount withheld because of them.
- D. The District in its discretion may apply any withheld amount or amounts to the payment of valid claims. In so doing, District shall be deemed the agent of Contractor, and any payment so made by District shall be considered as a payment made under the Contract by District to Contractor and District shall not be liable to Contractor for such payment made in good faith. Such payments may be made without prior judicial determination of the claim or claims. District will render to Contractor a proper accounting of such funds disbursed in behalf of Contractor.

10.05 STOP NOTICES

- A. District will comply with California Civil Code 9000 et seq. regarding Stop Notices.
- B. All Preliminary and Stop Notices must be sent to the District's Project Representative, named in the Section 00510, Agreement.

10.06 SECURITY SUBSTITUTION FOR RETENTION

- A. Pursuant to Public Contract Code §22300 (the provisions of which are hereby incorporated herein by reference) the Contractor may substitute securities for any moneys withheld by the District as retention under Sections 00700-10.02, Partial Payments, or 00700-10.09, Final Inspection and Payment, to ensure performance under the contract; however, substitution of securities shall not be allowed hereunder if financing for this Contract has been provided by the Farmers Home Administration of the United States Department of Agriculture pursuant to the Consolidated Farm and Rural Development Act (7 U.S.C. Sec. 1921 et seq.), or where federal regulations or policies, or both, do not allow the substitution of securities. At the request and expense of Contractor, securities equivalent to the amount withheld shall be deposited with the District, or with a state or federally

chartered bank in California as the escrow agent, who shall then pay those moneys to Contractor. Upon satisfactory completion of the Contract, the securities shall be returned to Contractor.

- B. Contractor shall have the obligation of ensuring that such securities deposited are sufficient so as to maintain, in total fair market value, an amount equal to the cash amount of the sums to be withheld under the Contract. If, upon written notice from the District, or from the appropriate escrow agent, indicating that the fair market value of the securities has dropped below the dollar amount of monies to be withheld by the District to ensure performance, Contractor shall, within five days of the date of such notice, post additional securities as necessary to ensure that the total fair market value of all such securities held by the District, or in escrow, is equivalent to the amount of money to be withheld by the District under the Contract.
- C. Securities eligible for investment include those listed in §16430 of the California Government Code, bank or savings and loan certificates of deposit, interest-bearing demand deposit accounts, standby letters of credit that are secured by securities listed in §16430 of the Government Code or that contain terms and conditions that provide assurances of financial integrity substantially equivalent to that of securities listed in said §16430, or any other security of like financial integrity mutually agreed to by Contractor and District. The District reserves the right to determine the acceptability of eligible securities proposed by Contractor for substitution of moneys withheld as retention. Contractor shall be the beneficial owner of any securities substituted for moneys withheld and shall receive any interest thereon.
- D. If Contractor elects to receive interest on moneys withheld in retention by District, Contractor shall, at the request of any subcontractor, make that option available to the subcontractor regarding any moneys withheld in retention by Contractor from the subcontractor. If Contractor elects to receive interest on any moneys withheld in retention by District, then the subcontractor shall receive the identical rate of interest received by Contractor on any retention moneys withheld from the subcontractor by Contractor, less any actual pro rata costs associated with administering and calculating that interest. In the event that the interest rate is a fluctuating rate, the rate for the subcontractor shall be determined by calculating the interest rate paid during the time that retentions were withheld from the subcontractor.
- E. The provisions of the immediately preceding paragraph shall apply only to those subcontractors performing more than five (5%) percent of Contractor's total bid. Contractor shall not require any subcontractor to waive any provision of this Section pertaining to the rights of subcontractors.
- F. The escrow agreement used hereunder shall be null, void, and unenforceable unless it is substantially similar to the form included in Public Contract Code §22300 which is reproduced in Section 00610, Sample Escrow Agreement, for Security Deposits in Lieu of Retention.
- G. Specific securities proposed for investment shall be submitted to the District for determination of acceptability. Standby letters of credit are not eligible for investment.

10.07 WARRANTY OF TITLE

- A. No material, supplies, or equipment for the Work under this Contract shall be purchased subject to any chattel mortgage, security agreement, or under a conditional sale or other agreement by which an interest therein or any part thereof is retained by the seller or supplier. The Contractor warrants good title to all material, supplies, and equipment installed or incorporated in the work and agrees upon completion of all work to deliver the premises, together with all improvements and appurtenances constructed or placed thereon by the Contractor, to the District free from any claims, liens, security interests, or charges. The Contractor further agrees that neither the Contractor nor any person, firm, or corporation furnishing any materials or labor for any work covered by this Contract shall have any right to a lien upon the premises or any improvement or appurtenances thereon, provided that this shall not preclude the Contractor from installing metering devices and other equipment of utility companies or of municipalities, the title of which is commonly retained by the utility company or the municipality. In the event of the installation of any such metering device or equipment, the Contractor shall advise the District as to the legal District thereof.
- B. Nothing contained in this paragraph, however, shall defeat or impair the right of such persons furnishing materials or labor under any bond given by the Contractor for their protection or any rights under any law permitting such persons to look to funds due the Contractor in the hands of the District. The provisions of this

Paragraph shall be inserted in all subcontracts and material contracts, and notice of its provisions shall be given to all persons furnishing materials for the work when no formal Contract is entered into for such materials.

10.08 SUBSTANTIAL COMPLETION

- A. When the Contractor considers that the Work or portion of the Work as defined in Section 01110, Summary of Work, is substantially complete, the Contractor shall notify the Construction Manager accompanied with the Contractor's Certification of Completion form included at the end of this Section. Upon receipt of the notification, the Construction Manager, the District, the Design Engineer, and/or their authorized representatives will make inspection, to determine if the Work and administrative requirements are sufficiently complete in accordance with the Contract Documents so the District can occupy or utilize the Work for its intended use. If items are found which prevent such use or occupancy, the Construction Manager shall notify the Contractor in writing of such items by issuing a Corrective Work Item List.
- B. Upon the completion of such corrective work, the Contractor shall so notify the Construction Manager in writing. The Construction Manager, the District, and/or the Design Engineer shall inspect the Work to determine its acceptability for Substantial Completion and for determination of other items which do not meet the terms of the Contract. Upon verification that the Work is substantially complete the Construction Manager shall prepare a Certificate of Substantial Completion and the Punch List. The Certificate shall establish the date of Substantial Completion and the responsibilities of the District and Contractor for security, maintenance, heat, utilities, damage to the Work and insurance, commencement of warranties required by the Contract Documents, and shall fix the time, not to exceed 60 days, within which the Contractor shall finish all items on the Punch List or remaining work or administrative requirements accompanying the Certificate. When the preceding provisions have been approved by both the District and the Contractor, they shall sign the Certificate to acknowledge their written acceptance of the responsibilities assigned to them in such Certificate. By such acknowledgment, the District has the right to retain, in accordance with applicable law, withheld monies due the Contractor to pay the District's actual costs including, but not limited to, charges for engineering, inspection and administration incurred due to the failure to complete the Punch List within the time period provided in the Certificate of Substantial Completion, which costs the District may deduct from amounts due or that may become due the Contractor under the Contract.

10.09 FINAL INSPECTION AND PAYMENT

- A. After receipt of the last partial payment, but prior to acceptance of the Work by the District, the Contractor shall send a letter to the Construction Manager. The letter, pursuant to California Public Contract Code §7100, shall state that acceptance of the final payment described below shall operate as and shall be, a release to the District, its officers, employees, the Construction Manager, the Design Engineer, and their duly authorized agents, from all claim of and/or liability to the Contract arising by virtue of the Contract related to undisputed contract amounts. Disputed Contract claims in stated amounts previously filed as provided in Section 00700-Article 11, Protests, Disputes and Claims, may be specifically excluded by the Contractor from the operation of the release.
- B. Following receipt of all required submittals and the Construction Manager's written statement that construction is complete and recommendation that the District accept the project, the District will take formal action on acceptance.
- C. Within ten (10) days of the acceptance by the District of the completed work embraced in the Contract, the District will cause to be recorded in the office of the County Recorder a Notice of Completion.
- D. Within sixty (60) days after the date of the completion of the Project pursuant to Public Contract Code section 7107, the District will pay the Contractor in lawful money such sums of money as may be due the Contractor and are undisputed including all sums retained but excluding such sums as have previously been paid the Contractor. This payment will constitute the final payment to the Contractor under this Contract. Prior to receipt of such payment, the Contractor shall send District a "conditional waiver and release upon final payment" properly executed in accordance with California Civil Code §8132.
- E. The District will pay the Contractor in lawful money such sums of money as may be due the Contractor including all sums retained but excluding such sums as have previously been paid the Contractor and as may be needed

to cover outstanding stop notices. This payment will constitute the final payment to the Contractor under this Contract.

- F. In the event of a dispute between the District and the Contractor, the District may in accordance with California Public Contract Code §7107 withhold from the final payment an amount of 150 percent of the disputed amount.

****END ARTICLE 10****

ARTICLE 11 - PROTESTS, DISPUTES AND CLAIMS**11.01 PROTESTS**

- A. If Contractor contends that it was directed by the Construction Manager to perform Work outside the requirements of the Contract Documents, or if Contractor considers any direction, instruction or decision of Construction Manager to be incorrect or improper, Contractor must immediately notify District's Authorized Representative in writing within five (5) days of such directive, instruction, or decision before proceeding with such Work.
- B. If Contractor proceeds with such Work without complying with the requirements of Section 00700- 11.01.A above, providing advance written notification, Contractor will be deemed to have assented that the Work is within the Contract's requirements, and Contractor will have forfeited any right to a protest or Claim.

11.02 DISPUTES

- A. A Dispute is a written disagreement submitted by the Contractor seeking adjustment of Contract terms, payment of money, extension of Contract Time or other relief with respect to the terms of the Contract.
- B. A Dispute is not a Claim.
- C. Each Dispute must involve only one issue to be decided by the District.
- D. Dispute documentation must be complete when submitted.
- E. The evaluation of the Contractor's position will be based on District's records and the Dispute documentation submitted by Contractor.
- F. Contractor must furnish Dispute documentation in the following format:
 - 1. Introduction and Issue Identification.
 - 2. Background.
 - 3. Chronology.
 - 4. Contractor's Position (Reason for District's potential liability).
 - 5. Supporting documentation of merit.
 - 6. Supporting documentation of damages.
 - 7. Schedules (if appropriate).
 - 8. Productivity exhibits (if appropriate).
- G. Supporting documentation of merit must be cited by reference, photocopies, or explained. Supporting documentation may include, but not be limited to General Conditions, General Requirements, Technical Specifications, Drawings, correspondence, conference notes, Shop Drawings and other Submittals, Submittal logs, survey books, inspection reports, delivery schedules, test reports, daily reports, subcontracts, fragmentary Critical Path method schedules, photographs, technical reports, Requests for Information, field instructions, and other related records necessary to support the merit of Contractor's position.
- H. Supporting documentation of damages must be cited, photocopied, or explained. Supporting documentation may include but not be limited to any or all documents related to the preparation and submission of the Bid; Subcontractor, Supplier or vendor files and cost records; certified payroll reports, materials, equipment, and construction equipment and services costs; purchase orders; invoices; project as-planned and as-built costs;

Subcontractor and Supplier payment documentation; quantity reports; other related records; general ledger and any other accounting materials necessary to support the Contractor's position.

- I. The District may elect to enter into discussions and/or negotiations with the Contractor for the purpose of resolving the Dispute. Negotiations on Disputed Work are for settlement purposes only and are not binding.
- J. District's Authorized Representative will decide Disputes and Claims. The Contractor will be furnished with a written copy of the District's decision.

11.03 NOTICE OF POTENTIAL CLAIM

- A. Contractor is not entitled to additional compensation for any cause unless Contractor submits to the Construction Manager a written notice of the potential Claim as hereinafter specified.
- B. The written notice of potential Claim must set forth the reasons for which Contractor believes additional compensation and/or time will or may be due, the nature of the costs and/or time involved, and, insofar as possible, the amount of the potential Claim. The said notice as above required must have been submitted to Construction Manager before Contractor performs the Work giving rise to the potential Claim for additional compensation and/or time, if based on an act or failure to act by District, or in all other cases within fourteen (14) Days after the happening of the event, thing or occurrence giving rise to the potential Claim.
- C. Compliance with the foregoing shall not be a prerequisite to any Claim that is based on differences in measurement or errors of computations as to Contract quantities.

11.04 CONTEMPORANEOUS DOCUMENTATION

- A. Contractor must contemporaneously generate full and complete records of the cost and time incurred to perform Disputed Work.
- B. Beginning with the first day on which any Disputed Work is performed, and each following Day, Contractor must maintain detailed hourly records of labor, construction equipment, and services, and itemized records of materials and equipment used each Day in the performance of the Disputed Work. Such records must be of a form acceptable to Construction Manager, must be signed by Contractor, and are subject to verification by Construction Manager.

11.05 CLAIMS

- A. A Claim is a separate unresolved Dispute by the Contractor for:
 - 1. a Contract Time extension;
 - 2. payment of money or damages arising from Work done by, or on behalf of, the Contractor pursuant to the contract for a public work and payment of which is not otherwise expressly provided for or the claimant is not otherwise entitled to; or
 - 3. an amount the payment of which is disputed by the District.
- B. If a Dispute or Disputes have not been resolved to the satisfaction of the Contractor, the Contractor may submit a Claim along with detailed documentation supporting the Claim.
- C. A single Claim may include multiple issues. However, each issue in a Claim must be separately identified and supported.
- D. Contractor must submit certified copies of the Claim documentation. Certification must be provided in accordance with Section 00700-11.05.J below.
- E. All Claim documentation must be complete when submitted.
- F. Each issue to be decided by the District must be clearly identified in the Claim. Issues not clearly identified and included in the certified Claim will not be considered.
- G. The evaluation of the Contractor's Claim will be based on District's records and the certified Claim documentation submitted by Contractor.
- H. Claim Documentation: Claim documentation must conform to generally accepted auditing standards and must be in the following format:
 - 1. Introduction.

- 2. General Background Discussion.
- 3. Summary of Issues and Damages.
- 4. Issues:
 - a. Index of Issues.
 - b. For Each Issue (Begin each issue on a new page).
 - 1) Background.
 - 2) Chronology.
 - 3) Contractor's Position (Reason for District's potential liability).
 - 4) Supporting Documentation of Merit.
 - 5) Supporting Documentation of Damages.
 - 6) Schedules (if appropriate).
 - 7) Productivity exhibits (if appropriate).
- 5. Supporting documentation of merit must be cited by reference, photocopies, or explained. Supporting documentation may include, but not be limited to General Conditions, General Requirements, Technical Specifications, Drawings, correspondence, conference notes, Shop Drawings and other Submittals, Submittal Logs, survey books, inspection reports, delivery schedules, test reports, daily reports, subcontracts, fragmentary Critical Path method schedules, photographs, technical reports, Requests for Information, field instructions, and other related records necessary to support the merit of Contractor's position.
- 6. Supporting documentation of damages must be cited, photocopied, or explained. Supporting documentation may include but not be limited to any or all documents related to the preparation and submission of the Bid; Subcontractor, Supplier or vendor files and cost records; certified payroll reports, materials, equipment, and construction equipment and services costs; purchase orders; invoices; project as-planned and as-built costs; Subcontractor and Supplier payment documentation; quantity reports; other related records; general ledger and any other accounting materials necessary to support the Contractor's position.
- I. Submission of Claim Costs: Within thirty (30) days after incurring the last cost of work for which the Contractor contends it is due additional compensation is incurred, but if costs are incurred over a span of more than thirty (30) days, then within fifteen (15) days after the thirtieth day and every month thereafter, the Contractor shall submit to the Construction Manager, as best the Contractor is able, its costs incurred for the claimed matter. Claims shall be made in itemized detail, and should the Construction Manager be dissatisfied with the format or detail of presentation, upon request for more or different information, the Contractor will promptly comply, to the satisfaction of the Construction Manager. If the additional costs are not fully ascertainable, they shall be estimated with as much accuracy as possible in the circumstances. The Construction Manager shall have the right as provided in Section 00700-9.05, Cost Pricing Date and Access to Records, to review the Contractor's records pertaining to a submitted claim. In case the claim is found to be just, it shall be allowed and paid for as provided in Section 00700-Article 9, Changes in the Work.
- J. Each original copy of the Claim must include the following certification, signed in the same manner as the Agreement was signed:
 - 1. Under the penalty of law for perjury or falsification and with specific reference to the California False Claims Act, Government Code §12650 et seq., the undersigned,

Name: _____
 Title: _____
 Company: _____

hereby certifies that this Claim is made in good faith, is a true statement of the actual costs incurred and time sought, is fully supported pursuant to the Contract between parties, that the supporting documentation is accurate and complete to the best of my knowledge and belief, and that the amount requested accurately reflects the Contract adjustments for which District is responsible.

Dated: _____

Signed: _____

Subscribed and sworn before me this _____ day of _____

(Notary Public)

My Commission Expires _____

- K. Failure to submit the notarized certification will be sufficient cause for rejecting the Claim submission.
- L. If Contractor is unable to support any part of a certified Claim and it is determined that such inability is attributable to falsity of such certification or misrepresentation of fact or fraud by Contractor, Contractor shall be liable to District for three (3) times the amount of damages which District sustains, plus the cost of civil action, and may be liable to District for a civil penalty of up to Ten Thousand Dollars (\$10,000) for each false claim.

11.06 PROCESSING OF CLAIMS

- A. General: This Contract provides for three types of Contract Claims, which will be processed and resolved under different subsections. Any claim for money or damages of \$375,000 or less or for a time extension (i.e., any claim subject to California Public Contract Code §20104) shall be processed and resolved in accordance with Section 00700-11.06.B below. Any claim for money or damages of more than \$375,000 (i.e., any claim not subject to Public Contract Code §20104) shall be processed and resolved in accordance with Section 00700-11.06.C below. Any Contract Claim sent to District by registered mail or certified mail with return receipt requested (i.e., any claim subject to Public Contract Code §9204) shall be processed and resolved pursuant to Section 00700-11.06.D.
- B. Claims for \$375,000 or Less or for Time Extension and Not Sent by Registered or Certified Mail.
 - 1. Application: This subsection applies to all claims (not sent by registered or certified mail) for \$375,000 or less in value, including any claim for a time extension or for a time extension that includes claimed delay damages of \$375,000 or less.
 - 2. District Response to Contract Claim: The Construction Manager shall respond in writing to the Contract Claim within sixty (60) days of receipt of the claim (or within forty-five (45) days of receipt for claims of less than \$50,000), or may request, in writing, within thirty (30) days of receipt of the claim, any additional documentation supporting the claim or relating to defenses to the claim the District may have against the Contractor. If additional information is thereafter required, it shall be requested and provided pursuant to this subsection, upon mutual agreement of the Construction Manager and the Contractor. The Construction Manager's written response to the claim, as further documented, shall be submitted to the Contractor within thirty (30) days after receipt (or fifteen (15) days after receipt for claims of less than \$50,000) of the further documentation, or within a period of time no greater than that taken by the Contractor in producing the additional information or requested documentation, whichever is greater. The District shall not fail to pay money as to any portion of a Contract Claim that is undisputed except as otherwise provided in the Contract Documents.
 - 3. Meet and Confer: If the Contractor disputes the Construction Manager's written response, or the Construction Manager fails to respond within the time prescribed, the Contractor may notify the District, in writing, either within fifteen (15) days of receipt of the Construction Manager's response or within fifteen (15) days of the Construction Manager's failure to respond within the time prescribed, respectively, and demand an informal conference to meet and confer for settlement of the issues in dispute. Upon such a demand, the District shall schedule a meet and confer conference within thirty (30) days for the parties to consider settlement of the dispute. If the Contractor fails to timely demand a meet and confer conference within the applicable 15-day period, then the Contractor shall be deemed not to dispute the Construction Manager's written response to the Contract Claim and the Construction Manager's decision on the Contract Claim shall be final, conclusive and binding, and the Contractor shall be deemed to have waived all its rights to further protest, judicial or otherwise.
 - 4. Government Code Claim: Following the meet and confer conference, if the Contract Claim or any portion remains in dispute, the Contractor may file a Government Code Claim as provided in Government Code title 1, division 3.6, part 3, chapters 1 (commencing with section 900) and 2 (commencing with section 910). The running of the period of time within which Contractor must file a Government Code Claim shall

be tolled from the time the Contractor submits a timely Contract Claim pursuant to 00700-11.03 through 11.05 above until the time that the Contract Claim is denied as a result of the meet and confer process, including any period of time utilized by the meet and confer process. The District shall respond to any Government Code Claim in accordance with the Government Claims Act.

5. **Lawsuit:** If the claim is not resolved pursuant to Section 00700-11.06.B.4 above, the Contractor may file a lawsuit on the claim within the limitations period provided by the Government Claims Act. If the Contractor fails to timely file a lawsuit within the limitations period of the Government Claims Act, then the District's response to the Government Code Claim shall be final, conclusive and binding on the Contractor, and the Contractor thereafter shall be barred from filing a lawsuit on the claim.
 6. **Mediation:** If the Contractor timely files a lawsuit, then within sixty (60) days, but no earlier than thirty (30) days, following the filing of responsive pleadings, the court shall submit the matter to non-binding mediation (unless waived by mutual stipulation of both parties). The mediation process shall provide for the selection within fifteen (15) days by both parties of a disinterested third person as mediator, shall be commenced within thirty (30) days of the submittal, and shall be concluded within fifteen (15) days from the commencement of the mediation unless a time requirement is extended upon a good cause showing to the court or by stipulation of both parties. If the parties fail to select a mediator within the 15-day period, any party may petition the court to appoint the mediator. The mediator's fees and expenses shall be split and paid equally between the parties. The court may, upon request by any party, order any witnesses to participate in the mediation process.
 7. **Arbitration:** If the matter remains in dispute following the mediation or if the parties waive the mediation, then the case shall be submitted to judicial arbitration pursuant to Code of Civil Procedure part 3, title 3, chapter 2.5 (commencing with section 1141.10), notwithstanding section 1141.11 of that code. The Civil Discovery Act of 1986 (Code of Civil Procedure part 4, title 3, chapter 3, article 3 (commencing with section 2016)) shall apply to any proceeding brought under this subdivision consistent with the rules pertaining to judicial arbitration. The arbitrator shall be experienced in public works construction law. The arbitrator's fees and expenses shall be split and paid equally by the parties, except where the arbitrator, for good cause, determines a different division. The court may, upon request by any party, order any witnesses to participate in the arbitration process. Any party who, after receiving an arbitration award, requests a trial de novo but does not obtain a more favorable judgment shall (in addition to payment of any costs and fees under Code of Civil Procedure part 3, title 3, chapter 2.5 (commencing with section 1141.10)) pay the attorney's fees of the other party arising out of the trial de novo.
 8. **Interest:** In any lawsuit filed under this subsection, District shall pay interest at the legal rate on any arbitration award or judgment. The interest shall begin to accrue on the date the lawsuit is filed in court.
- C. **Claims for More Than \$375,000 and Not Sent by Registered or Certified Mail:**
1. **Application.** This subsection applies to all claims (not sent by registered or certified mail) that exceed \$375,000 in value, including any claim for a time extension that includes claimed delay damages exceeding \$375,000.
 2. **District Response to Contract Claim.** The Construction Manager shall respond in writing to the Contract Claim within sixty (60) days of receipt of the claim, or may request, in writing, within thirty (30) days of receipt of the claim, any additional documentation supporting the claim or relating to defenses to the claim that the District may have against the Contractor. If additional information is thereafter required, it shall be requested and provided pursuant to this subsection, upon mutual agreement of the Construction Manager and the Contractor. The Construction Manager's written response to the claim, as further documented, shall be submitted to the Contractor within thirty (30) days after receipt of the further documentation. If the Contractor fails to timely dispute the Construction Manager's decision on the matter in accordance with Section 00700-11.06.C.3 below, then the Contractor shall be deemed not to dispute the Construction Manager's written response to the Contract Claim and the Construction Manager's decision shall be final, conclusive and binding, and the Contractor shall be deemed to have waived all its rights to further protest, judicial or otherwise.
 3. **Government Code Claim.** If the Contractor disputes the Construction Manager's written response to the Contract Claim, the Contractor may file a Government Code Claim as provided in Government Code title 1, division 3.6, part 3, chapters 1 (commencing with section 900) and 2 (commencing with section 910). District shall respond to any Government Code Claim in accordance with the California Government Claims Act.

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4. Lawsuit. If the claim is not resolved pursuant to 00700-11.06.C.3 above, the Contractor may file a lawsuit on the claim within the limitations period provided by the Government Claims Act. If the Contractor fails to timely file a lawsuit within the limitations period of the Government Claims Act, then the District's response to the Government Code Claim shall be final, conclusive and binding on the Contractor, and the Contractor thereafter shall be barred from filing a lawsuit on the claim.
 5. Judicial Reference. If the Contractor timely files a lawsuit, the case shall be submitted to judicial reference pursuant to California Code of Civil Procedure sections 638 and 640 through 645.1 (or any successor statute) and California Rules of Court title 3, division 9 (commencing with section 3.900). As authorized by Code of Civil Procedure section 638, a referee will consider and decide all factual and legal issues in the action. Each party acknowledges that it will not have any right to a jury trial or to have any judicial officer besides the referee hear or decide the action. When Contractor initiates the superior court lawsuit, it will, at the same time it files the complaint in the action, also file a motion for appointment of a single referee.
 - a. Appointment of a referee shall be by mutual agreement within thirty (30) days between the parties, and if unsuccessful, then by the court and will be governed by Code of Civil Procedure §640, and subject to objection by either party as provided by Code of Civil Procedure §641. The referee must be a retired judge or a licensed attorney with at least ten years substantive experience in public works construction matters.
 - b. The parties shall be entitled to discovery and the referee shall oversee discovery and may enforce all discovery orders in the same manner as a superior court judge. The referee shall have the authority to consider and rule on appropriate pre-hearing and post-hearing motions in the same manner as a superior court judge. The referee will have the authority to set a briefing and hearing schedule for any such motion or for a hearing on the merits.
 - c. The referee's statement of decision shall include findings of fact and conclusions of law. The statement of decision will stand as the decision of the superior court and, upon filing of the statement with the clerk of the court, judgment may be entered pursuant to Code of Civil Procedure §644, subsection (a). The parties will have rights to appeal the final judgment so entered.
 - d. Each party will pay half of the costs of the referee and the administrative fees of the reference proceeding, and each party will bear its own costs, expenses and attorney fees for the reference proceeding.
- D. Claims Subject to California Public Contract Code §9204
1. This subsection applies to all Contract Claims sent by registered or certified mail with return receipt requested. The Contract Claim will be processed and resolved pursuant to Public Contract Code §9204, which is summarized here:
 - a. District Review of Claim. Within forty-five (45) days after receiving a complete Contract Claim, District shall review the claim and provide the Contractor a written statement identifying what portion of the claim is disputed and what portion is undisputed. District will pay any undisputed portion of the claim within sixty (60) days from the date of the written statement. If District fails to timely issue a written statement, then the claim shall be deemed rejected in its entirety.
 - b. Meet and Confer Conference. If the Contractor disputes the District's written statement or if the Contract Claim is deemed rejected, the Contractor may demand and the parties will conduct an informal conference to meet and confer regarding settlement in accordance with §9204, subsection (d)(2). Within ten (10) business days following the conclusion of the meet and confer conference, District shall provide Contractor a written statement identifying the portion (if any) of the claim remaining in dispute and any undisputed portion will be paid by District within sixty (60) days after this written statement.
 - c. Non-Binding Mediation. Any remaining disputed portion of the claim shall be submitted to nonbinding mediation in accordance with §9204, subsection (d)(2).
 - d. Interest. Any amount not paid in a timely manner as required by this subsection shall bear interest at a rate of 7 percent per annum until paid.
 - e. The foregoing is a summary of §9204. In the event of any conflict between the summary and §9204, the statute will govern.
 2. Lawsuit and Reference: If mediation is unsuccessful and all or parts of the Contract Claim remain in dispute, then the Contractor may pursue a lawsuit (with judicial reference) in accordance with the

procedures set forth at 00700-11.06.C.4 through 11.06.C.5. Any lawsuit under this section 11.06.C.5 will be subject to judicial reference in the same manner as under 11.06.C.4.

- E. **Contract Work Pending Claim Resolution:** Unless otherwise directed in writing by the Construction Manager, pending resolution of a claim under this Article 11, the Contractor shall continue to diligently prosecute the Work in accordance with the Contract Documents and the instructions of the Construction Manager.
- F. **Records of Disputed Work:** In proceeding with a disputed portion of the Work, the Contractor shall keep accurate daily records of all costs, including a summary of the hours and classification of equipment and labor utilized on the disputed work, as well as a summary of any materials or any specialized services that are used. Such information shall be submitted to the Construction Manager on a daily basis, receipt of which shall not be construed as an authorization for or acceptance of the disputed work. Failure to comply with this paragraph shall constitute a waiver of the Contractor's claim for additional compensation or a time extension as to that day.
- G. **Claim Meetings:** From time to time the Contractor may request or the Construction Manager may call a special meeting to discuss outstanding claims should it deem this a means of possible help in the resolution of the claim. The Contractor shall cooperate and attend prepared to discuss its claims, and make available the personnel, subcontractors and suppliers necessary for resolution, of the claim. In addition, the Contractor shall timely provide all documents relevant to the claim which may reasonably be requested by the Construction Manager.
- H. **Tort Claims:** The provisions of this Article 11 apply only to contract-based claims and they shall not apply to tort claims, and nothing in this Article 11 is intended nor shall be construed to change the time periods for filing tort claims or actions specified by Government Code title 1, division 3.6, part 3, chapters 1 (commencing with section 900) and 2 (commencing with section 910).

11.07 UNSUCCESSFUL NEGOTIATIONS

- A. Unsuccessful negotiations to resolve Claims or Disputes are for settlement purposes only and are not binding.

****END ARTICLE 11****

ARTICLE 12 - PROTECTION OF PERSONS AND PROPERTY

12.01 SAFETY PROVISIONS

A. Contractor's Safety Responsibility:

1. The Contractor shall be solely and completely responsible for conditions of the jobsite, including safety of all persons and property during performance of the Work. This requirement shall apply continuously and not be limited to normal working hours. Safety provisions shall conform to U.S. Department of Labor (OSHA), the California Occupational Safety and Health Act (Cal/OSHA), and all other applicable Federal, State, County, and local laws, ordinances, codes, including but not limited to the requirements set forth below, and any regulations that may be detailed in other parts of these Contract Documents. In the event of conflicting requirements, the most stringent requirement as it pertains to the Contractor's safety responsibility, shall be followed by the Contractor.
2. THE CONTRACTOR IS HEREBY INFORMED THAT WORK ON THIS PROJECT COULD BE PHYSICALLY DANGEROUS TO WORKERS. NO WORK SHALL PROCEED UNTIL EACH WORKER AND SUBCONTRACTOR UNDERSTANDS THE SCOPE OF THE WORK AND ALL SAFETY RULES AND WORK PROCEDURES TO BE FOLLOWED. THE CONTRACTOR SHALL NOT ALLOW A NEW EMPLOYEE OR NEW SUBCONTRACTOR TO BEGIN WORK ON DISTRICT PROJECTS WITHOUT A PROPER SAFETY ORIENTATION. THE CONTRACTOR SHALL CAREFULLY INSTRUCT ALL PERSONNEL WORKING ON THIS PROJECT REGARDING THESE DANGERS INCLUDING, BUT NOT LIMITED TO, CONFINED SPACES, POTENTIALLY EXPLOSIVE ATMOSPHERES, HANDLING OF CHEMICALS, AND POSSIBLE EXPOSURE TO PATHOGENS. THE CONVEYANCE AND TREATMENT OF RAW SEWAGE AND ITS BY-PRODUCTS CAN INTRODUCE PATHOGENIC ORGANISMS, WHICH MAY CAUSE DISEASES SUCH AS SALMONELLOSIS, TYPHOID FEVER, PARATYPHOID FEVER, BACILLARY DYSENTERY, CHOLERA, INFECTIOUS HEPATITIS, POLIO, AMOEBIC DYSENTERY, GIARDIASIS, CRYPTOSPORIDIOSIS, AND OTHERS.
3. THE CONTRACTOR IS ADVISED THAT THE WORK MAY TAKE LONGER AND MAY REQUIRE SPECIAL EQUIPMENT IN ORDER TO PROPERLY CONFORM TO SAFETY REQUIREMENTS. THE CONTRACTOR SHALL PROVIDE PERSONAL HYGIENE, SAFETY, AND PERSONAL PROTECTIVE SUPPLIES, EQUIPMENT AND TRAINING AS ARE NECESSARY TO PREVENT INJURY TO PERSONNEL AND DAMAGE TO PROPERTY. SPECIAL CARE SHALL BE EXERCISED RELATIVE TO WORK UNDERGROUND.
4. No provision of the Contract Documents shall act to make the District, the Construction Manager, Design Engineer or any other party than the Contractor responsible for safety. The Contractor agrees that for purposes of California Labor Code §6400 and related provisions of law the Contractor, the Contractor's privities and any other entities acting pursuant to this contract will be "employers" responsible for furnishing employment and a place of employment that is safe and healthful for the employees, if any, of such entities acting pursuant to this contract and that neither the District nor the Construction Manager, Design Engineer or their respective officers, officials, employees, agents or volunteers or other authorized representatives will be responsible for having hazards corrected and /or removed at the location(s) where the work is to be performed.
5. The Contractor agrees that neither the District nor the Construction Manager, Design Engineer or their respective officers, officials, employees, agents or volunteers or other authorized representatives will be responsible for taking steps to protect the Contractor's employees from such hazards, or for instructing the Contractor's employees to recognize such hazards or to avoid the associated dangers. The Contractor agrees that with respect to the work to be performed under this contract and the location(s) where such work is to be performed, the Contractor will be responsible for not creating hazards, and for having hazards corrected and/or removed.
6. The Contractor agrees that through the safety obligations contained in this contract and the Contractor's own inspection of the Site(s) where the contract work is to be performed, the Contractor is aware and has been notified of the hazards to which the Contractor's employees may be exposed in the performance of contract work. The Contractor has taken and/or will take appropriate, feasible steps to protect the Contractor's employees from such hazards, and has instructed and/or will instruct its employees to recognize such hazards and how to avoid the associated dangers.
7. The Contractor agrees that neither the District nor the Construction Manager, Design Engineer or their respective officers, officials, employees, agents, or volunteers or other authorized representatives will be

“employers” pursuant to California Labor Code §6400 and related provisions of law with respect to the Contractor, the Contractor's privities or other entities acting pursuant to this contract.

8. The Contractor shall indemnify, defend, and hold District and Construction Manager, Design Engineer and their respective officers, officials, employees, agents and volunteers or other authorized representatives harmless to the full extent permitted by law concerning liability related to the Contractor's safety obligations in accordance with Section 00700- Article 13, Insurance and Indemnification.

If death, serious or minor injuries, or serious or minor damages are caused, the accident shall be reported immediately by telephone or messenger to both the Construction Manager and the District. In addition, the Contractor shall furnish the Construction Manager with a copy of the Employer's Report of Injury immediately following any incident requiring the filing of said report during the prosecution of the Work under this Contract whether on, or adjacent to, the Site, giving full details and statements of witnesses. The Contractor shall also furnish the Construction Manager with a copy of the Employer's Report of Injury involving any subcontractors on this Project. The Contractor shall make all reports as are, or may be, required by any authority having jurisdiction, and permit all safety inspections of the Work being performed under this Contract. The District reserves the right to jointly participate in the investigative process with Contractor or conduct its own independent investigation.

9. If a claim is made by anyone against the Contractor or any subcontractor on account of any accident, the Contractor shall promptly report the facts in writing to the Construction Manager, giving full details of the claim.

B. Sausalito-Marin City Sanitary District Safety Documents for Contractors

1. The Contractor's supervisory staff must attend a safety orientation by the District that will include current facility safety issues and measures, such as lockout/tagout requirements. The responsibility to review and train Contractor staff on District requirements is the responsibility of the Contractor. The Contractor shall incorporate any facility-specific requirements the District has into their safety plan.
2. The Contractor and its subcontractors shall not start any construction activities unless they receive written permission from the Engineer, and the Contractor shall follow the described procedure for District permits, such as confined space entry permit, hot work permit, etc.

C. Safety Program

1. The Contractor shall establish, implement, and maintain a written Injury and Illness Prevention Program as required by Cal/OSHA Title 8, §1509 and California Labor Code §6401.7. Before beginning the Work, the Contractor shall prepare and submit with the Construction Manager a written Site-Specific Contractor Safety Program (SSCSP) that provides for the implementation of all of the Contractor's safety responsibilities in connection with the Work at the Project Site, and the coordination of that program and its associated procedures and precautions with the safety programs, precautions and procedures of each of its subcontractors and other Contractors performing work at the Project Site in accordance with Section 01160, Site Safety Requirements. The Contractor shall be solely responsible for initiating, maintaining, monitoring, coordinating, and supervising all safety programs, precautions, and procedures in connection with the Work and for coordinating its programs, precautions, and procedures of the other contractors and subcontractors performing the Work at the Project Site. The SSCSP shall address the elements required by Cal/OSHA Title 8, §1509 and Labor Code §6401.7 and contain all the necessary elements for the Contractor to administer its program on the Project Site including the following information:
 - a. An organization chart and accompanying narrative that describes the responsibility for employee and public safety of those individuals who control each phase of the operations. The chart shall also show the Contractor's internal lines of communication (including subcontractors) for the program. The policies and procedures to be followed by all personnel shall be included.
 - b. The designation of a Competent Person.
 - c. Specific procedures for communication between the Contractor and District on safety matters. The Contractor shall designate one person with whom official contact can be made by the District on safety matters.
 - d. A specific process for employees and subcontractors to become thoroughly familiar with the potential hazards of the work and applicable federal and state regulations.
 - e. Specific safety procedures and guidelines for conduct of the Work.

The existence, submittal, or review of, and/or comment upon the Contractor's Injury and Illness Prevention Program and project-specific safety manual shall not in any way modify the responsibilities of the Contractor for safety for the entire Project or shift any of the responsibilities to the District. Such review and comment shall not be construed as limiting in any manner the Contractor's obligation to undertake any action that may be necessary or required to establish and maintain safe working conditions at the Site.

In addition, the District may require the submittal of Injury and Illness Prevention Programs and project-specific safety manuals from certain subcontractors at the discretion of the District. The existence, submittal, or review of, or comment upon a subcontractor's Injury and Illness Prevention Program or project-specific safety manual shall not in any way modify the responsibilities of the Contractor for safety for the entire Project or shift any of the responsibilities to the District.

The District's review, comment upon, or inspection of the Contractor's or subcontractor's performance shall not in any way modify the responsibilities of the Contractor or subcontractor for the adequacy of the Contractor's or subcontractor's work methods, equipment, bracing or scaffolding, or safety measures in, on, or near the construction Site.

The District reserves the right to stop work if the Construction Manager or District Engineer believes that there is an imminent danger to persons or property. Even though the District reserves such rights, the exercise of such rights is at the District's sole discretion, and such reservations will not be construed as an obligation of the District to monitor or enforce the Contractor's Program. The District's exercise of these rights shall not provide a basis for delay damages, extra compensation, and/or additional compensation to complete the work.

2. The Contractor shall maintain a Drug-Free workplace policy within the Project Site for the safety of its employees, the District's, Construction Manager's, and Design Engineer's employees and the public. The Drug-Free workplace policy shall be posted on the Construction Site. The Contractor shall notify the Construction Manager of any criminal drug statute violation occurring on the Site not later than five (5) days after the Contractor becomes aware of such violation.
3. The Contractor shall develop its SSCSP to ensure safe work practices while working in an environment where partially treated sewage may be present. The contractor shall adhere to OSHA mandated and Cal/OSHA mandated construction safety requirements including confined space entry requirements.
4. The Contractor's compliance with requirements for safety and/or the Construction Manager's review of the SSCSP shall not relieve or decrease the liability of the Contractor for safety. The Construction Manager's review of the SSCSP is only to determine if the above listed elements are included in the program.

D. Safety Supervisor:

1. The Contractor shall appoint an employee as safety supervisor who is qualified and authorized to supervise and enforce compliance with the SSCSP. The Contractor shall notify the Construction Manager in writing prior to the commencement of work of the name of the person who will act as the Contractor's Safety Supervisor and furnish the safety supervisor's resume to the Construction Manager.
2. The Contractor will, through and with its Safety Supervisor, ensure that all of its employees and its subcontractors of any tier, fully comply with the Project Safety Policies. The Safety Supervisor shall be a full-time employee of the Contractor whose responsibility shall be for supervising compliance with applicable safety requirements on the Project Site and for developing and implementing safety training classes for all job personnel. The District shall have the authority to require removal of the Contractor's Safety Supervisor if the representative is judged to be improperly or inadequately performing the duties; however, this authority shall not in any way affect the Contractor's sole responsibility for performing this work safely, nor shall it impose any obligation upon the District to ensure the Contractor performs its work safely.

E. Safety and Protection:

1. The Contractor shall take all necessary precautions to prevent damage, injury, and loss to:

2. All employees on the Project, employees of all subcontractors, and other persons and organizations who may be affected thereby;
3. All the Work and materials and equipment to be incorporated therein, whether in storage on or off the Site; and
4. Other property at the Site or adjacent thereto, including trees, shrubs, lawns, walks, wetlands, pavements, roadways, structures, utilities, and underground facilities not designated for removal, relocation, or replacement in the course of construction, even if not shown on the Contract Drawings.
5. The Contractor shall comply with all applicable laws and regulations of any public body having jurisdiction for the safety of persons or property or to protect them from damage, injury, or loss and shall erect and maintain all necessary safeguards for such safety and protection. The Contractor shall notify owners of adjacent property and of underground facilities and utility districts when prosecution of the Work may affect them and shall cooperate with them in the protection, removal, relocation, and replacement of their property. All damage, injury or loss to any property caused, directly or indirectly, in whole or in part, by the Contractor, any subcontractor, supplier or any other person or organization directly or indirectly employed by any of them to perform or furnish any of the Work or anyone for whose acts any of them may be liable, shall be remedied by the Contractor, and the Contractor shall be responsible for any direct or indirect costs resulting from such damage, injury or loss.

F. Excavation Safety:

1. In accordance with the provisions of §6705 of the California Labor Code, the Contractor shall submit, in advance of excavation of any trench or trenches five (5) feet or more in depth, a detailed plan showing the design of shoring, bracing, sloping, or other provisions to be made for worker protection from the hazard of caving ground during the excavation of such trench or trenches. If such plans vary from the shoring system standards set forth in the Construction Safety Orders of the Division of Industrial Safety in Title 8, Subchapter 4, Article 6, California Code of Regulations, the plans shall be prepared and signed by a registered civil or structural engineer employed by the Contractor, and all costs therefor shall be included in the price named in the Contract for completion of the work as set forth in the Contract Documents. Nothing in this Section shall be deemed to allow the use of a shoring, bracing, sloping, or other protective system less effective than that required by the Construction Safety Orders. Nothing in this Section shall be construed to impose a tort liability on the District, the Design Engineer, the Construction Manager, nor any of their officers, officials, employees, agents, consultants or volunteers. The District's review of the Contractor's excavation plan is only for general conformance to the Construction Safety Orders.
2. Prior to commencing any excavation, the Contractor shall designate in writing to the Construction Manager the "competent person(s)" with the authority and responsibilities designated in the Construction Safety Orders.

G. Safety Emergencies:

1. In emergencies affecting the safety or protection of persons or the Work or property at the Project Site or adjacent thereto, the Contractor, without special instruction or authorization from the Construction Manager, is obligated to act to prevent threatened damage, injury, or loss. The Contractor shall give the Construction Manager prompt written notice if the Contractor believes that any significant changes in the Work or variations from the Contract Documents have been caused thereby.

H. Safety Violations:

1. Should the Contractor fail to correct an unsafe condition, the District shall have the right to notify the Contractor through the Construction Manager that an unsafe condition may exist and must be corrected or the work in question can be stopped in accordance with Section 00700-8.08, Suspension of Work, until the condition is corrected to the satisfaction of the District. No extension of time or additional compensation will be granted as a result of any stop order so issued. The notification and suspension of such work or the failure to provide such notification and suspension by the District shall not relieve the Contractor of its sole responsibility and liability for safety and the correction of any unsafe conditions.
2. The District shall have the authority to require the removal from the project of any worker and the foreman and/or superintendent in responsible charge of the work where safety violations occur.

I. Equipment Safety Provisions:

1. The completed Work shall include all necessary permanent safety devices, such as machinery guards and similar safety items, required by the State and Federal (OSHA) industrial authorities and applicable local and national codes. Further, any features of the Work, including District-selected equipment, subject to such safety regulations shall be fabricated, furnished, and installed in compliance with these requirements. All equipment furnished shall be grounded and provided guards and protection as required by safety codes. Where vapor-tight or explosion-proof electrical installation is required by safety codes, this shall be provided. Contractors and manufacturers of equipment shall be held responsible for compliance with the requirements included herein. The Contractor shall notify all equipment suppliers and subcontractors of the provisions of this paragraph.

J. Confined Spaces:

1. The Project requires work in confined spaces and requires compliance with Cal/OSHA and Federal OSHA requirements. Confined spaces for the purposes of this Section shall be as defined by the Division of Industrial Safety. Notwithstanding any classifications relative to the Tunnel Safety Orders, work within confined spaces of this project is subject to the definitions and applicable provisions of Section 1950 et. seq., Title 8, Division 1, Chapter 4, Subchapter 4, Article 37 of California Code of Regulations.
2. Entry into existing "permit" confined spaces as defined by OSHA shall be allowed only in compliance with a confined space entry permit program by the Contractor that meets the requirements of Cal/OSHA Sections 1953-1962. While the District (Host Employer) has identified certain existing facilities as confined spaces, other confined spaces may exist on the Project. It shall be the responsibility of the Contractor (Controlling Contractor) to notify the District of unidentified confined spaces, reference Cal/OSHA Section 1952(h) for permit space entry communication and coordination requirements.
3. Sources of ignition, including smoking, shall be prohibited in any confined space, including open cut trenches of any depth.
4. It is anticipated that the Contractor may encounter hazardous conditions within these confined spaces which include, but are not limited to the following:
 - a. Exposure to hydrogen sulfide, methane, carbon dioxide and other gases and vapors commonly found in municipal sewers which could have or has the potential of having Immediate Danger to Life or Health Conditions (IDLH).
 - b. Exposure to atmosphere containing insufficient oxygen to support human life.
 - c. Exposure to combustible, flammable, and/or explosive atmosphere.
 - d. Exposure to sewage which may contain bacteriological, chemical, and other constituents harmful to humans.
 - e. Work in conditions where engulfment or entrapment may occur.
 - f. Work in environments which may be slippery and/or have uneven work surfaces.
 - g. Work in structures which have limited and/or restricted access and egress.
 - h. Work in structures where workers may trip, slip, and/or fall several feet.

K. Construction Activity Permits:

1. The Contractor must submit a copy of its respective current DOSH permit before beginning work on any the following construction activities:
2. Construction of trenches or excavations which are five feet or deeper and into which a person is required to descend.
3. Construction of any building, structure, scaffolding or falsework more than three stories high or the equivalent height (36 feet).
4. Demolition of any building or structure, or dismantling of scaffolding or falsework more than three stories high or the equivalent height (36 feet).
5. Erection or dismantling of vertical shoring systems more than three stories high, or the equivalent height (36 feet).

12.02 PUBLIC ACCESS, SAFETY AND CONVENIENCE

- A. In accordance with the provisions of §6500 of the Labor Code the Contractor shall conduct his work so as to ensure the least possible obstruction to traffic and inconvenience to the general public and the residents in the vicinity of the Work and to ensure the protection of persons and property. No road or street shall be closed to the public except with the permission of the Construction Manager and the proper governmental authority. Fire hydrants on or adjacent to the Work shall be accessible to firefighting equipment. Temporary provisions shall be made by the Contractor to ensure the use of sidewalks, private and public driveways, and proper functioning of gutters, sewer inlets, drainage ditches and culverts, irrigation ditches and natural water courses. To the maximum extent permitted by law, Contractor shall indemnify, hold harmless and defend District from any and all liability, including attorneys' fees and costs of litigation, arising from any failure to comply with this Section by Contractor or its privities.
- B. The Contractor shall, at all times, provide for "Public Convenience and Safety", as described in the District's Standard Specifications, OSHA and State of California Safety regulations.
- C. The Contractor shall schedule and conduct the work so as to minimize the inconvenience to the public, as well as to District employees at any District facilities and adjoining areas impacted by the work. Any phase of the work which requires disruption of utilities, shall be scheduled three (3) days in advance with the affected parties.
- D. The design of the facilities included in this Project has been based on the concept that the structures are not accessible to and usable by the handicapped and the general public. Consequently, stairs, landings, platforms, railings, ladders, exits, and the like, for treatment facilities were designed to conform only to the requirements for industrial use by authorized persons.
- E. The Contractor shall have complete responsibility for the work and protection thereof and for preventing injuries to persons and damage to the work, property, and utilities on or about the work until completion and final acceptance thereof. The Contractor shall in no way be relieved of its responsibility by any right of the Engineer to give permission or directions relating to any part of the work, by any such permission or directions given, or by failure of the Engineer to give such permission or directions.
- F. The Contractor is prohibited from using a wireless telephone while driving on District business and/or District property unless the vehicle has been equipped with a stationary, hands-free device to enable conversations without the use of one or both of the driver's hands. When operating any vehicle that requires a Class A or B license or a special use certification, the use of cellular phones, radios, or any other communication device, while on District property, is prohibited.
- G. Refer to Division 1- General Requirements, for any further requirements on safety procedures to be followed in and about public roadways.
- H. The Contractor shall provide and maintain all necessary safety equipment, such as fences, barriers, signs, lights, walkways, guards, and fire-prevention and fire-fighting equipment, and shall take such other action as is required to fulfill its obligations under this Section.
- I. Contractor shall make use of slating and screening in any fencing around the job Site or staging area to keep areas reasonably hidden from view.
- J. The Contractor shall so conduct its operations as not to close or obstruct any portion of any railroad, highway, road, or other property until permits therefor have been obtained from the governmental or other authorities having jurisdiction thereof. If any of the above are required to be kept open and are damaged or rendered unsafe by the Contractor's operations, the Contractor shall, at its expense, make such repairs and provide such temporary guards, bridges, lights, and other signals as necessary or required for public safety and as will be acceptable to the governmental or other authorities having jurisdiction thereof. Fences that interfere with any work may, upon prior written approval of the District, be removed by the Contractor but must then be restored to their original condition prior to final acceptance. Such removing and restoring shall be by and at the expense of the Contractor.
- K. Unless otherwise specifically provided in the Contract, the Contractor shall not do any work that would affect any pipeline, telephone, telegraphic, or electric transmission line, irrigation ditch, or other structure, nor enter

upon the rights-of-way or lands appurtenant thereto, until notified by the owners that the District has obtained proper authority therefor from the owners thereof.

- L. Thereafter and before such work begins, the Contractor shall give said owners convenient access and reasonable cooperation in their removing, shoring, supporting, or otherwise protecting such lines, ditches, and structures, and for replacing same.
- M. Care shall be exercised by the Contractor to prevent damage to adjacent walks, streets, culverts, and gutters; where equipment will pass over these obstructions, suitable planking shall be placed.
- N. The Contractor shall not be entitled to any extension of time or any extra compensation on account of any postponement, interference, or delay caused by any such line, ditch, or structure being on or adjacent to the Site of work, except as provided in this Section 00700-9.02.G, Differing Site Conditions.
- O. The Contractor shall preserve and protect all cultivated and planted areas, and vegetation such as trees, plants, shrubs, and grass on or adjacent to the premises, which, as determined by the Engineer, do not reasonably interfere with the performance of work. The Contractor will be held responsible for damage to any such areas and vegetation and for unauthorized cutting of trees and vegetation, including without limitation, damage arising from the performance of its work through operation of equipment or stockpiling of materials. All costs in connection with any repairs or restoration necessary or required by reason of any such damage or unauthorized cutting shall be borne by the Contractor.
- P. Adequate warning and construction signs shall be maintained at the construction Site for the safety of the public. Additional signs for the convenience of the public shall be maintained as directed by the District.
- Q. The Contractor shall maintain sufficient safeguards against occurrence of accidents, injuries, or damage to any person or property and shall be responsible for same if such occurs. The Contractor shall also maintain adequate protection of its work and materials from destruction and loss and shall protect the District's property from damage arising in connection with this contract, and shall make good any such damage, destruction or loss.
- R. Contractor must pave pedestrian openings through falsework or provide full width continuous wood walks and keep all walkways clear. Contractor must protect pedestrians from falling objects and water runoff. Overhead protection for pedestrians must extend not less than four (4) feet beyond the edge of a structure.
- S. Contractor's equipment must enter and leave the Project area via access routes designated or accepted in writing by District, and move in the direction of public traffic at all times. All movements on or across public traveled ways must not endanger public traffic.
- T. Contractor must immediately remove any spillage, debris, dirt, or mud resulting from hauling operations along or across any public traveled way.

12.03 PROTECTION AND RESTORATION OF PROPERTY

- A. California Civil Code §832 provides requirements relating to notification of adjacent property owners (30 Day notification required) and protection of adjacent property when performing excavation that may adversely impact the lateral and subjacent support to adjoining land or structures. In addition to these requirements and any other requirements imposed by law, Contractor must shore up, brace, underpin, and protect as may be necessary, all foundations and other parts of all existing structures adjacent to and adjoining the Site of the Work which are in any way affected by the excavations or other operations connected with the Work pursuant to this Contract. Whenever any notice is required to be furnished by District or Contractor to any adjoining or adjacent landowner or other party before commencement of any Work pursuant to this Contract, Contractor must provide such notice. Contractor must indemnify and hold District harmless from any damages for which District may become liable in consequence of such injury or damage to adjoining or adjacent structures and premises.
- B. Contractor must immediately repair, at Contractor's sole cost and expense, any damage arising from or in consequence of the performance of the Contract, to improvements or property, whether above or below the ground, private or public, within or adjacent to the Project. If, in District's opinion, District's best interests require such repair to be made before execution of any part of the Work included in this Contract, District will so notify Contractor who will delay or discontinue the performance of that part of the Work until the necessary repair has

been made. Such delay shall not be considered unavoidable, and no extension of the Contract Time shall be allowed.

- C. When Ordered by District to make any repair, Contractor must start work thereon within four (4) hours and must prosecute the same with diligence to completion. Upon Contractor's failure to comply with such Order, or upon Contractor's failure to make immediate Emergency repairs which are necessary in the best interests of District or the public, District may cause such repairs to be made and deduct the costs thereof from any money due, or that may become due Contractor.
- D. In an Emergency affecting the safety of life or property, including adjoining property, Contractor is authorized to act at its discretion, without special instructions or authorization from District, to prevent such threatened loss or injury, and Contractor must act whether or not instructed by District. Contractor must maintain adequate protection against damage to life and property involved in Project and on property adjacent thereto until Acceptance and must Provide all necessary guards, barricades, night lights, facilities, tools, equipment, materials and other needed or required protective devices.
- E. Contractor will until Acceptance maintain adequate protection of all its Work and work performed by others pursuant to the Contract Documents from damage, loss, or defacement. Contractor must repair or replace any such damage and remove any damaged or defaced material or equipment from the premises at no extra cost to District except as may be due directly to errors in the Contract Documents or caused by District.
- F. The Contractor must ensure the limits of Work to be free of graffiti or other similar defacements during the entire Contract Time; if such defacement occurs, the Contractor must promptly remove, repair, or correct the affected area(s). The Contractor must protect all exposed finished surfaces within the limits of Work (both temporary and final), with anti-graffiti coatings, and maintain such protection continuously during the entire Contract Time.

12.04 PRESERVATION OF CULTURAL RESOURCES

- A. Pursuant to the National Historic Preservation Act of 1966, State laws and County ordinances, the following procedures are implemented to ensure historic preservation and fair compensation to Contractor for construction delays that may occur due to cultural resources discoveries.
- B. In the event potentially historical, architectural, archaeological or cultural resources (hereinafter "resources") are discovered during subsurface excavations at the Project Site, the following procedures apply:
- C. District will issue a "Cultural Resources – Suspend Work Order" Directing Contractor to temporarily suspend all operations at the location of such potential resources.
- D. Such "Cultural Resources – Suspend Work Order" will be effective until such time as a qualified Consultant can assess the value of such resources and make recommendations. Any "Cultural Resources – Suspend Work Order" will contain the following:
 - 1. A description of the potential resource, its location, and the area where Contractor's Work is suspended;
 - 2. A description of what part or all of Contractor's Work is suspended;
 - 3. Instructions regarding suspension of orders by Contractor for materials and services;
 - 4. Guidance regarding action to be taken by Subcontractors;
 - 5. Estimated duration of the temporary suspension.
- E. If the Consultant determines that the potential find is indeed a cultural resource, District will, as expeditiously as possible, advise Contractor in writing of the action to be taken regarding the find, and the anticipated time frame and extent of any Work suspension.
- F. Adjustment of Contract Time and Contract Sum
 - 1. If, in the Notice Inviting Bids, the Work Site was deemed "Archaeologically Sensitive", then the Contract Time(s) includes five (5) work days of temporary suspension for cultural resources finds and there will be no payment for such suspension or any inefficiencies related thereto, up to a maximum cumulative duration of five (5) work days delay to the Critical Path(s) of the Official Progress Schedule. If such suspension occurs, the first five (5) work days of the Critical Path delay will be treated as an excusable non-compensable delay and the Contract Time will be extended in accordance with Section 00700-8.04, Time Extensions.

2. If a cultural discovery at an Archaeologically Sensitive Site results in a cumulative Critical Path delay that exceeds five (5) work days, then Contractor will be entitled to an adjustment of the Contract for the Critical Path delay in excess of five (5) work days. The Critical Path delay in excess of five (5) work days will be treated as an excusable compensable delay and the Contract Time will be extended in accordance with Section 00700-8.04, Time Extensions.
3. If a cultural resource discovery was unforeseen (i.e. if the Work Site was not deemed "Archaeologically Sensitive" in the Notice Inviting Bids), Contractor may be entitled to an adjustment of the Contract in accordance with Section 00700-8.04, Time Extensions.
4. If, as a result of a temporary suspension, District agrees that Contractor sustains a loss which could not have been avoided by judicious handling of its forces or equipment, or by redirection of forces or equipment to perform other Work on the Contract, Contractor will be paid for idle time of equipment and labor by Force Account as provided in Section 00700-9.02.D, Field Order- Force Account Work.

G. Documentation:

1. Beginning with the first Day of suspension, and for each following Day, Contractor must maintain detailed hourly records of the labor and equipment idled by such suspension, plus substantiation as to why such labor and equipment could not be used on other parts of the Work if such were the case. Such records must be of a form acceptable to District, signed by Contractor, and are subject to verification by District.
2. Failure by Contractor to furnish the aforesaid records constitutes a waiver of Contractor's right to an adjustment in the Contract Sum.

****END ARTICLE 12****

ARTICLE 13 - INSURANCE AND INDEMNIFICATION**13.01 INSURANCE**

Contractor shall maintain, at its own expense, all the insurance required by this article and submit coverage verification for approval by the District prior to the District's execution of the Agreement.

The Agreement will not be executed by the District, and the Contractor shall not commence Work, until such insurance has been approved by the District. Such insurance shall remain in full force and effect at all times during the prosecution of the Work and until the Final Completion thereof. In addition, the commercial general liability insurance shall be maintained for a minimum of three (3) years after Final Completion of the Work. The District's issuance of the Notice to Proceed does not relieve the Contractor of the duty to obtain such insurance as required herein.

The Contractor shall not allow any subcontractor to commence work on its subcontract until all similar insurance required of the subcontractor has been obtained and verified by the Contractor and submitted to the Construction Manager for the District's review and records. Subcontractors shall furnish original certificates and required endorsements as verification of insurance coverage. At a minimum amount of coverage, the insurance liability limits specified in Article 13.01 herein, shall apply for all subcontractors who execute a subcontract with the Contractor for portions of the Work.

Insurance is to be placed with insurers with a current A.M. Best's rating of no less than A-:VII or equivalent and that are admitted to and authorized to do business and in good standing in California, unless otherwise approved by the District. In the case of workers' compensation and employer's liability insurance, coverage provided by the California State Compensation Insurance Fund is acceptable.

Each insurance policy required by the this article shall be endorsed to state that coverage shall not be suspended, voided, canceled by either party, or reduced in coverage or in limits except after thirty (30) days prior written notice has been given to the District by the insurance carrier. Should the insurance carrier refuse to provide this notice, the Contractor shall be obligated to provide to the District thirty (30) days prior written notice of any insurance coverage being suspended, voided, reduced or canceled by either party, or any reduction in coverage or in limits.

The Contractor shall include all costs for insurance in its Total Bid Price.

Nothing contained in these insurance requirements is to be construed as limiting the extent of the Contractor's responsibility for payment of damages resulting from its operations under the Contract Documents. For any claims related to Contractor's Work, as opposed to work District has contracted to parties other than Contractor, the Contractor's insurance coverage shall be primary insurance as respects the District, Construction Manager, Engineer, Funding Agencies and their directors, officers, elected officials, employees, agents, consultants, attorneys, divisions, related agencies and entities, successors and assigns, contractors and representatives, and volunteers. For any claims related to Contractor's Work, any insurance or self-insurance maintained by the District, Construction Manager, Engineer, Funding Agencies and their directors, elected officials, officers, officials, employees, agents, consultants, attorneys, divisions, related agencies and entities, successors and assigns, contractors and representatives, or volunteers shall be in excess of the Contractor's insurance and shall not contribute with it.

All insurance coverage maintained or procured pursuant to this Contract shall be endorsed to waive subrogation against the District, Construction Manager, Engineer, Funding Agencies, and their directors, officers, elected officials, employees, agents, attorneys, divisions, related agencies and entities, successors and assigns, contractors and representatives, and volunteers or shall specifically allow Contractor - or others providing insurance evidence in compliance with these specifications - to waive their right of recovery prior to a loss. Contractor hereby waives its own right of recovery against the District, Construction Manager, Engineer, Funding Agencies, and their directors, officers, elected officials, employees, agents, attorneys, divisions, related agencies and entities, successors and assigns, contractors and representatives, and volunteers, and shall require similar written express waivers and insurance clauses from each of its subcontractors. Copies of these waivers shall be submitted to the District prior to commencement of work.

Any failure of the Contractor to comply with reporting or other provisions of the policies including breaches of warranties shall not affect coverage provided to the District, Construction Manager, Engineer, Funding Agencies and their directors, elected officials, officers, officials, employees, agents, consultants, attorneys, divisions, related agencies and entities, successors and assigns, contractors and representatives, or volunteers.

The Contractor shall take out, pay for, and maintain throughout the duration of the Contract and for such additional periods as more specifically required herein the following insurance against claims for injuries to persons or damages to property which may be caused by the performance of the Work hereunder by the Contractor, the Contractor's agents, representatives, employees or subcontractors, or from operations under the Agreement.

- A. Commercial General Liability and Automobile Liability Insurance – This insurance shall protect the Contractor from claims for bodily injury, personal injury and property damage which may be caused by the performance of the Work hereunder by the Contractor, the Contractor's agents, representatives, employees or subcontractors, or from operations under the Contract Documents. The commercial general liability insurance shall be maintained for three (3) years after Final Completion of the Work and shall provide coverage on an occurrence basis.
1. Additional Insureds – The commercial general liability and automobile policies of insurance shall include as additional insureds or be endorsed to contain the following provisions: the District, Construction Manager, Engineer, Funding Agencies and their directors, elected officials, officers, officials, employees, agents, consultants and volunteers are to be covered as additional insureds as respects: liability arising out of activities performed by or on behalf of the Contractor; products and completed operations of the Contractor; premises owned, occupied or used by the Contractor and or automobiles owned, leased, hired or borrowed by the Contractor. The coverage shall contain no special limitation on the scope of protection afforded to the District, Construction Manager, Engineer, Funding Agency, Design Engineer, and their directors, elected officials, officers, officials, employees, agents, consultants, attorneys, divisions, related agencies and entities, successors and assigns, contractors and representatives, or volunteers and coverage provided to such additional insured. This policy shall provide coverage to each of the said additional insureds with respect to said work. Said policy shall provide primary coverage to the full limit of liability stated in the declarations.
 2. Amount of Coverage (General Contractor) – The bodily injury, personal injury and property damage liability of the Commercial General Liability insurance shall provide coverage in the following minimum limits of liability: \$5,000,000 on account of any one occurrence for bodily injury and property damage, \$5,000,000 personal and advertising injury limit with an annual general aggregate limit of not less than \$10,000,000, and \$5,000,000 products and completed operations aggregate, combined single limit, with excess coverage of \$10,000,000 per occurrence and in the aggregate. The Automobile Liability insurance policy shall be at least as broad as ISO CA 00 01 (Any Auto) and provide minimum limits of \$5,000,000 per accident as a combined single limit arising out of the ownership, maintenance, or use of any owned or non-owned vehicles, with excess coverage of \$10,000,000 per occurrence and in the aggregate, including coverage for products and completed operation. Any deductibles or self-insured retentions must be declared to and approved by the District.
 3. Minimum Amount of Coverage for all Listed Subcontractors included in Bid Form Attachment D - The bodily injury, personal injury and property damage liability of the Commercial General Liability insurance shall provide coverage in the following limits of liability: \$2,000,000 on account of any one occurrence for bodily injury and property damage, \$4,000,000 personal and advertising injury limit with an annual general aggregate limit of not less than \$4,000,000, and \$2,000,000 products and completed operations aggregate, combined single limit. The Automobile Liability insurance policy shall provide minimum limits of \$1,000,000 per accident as a combined single limit arising out of the ownership, maintenance, or use of any owned or non-owned vehicles. These minimum amounts of insurance coverage do not preclude the Contractor from requiring higher limits or additional insurance coverage as it deems necessary.
 4. Minimum Amount of Coverage for Subcontractors NOT included in Bid Form Attachment D - The bodily injury, personal injury and property damage liability of the Commercial General Liability insurance shall provide coverage in the following limits of liability: \$2,000,000 on account of any one occurrence for bodily injury and property damage, \$1,000,000 personal and advertising injury limit with an annual general aggregate limit of not less than \$2,000,000, and \$1,000,000 products and completed operations aggregate,

combined single limit. The Automobile Liability insurance policy shall provide minimum limits of \$1,000,000 per accident as a combined single limit arising out of the ownership, maintenance, or use of any owned or non-owned vehicles. These minimum amounts of insurance coverage do not preclude the Contractor from requiring higher limits or additional insurance coverage as it deems necessary.

5. Subcontractors – The bodily injury and property damage liability insurance shall not be deemed to require the Contractor to have its subcontractors named as additional insureds in the Contractor’s policy, but the policy shall protect the Contractor from contingent liability which may arise from operations of its subcontractors.
 6. Included Coverage – The above commercial general liability insurance shall be at least as broad as the most current ISO CGL Form 00 01 including, but not limited to, also include the following coverages:
 - Premises – Operations.
 - Independent Contractors.
 - Products - Completed Operations.
 - Personal Injury - False Arrest, Libel, Wrongful Eviction, etc.
 - Advertising Injury.
 - Broad Form Property Damage - Including, Completed Operations.
 - Separation of Insureds/Cross-Liability Provision.
 - Duty to Defend all Insureds.
 - Deletion of any Limitation on Coverage for Bodily Injury or Property Damage Arising out of Subsidence or Soil or Earth Movement.
 - Additional Insured Coverage Not Limited to Vicarious Liability – Insurance coverage for additional insureds shall be for all covered acts and occurrences, whether or not they arise out of, or result from, the Contractor’s own acts or omissions in performing the Work.
 - Separate Aggregate – A provision that the annual general aggregate shall apply separately to each project for which Contractor provides services away from premises owned by or rented to Contractor.
 - XCU – Explosion, Collapse, Underground Damage.
 - Blanket Contractual Liability – Provisions or endorsements shall state that the insurance, subject to all of its other terms and conditions, applies to the liability assumed by the Contractor under the Agreement, including, without limitation, insurable liabilities set forth in the indemnity articles of the Contract Documents.
 7. Umbrella Policy – The Contractor may use an umbrella or excess policy to meet the limits requirement of Article 13.1.1.B, and subcontractors may use an umbrella or excess policy to meet the limit of requirements of Article 13.1.1.C. However, any such umbrella/excess policy must be approved by the District and maintain an A.M. Best Rating of no less than A:VII.
- B. Workers’ Compensation Insurance – In accordance with the provisions of Article 5, Chapter 1, Part 7, Division 2 (commencing with Section 1860) and Chapter 4, Part 1, Division 4 (commencing with Section 3700) of the Labor Code of the State of California, the Contractor is required to secure the payment of compensation to its employees and for that purpose obtain and keep in effect adequate Workers’ Compensation Insurance. In accordance with California Labor Code Section 1861, the Contractor shall sign and include Section 00560, Workers Compensation Insurance Certificate, with the Contract. If the Contractor, in the sole discretion of the

District, satisfies the District of its responsibility and capacity under the applicable Workers' Compensation Laws, if any, to act as self-insurer, the Contractor may so act, and in such case, the insurance required by this article need not be provided.

The Contractor is advised of the provisions of Section 3700 of the Labor Code, which require every employer to be insured against liability for workers' compensation or to undertake self-insurance in accordance with the provisions of that code, and shall comply with such provisions and have employers' liability limits of \$1,000,000 per accident and per employee, and in the aggregate for injury by disease, before commencing the performance of the Work of this Agreement.

Before the Notice to Proceed with the Work under this Contract is issued, the Contractor shall submit written evidence that the Contractor has obtained for the period of the contract workers' compensation and employer's liability insurance as required for all persons whom it employs or may employ in carrying out the Work under this Agreement. Such evidence of coverage shall be accompanied by an endorsement from the insurer agreeing to waive all rights of subrogation against the District, Construction Manager, Engineer, Funding Agencies and their directors, elected officials, officers, officials, employees, agents, consultants, attorneys, divisions, related agencies and entities, successors and assigns, contractors and representatives, and volunteers which might arise by reason of any payment under the policy. This insurance shall be in accordance with the requirements of the most current and applicable State Workers' Compensation Insurance Laws.

- C. Professional Liability Insurance – All submittals required of the Contractor which are to be prepared by a licensed California engineer shall be prepared only by a licensed engineer who is covered by a professional liability insurance policy issued by a California-admitted carrier or a carrier authorized to do business in California with a Best's rating of A-:VII or better, with an limit per claim of at least \$1,000,000, and a \$2,000,000 in the aggregate for errors and omissions. Such insurance shall be maintained in effect by said engineer at all times while performing Work on the Project and for at least three (3) years after Final Completion of the Project. Contractor shall submit an insurance certificate for such engineer prior to beginning Work for approval by the District.
- D. Builder's Risk Insurance – Until the completion and final acceptance by District of all the Work under and implied by this Contract, the Work shall be under the Contractor's responsible care and charge. The Contractor shall rebuild, repair, restore, and make good all injuries, damages, re-erections, and repairs occasioned or rendered necessary by causes of any nature whatsoever. Contractor shall provide "Special Form" Builder's Risk Insurance on the replacement cost basis as listed below:

Amount of Coverage - Equal to the full replacement cost of the Work on a completed value basis, without deduction for depreciation and shall also provide coverage for "soft costs" such as but not limited to design and engineering fees and inspection costs caused by an insured peril, up to a maximum total for all soft costs of \$500,000. The builder's risk insurance may contain sub-limits not less than the following:

- Debris removal - \$250,000.
- Pollution Clean-up cost - \$25,000.

Additional Insureds/Loss Payees - This insurance shall name the District, Engineer, Construction Manager, the Funding Agencies and Contractor as insureds/loss payees, as their interests may appear. Builder's risk insurance policies shall contain the following provisions:

1. The District, Engineer, Design Engineer, Construction Manager, Contractor and subcontractors of every tier shall be named as insureds.
2. Each insured shall waive all rights of subrogation against each of the other insureds.

Included Coverage – The above builder's risk insurance shall include the following coverages:

1. Including, but not by way of limitation, for all damages of loss to the Work and to appurtenances, to materials and equipment to be incorporated into the Project while the same are in transit, stored on or off the Site, to construction plant and temporary structures.
2. Such insurance shall cover, but shall not be limited to, the perils of fire, lightning, windstorm, hail, explosion, riot, riot attending a strike, civil commotion, smoke damage, damage by aircraft or vehicles, vandalism and malicious mischief, theft, collapse, and flood.

3. The policy shall cover the costs of debris removal, including demolition as may be made reasonably necessary by the application of any law, ordinance, or regulation.
4. Start-up & testing, and machinery breakdown including electrical arching which are very important coverages and usually have to be requested.
5. Consequential losses due to delay in completing the Work. The builder's risk insurance limit for consequential losses is \$1,000,000.
6. The policy shall provide the District the right to occupy the premises without termination of the policy until Final Completion of the Project.

Deductibles - Builder's risk insurance may have a deductible clause not to exceed the amounts below. Contractor shall be responsible for paying any and all deductible costs.

All Other Perils - \$50,000.

Application of Loss Proceeds - In the event of a covered loss, proceeds of builder's risk insurance shall be applied first to reimburse actual costs of demolition, debris removal, reconstruction, and repair or replacement incurred in the discharge of the Contractor's obligations of repair or replacement under this Contract. Insurance proceeds shall be deposited in a separate account in a local bank satisfactory to the District and shall be withdrawn only with the District's written approval to reimburse such actual costs as the builder's risk carrier has agreed to reimburse. The District shall have no liability for failure of the builder's risk carrier to pay for any particular cost of repairs. In the event of the termination of the Contractor for default, the Contractor shall forfeit all rights to builder's risk insurance proceeds and District may expend such proceeds to complete the Project as if they were unpaid contract monies.

- E. Contractor's Pollution Liability Insurance. The Contractor shall obtain Pollution Liability Insurance. This insurance shall be written in comprehensive form either as a separate policy or as an endorsement to Contractor's general liability coverage and shall cover liability for bodily injury, property damage, and environmental damage resulting from pollution and related cleanup costs incurred, all arising out of any Work to be performed under the Agreement, including liability for and defense of lawsuits and regulatory actions. Coverage shall be provided for both Work performed on Site, as well as during the transport of hazardous materials. Coverage shall apply to sudden as well as gradual pollution conditions, including without limitation conditions resulting from the escape or release of smoke, vapors, fumes, acids, alkalis, toxic chemicals, liquids, or gases, natural gas, waste materials, or other irritants, contaminants, or pollutants, including asbestos. The liability limits shall be not less than:
- Combined Single Limit for each occurrence: \$2,000,000.
 - General Aggregate: \$2,000,000.

If the coverage required is written on a claims-made coverage form:

- The retroactive date must be shown, and this date must be before the award date of the Agreement.
- Insurance must be maintained, and evidence of insurance must be provided for at least five (5) years after final payment.
- If coverage is canceled or non-renewed, and not replaced with another claims-made policy form with a retroactive date prior to the Agreement award date, the Contractor must purchase extended reporting period coverage for a minimum of five (5) years after Final Payment.
- A copy of the claims reporting requirements must be submitted to the District for review.

- F. Proof of Coverage – Before execution of the Contract, the Contractor shall furnish the District with certificate(s) evidencing issuance of all insurance mentioned herein, copies of the policy declaration or information page(s) and additional insured endorsements. The certificate(s) and endorsements for each insurance policy are to be signed by a person authorized by that insurer to bind coverage on its behalf. The endorsements are to be on endorsement forms acceptable to the District. The certificate(s), policy declaration or information page(s), and endorsements are to be received and approved by the District before Work commences. Except for the waiver of subrogation rights endorsements, as required herein, no other endorsements are required for workers

compensation or excess liability insurance. Such certificates of insurance shall provide that the insurance policy shall be endorsed to state that coverage shall not be suspended, voided, cancelled by either party, reduced in coverage or limits except after thirty (30) days prior written notice has been given to the District. The Contractor shall also provide certificate(s) evidencing renewals of all insurance required herein prior to the expiration date of any such insurance. The District reserves the right to require complete, certified copies of all required insurance policies, at any time.

For all insurance policy renewals during the term of this Contract, Contractor shall send insurance certificates reflecting the policy renewals directly to the Sausalito-Marín City Sanitary District
1 East Road, Sausalito, California 94965.

Any deductibles or self-insured retentions must be declared to and approved by the District. At the option of the District, either:

- The insurer shall reduce or eliminate such deductibles or self-insured retentions as respects the District, Construction Manager, Engineer, Funding Agencies and their directors, elected officials, officers, officials, employees, agents, consultants, attorneys, divisions, related agencies and entities, successors and assigns, contractors and representatives, and volunteers; or
- The Contractor shall procure a bond guaranteeing payment of losses and related investigations, claim administration and defense expenses.

In the event of the breach of any provision of this article, or in the event of any notices received which indicates any required insurance coverage will be diminished or canceled, District, at its option, may, notwithstanding any other provisions of this Agreement to the contrary, declare a material breach of this Agreement and suspend all further work pursuant to this Agreement until Contractor has provided evidence that its insurance coverage meets the requirements of the Contract Documents.

- G. Third Party Insurance Requirements - The Work, as contemplated by the Contract Documents does specifically require an encroachment permit(s) from other public agencies, railroads, and/or utilities. Contractor shall ensure that the insurance it obtains in accordance therewith complies with all requirements mandated by each permitting agency from whom permits shall be obtained for the Work and any other third parties from whom third party agreements are necessary to perform the Work (collectively, the "Third Party(ies)"). To the extent there is a conflict between the Third Party(ies)'s Insurance Requirements and those set forth by the District herein, the requirement(s) providing the more protective coverage for both the District and the Third Party(ies) shall control and be purchased and maintained by Contractor.

Contractor shall be responsible to determine what insurance requirements exist as a condition precedent to obtaining permit(s) for the Work, if any. Contractor shall be solely responsible for any delay(s) arising from its failure and/or its subcontractors' failure to timely obtain all required insurance.

All required third party insurance shall be submitted to the District at the same time Contractor submits all other contractually required insurance, which is no later than ten (10) days after Notice of Intent to Award, unless otherwise agreed to in writing by the District prior to this deadline.

- H. Insurance During Guarantee Period - For all work the Contractor or its subcontractors perform during the guarantee period, workers compensation and commercial general liability insurance in the amounts and format required herein shall remain in force and be maintained for three (3) years after Final Completion of the Work.

The Contractor shall be responsible for paying any and all deductible costs for claims against its workers compensation and commercial general liability insurance.

13.02 GENERAL INDEMNIFICATION OBLIGATIONS

- A. The Contractor shall indemnify, defend with counsel acceptable to District, and hold harmless to the full extent permitted by law, at the Contractor's expense, the District, and their directors, elected officials, officers, officials, employees, agents, consultants, design engineers, Funding Agencies and each of them (collectively the "Indemnified Parties"), from and against any and all liability, loss, damage, claims,

actions, causes of action, expenses and costs (including, without limitation, reasonable District staff attorney fees and outside attorney fees, and costs and fees of litigation) (collectively, "Liability") of every nature to the extent caused by Contractor's negligent performance of the Work under this Agreement or its failure to comply with any of its obligations contained in this Agreement, except that such obligation to defend and indemnify does not apply to the extent such Liability was caused by the active negligence, sole negligence or willful misconduct of the Indemnified Parties. Such indemnification by the Contractor shall include, but not be limited to, the following:

1. Liability or claims resulting directly or indirectly from the negligence or carelessness of the Contractor, its subcontractors, employees, or agents in the performance of the Work, or in guarding or maintaining the same, or from any improper materials, implements, or appliances used in its construction, or by or on account of any act or omission of the Contractor, its employees, or agents;
2. Liability or claims arising directly or indirectly from Contractor's negligent acts that cause bodily injury, occupational sickness or disease, or death of the Contractor's, or supplier's own employees, or agents engaged in the Work resulting in actions brought against the Indemnified Parties;
3. Liability or claims arising directly or indirectly from or based on the violation of any laws or regulations, whether by the Contractor, its subcontractors, employees, or agents;
4. Liability or claims arising directly or indirectly from the negligent or legally unauthorized use or manufacture by the Contractor, its subcontractors, employees, or agents in the performance of this Agreement of any copyrighted or uncopyrighted composition, secret process, patented or unpatented invention, article, or appliance, unless otherwise specified stipulated in this Agreement.
5. Liability or claims arising directly or indirectly from the breach of any warranties, whether express or implied, made to the District or any other parties by the Contractor, its subcontractors, employees, or agents;
6. Liability or claims arising directly or indirectly from the willful misconduct of the Contractor, its subcontractors, employees, or agents;
7. Liability or claims arising directly or indirectly from any breach of the obligations assumed in this Agreement by the Contractor;
8. Liability or claims arising directly or indirectly from, relating to, or resulting from a hazardous condition created by the Contractor, subcontractors, suppliers, or any of their employees or agents due to Contractor's negligence or breach of any obligation in this Agreement;
9. Liability or claims arising directly, or indirectly, or consequentially out of any action, legal or equitable, brought against the Indemnified Parties, their consultants, subconsultants, and the directors, officers, elected officials, employees, agents and volunteers of each or any of them, to the extent caused by the Contractor's negligent or improper use of any premises acquired by permits, rights of way, or easements, the Site, or any land or area contiguous hereto or its performance of the Work thereon;
10. Liability arising directly or indirectly from exposure to hazards in violation of the California Labor Code that may be asserted by any person or entity, including, but not limited to, the Contractor, arising out of or in connection with the negligent activities of the Contractor, its agents, employees or privities pursuant to this Agreement, whether or not there is concurrent negligence on the part of the Indemnified Parties;
11. Liability or claims arising directly or indirectly from the failure of the Contractor to comply with the Section 01150, Environmental Mitigation and Monitoring Requirements, Section 01140, Work

Sequence and Constraints or Section 01410, Regulatory Requirements and Permits; and

12. Liability or claims arising out of the Contractor's performance of the Work.

- B. The Contractor shall reimburse the Indemnified Parties for all costs and expenses, (including but not limited to reasonable fees and charges of engineers, architects, attorneys, and other consultants and professionals and court costs of appeal) incurred by said Indemnified Parties arising out of or in enforcing the provisions of this article.
- C. The indemnification obligation under this Article 13.02 shall not be limited in any way by any limitation on the amount or type of insurance carried by Contractor or by the amount or type of damages, compensation, or benefits payable by or for the Contractor or any subcontractor or other person or organization under workers' compensation acts, disability benefit acts, or other employee benefit acts.
- D. Pursuant to California Public Contract Code Section 9201, District shall timely notify Contractor of receipt of any third-party claim relating to this Contract.
- E. The Contractor's obligations pursuant to this provision will survive the expiration or earlier termination of this Agreement.
- F. The Contractor hereby agrees at its expense promptly to assume the defense of and to defend, with counsel acceptable to the District, against any indemnified Claim, suit or other proceeding brought thereon, and promptly to pay any and all costs, charges, attorney's fees, and other expenses and any and all judgments that may be incurred against the District, and each elected officials, officers, representatives, agents, consultants, design engineers or employees, or any of them to the extent arising from any negligent act, error, or omission, or from any willful misconduct of the Contractor in the performance of the Contractor's obligations under this Agreement.
- G. The only limitations on this provision shall be those imposed by Civil Code section 2782.

13.03 HAZARDOUS SUBSTANCE INDEMNIFICATIONS OBLIGATIONS

Neither the Contractor nor its subcontractors or suppliers shall use, generate, manufacture, store or dispose of on, under or about the Site, or transport to, from, along or across the Site, any flammable, explosive radioactive material, toxic substance, hazardous waste, hazardous material, hazardous substance, or the equivalent, as those terms may now or in the future be defined by common practice or by any federal, state or local statute, ordinance or regulation or any governmental body or agency (hereinafter "Hazardous Substance").

- A. Without limiting any remedies the District may have, in the event any disposal, release, discharge or spill of a Hazardous Substance or other contamination occurs within the Site at any time during, or as a result of, the Contractor's use of the Site, except such spills or contamination to the extent directly caused by the sole negligence or willful misconduct of the District, its contractors, officers, representatives, agents, consultants, design engineers or employees, the Contractor shall immediately notify District and take all action to mitigate the effects of such disposal, release, discharge, spill or contamination. The Contractor shall at the Contractor's own expense, unless otherwise directed by the District, remediate such disposal, release, discharge of spill or contamination in compliance with all applicable laws, rules and regulations. The District shall have the option to perform the remediation itself or through any contractor if the Contractor fails to do so. The Contractor shall cooperate with the District to complete the remediation and shall reimburse the District for all costs and expenses incurred in connection with the remediation.
- B. In the event that the Contractor observes any material that the Contractor believes or has reason to believe may be a Hazardous Substance or encounters any unknown physical condition of any unusual nature within the Site, other than disposals, releases, discharges, spills or contamination covered in paragraph A, above, the Contractor shall, without disturbing the condition, immediately cease all use of the Site and notify the District. The District shall investigate the condition and take any clean-up or other remedial action District deems necessary in its sole discretion.

- C. In the event the District or its contractor elects to perform remediation work, the Contractor shall upon notice from the District, cease use of the Site as directed in the notice. The District will notify the Contractor when the condition has been resolved, at which time, but not before, the Contractor may resume its use of the Site.

- D. The Contractor agrees to assume liability for and to defend and hold harmless the Indemnified Parties from and against all injuries or death to any person and damage to any property, and all related expense, including without limitation attorneys' fees, investigators' fees, administrative charges, litigation expenses and any judgments, fines, penalties or other charges assessed against Indemnified Parties, resulting from the Contractor's failure to comply with this article and any laws, rules or regulations concerning the subject matter hereof. The provisions of this article shall survive the expiration and termination of this Agreement.

The obligations and liabilities arising under the Article 13.03, HAZARDOUS SUBSTANCE INDEMNIFICATIONS OBLIGATIONS, shall be in addition to and independent of those obligations and/or liabilities arising under the other provisions of this Agreement and shall in no way limit, alter, reduce or eliminate the effect of such other provisions.

****END ARTICLE 13****

ARTICLE 14 - UNDERCOVERING AND CORRECTION WORK

14.01 GENERAL

- A. The Contractor must notify the Construction Manager two (2) days prior to covering any Work.
- B. If a portion of the Work is covered prior to Construction Manager's review, it must, if requested in writing by the Construction Manager, be uncovered for Construction Manager observation and replaced at the Contractor's expense without change in the Contract Time.

14.02 CORRECTION OF WORK AND CORRECTIVE ACTION PLANS

- A. The Contractor must promptly correct Work rejected by Construction Manager or Work failing to conform to the requirements of the Contract Documents, whether or not Fabricated, Installed or completed, even if such non-conforming Work was previously inspected or approved by the District or the Construction Manager. The Contractor bears the costs of correcting such rejected Work, including additional testing and inspections required, and compensation for District provided services and expenses made necessary thereby.
- B. The Contractor may take one of two actions to remediate Work that does not conform to the Contract Documents:
 - 1. Contractor may, at his own expense, remove defective Work and install Work that complies with the Contract Documents;
 - 2. Contractor may develop and submit to Construction Manager, as a Submittal (not an RFI), a Corrective Action Plan (CAP). The CAP describes possible solution(s) to address the defective Work including "work-arounds" that would leave the defective Work in place. To facilitate the District's review and possible acceptance of the CAP the Contractor may employ the services of a licensed Engineer or Architect to develop, sign and stamp the CAP for submission to the District.
 - a. Alternatively, the Contract may submit a CAP that is more general in description and substance and leave it to the Design Engineer to evaluate and develop a formal solution. The Contractor shall compensate the Design Engineer for costs incurred via District issuance of a deductive change order to the Contract.
 - 3. In the event the District determines that a practical solution is not available that would enable the defective Work to be left in place, then the Contractor must remove the defective Work and build per the Contract Documents.
- C. Notwithstanding Section 00700-14.02.A, in the event of an Emergency constituting an immediate hazard to the health or safety of District's employees, agents, representatives, property, or licensees, District may undertake, at the Contractor's expense and without prior notice, all work necessary to correct such hazardous condition(s) when it was caused by work of the Contractor not being in accordance with requirements of the Contract Documents.
- D. The Contractor must remove from the Project Site portions of the Work that are not in accordance with the requirements of the Contract Documents, and are neither corrected by the Contractor nor accepted by the District.
- E. If the Contractor fails to correct nonconforming Work, as per Section 00700-14.02.A, or fails to remove nonconforming work, as per Section 00700-14.02.C, District may correct or remove the nonconforming Work per Section 00700-14.02.E.
- F. If the Contractor does not submit a Corrective Action Plan or proceed with correction or removal of nonconforming Work, within such time fixed by the Contract Documents or written notice from Construction Manager, the District may remove and store the salvable materials, articles and/or equipment at the Contractor's expense. If the Contractor does not pay all costs of such removal and storage within fourteen (14) Days after written notice, District may, upon fourteen (14) additional Days written notice, sell such materials articles and/or equipment at an auction or private sale, and shall account for the proceeds thereof, after deducting costs and damages that would have been borne by the Contractor, including compensation for District services and expenses made necessary thereby. If the proceeds of a sale do not cover all costs that the Contractor would

have borne, the Contract Sum will be reduced by the deficiency. If payments then or thereafter due the Contractor are not sufficient to cover such amount, the Contractor must pay the difference to District.

- G. The Contractor bears the cost of correcting destroyed or damaged Work, which is caused by the Contractor's correction, or removal of Work that is not in accordance with requirements of the Contract Documents, including work performed by District or separate contractors that is damaged or destroyed by the Contractor during the Contract Time or Guarantee period.
- H. Nothing contained in this Section 00700-14.02, Correction of the Work and Correction Action Plans, establishes a period of limitation with respect to other obligations that the Contractor might have in the Contract Documents. Establishment of the Warranty/Guarantee period(s), as described in Section 00700-15.12, Warranties & Guarantees, relates only to the specific obligation of the Contractor to correct the Work, and has no relationship to the time within which the obligation to comply with requirements of the Contract Documents may be sought to be enforced, nor to the time within which proceedings may be commenced to establish the Contractor's liability with respect to the Contractor's obligations other than specifically to correct the Work.

14.03 ACCEPTANCE OF NONCONFORMING WORK

- A. If District prefers to accept any or all of the Work that is not in accordance with requirements of the Contract Documents, District may do so instead of requiring its correction and/or removal, in which case the Contract Sum will be reduced as appropriate and equitable. Such adjustment shall be effected whether or not Final Payment to the Contractor has been made.

****END ARTICLE 14****

ARTICLE 15 - FINAL COMPLETION AND ACCEPTANCE**15.01 USE BEFORE ACCEPTANCE**

- A. District has the right to utilize or place into service any item of equipment or other usable portion of the Work before Final Acceptance of the entire Project. Whenever District plans to exercise said right, District's Authorized Representative will notify Contractor in writing, identifying the specific portion or portions of the Work to be so utilized or otherwise placed into service, hereinafter referred to as "Use Before Acceptance".
- B. Until District's Authorized Representative issues such written notification, Contractor is responsible for all care and maintenance of all items or portions of the Work.
- C. Upon District's issuance of written notice of Use Before Acceptance, District accepts responsibility for the protection and maintenance of all such items or portions of the Work described in the written notice, excepting any injury or damage resulting from Contractor's actions or negligence.
- D. If, by reason of District's Use Before Acceptance, the premium for the Contractor's bodily injury and property damage insurance is increased, District will reimburse the Contractor for the additional amount necessarily incurred, allocable to the area and the period of District's occupancy, up to the Date of Acceptance of the Work.
- E. District's Use Before Acceptance does not constitute Acceptance of the Work, or any portion of the Work, by District, nor will it relieve the Contractor of responsibility for correcting defective and/or Deficient Work or materials found at any time before Acceptance of the Work or during the Guarantee period after District's Acceptance. However, when the Project includes separate buildings, and one or more of the buildings is entirely occupied by District, then upon written request by the Contractor and by written consent from District, the Guarantee period on the building entirely occupied by District will commence to run from the date of District occupancy of such building or buildings.
- F. Notwithstanding any Use Before Acceptance, Contractor retains full responsibility for fulfillment of all the requirements of the Contract Documents.
- G. Should the District elect to partially occupy or use portions of the Work prior to Acceptance, Contractor must perform final cleaning for those portions of the Work prior to their being so occupied or used.

15.02 CONTRACTOR'S RESPONSIBILITY TO MANAGE INCOMPLETE AND DEFICIENT WORK

- A. Pursuant to Section 01450, Quality Control and Inspections, the Contractor is responsible for identifying and managing Incomplete and Deficient work. Incomplete and Deficient work includes, but is not limited to: non-compliance items, re-work items, and non-conforming tests, pursuant to Section 01450; deficiencies relating to inspections by the Building Official if applicable to this Contract; and items of work not complete per the Contract Documents.
- B. The Preliminary Final Inspection and Final Inspection will not be conducted until:
 - 1. The entire Work of the Milestone/Project is complete;
 - 2. Cleaning and waste management has occurred pursuant to the Contract Documents; and
 - 3. Deficient Work identified in all outstanding non-compliance notices has been corrected.

- C. For each completion Milestone, Contractor must include activities for conducting the Preliminary Final Inspection, completion of Punch-list, and Final Inspection, in Contractor's Progress Schedule.

15.03 COMPLETION OF INTERMEDIATE MILESTONES

- A. When the Construction Manager determines that all Work of a Milestone is complete, including cleaning, the Construction Manager must so certify to the District's Representative and request a Preliminary Final Inspection, pursuant to Section 01450, Quality Control and Inspections.
- B. If the Contract Documents include a Milestone for the completion of the entire Work of the Project, three (3) copies of the Milestone Completion certification must be submitted concurrently with the Contractor's certification that all the Work of the Project is complete as required by Section 00700-15.03.A.

15.04 PRELIMINARY FINAL INSPECTION FOR INTERMEDIATE MILESTONE(S)

- A. Within seven (7) Days of receipt of Construction Manager's certification that all Work of a Milestone is complete, District's Authorized Representative, Project Inspector, Architect of Record, and other staff, will conduct a Preliminary Final Inspection with the Construction Manager and the Project Superintendent.
- B. If District's Representative determines that, based on the results of the Preliminary Inspection, the Incomplete/Deficient work identified is greater in substance and/or volume, than can be appropriately declared as Punch-list, then the Work is not complete enough to complete the Preliminary Final Inspection. The Contractor will be so notified in writing. Contractor must complete the Work and re-initiate procedures for another Preliminary Final Inspection. Any costs to District for more than two (2) Preliminary Inspections may be charged to the Contractor.
- C. If the results of the Preliminary Final Inspection are satisfactory to the District's Representative, a Punch-list will be prepared and issued to the Contractor. Pursuant to Section 01450, Quality Control and Inspections, neither the District's preparation of the Punch List, nor any omission from the Punch-list of items of Incomplete and/or Deficient Work, relieves the Contractor from completing all the Work required by the Contract Documents.

15.05 FINAL INSPECTION FOR INTERMEDIATE MILESTONE(S)

- A. When the Punch-list generated from the Preliminary Final Inspection is complete the QC Manager must certify that the entire Work of the Milestone, including Punch-list, is complete. Upon delivery of such certification to the District's Representative, and if the District's Representative agrees with the Contractor's certification, a Final Inspection will occur within ten (10) calendar days of the Contractor's delivery of certification of final completion.
- B. If District's Representative determines the Work is deficient, Contractor will again be furnished with a Punch-list identifying the observed deficiencies in the Work. After all deficiencies have been corrected, Contractor must initiate procedures for another Final Inspection. If more than two (2) Final Inspections are required any costs to District for additional Final Inspections may be charged to the Contractor.
- C. If the results of the Final Inspection are satisfactory, the District's Authorized Representative will issue the Contractor a Milestone Completion letter.
- D. Contractor's Progress Schedule must include activities for Final Inspection of Milestones.

15.06 COMPLETION OF FINAL PROJECT MILESTONE

- A. Pursuant to Section 01450, Quality Control and Inspections, the Contractor's Quality Control Manager must certify that the completion of the final Milestone for the Work is complete. Completion of the Work of the Final Milestone includes, but is not limited to, submission to and acceptance by the District of all Milestone completion submittals. Section 01770, Closeout Procedures, describes in greater detail the submittal requirements to

achieve Milestone Completion. Additionally, all training (except previously-scheduled factory training) must be completed.

- B. Conducting the Preliminary Final Inspection, and Final Inspection, of the Work required of the final Project Milestone, follows the requirements of paragraphs 15.04 and 15.05 above.
- C. The Contractor's QC Manager's certification also includes the completion of all Punch-list work and the correction of all rework.
- D. The Contractor must submit all documents required by Section 01770, Closeout Procedures.
- E. After acceptance of the Contractor's QC Manager's final certification, the District's Authorized Representative will issue a Milestone Completion letter to the Contractor. This letter will establish the date of the Final Milestone Completion of the Work of the Project. The assessment of Liquidated Damages, if any, will cease accruing as of the date of Final Milestone Completion.

15.07 RECOMMENDATION FOR ACCEPTANCE BY THE DISTRICT ENGINEER

- A. After establishing Final Milestone Completion of the Work, the District's Authorized Representative will recommend that the District Engineer formally accept the Work if the Contractor has satisfactorily:
 - 1. Corrected all deficiencies observed during the Final Inspection and no new deficiencies have been observed;
 - 2. Submitted required copies of As-Built Documents;
 - 3. Submitted required Spare Parts;
 - 4. Submitted copies of all documents required by Section 01770, Closeout Procedures; and
 - 5. Submitted all other required contract deliverables.
- B. The Project Manager must submit the following Project Completion certification prior to District Engineer Acceptance of the Project:

"I certify that, to the best of my knowledge, all Work of the Project is Complete, including the fulfillment of all administrative requirements of the Contract. I request the District accept the Project as complete."

Project Manager of the Contractor

15.08 ACCEPTANCE OF THE WORK

- A. Acceptance of the Work will be made by the District Engineer, only after the District's Authorized Representative has recommended Acceptance.
- B. After the formal Acceptance of the Work, the District will record a Notice of Completion.
- C. District's Acceptance establishes conformity with the Contract except for delays in completion, latent defects, fraud, or such gross errors as amount to fraud, willful misconduct, or gross negligence, and subject to any Guarantee and Warranty, express or implied.
- D. Determinations by District's Authorized Representative that the Work is complete or Acceptance of the Work by the District Engineer, does not bar any action by the District against the Contractor pursuant to Section 00700-15.12, Warranties & Guarantees.

15.09 FINAL PAYMENT

- A. Provided that District has recorded a Notice of Completion, thirty-five (35) Days after the date of recording of the Notice of Completion by the County Recorder, the District will process the request for Final Payment for the Work. District will withhold from Final Payment such amounts that are in Dispute between District and

Contractor, amounts subject to offset/setoff, and all other amounts that must be withheld by law (such as Stop Notice sums).

- B. All estimates and payments made, including the final estimate and payment, are subject to correction and adjustment for clerical errors in the calculations involved in the determination of quantities and payments. Contractor and District agree to pay to the other any sum hereby due.

15.10 CONTRACTOR'S DUTIES AND RESPONSIBILITIES AFTER ACCEPTANCE

- A. After Acceptance of the Work Contractor is relieved of the duty of maintaining and protecting the entire Work, and Contractor is not required to perform any further Work thereon, except as otherwise required by law or the Contract Documents.
- B. Contractor is relieved of responsibility for injury to persons or property or damage to the Work that occurs after District's Acceptance, provided that such injury/damage is not in any way caused by Contractor.
- C. District's Acceptance does not relieve Contractor of responsibility for faulty materials or workmanship or of complying with the requirements of Warranties and Guarantees.

15.11 RETENTION PROCEEDS, WITHHOLDING AND DISBURSEMENT

- A. Pursuant to California Public Contract Code §7107, within sixty (60) Days after the date of "completion" of the Work, the retention withheld by District shall be released, subject to all withholds required and authorized by law including Stop Notice claims and Liquidated Damages (pursuant to California Government Code §53069.85). In the event of a Dispute between District and Contractor, District may withhold from the Final Payment an amount not to exceed one hundred fifty percent (150%) of the disputed amount.
- B. For purposes of release of retention, "completion" means any of the following:
 - 1. The Acceptance by District of the Work.
 - 2. After the commencement of Work, a cessation of labor on the Work for a continuous period of one-hundred (100) Days or more, due to factors beyond Contractor's control.
 - 3. After the commencement of Work, a cessation of labor on the Work for a continuous period of thirty (30) Days or more, if District records a Notice Of Cessation or a Notice Of Completion with the County Recorder.
- C. Subject to Section 00700-15.11.D below, within seven (7) Days from the time that all or any portion of the retention proceeds are received by Contractor, Contractor must pay each of its Subcontractors from whom retention has been withheld, each Subcontractor's share of the retention received. However, if a retention payment received by Contractor is specifically designated for a particular Subcontractor, payment of the retention shall be made to the designated Subcontractor, if the payment is consistent with the terms of the Subcontract. (California Public Contract Code §7107(d))
- D. Contractor may withhold from a Subcontractor its portion of the retention proceeds if a bona fide dispute exists between the Subcontractor and Contractor. The amount withheld from the retention payment shall not exceed one hundred fifty percent (150%) of the estimated value of the disputed amount.
- E. In the event that retention payments are not made within the time periods required by California Public Contract Code (PCC) §7107, the District or Contractor withholding the unpaid amounts shall be subject to a charge of two percent (2%) percent per month on the improperly withheld amount, in lieu of any interest otherwise due. Additionally, in any action for the collection of funds wrongfully withheld, the prevailing party shall be entitled to attorney's fees and costs. (PCC §7107)

15.12 WARRANTY & GUARANTEES

- A. Neither the final Acceptance, nor payment, nor any provision in the Contract Documents relieves Contractor of responsibility for faulty materials or workmanship.
- B. Contractor must guarantee all workmanship and materials for a period of one year, or as specified in the technical sections of the Contract Documents (specifications), from and after the Date of Acceptance of the Work by the District's Director of Public Works.
- C. Contractor may also be required to furnish a written Guarantee covering all or certain items of Work for longer periods of time from the Date of Acceptance of the Contract. The Work to be Guaranteed, the form, and the

time limit of the Guarantee will be specified in the Contract Documents. Said Guarantee must be signed and submitted to District before Acceptance of the Work.

- D. The Warranty/Guarantee period begins at the Date of Acceptance of the Work by the District Engineer.
- E. In addition to any other warranties in this contract, the Contractor warrants, except as provided in paragraph J of this clause, that work performed under this contract conforms to the contract requirements and is free of any defect in equipment, material, or design furnished, or workmanship performed by the Contractor or any subcontractor or supplier at any tier.
- F. This Warranty shall continue for a period of one year from the date of final acceptance of the work by the District Engineer. If, pursuant to Section 00700-15.01 above, District takes possession of any part of the work before final acceptance, this Warranty shall continue for a period of 1 year from the date the District takes possession the specific Work identified pursuant to 15.01.
- G. The Contractor shall remedy at the Contractor's expense any failure to conform, or any defect. In addition, the Contractor shall remedy at the Contractor's expense any damage to District-owned or controlled real or personal property, when that damage is the result of—
 - 1. The Contractor's failure to conform to contract requirements; or
 - 2. Any defect of equipment, material, workmanship, or design furnished.
- H. The Contractor shall restore any work damaged in fulfilling the terms and conditions of this clause. The Contractor's Warranty with respect to work repaired or replaced will run for 1 year from the date of repair or replacement.
- I. With respect to all warranties, express or implied, from subcontractors, manufacturers, or suppliers for work performed and materials furnished under this contract, the Contractor shall—
 - 1. Obtain all warranties that would be given in normal commercial practice;
 - 2. Require all warranties to be executed, in writing, for the benefit of the District, if directed by the District's Authorized Representative; and
 - 3. Enforce all warranties for the benefit of the District, if directed by the District's Authorized Representative.
- J. Unless a defect is caused by the negligence of the Contractor or subcontractor or supplier at any tier, the Contractor shall not be liable for the repair of any defects of material or design furnished by the District nor for the repair of any damage that results from any defect in District-furnished material or design.
- K. This Warranty shall not limit the District's rights under the Milestone Completion and District Engineer acceptance paragraphs of this Contract with respect to latent defects, gross mistakes, or fraud.
- L. Contractor must repair or replace all defective Work, together with any other Work affected by the repair or replacement during said Guarantee period without expense whatsoever to District.
- M. The aforesaid one-year Warranty/Guarantee period does not in any way limit or waive District's rights to legal recourse for latent construction defects, pursuant to California Civil Code of Procedure §337.15 nor for patent construction defects pursuant to §337.1.
- N. Approximately ninety (90) Days before completion of the entire Work of the Project, Contractor must meet with District regarding Warranty/Guarantee requirements. District will establish communication procedures for notifying Contractor of Warranty defects, priorities regarding the type of defect, time required for Contractor response, and other details deemed necessary by District for execution of the Warranty/Guarantee.
- O. If the Contractor fails to remedy any failure, defect, or damage, within five (5) calendar days of District's notice, or commence to remedy and proceed with due diligence when the repair cannot be completed within five (5) days, the District may replace, repair or otherwise remedy the failure, defect or damage at the Contractor's expense.

****END ARTICLE 15****

ARTICLE 16 - MISCELLANEOUS PROVISIONS

16.01 CONTRACTOR'S USE OF COMPUTER SOFTWARE

- A. Contractor certifies that it has appropriate systems and controls in place to ensure that District funds will not be used in the performance of this Contract for the acquisition, operation or maintenance of computer software in violation of copyright laws.

16.02 RIGHTS IN LAND AND IMPROVEMENTS

- A. Nothing in the Contract shall be construed as allowing Contractor to make any arrangements with any person to permit occupancy or use of any land, structure, or building within the physical limits of the Project for any purpose whatsoever, either with or without compensation, nor act in conflict with any agreement between District and any owner, former owner, or tenant of such land, structure, or building.
- B. Contractor must not occupy District's property outside the Project limits as shown on the Plans or on maps available in District's offices, unless Contractor enters into a written agreement with District.

****END ARTICLE 16****

****END OF SECTION 00700****

SECTION 00800

SUPPLEMENTARY GENERAL CONDITIONS

1.1 Damages for Delays Allowed for Completion

In accordance with the provisions of Section 00700-6.2, Contract Time, the following substantial completion and final completion contract times shall be completed within the number of consecutive calendar days from the date established in the Notice to Proceed for the commencement of Contract Time:

Contractual Completion Event	Completion Time (# of calendar days from Notice to Proceed date)
Substantial Completion	280 DAYS
Final Completion	300 DAYS

1.2 Damages for Delays

In accordance with the provisions of Section 00700-6.5, **Liquidated Damages**, for the period of time that any portion of the Work remains unfinished after the time fixed for an interim milestone and/or Substantial Completion in Section 00800-1.1, **Time Allowed for Completion**, as modified by extensions of time granted by the Owner, it is understood and agreed by the Contractor and the Owner that the Contractor shall pay the Owner the damages listed below.

Contractual Completion Event	Daily Liquidated Damages
Substantial Completion	\$1,500
Final Completion	\$1,000

In accordance with the provisions of Section 00700-6.4.2.C, **Weather Delays**, an allowance of **ten (10)** working days of weather caused delay have been included in the time allowed for completion. This allowance represents a reasonable assessment of anticipated lost working days based on historical weather patterns. These weather days shall be included in the Contractor's schedule as specified in Section 01325-7.0, **WEATHER CONDITIONS AND WEATHER DAY ALLOWANCE.**

2.0 OTHER DAMAGES

2.1 Public Hardship or Negative Impact

A. Traffic Control

Traffic control lasting beyond the prescribed times (applied on a per-site, per day basis, see Section 01550).

First 15-minute interval	Warning
Second 15-minute interval	\$ 500
Each additional 15-minute interval or fraction thereof	\$1,000

B. Work Hours

Maintaining or running equipment outside the permitted hours (see Section 01140 and Appendix C).

First occurrence	Warning
Second occurrence	\$ 500
Third and subsequent occurrences	\$1,000

C. Site Cleanliness

Failure to maintain the cleanliness of the construction site (see Section 00700-10).

First Occurrence	Warning
Second Occurrence	\$ 500
Third and subsequent Occurrences	\$1,000

END OF SECTION

SECTION 01060

REGULATORY REQUIREMENTS AND PERMITS

PART 1 GENERAL

1.01 SUMMARY

- A. The Contractor shall comply with all the terms, conditions and requirements attached to all permits, bonds and licenses required by any local, state, or federal agencies to perform work, construct, erect, test and start-up of any equipment or facility for this Contract. The Contractor shall give all notices necessary and incidental to the due and lawful prosecution of the Work.
- B. Any permits, bonds, licenses and fees therefore required for the performance of work under this Contract and not specifically mentioned herein as being obtained and paid for by the District shall be included in the Contractor's Bid price. The Contractor shall apply for and obtain all safety permits for excavations, tunneling, trenches, construction (building structure, scaffolding, or falsework) and demolition required by CAL/OSHA.
- C. The Contractor shall obtain and pay for all construction permits and licenses necessary for the performance of the Contract that have not been provided by the District, and shall give all public notices necessary for the lawful performance of the Contract. All permits, licenses, and other authorizations shall be obtained in sufficient time to prevent delays to the work; and a copy of each permit, license, or other authorization shall be submitted to the Construction Manager.
- D. Where permits and/or licenses require subsequent contingent permits, inspections, or other actions, the Contractor shall comply with these requirements at no additional cost to the District, except that the inspection fees charged by regulatory or permitting agencies shall be paid by the District. However, if the inspection fee is due to noncompliance with the permit requirements, such inspection fee shall be paid by the Contractor.
- E. The Contractor shall post at the site of Work all required permits as stipulated by the respective regulatory agency.

1.02 LOCAL AGENCY AND BUILDING CODE FEES AND PERMITS

- A. If applicable to any portions of the Work, the District has applied for and obtained, in its name, the necessary building, plumbing and electrical permits for this project. The Contractor shall be responsible for satisfying all code requirements, calling for inspections, and obtaining final approvals on behalf of the District. The Contractor shall notify the Construction Manager of the need and the readiness of all required inspections. All inspections are to be coordinated with the Construction Manager. The Contractor shall comply with all construction conditions stipulated in the permits. The Contractor shall be responsible for and the District shall not provide reimbursement for any costs required for the reinspection of defective work or additional costs due to the Contractor's failure to properly schedule the inspections.

The Contractor shall comply with the provisions of any and all permits obtained by the District.

- B. The District is not responsible for any local agency or utility permits required for temporary facilities during construction such as field office trailers and temporary electrical service for construction operations. Obtaining all such permits and the costs associated with such permits are the responsibility of the Contractor and shall be included in the Contractor's Bid Price.

1.03 UTILITY FEES AND PERMITS

- A. The District will pay for electrical, telephone, gas and other utility-company design, engineering, connection, and relocations fees imposed by various companies for the work. Payment of fees by the District shall not relieve the Contractor of the responsibility to obtain and coordinate permits and comply with all utility company requirements. All permits, licenses, and other authorizations shall be secured in sufficient time to prevent delays to the work.

1.04 EASEMENTS FOR CONSTRUCTION

- A. The District may provide easements for work under the Contract. District-provided easements are shown in the Contract Documents. All work within private and public properties shall be accomplished in conformance with any specific conditions, instructions, and/or requirements of the respective easements.
- B. The District may provide additional easements for use of public or private property for working space, haul roads, and for storage of materials and equipment. District-provided easements are shown in the Contract Documents. The Contractor may use such property so provided for working space, haul roads, and for storage of materials and equipment. Should the Contractor find it necessary or advantageous to use any land, over and above that land that is provided, for any purpose whatever, the Contractor shall, at its expense, obtain a written agreement with the property owner and obtain approval from the District for the use of such land. A copy of any such agreement shall be submitted to the Engineer prior to implementation.
- C. Nothing in the Contract shall be construed as allowing the Contractor to make any arrangements with any person to permit occupancy or use of any land, structure, or building for any Contract purpose whatsoever, either with or without compensation, in conflict with any agreement between the District and any owner, former owner, or tenant of such land, structure, or building.

PART 2 ENCROACHMENT PERMITS

- A. All work within public properties and rights of way shall be accomplished in conformance with any specific conditions, instructions, and/or requirements contained in permits issued by the agencies having jurisdiction over such property and rights of way. The Contractor is responsible for obtaining the completed permit for construction.

- B. The following Encroachment Permits may be required to complete the Work and must be obtained by the Contractor at no additional cost to the Owner. The District has obtained conditional approval to construct the project pending a Traffic Control Plan, Storm Water Pollution Prevention Plan (SWPPP) and Staging Plan:
 - 1. City of Sausalito

2.02 ENVIRONMENTAL REQUIREMENTS

- A. See Section 01560.

2.03 PERMITS TO BE OBTAINED BY CONTRACTOR

- A. State of California Department of Industrial Relations Occupational Safety and Health Administration (Cal/OSHA) – Construction Activities Permit. The Contractor shall obtain a Construction Activity Permit from Cal/OSHA for excavations and pipeline trenches greater than five (5) feet deep into which construction personnel will enter. To obtain the permit, the Contractor shall schedule and attend a safety permit conference with the nearest Cal/OSHA District office. At the conference, the Contractor shall provide enough project details that Cal/OSHA can make a determination that the work will be performed safely.
- B. Bay Area Air Quality Management District (BAAQMD). The Contractor shall comply with all applicable District Rules and Regulations. Permits required for the installation of standby generators will be obtained by the District. However, the Contractor shall submit BAAQMD forms ICE and HRA (See BAAQMD website <https://www.baaqmd.gov/permits/apply-for-a-permit/engine-permits>) for each stationary backup generator included in the project scope. Forms must be completed and submitted to the Owner within 5 days of such information required to complete the forms is made available from the generator manufacturer. Project delays resulting from failure to timely submit permitting forms will not constitute and extension of contract time.

2.04 PERMIT-REQUIRED INSPECTION COSTS

- A. Contractor shall pay the cost of inspection by Permit Issuer for work that is required by permit conditions to be performed on weekends or outside normal working hours. See individual permits for inspection requirements.

PART 3 PART 2 - PRODUCTS (NOT USED)

PART 4 PART 3 - EXECUTION (NOT USED)

PART 5 PART 4 - ADDITIONAL REQUIREMENTS (NOT USED)

END OF SECTION

SECTION 01110

SUMMARY OF WORK

PART 1 GENERAL

1.01 SUMMARY

- A. Section includes: Identification and summary description of the Work associated with the project, project location, Owner furnished products, activities by others, coordination, and early occupancy by Owner.

1.02 THE WORK

- A. The Work consists of stationary standby generator replacements or installations and the relocation of controls at one sewer pump station including the following:
 - 1. Replacement of the Marin City Pump Station generator.
 - 2. Replacement of the Gate 5 Pump Station generator.
 - 3. Replacement of the Locust St. Pump Station generator.
 - 4. Installation of a new generator and pad at Anchor St. Pump Station.
 - 5. Installation of a new generator and pad at Spinnaker Rd. Pump Station.
 - 6. Replacement of the Main St. Pump Station generator.
 - 7. Modifications to the Main St. generator building, removal of the underground fuel storage tank and installation of an above ground fuel storage tank and pad.
 - 8. Replacement of the Treatment Plant generator.
 - 9. Modifications to the Treatment Plant generator building.
 - 10. Relocation of the Princess Street control panels and associated work.
 - 11. All associated electrical, instrumentation, and controls improvements.
 - 12. All associated paving and site work.
 - 13. Incidentals for complete and usable facilities.
 - 14. Procurement and delivery of a portable generator.
- B. Except as specifically noted otherwise, provide and pay for:
 - 1. Insurance and bonds.
 - 2. Labor, materials, and equipment.
 - 3. Tools, equipment, and machinery required for construction.
 - 4. Utilities required for construction.
 - 5. Temporary facilities including sheeting and shoring.
 - 6. Storm water pollution control prevention measures.
 - 7. Dust control measures.
 - 8. Traffic control.
 - 9. Other facilities and services necessary for proper execution and completion of the Work.
- C. Secure and pay for all permits including OSHA excavation permits, Department of Transportation permits, government fees, and licenses.
- D. Comply with codes, ordinances, regulations, orders, and other legal requirements of public authorities having bearing on the performance of the Work.

1.03 LOCATION OF WORK

The Work will be performed at the following locations as shown on the Contract Drawings:

- A. Marin City Pump Station: Unincorporated Marin City in the Marin Gateway Shopping Center. Station is located behind Target store at North-West corner.
- B. Gate 5 Pump Station: City of Sausalito at 305 Gate 5 Rd.
- C. Locust St. Pump Station: City of Sausalito at intersection of Locust St. and Bridgeway.
- D. Anchor St. Pump Station: City of Sausalito at intersection of Anchor St. and Humboldt Ave., near entrance to public parking lot.
- E. Spinnaker Rd. Pump Station: City of Sausalito in Spinnaker parking lot off Spinnaker Dr.
- F. Main St. Pump Station: City of Sausalito at East end of Main St., adjacent to 301 Main St.
- G. Treatment Plant: Immediately South of the City of Sausalito at 1 East Rd., a Sausalito address.
- H. Princess Pump Station: City of Sausalito at 558 Bridgeway, on dock adjacent to sidewalk.

1.04 OWNER FURNISHED EQUIPMENT

Not Applicable

1.05 ACTIVITIES BY OTHERS

- A. Activities by others which may affect performance of work include:
 - 1. PG&E provision of electrical service.
 - 2. Normal daily operation and maintenance of the existing wastewater pumping stations by the Owner.
 - 3. Normal daily operation and maintenance of the existing wastewater collection piping by the Owner and the City of Sausalito.

1.06 COORDINATION OF WORK

- A. Contractor shall provide preconstruction photos of all angles to clearly show the project sites including all concrete and asphalt pavement, curb and gutter, fencing to remain, structures to be demolished, and existing structures and facilities that are to be modified:
 - 1. Provide on portable storage device to Owner prior to beginning construction activities.
 - 2. The photos shall clearly identify existing site and structural conditions prior to construction.

END OF SECTION

SECTION 01140

WORK RESTRICTIONS

PART 1 GENERAL

1.01 SUMMARY

- A. Section Includes: Requirements for sequencing and scheduling the Work affected by existing site and facility operations, work restrictions, and coordination between construction operations and Owner operations.

1.02 GENERAL CONSTRAINTS ON SEQUENCE AND SCHEDULING OF WORK

- A. All District facilities are required to maintain backup power or be equipped with diesel powered backup pumps at all times. Cutover times shall be coordinated in advance, approve by the Owner and limited in duration to ensure regulatory compliance can be maintained in the event of a utility power outage. Failure to continuously convey sewage throughout construction as a direct result of the Contractor's Work, other than approved cutover outages within the time frames specified, may result in serious environmental damage and monetary fines.
- B. Conduct Work in a manner that will not impair the operational capabilities of essential elements of the existing pump stations or reduce the capacity of the system below levels sufficient to transfer and treat the raw wastewater to the water quality limitations specified in the Owner's National Pollutant Discharge Elimination System (NPDES) permit.
- C. Include costs in the bid price for compliance with the limitations and constraints pertaining to maintaining the operational capacity of the pump stations and treatment facility.

1.03 INTERRUPTION OF OPERATIONS

- A. Execute the Work while the existing facilities are in operation and without interrupting current operations to the extent possible.
- B. Indicate required shutdowns of existing facilities or interruptions of existing operations on Progress Schedule. Prior approval from the Owner will be required for each shutdown. Shutdowns will be permitted to the extent that existing operation of the pump stations and treatment plant will not be jeopardized and the identified constraints are satisfied.
- C. The Owner will evaluate each request based on the system's ability to reliably meet capacity demands.
- D. Do not begin alterations until Owner's written permission has been received.
- E. Unless indicated otherwise, provide 14 days written notice and a 24-hour final written notice to the Owner and Engineer for review and acceptance prior to:
 - 1. Beginning demolition of any facility, utility or equipment.

2. Interrupting the power source to an operating facility.
 3. Conducting any other work that will interrupt normal system operations.
- F. The 14 days written notice shall be accompanied with a completed System Outage Request (SOR) to be filled out by the Contractor. System Outage Requests shall include sketches and written description of the affected areas or processes, bypass or backup power plans, schedule of downtime and service restoration, and other pertinent information for systems or process affected by the shutdown.
- G. The 24-hour final written notice shall identify any major deviations, if any, to the System Outage Request. Major deviations that cannot be reasonably accommodated by Owner may result in denial of the System Outage Request, and any costs associated with delays that occur as a result of this denial shall be borne solely by the Contractor.
- H. The Contractor shall reimburse the Owner for any fines levied by regulatory agencies due to spills, backups, or overflows resulting from the Contractor's failure to comply with the requirements herein.
- I. Minimize shutdown times by thorough advanced planning. Have required equipment, materials, and labor on hand, ready for work, at time of shutdown.
- J. All OSHA required lock-out/tag-out safety procedures shall be observed and followed.

1.04 NOT USED

1.05 REQUIREMENTS FOR MAINTAINING CONTINUOUS OPERATION OF EXISTING FACILITIES

- A. Marin City Pump Station: This pump station may be supported with standby power or may be bypassed with a diesel engine pump with full redundancy.
1. Capacity requirements for bypassing: 350 Gallons per Minute during dry weather.
 2. Replace and test new generator during a single workday between 8:00 AM and 4:00 PM. If more than 1 day is required, a backup generator must be provided overnight for contingency.
- B. Gate 5 Pump Station: This pump station may be supported with standby power or may be bypassed with a diesel engine pump with full redundancy.
1. Capacity requirements for bypassing: 210 Gallons per Minute during dry weather.
 2. Replace and test new generator during a single workday between 8:00 AM and 4:00 PM. If more than 1 day is required, a backup generator must be provided overnight for contingency.
- C. Locust Street Pump Station: This pump station may be supported with standby power or may be bypassed with a diesel engine pump with full redundancy.
1. Capacity requirements for bypassing: 1,000 Gallons per Minute during dry weather.
 2. Replace and test new generator during a single workday between 8:00 AM and 4:00 PM. If more than 1 day is required, a backup generator must be provided overnight for contingency.

- D. Main Street Pump Station: This pump station must be supported with a standby generator at all times. Contractor shall stage the generator in parking spaces near the end of Main Street. Provide barriers, fencing, etc. to protect public.
 - 1. Comply with all requirements of the City of Sausalito's Encroachment Permit for noise and working hours.
- E. Treatment Plant: This pump station must be supported with a standby generator at all times. Contractor shall stage the generator in the District's shop area parking lot and run cables down the hillside to the existing transfer switch.
- F. Anchor and Spinnaker Pump Stations: These two stations do not require backup power or pumping equipment. Contractor shall coordinate with Owner to tie in new generators and transfer switches. Tie-in work and testing must be completed during a single workday between the hours of 8:00 AM and 4:00 PM for each station.
- G. Princess Street Pump Station: This pump station must be supported with a standby generator at all times PG&E power and/or controls are not available. Contractor shall stage the generator on the dock adjacent to the new control panel location. Provide barriers, fencing, etc. to protect public.
 - 1. Comply with all requirements of the City of Sausalito's Encroachment Permit for noise and working hours. (A sound attenuated generator will be required any time the generator is run outside of construction hours.)
 - 2. All trench-plates shall be recessed to be even with asphalt for bike traffic.
 - 3. Comply with all traffic control requirements included in the Contractor's Encroachment Permit from the City of Sausalito.
 - 4. Work at princess must be scheduled after Labor Day and Before Memorial Day due to heavy bike and foot traffic during summer months unless otherwise approved by the City of Sausalito.
- H. Facilities or conditions required to keep the existing pump stations operational include, but are not limited to, the following:
 - 1. Electrical power including transformers, distribution wiring and motor control centers, or diesel-powered bypass pumps capable of bypassing all flow. The use of diesel-powered bypass pumps requires 100% redundancy (duty and standby pumps).
 - 2. Piping for conveyance of wastewater and other utilities to and from the facilities.
 - 3. Lighting.
 - 4. Instrumentation, meters, controls and telemetry equipment.
 - 5. Safety equipment and features.
 - 6. Storm drainage.
- I. Conduct the Work and provide temporary facilities required to keep the existing pump stations continuously operational.
- J. Do not remove or demolish existing facilities required to keep the existing pump stations operational at the capacities specified until the existing facilities are replaced by temporary, new, or upgraded facilities or equipment.
 - 1. Replacement facilities shall have been tested and demonstrated to be operational prior to removing or demolishing existing facilities.

1.06 OPERATIONS AND MAINTENANCE ACCESS

- A. Provide and maintain safe, continuous access to control equipment and deliveries for Owner's operations and maintenance staff and other personnel contracted by the Owner to perform work at the site.

1.07 SHUTDOWN CONSTRAINTS

- A. Comply with shutdown constraints described herein.
- B. A shutdown is defined herein as that period of time during which a normal collection system, pump station, or treatment plant function and activity cannot take place or are interrupted.

1.08 UTILITIES

- A. Maintain electrical, telephone, gas, sanitary facilities, and other utilities within existing facilities in service. Provide temporary utilities when necessary.
- B. Provide advance notice to and utilize services of Underground Services Alert (U.S.A.) for location and marking of underground utilities operated by utility agencies other than the Owner.
- C. All work involving electrical service shall be coordinated with PG&E well in advance. PG&E typically requires several weeks advanced notice to schedule any work.

PART 2 PRODUCTS - NOT USED.

PART 3 EXECUTION

3.01 COORDINATION OF WORK

- A. Maintain overall coordination of execution of work.
- B. Obtain construction schedules from subcontractors and suppliers and assume responsibility for correctness.
- C. Incorporate schedules from subcontractors and suppliers into Progress Schedule to plan for and comply with sequencing constraints.
- D. Where proper execution of the Work depends upon work by others, inspect and promptly report discrepancies and defects.

3.02 GENERAL REQUIREMENTS FOR EXECUTION OF WORK

- A. Locate temporary facilities in a manner that minimizes interference to Owner's operation and maintenance personnel.
- B. Provide submittals on proposed temporary pumping facilities, temporary plugs, and temporary electrical and instrumentation components necessary to maintain existing facilities.

- C. Dimensions and locations for all existing structures, aboveground and underground piping and utilities, paving, and other nonstructural items are approximate. The Contractor shall field verify all dimensions and conditions and report any discrepancies to the Engineer a minimum of 14 days in advance of any construction in the area and prior to fabrication of materials.
- D. Discrepancies between coordinates, bearings and lengths, and stationing shall be resolved in the following order of precedence:
 - 1. Coordinates.
 - 2. Bearings and lengths.
 - 3. Stationing.

3.03 WORK CONSTRAINTS

- A. General:
 - 1. The Suggested Work Sequence and Constraints presented herein do not necessarily include all items affecting the completion of the Work, but are intended to describe in general the critical events necessary to minimize disruptions of the existing facilities. Utilize the description of critical events in the Suggested Work Sequence and Constraints in this Section as a guideline for scheduling and completing the Work.
 - 2. Additional Constraints may be imposed during the Work depending on Contractor's sequence of work.
 - 3. Any element not listed herein but requiring a shutdown shall be coordinated with the Owner in advance. The time and duration will require an evaluation by the Owner. All costs to implement a shutdown, including all requirements of the District to ensure system reliability, shall be implemented by the Contractor at no additional cost to the Owner.
 - 4. Unless noted otherwise or as determined by the Engineer, the term "Substantially Complete" referenced in this Section for any item shall be defined as when all structural, mechanical, HVAC, electrical, instrumentation, and other incidental Work necessary to render that item of Work complete and ready for operation by the Owner at the Owner's discretion.
 - 5. Operations and maintenance manuals must be submitted and approved in advance of Owner accepting or taking over operations of any equipment or facilities requiring such manuals.
 - 6. Operator training must be completed in advance of Owner accepting or taking over operations of any equipment or facility where training is required.
- B. Removing Facilities from Service:
 - 1. The Owner will be responsible for taking existing equipment out of service. Contractor shall coordinate shutdown and restart activities with the Owner's operations staff.
 - 2. The Contractor shall be responsible for draining structures and piping, removing accumulated materials and debris, and cleaning drained facilities prior to commencing work:
 - a. Owner will be responsible for the operation of all existing equipment including valves, gates, pumps, and other equipment that impacts system operation.
 - b. While reasonable efforts will be made by Owner to positively shut-off valves, gates, and other isolation devices, Contractor shall plan for and provide means in his work to drain and dispose of the pipe contents, and

remove any liquid leakage, dripping, and spills from the shut-off device or the isolated pipe in a manner without impacting his work.

- c. Contents removed from structures and pipes shall be disposed by the Contractor.

C. Construction Access:

- a. Do not conduct work outside the project limits indicated on the Drawings without prior approval from the Owner and/or the City of Sausalito, as applicable.

D. Storm Drain Discharge Constraints:

- a. Groundwater discharge to the storm drain system may require a silt sump or screen.

END OF SECTION

SECTION 01160

SITE SAFETY REQUIREMENTS

PART 1 GENERAL

1.01 SUMMARY

- A. Section Includes: Safety requirements applicable to the Work.
- B. Related Sections:
 - 1. Section 00700 – General Conditions.
 - 2. Section 01330 – Submittal Procedures.

1.02 CONSTRUCTION SAFETY

- A. Contractor's Responsibility:
 - 1. The Contractor shall be solely and completely responsible for conditions of the job site, including safety of all persons, including employees and property, during performance of the work. This requirement shall apply continuously and not be limited to normal working hours. Safety provisions shall conform to U.S. Department of Labor, the California Occupational Safety and Health Act (OSHA), and all other applicable Federal, State and local laws, ordinances, codes, the requirements set forth below, and any regulations that may be detailed in other parts of these documents. Where any of these are in conflict, the more stringent requirement shall be followed. The Contractor's failure to thoroughly familiarize himself with the aforementioned safety provisions shall not relieve him from compliance with the obligations and penalties set forth herein:
 - a. The Contractor shall develop and maintain, for the duration of this Contract, a safety program that will effectively incorporate and implement all required safety provisions. The Contractor shall appoint an employee who is qualified and authorized to supervise and enforce compliance with the safety program.
 - b. The duty of the Construction Manager or the District to conduct construction review of the Contractor's performance is not intended to include a review or approval of the adequacy of the Contractor's safety supervisor, the safety program, or any safety measures taken in, on, or near the construction site.
 - c. The Contractor, as part of this safety program, shall maintain at his office or other well-known place at the job site, safety equipment applicable to the work as prescribed by the aforementioned authorities, all articles necessary for giving first aid to the injured, and shall demonstrate an understanding of the facility procedures established for emergency care of persons who may be injured on the job site.
 - d. If a claim is made by anyone against the Contractor or any subcontractor on account of any accident, the Contractor shall promptly report the facts in writing to the Construction Manager, giving full details of the claim. Such notice shall be in addition to any other notice requirements which may apply to such claims.

- B. District Safety Requirements:
1. The Contractor shall read the District Safety Requirements and train/instruct its employees and its Subcontractor's employees on all District Safety Requirements that pertain to the Work. The responsibility to review and train Contractor staff on District safety requirements is the responsibility of the Contractor.
- C. Safety Violation, Incident, Injury or Accident Emergency at the Work Site:
1. In the event of an accident or incident during construction, Contractor shall immediately notify the Construction Manager and the District's Authorized Representative immediately. Within 24 hours of the occurrence of a safety violation or incident, the Contractor shall submit to the Construction Manager an investigation report describing the incident in detail.
 2. Contractor shall call 911 for accident involving bodily injury, fire hazard, damage to gas piping, flooding and similar occurrences, requiring an immediate emergency response. Contractor shall also comply with all CAL-OSHA notification requirements.
 3. If there is a safety violation from the Contractor's employees or subcontractor's employees, the Engineer will first issue a safety warning to the violating Contractor's employees or subcontractor's employees. After the first warning and upon a second violation, the Contractor shall remove the employee who caused the safety violation from the job site. This applies to both the Contractor and subcontractor's employees.
 4. The Contractor shall immediately correct any safety violation(s).
- D. Facility Operation:
1. Contractor personnel shall not operate, by any means, existing facility. Contractor shall submit advanced notice to Construction Manager for any required facility change in operation including equipment lockout and tagging for system shutdown for each individual activity. Facility staff will execute the shutdown after the request has been approved. Advance notice shall be in accordance with the Contract Documents.
- E. Training & Certificates:
1. Contractor personnel shall have sufficient training and certificates in performing work such as confined space entry, asbestos material removal, welding, diving, heavy equipment operation, and others. Up-to-date certificates for all personnel performing such work shall be provided to the Construction Manager before the start of the work.
- F. Equipment Operation:
1. All cranes and hoists, forklifts, confined space rescue equipment, gas monitors, diving gear, and welding tools or other equipment shall be certified or verified (tested or calibrated) for their operability and rated capacity. Contractor shall present those certificates to the Construction Manager before the start of the work.
- G. Confined Space Entry of Existing Facilities:
1. No confined-space entry of existing facilities is allowed unless specifically approved by the Construction Manager. If Contractor is planning a confined-space entry on facility grounds, submit a copy of the company's confined-space program to the Construction Manager. Contractor shall follow California

Code of Regulations (CCR), Title 8, Sections 5156, 5157 and 5158 governing confined space entry. Contractor is responsible for supplying own certified rescuer and rescuing equipment at no cost to the District. Contractor must notify the Construction Manager of the time and date prior to confined space entry. Contractor is responsible for providing all associated equipment including harnesses, sniffers, rescue tripod, etc. No District safety equipment may be used by the Contractor.

H. Hot Work Responsibilities:

1. Fire resulting from hot work could significantly affect facility operations. Hot work includes brazing, cutting, grinding, soldering, torch-applied roofing, and welding. No hot work is permitted without authorization from the Construction Manager. A signed hot work permit must be issued by the responsible facility party. Specific firefighting equipment and protection gear will be required at the hot work site before any work can be started.

I. Hazardous Materials Regulations:

1. The Contractor shall have a site health and safety supervisor fully trained pursuant to hazardous materials regulations be present during excavation, trenching, or cut and fill operations to monitor for evidence of potential soil contamination, including soil staining, noxious odors, debris or buried storage containers. The site health and safety supervisor must be capable of evaluating whether hazardous materials encountered constitute an incidental release of a hazardous substance or an emergency spill. The site health and safety supervisor shall direct procedures to be followed in the event that an unanticipated hazardous materials release with the potential to impact health and safety is encountered. These procedures shall be in accordance with hazardous waste operations and regulations and specifically include, but are not limited to, the following: immediately stopping work in the vicinity of the unknown hazardous materials release and securing the area; notifying the Construction Manager, and retaining a qualified environmental firm to perform sampling, remediation, and/or disposal.

J. Modifications to Existing Utilities:

1. When modifications, additions, connections, and abandonment are made to existing public utilities, the Contractor shall contact the affected agency for notifications and/or required procedures.

K. Underground Service Alert:

1. Contractor shall provide advance notice to and utilize services of Underground Service Alert for location and marking of underground utilities operated by utility agencies.

- L. Material Safety Data Sheet (MSDS):
 - 1. Attention is directed to the provisions of General Industry Safety Orders, Section 5194, Title 8, California Administrative Code. The Contractor shall submit to the Engineer a Material Safety Data Sheet for each hazardous substance proposed to be used, ten days prior to the delivery of such material to the job site or use of such material at a manufacturing plant where the Engineer is to perform an inspection. Hazardous substance is defined as any substance included in the list (Director's List) of hazardous substances prepared by the Director, California Department of Industrial Relations, pursuant to Labor Code Section 6382.

- M. Fire Prevention and Protection
 - 1. 1. The Contractor shall perform all Work in a fire-safe manner. The Contractor shall supply and maintain on the site adequate fire-fighting equipment capable of extinguishing incipient fires. The Contractor shall comply with applicable federal, local, and state fire-prevention regulations. Where these regulations do not apply, applicable parts of the National Fire Prevention Standard for Safeguarding Building Construction Operations (NFPA No. 241) shall be followed.
 - 2. Sufficient number of fire extinguishers of the type and capacity required to protect the Work and ancillary facilities shall be provided in readily accessible locations.

- N. Use of Explosives
 - 1. Use of explosives is not allowed on this Site.

- O. Demolition Activities:
 - 1. During demolition activities, the Contractor shall:
 - a. Provide temporary minimum six feet tall chain link fencing around each work area and signage to prohibit access by unauthorized personnel and vehicles. Fencing design and signage subject to Construction Manager review.
 - b. Provide interior and exterior shoring, bracing, or supports to prevent movement, settlement, or collapse of structures to be partially or completely demolished, and to adjacent structures or other facilities to remain.
 - c. Protect and maintain conduits, drains, sewers, pipes, and wires that are to remain on the property.

1.03 SUBMITTALS

- A. The following information shall be provided in accordance with Section 01330 - Submittal Procedures, after the Award of Contract:
 - 1. Health and Safety Plan (SSCSP):
 - a. The Contractor or Contractor's representative shall prepare a Site-Specific Contractor Safety Program (SSCSP) pursuant to Section 00700 - Article 12, Protection of Persons and Property. At the minimum, the SSCSP shall address the following:
 - 1) Incorporate District Safety Requirements applicable to the work at their respective properties.

- 2) Contractor's plan to protect workers (such as providing personnel training, personal protective equipment, and respiratory protective devices) while working in the presence of contaminated or hazardous materials.
 - 3) Establishment of exclusionary site work zones and security measures.
 - 4) Implementing and conducting dust control measures, ambient air monitoring for health and safety purposes, and administering contingency plans, if necessary.
 - 5) Emergency response protections including compliance with CAL/OSHA notification requirements.
 - 6) The SSCSP shall be prepared, signed, and submitted for Construction Manager review. The Contractor shall not initiate work activities at the project site until the Construction Manager has provided written clearance to proceed.
 - 7) The SSCSP shall be reviewed and signed by the Contractor and all personnel, including subcontractors, who will be engaged in or overseeing Work in the construction zones. No worker shall be allowed in these areas until he/she has signed and acknowledged receiving and understanding a copy of the SSCSP.
 - 8) The Contractor shall be responsible to make sure that all personnel performing work in the identified, potentially contaminated area(s) must have read and clearly understands the SSCSP.
 - 9) The SSCSP shall be conformed to the requirements of all local, state, and federal ordinances, rules, regulations, and guidelines concerning occupational health and safety issues, including OSHA regulation 29 Code of Federal Regulations (CFR) 1910.120.
2. Mandatory Safety Program: Injury and Illness Prevention Program (IIPP) or Safety Work Plan.
 3. Safety Data Sheets (SDS's): Any hazardous material brought onto the facility site by Contractor or subcontractors.
 4. Hot Work Program: For welding, torching, cutting, brazing, etc., around combustible or hazardous materials.
 5. Confined Space Program: For confined space entry.
 6. Fall Prevention Program: For working on ladders, at heights or using fall protection equipment.
 7. Training Certificate or License: Asbestos removal, welding, diving, and heavy equipment operation (for cranes, forklifts, etc.), confined-space entry and rescue, etc.
 8. Calculations: Seismic design for equipment support, shoring for deep soil excavation, adequacy check of existing floor and structures for support of moving loads, etc.

PART 2 PRODUCTS

Not Used.

PART 3 EXECUTION

Not Used.

END OF SECTION

SECTION 01170

NOVEL CORONAVIRUS (COVID-19) SAFETY REQUIREMENTS

PART 1 GENERAL

1.01 SUMMARY

- A. Section Includes: COVID-19 safety requirements in response to the need for work on essential construction projects that are permissible under the Marin County Health Services COVID-19 Safety Orders and applicable State and Federal guidelines/orders, to continue as safely as possible.
- B. These COVID-19 safety requirements are not all encompassing and may need to be modified by the Contractor to individual construction tasks and updated as the COVID-19 pandemic evolves.
- C. The Contractor and all its sub-tier level subcontractors and suppliers shall account in their Bid and sub-bids for all cost impacts whether affecting labor (including, but not limited to obtaining qualified workers, quantity of workers, as well as their productivity), deliveries, supervision, testing and/or procurement of materials and/or equipment and time caused by COVID-19 safety requirements found in this Section 01170 and also all public health and/or governmental directives in place at the time Bids are received by the City for this Project.
- D. Related Sections:
 - 1. Section 00200 - Instructions to Bidders.
 - 2. Section 00700 - General Conditions.
 - 3. Section 01160 - Site Safety Requirements.
 - 4. Section 01330 - Submittal Procedures.

1.02 COVID-19 EXPOSURE PREVENTION, PREPAREDNESS, AND RESPONSE PLAN

- A. Contractor's Responsibility:
 - 1. The Contractor shall prepare a COVID-19 Exposure Prevention, Preparedness and Response Plan specific to this Project that describes how to prevent worker exposure to coronavirus, protective measures to be taken on the jobsite, personal protective equipment and work practice controls to be used, cleaning and disinfecting procedures, and what to do if a worker(s) shows symptoms of COVID-19 illness or tests positive for COVID-19. The Contractor should review the latest OSHA COVID-19 Workplace Safety Guidance document (<https://www.osha.gov/Publications/OSHA3990.pdf>) as a resource in preparation of their Site Specific Health and Safety Plan. Other reliable and current sources of COVID-19 information can be found at:
 - a. California Department of Public Health (CDPH, State):
<https://www.cdph.ca.gov/Programs/CID/DCDC/Pages/Immunization/nCoV2019.aspx>.
 - b. Centers for Disease Control and Prevention (CDC, National):
<http://www.cdc.gov/coronavirus/novel-coronavirus-2019.html>.

2. This plan shall at a minimum address the following COVID-19 safety guidelines:
 - a. COVID-19 Employee and Visitor training and check-list before entering worksite.
 - b. Employee distancing and strategies to maximize distancing when possible.
 - c. Limitations on gathering size.
 - d. Personal Protective Equipment (PPE) requirements.
 - e. Identify “choke points” and “high risk areas” such as hallways, hoists and elevators, break areas and vehicles.
 - f. Stagger trades and modify work schedules to reduce worker density to maximize distancing opportunities.
 - g. COVID-19 employee good personal hygiene measures.
 - h. Disinfecting and cleaning requirements.
 - i. Personal prevention actions requirements for all employees.
 - j. Toolbox and Tailgate COVID-19 employee training.
 - k. Recognizing COVID-19 Symptoms.
 - l. Establish a COVID-19 Exposure Action and Notification Plan.
 - m. Establish daily screening protocols for arriving workers and visitors to ensure potentially infected workers and visitors do not enter the Site.
 - n. Maintain daily attendance log of all workers and visitors who enter the Site.
3. Also, as part of this Plan, the Contractor shall draft and implement a COVID-19 Code of Safe Practices that is posted in areas visible to all employees and visitors.
4. The Contractor shall be prepared at each Progress and Coordination Meeting, if requested by the Construction Manager, to provide information relevant to the application, enforcement and implementation of such COVID-19 Safe Practices.
5. All Contractor managers and supervisors (**from forepersons to project managers**) must be familiar with this Plan and be ready to answer questions from employees, subcontractors, suppliers and visitors. Managers and supervisors must set a good example by following this Plan at all times. This involves practicing good personal hygiene and jobsite safety practices to prevent the spread of the virus. Managers and supervisors must encourage this same behavior from all employees, subcontractors, suppliers and visitors.
6. The Contractor shall immediately notify the Construction Manager if any person under the Contractor’s control on this Project has tested positive for COVID-19.

1.03 SUBMITTALS

- A. The following information shall be provided in accordance with Section 01330 - Submittal Procedures, Submittal Procedures, after the Award of Contract and before any work begins at the Site:
 1. COVID-19 Exposure Prevention, Preparedness and Response Plan.
 2. COVID-19 Code of Safe Practices.
- B. To the extent that there are material amendments or modifications made to any of the above plans or practices during the performance of the Work, the Contractor shall provide to the Owner as soon as practicable the amendments and shall post them as part of the notification plan to all employees and visitors who enter the Site.

PART 2 PRODUCTS

Not Used.

PART 3 EXECUTION

Not Used.

END OF SECTION

SECTION 01200

MEASUREMENT AND PAYMENT

PART 1 GENERAL

1.01 SUMMARY

- A. This Section includes the administrative and procedural requirements for Contractor payments as herein specified and further described in General Provisions.
- B. Related Sections:
 - 1. Section 00400 - Bid Form.
 - 2. Section 00510 - Agreement
 - 3. Section 01324 - Progress Schedules and Reports.
 - 4. Section 01330 - Submittal Procedures.
 - 5. Section 01780 - Record Documents.

1.02 SUBMITTALS

- A. The following information shall be provided in accordance with Section 01330 - Submittal Procedures, for review and approval:
 - 1. Schedule of Values: Submit using the Contractor's standard form.
 - 2. Application for Payment: Submit using Contractor's Transmittal Summary form.
 - 3. Final Application for Payment.

1.03 DESCRIPTION OF BID ITEMS

- A. **BID ITEM NO. 1: MOBILIZATION AND DEMOBILIZATION INCLUDING SWPPP (LUMP SUM):**
 - 1. The lump sum bid for mobilization and demobilization shall not exceed six percent (6%) of the Total Bid Price. Mobilization and demobilization, complete as specified, shall include survey to establish preconstruction conditions, cost of obtaining all insurance, bonds, and necessary permits not obtained by the District, cost for complying with all of the conditions set by all required permits, SWPPP costs, move in of equipment, tools, supplies, materials, and manpower to the job site; provision of temporary field office and utilities and sanitary facilities; move out and cleanup of the job site after the project is completed and accepted by the District:
 - a. Demobilization shall include site cleaning and restoration of surfaces within the job site, post-construction meeting, removal of all temporary facilities and equipment from the work area, disconnection of the temporary construction utilities and turnover of Project to the District.
 - b. In the event the Contractor writes in a Mobilization/Demobilization price greater than six percent (6%) on the Bid Schedule found in Section 00400 - Bid Form, the District will pay any excess with the final Progress Payment.
 - c. Contractor may apply for payment of mobilization on a percent complete basis as the items covered in the Mobilization are being completed:
 - d. Demobilization shall constitute no less than 40% of the lump sum price for bid item no. 1.

- B. BID ITEM NO. 2: ALL WORK REQUIRED FOR THE GENERATOR REPLACEMENT AT MARIN CITY PUMP STATION (LUMP SUM):
1. Payment for required work will be made at the lump sum price named in the Bid Schedule under Item 2, which shall constitute full compensation for completion of planning, design, engineering fees, furnishing, constructing, and testing, complete, as required for the completion of the work and under the provisions of permits and in accordance with the requirements of California Electrical Code of the State of California, pursuant to the provisions of Title 24, part 3 of the California Code of Regulations.
 2. There is no measurement for this item. Progress payments shall be made based upon estimated percent completion of generator and ATS replacement work and testing for this project location.
- C. BID ITEM NO. 3: ALL WORK REQUIRED FOR THE GENERATOR REPLACEMENT AT GATE 5 ROAD PUMP STATION (LUMP SUM):
1. Payment for required work will be made at the lump sum price named in the Bid Schedule under Item 2, which shall constitute full compensation for completion of planning, design, engineering fees, furnishing, constructing, and testing, complete, as required for the completion of the work and under the provisions of permits and in accordance with the requirements of California Electrical Code of the State of California, pursuant to the provisions of Title 24, part 3 of the California Code of Regulations.
 2. There is no measurement for this item. Progress payments shall be made based upon estimated percent completion of generator and ATS replacement work and testing for this project location.
- D. BID ITEM NO. 4: ALL WORK REQUIRED FOR THE GENERATOR REPLACEMENT AT LOCUST STREET PUMP STATION (LUMP SUM):
1. Payment for required work will be made at the lump sum price named in the Bid Schedule under Item 2, which shall constitute full compensation for completion of planning, design, engineering fees, furnishing, constructing, and testing, complete, as required for the completion of the work and under the provisions of permits and in accordance with the requirements of California Electrical Code of the State of California, pursuant to the provisions of Title 24, part 3 of the California Code of Regulations.
 2. There is no measurement for this item. Progress payments shall be made based upon estimated percent completion of generator and ATS replacement work and testing for this project location.
- E. BID ITEM NO. 5: ALL WORK REQUIRED FOR INSTALLATION OF A NEW GENERATOR AT ANCHOR STREET PUMP STATION (LUMP SUM):
1. Payment for required work will be made at the lump sum price named in the Bid Schedule under Item 2, which shall constitute full compensation for completion of planning, design, engineering fees, furnishing, trenching, constructing, and testing, complete, as required for the completion of the work and under the provisions of permits and in accordance with the requirements of California Electrical Code of the State of California, pursuant to the provisions of Title 24, part 3 of the California Code of Regulations.
 2. There is no measurement for this item. Progress payments shall be made based upon estimated percent completion of new generator and ATS installation work and testing for this project location.

- F. BID ITEM NO. 6: ALL WORK REQUIRED FOR INSTALLATION OF A NEW GENERATOR AT SPINNAKER ROAD PUMP STATION (LUMP SUM):
1. Payment for required work will be made at the lump sum price named in the Bid Schedule under Item 2, which shall constitute full compensation for completion of planning, design, engineering fees, furnishing, trenching, constructing, and testing, complete, as required for the completion of the work and under the provisions of permits and in accordance with the requirements of California Electrical Code of the State of California, pursuant to the provisions of Title 24, part 3 of the California Code of Regulations.
 2. There is no measurement for this item. Progress payments shall be made based upon estimated percent completion of new generator and ATS installation work and testing for this project location.
- G. BID ITEM NO. 7: ALL WORK REQUIRED FOR THE GENERATOR AND ATS REPLACEMENT, UNDERGROUND FUEL TANK REMOVAL AND INSTALLATION OF AN ABOVE GROUND FUEL TANK AT MAIN STREET PUMP STATION (LUMP SUM):
1. Payment for required work will be made at the lump sum price named in the Bid Schedule under Item 2, which shall constitute full compensation for completion of planning, design, engineering fees, furnishing, constructing, and testing, complete, as required for the completion of the work and under the provisions of permits and in accordance with the requirements of California Electrical Code of the State of California, pursuant to the provisions of Title 24, part 3 of the California Code of Regulations.
 2. There is no measurement for this item. Progress payments shall be made based upon estimated percent completion of generator and ATS replacement work and testing for this project location.
- H. BID ITEM NO. 8: ALL WORK REQUIRED FOR THE GENERATOR REPLACEMENT AT THE TREATMENT PLANT (LUMP SUM):
1. Payment for required work will be made at the lump sum price named in the Bid Schedule under Item 2, which shall constitute full compensation for completion of planning, design, engineering fees, furnishing, constructing, building modifications and testing, complete, as required for the completion of the work and under the provisions of permits and in accordance with the requirements of California Electrical Code of the State of California, pursuant to the provisions of Title 24, part 3 of the California Code of Regulations.
 2. There is no measurement for this item. Progress payments shall be made based upon estimated percent completion of generator replacement work and testing for this project location.
- I. BID ITEM NO. 9: ALL WORK REQUIRED FOR THE CONTROL PANEL RELOCATION AT PRINCESS PUMP STATION (LUMP SUM):
1. Payment for required work will be made at the lump sum price named in the Bid Schedule under Item 2, which shall constitute full compensation for completion of planning, design, engineering fees, furnishing, constructing, and testing, complete, as required for the completion of the work and under the provisions of permits and in accordance with the requirements of California Electrical Code of the State of California, pursuant to the provisions of Title 24, part 3 of the California Code of Regulations.

2. There is no measurement for this item. Progress payments shall be made based upon estimated percent completion of power and control panels replacement work and testing for this project location.
- J. BID ITEM NO. 10: FURNISHING AND DELIVERY OF PORTABLE GENERATOR AND ACCESSORIES TO THE DISTRICT.
 1. The lump sum amount for furnishing and delivery of portable generator and accessories in accordance with the Contract Documents.
- K. BID ITEM NO. 11: ALL OTHER WORK AS REQUIRED TO COMPLETE THE PROJECT IN ACCORDANCE WITH THE CONTRACT DOCUMENTS, WITH THE EXCEPTION OF WORK INCLUDED UNDER BID ITEMS 1 THRU 10 (LUMP SUM):
 1. The lump sum amount for performing all work in accordance with the Contract Documents, with the exception of work included under Bid Items 1 thru 10.

1.04 SCHEDULE OF VALUES

- A. Provide a detailed breakdown and prepare a separate Schedule of Values for each schedule of the Work under the Agreement at least 20 days prior to the first Application for Payment Date allocating the Contract Price to various trades, types of work, pieces of equipment, and major tasks to assist the Engineer in evaluating the percentage completion for each part of the Work:
 1. A Schedule of Estimated Progress Payments shall be provided with initially acceptable Schedule of Values.
 2. Submit adjustments thereto with Applications for Payment.
- B. The Contractor's overhead and profit shall be uniformly pro-rated over all items in the Schedule of Values. The Schedule of Values shall represent the actual cost of each segment of the work and shall not allocate higher costs, overhead or profit to work items scheduled for early completion. If the Engineer objects to the allocation of cost or the level of detail provided, the Contractor shall revise and resubmit the Schedule of Values.
- C. Upon request of Construction Manager, provide documentation to support the accuracy of the Schedule of Values.
- D. Unit Price Work: Payment shall be based on actual quantities used and the unit price entered in the conformed Proposal Form.
- E. Work Breakdown:
 1. Reflect specified contingency allowances and alternates, as applicable.
 2. Divide the Work into the following major items (sub-networks) of Work for each Work location:
 - a. Mobilization.
 - b. Demobilization.
 - c. As-Built Drawings.
 - d. Startup and Testing.
 - e. Hazardous Material Procedures.
 - f. Demolition/Salvage/Relocation.
 - g. Structures.
 - h. All related and applicable Structural, Mechanical, HVAC, Plumbing, finishes, furnishings, and Electrical, Instrumentation and control work shall be included in the sub-networks listed above.

- i. Any specific proposal items shall be clearly identified within the schedule with a value matching the proposal. A separate line item may be required.
 - j. Miscellaneous work items and other prices not included in previous items and necessary to complete the Work.
 - k. Assign prices to major items of Work which aggregate the Total Contract Price. Base prices on costs associated with scheduled activities based on the Project Schedule for each major item of Work.
- F. An unbalanced or front-end loaded schedule will not be acceptable.
- G. Contractor shall include the costs necessary to complete the Work throughout the entire contract duration.
- H. Summation of the complete Schedule of Values representing all the Work shall equal the Total Contract Price.
- I. Submittal of the Schedule of Values is a condition precedent to the issuance of any payment under the Contract.
- J. Items in the Schedule of Values are to accurately reflect the cost of work.
- K. Acceptance by the Construction Manager shall only indicate consent to the cash flow as a basis for preparation of partial payment estimates and shall not constitute an agreement as to the value of each indicated item.

1.05 APPLICATION FOR PAYMENT

- A. Transmittal Summary Form: Attach one Summary Form with each detailed Application for Payment for each schedule and include Request for Payment of Materials and Equipment installed as applicable. Execute certification by authorized officer of Contractor.
- B. Use Contractor's detailed Application for Payment Summary Form as approved by the Construction Manager. Submit application using District's Web Based Construction Management System or as directed by the Construction Manager.
- C. Provide separate form for each schedule as applicable.
- D. Include accepted Schedule of Values for each schedule or portion of lump sum Work and the unit price breakdown for the Work to be paid on a unit priced basis.
- E. Include separate line item for each Change Order and Work Change Directive executed prior to date of submission. Provide further breakdown of such as requested by the Construction Manager. Identify change order by number and description.
- F. The District shall not release Payment until the Contractor provides all supporting documentation, as specified herein. Supporting documentation shall include, but is not limited to, updated project schedule (Section 01324 - Progress Schedules and Reports), lien release, and acceptable progress on the Project Record Documents (Section 01780 - As-Built Documents).

- G. Deviation from the above requirements or incomplete submission shall require resubmission of the application for payment.

1.06 MEASUREMENT – GENERAL

- A. There is no measurement for this item. Progress payments shall be made based upon estimated percent completion of generator replacement work for each project location.

1.07 PAYMENT

- A. The date for Contractor's submission of monthly Application for Payment shall be established at the Preconstruction Meeting.
- B. Payment for all the Work shown or specified in Contract Documents is included in the Total Contract Price. Payment will be made for Work completed during the payment period based on cost incurred for each line item of the accepted Schedule of Values per the Agreement Documents.
- C. Payment for Unit Price items covers all the Work necessary to furnish and install the items identified in the schedule in the Proposal Form. Payment will be made for the actual quantity of Work completed during the payment period per the Agreement Documents.

1.08 NONPAYMENT FOR REJECTED OR UNUSED PRODUCTS

- A. Payment will not be made for the following:
 1. Loading, hauling, and disposing of rejected material.
 2. Quantities of material wasted or disposed of in manner not called for under Contract Documents.
 3. Rejected loads of material, including material rejected after it has been placed by reason of failure of Contractor to conform to provisions of Contract Documents.
 4. Material not unloaded from transporting vehicle.
 5. Defective Work not accepted by the District.
 6. Material remaining on hand after completion of Work.

PART 2 PRODUCTS

Not Used.

PART 3 EXECUTION

Not Used.

PART 4 ADDITIONAL REQUIREMENTS

Not Used.

END OF SECTION

SECTION 01312

PROJECT MEETINGS

PART 1 GENERAL

1.01 SECTION INCLUDES

- A. Requirements for calling for and conducting meetings for the Work.

1.02 REFERENCED SECTIONS

- A. The following Section is referenced in this Section:
 - 1. Section 01324 – Progress Schedules and Reports.

1.03 GENERAL

- A. Project meetings and conferences are an important administration and communication requirement of all project participants. Meetings will be conducted throughout the course of the construction to address issues related to the Work, review and coordinate progress of the Work, and to discuss other matters of common interest to project participants.
- B. Meeting and conference locations and qualified participants will be determined by the Construction Manager, Engineer and the Contractor based on the meeting agenda topics.
- C. The Construction Manager may change in-person meetings to virtual on-line meetings. The virtual meeting platform used by the Construction Manager will accommodate video, audio and screen sharing by using providers such as Zoom. When the Construction Manager hosts such virtual meetings, it is required that all attendees turn on video camera for better interaction among meeting participants.

1.04 PRECONSTRUCTION CONFERENCE

- A. Prior to the start of construction, the Construction Manager will schedule a meeting of the Contractor, Engineer, Owner, and their respective representatives. The general purpose of the meeting will be to establish working relationships, begin coordination of construction matters, discuss the Work, and to review the pertinent features of the Contract. The duration of the preconstruction conference may take up to 4 hours.
- B. The agenda for the meeting will cover at least the following items, a more detailed agenda will be distributed at the meeting:
 - 1. Organization of the Contractor's forces and personnel, including subcontractors and materials suppliers.
 - 2. Lines of authority and channels and procedures for communication.
 - 3. Contractor's construction schedule, including sequence of critical work.
 - 4. Processing of shop drawings and other data that will be submitted to Owner for review.
 - 5. Processing of change order requests and monthly applications for payment.

6. Procedures for quality control, housekeeping and related matters.
- C. Contractor should be prepared to discuss the following topics:
1. Preliminary construction schedule and critical path.
 2. Schedule of submittals and submittals needing short turn-around times.
 3. Schedule of Values for construction payments.
 4. Critical work sequencing.
 5. Plans for mobilization, arrangement and use of staging and storage areas, use of site, location and arrangement of field offices, and site security.
- D. Minutes of Meeting:
1. The Construction Manager will compile minutes of the meeting and distribute copies to all participants.

1.05 PROGRESS MEETINGS

- A. Unless otherwise arranged, there will be a weekly progress meeting at a time and at an on-site location that is mutually agreed upon between the Contractor, Construction Manager, Engineer, and Owner:
1. Meetings are to enable orderly project review during the progress of work.
 2. Construction Manager, Engineer, Owner, Contractor's Superintendent, representatives of subcontractors, suppliers' representatives as may be needed, other Contractors working at the site, and other parties shall attend these meetings.
 3. Construction Manager will preside over the meeting and will compile and distribute minutes of the meeting.
- B. The purpose of the weekly meetings is to coordinate the efforts of all concerned to result in smooth and coordinated progress towards completion of the overall project.
- C. Contractor shall bring to each weekly meeting the updated 2-week "look ahead" schedule.
- D. The Contractor will be required to address the following items at the weekly meeting:
1. Work completed last week.
 2. Work anticipated next week.
 3. Log of submittals and Requests for Information.
 4. Contract document deficiencies or questions noted during prior week.
 5. Schedule status and corrective measures and procedures that are planned to place the project back on schedule, if such action is necessary.
 6. Report of any accidents, and any site safety issues that need to be addressed.
- E. Other agenda items to be discussed include:
1. Review and revise as necessary and approve minutes of previous meetings.
 2. Status of Requests for Information, Change Order Requests, submittals and shop drawings.
 3. Identify problems that impede planned progress.
 4. Other current business pertaining to the Work.

- F. Revision of Minutes:
1. Unless published minutes are challenged in writing prior to the next regularly scheduled progress meeting, they will be accepted as properly stating the activities and decisions of the meeting.
 2. Persons challenging published minutes shall reproduce and distribute copies of the challenge to all indicated recipients of the particular set of minutes.
 3. Challenge to minutes shall be settled as priority item of "old business" at the next regularly scheduled meeting.

1.06 PROGRESS SCHEDULE AND PROGRESS BILLING MEETINGS

- A. Once each month, a progress schedule and progress payment meeting will be conducted with the Construction Manager. The purpose of this meeting is to review the Progress Payment Estimate and reach agreement on the extent of the Work completed during the pay period.
- B. The meeting date will be scheduled in accordance with the Owner's deadline for submittal of Progress Pay Estimate.
- C. The updated progress schedule will also be reviewed at this meeting as described in Section 01324, Progress Schedules and Reports. Schedule impacts, time extension requests, actual and anticipated schedule activity sequence/duration changes, delays, and other schedule-related topics will be discussed.
- D. The Construction Manager may require more frequent progress schedule meetings should there be schedule revisions that necessitate such a meeting.

1.07 SUBMITTAL MEETINGS

- A. When required in the individual technical specification, or if requested by the Contractor, Construction Manager, or the Engineer, a meeting regarding a required submittal will be held to facilitate the timeliness of the submittal preparation and review process.

1.08 QUALITY ASSURANCE MEETINGS

- A. The Contractor or the Engineer may request a meeting prior to the start of a particular phase of the project to discuss how the Work shall be accomplished in accordance with the quality requirements of the Contract Documents, Codes, permits and industry standards. Quality assurance inspections and tests that are applicable to the Work will be discussed.

1.09 PRE-INSTALLATION MEETINGS

- A. When required in the individual specification, or if requested by the Contractor or Engineer, a pre-installation meeting will be held to review conditions of the installation, installation procedures, and coordination with related work. This meeting will be scheduled to take place in advance of installation of the equipment or as required in the technical specifications.

1.10 PRE-SUBSTANTIAL COMPLETION MEETING

- A. Thirty (30) days prior to the estimated substantial completion, the Owner, Construction Manager, Engineer, Contractor, and appropriate subcontractors will meet to review maintenance manuals, guarantees, closeout submittals, bonds, and service contracts for materials and equipment.

1.11 SPECIAL MEETINGS

- A. Any time during progress of the Work, the Owner and the Construction Manager shall have the authority to require the Contractor and any subcontractor, suppliers, or service providers to attend job-site conferences on matters which require immediate or special attention. Any notice of such conference shall be duly observed and complied with by the Contractor and subcontractors, suppliers, or service providers without extra cost to Owner.

1.12 POST CONSTRUCTION GUARANTY PERIOD MEETING

- A. Contractor shall meet with a representative of the Owner and the Engineer approximately eleven (11) months after the date of Substantial Completion to inspect the Work. Meeting will be arranged by the Owner at least seven (7) days before meeting. The Contractor will require attendance of its Project Manager/Superintendent, appropriate manufactures and appropriate subcontractors.

PART 2 PRODUCTS (NOT USED)

PART 3 EXECUTION (NOT USED)

END OF SECTION

SECTION 01322

WEB BASED CONSTRUCTION DOCUMENT MANAGEMENT

PART 1 WEB DATABASE

1.01 SUMMARY

- A. The project team will use and maintain a web-based database as the primary means of communication related to the Project's correspondence, submittals, requests for information (RFIs), advisory notices, and non-compliance issues. Correspondence from the Contractor shall be sent to the Construction Manager via the PROCORE System.
- B. The Construction Manager and Contractor shall utilize PROCORE's system for electronic submittal of all data and documents (unless specified otherwise by the Construction Manager) throughout the duration of the Contract. PROCORE is a web-based electronic media site that is hosted by PROCORE LLC utilizing their PROCORE web solution. PROCORE will be made available to all Contractor's project personnel. The joint use of this system is to facilitate; electronic exchange of information, automation of key processes, and overall management of the Contract. PROCORE shall be the primary means of project information submission and management. When required by the Construction Manager, paper documents will also be required. In the event of discrepancy between the electronic version and paper documents, the paper documents will govern. PROCORE is a registered trademarks of PROCORE LLC.

1.02 USER ACCESS LIMITATIONS

- A. The Construction Manager will control the Contractor's access to PROCORE by allowing access and assigning user profiles to accepted Contractor personnel. User profiles will define levels of access into the system; determine assigned function-based authorizations (determines what can be seen) and user privileges (determines what they can do).

1.03 AUTOMATED SYSTEM NOTIFICATION AND AUDIT LOG TRACKING

- A. Review comments made (or lack thereof) by the Construction Manager and Engineer on Contractor submitted documentation shall not relieve the Contractor from compliance with requirements of the Contract Documents. The Contractor is responsible for managing, tracking, and documenting the Work to comply with the requirements of the Contract Documents. The Construction Manager's acceptance via automated system notifications or audit logs extends only to the face value of the submitted documentation and does not constitute validation of the Contractor's submitted information.

1.04 CONTRACTOR RESPONSIBILITY

- A. The Contractor shall be responsible for the validity of their information placed in PROCORE and for the abilities of their personnel. Accepted users shall be knowledgeable in the use of computers, including Internet Browsers, email programs, CAD drawing applications, and Adobe Portable Document Format (PDF) document distribution program. Adobe PDF documents will be created through electronic conversion rather than optically scanned whenever possible. The Contractor is responsible for the training of their personnel in the use of PROCORE (outside what is provided by the Construction Manager) and the other programs indicated above as needed.

1.05 USER ACCESS ADMINISTRATION

- A. Provide a list of Contractor's key PROCORE personnel for the Construction Manager's acceptance. The Construction Manager is responsible for adding and removing users from the system. The Construction Manager reserves the right to perform a security check on all potential users.

1.06 CONNECTIVITY PROBLEMS

- A. PROCORE is a web-based environment and therefore subject to the inherent speed and connectivity problems of the Internet. The Contractor is responsible for its own connectivity to the Internet. PROCORE response time is dependent on the Contractor's equipment, including processor speed, Internet access speed, etc. and current traffic on the Internet. The District and Construction Manager will not be liable for any delays associated from the usage of PROCORE including, but not limited to: slow response time, down time periods, connectivity problems, or loss of information. The Contractor will ensure that its connectivity to the PROCORE system (whether at the home office or job site) is accomplished through some form of high-speed communications with 128 kb/s as the minimum bandwidth requirements for using the system. It is recommended a faster connection be used when uploading pictures and files into the system. Under no circumstances shall the usage of PROCORE be grounds for a time extension or cost adjustment to the Contract. If there are problems that persist with the PROCORE site for more than 24 consecutive hours that prevent the electronic submission of data by the Contractor, the Contractor may submit documents in paper form to the Construction Manager until such time that the Construction Manager notifies the Contractor that the PROCORE site is operable and available for use. Construction Manager shall likewise send documents to Contractor in paper form during such disruption of the Procure system.

1.07 TRAINING

- A. The Construction Manager has arranged for the following training to be provided to the Contractor. The Construction Manager will provide a one-hour training class to the Contractor within ten (10) days of NTP at a time mutually agreeable to Contractor and Construction Manager. Thereafter the Construction Manager will provide up to one hour of additional training via telephone during the project per month of the project life.

PART 2 EQUIPMENT

2.01 SUMMARY

- A. In order to process correspondence, submittals, and RFIs, the Contractor must provide and have in place for its own use the required basic components outlined below.

2.02 HARDWARE

- A. A computer with internet access and sufficient capabilities to perform all project duties; a scanner at least large enough to scan 11" x 17" sheets with sufficient resolution to maintain clarity and legibility of the document at its native size; and a color printer of sufficient size and capacity to accept incoming correspondence as described in this Section.

2.03 SOFTWARE

- A. Adobe Acrobat 9 or higher; Microsoft's Internet Explorer v7 or higher; Microsoft Office 2003 or higher, including but not limited to Microsoft Word and Microsoft Excel.
- B. PROCORE currently supports Mozilla's Firefox v3.5 and newer, Apple's Safari v4 and newer, Google Chrome, and Microsoft's Internet Explorer v7 and v8 web browsers for accessing the application. Certain functions may not be available when using any program other than the newest version of Microsoft's Internet Explorer.

2.04 FACILITIES

- A. The Contractor shall make its own arrangements to provide high-speed (minimum speed: download 256Mbps / upload 10Mbps) internet connection for its own use as soon as practicable.

PART 3 EXECUTION

3.01 SUMMARY

- A. Items to be uploaded to PROCORE by the Construction Manager include but are not limited to: RFI responses, Submittal comments, Clarification letters, Design Clarifications, Field Orders, et al. These items will be emailed as attachments in PDF file format. These attachments may include files that need to be viewed and/or printed in color. Formal letters, stop notices, Field Orders, Progress Payment Requests, and Contract Change Orders will always include a wet-signed hard copy.

3.02 PROCORE UTILIZATION

- A. All project related correspondence (RFIs, submittals, etc.) originated by the Contractor or Subcontractor, Supplier, et al. shall be directed to the Construction Manager, unless otherwise indicated in the Specifications.

3.03 SUBMITTALS

- A. The use of the electronic communication does not waive the requirement for the provision of hard copies of all formal correspondence and submittals. The hard copies of all documents must match the electronic copies of all correspondence and submittals.
- B. Submittals shall be in accordance with Section 01330, Submittal Procedures. The provisions of Section 01330 shall apply both to electronic copies and hard copies of submittals.
- C. In addition to above, PROCORE shall be utilized in connection with submittal preparation and information management required by but not limited to Sections:
 - 1. Section 01324 – Progress Schedules and Reports.
 - 2. Section 01330 – Submittal Procedures.
 - 3. Section 01340 – Request for Interpretation.
 - 4. Section 01782 – Operation and Maintenance Data
 - 5. Section 01780 – Record Documents.
- D. PROCORE will be utilized by all other Sections not listed above and as required by the Construction Manager.

3.04 TERMINATION IN USE

- A. The District may request a termination of the use of PROCORE for the electronic submission of data, and alternatively the use of paper documents submitted in accordance with the Contract Documents by providing notification in writing with ten (10) days notice that it intends to discontinue use of PROCORE.

3.05 ADOBE PDF

- A. All information, comments, questions, and statements shall be scanned and/or converted to the PDF file format and attached to the email. Items to be sent via email include but are not limited to large-format plan sheets (22" x 34" or larger), small-format plan sheets, pages within tabbed binders, RFIs, transmittal sheets, et al. The PDF attachments supplied to the Construction Manager shall be in a sufficient resolution to be fully legible at its native size.
- B. All separate files within a given piece of correspondence shall be combined into a single PDF document (i.e. An RFI that contains a text file and two photo files shall be combined into a single PDF document prior to delivery to the Construction Manager.)

3.06 LABELING FORMAT

- A. The subject line of each email, and the file name of any attached files shall begin with the file labeling scheme:
 - 1. RFI_XXX.Y_(Contractor Name)_(Subject).
 - 2. Letter_XXX_(Contractor Name)_(Subject).
 - 3. Transmittal_XXX_(Contractor Name)_(Subject).
 - 4. PCO_XXX.Y_(Contractor Name)_(Subject).
 - 5. Submittal_XX XX XX-YY-Z_(Contractor Name)_(Subject).

- B. The first section of the label indicates the type of correspondence (i.e RFI). "XXX" indicates a unique number, sequentially assigned for the given piece of correspondence. "Y" is a sequential letter assigned for revised or resubmitted documents, i.e. A, B, or C being the 1st, 2nd, and 3rd revision or resubmittal, respectively. "(Contractor Name)" indicates to the database that the correspondence is from the Contractor. The Contractor will indicate the subject at the end of the numbering scheme. For submittals, XX denotes the Specification Number, YY denotes the sequential number of submittals in that Specification Section, and "ZZ" indicates whether the submittal is an original or a resubmittal, as described in Section 01330, Submittal Procedures. Each piece of correspondence shall be sent in a separate email. O&M submittal numbering shall be as specified in Section 01782, Operation and Maintenance Data

3.07 SUBMITTALS

- A. If a submittal package has multiple items that are not directly related, each item shall be considered a separate submittal and shall be sent separately. For example, "Concrete Mix Design," and "Concrete Curing Compound" shall be submitted as separate items to the Construction Manager.

3.08 ORIGINAL DOCUMENTS

- A. Where possible, the Contractor will obtain the electronic document from its original source to maintain the integrity, legibility, and searchability of the document.

3.09 ORGANIZATION

- A. The information included in the attachments shall be organized in a logical and thoughtful manner. Where the information originated in a tabbed format (a binder, for example), the scanned and/or converted PDF file shall be electronically bookmarked accordingly using the "bookmark" function of Adobe Acrobat 9 Std.

3.10 PRINTING

- A. Except where otherwise indicated, the Contractor will receive no hard copies of the above outlined correspondence. The Contractor will be required to print for its use, in color if necessary, any record copies, field copies, subcontractor copies, etc., if such copies are desired.

3.11 PROJECT FORMS

- A. The Contractor may use its own correspondence forms to be attached to correspondence emails as long as the Contractor's forms comply with this and all submittal sections.

END OF SECTION

SECTION 01324

PROGRESS SCHEDULES AND REPORTS

PART 1 GENERAL

1.01 SECTION INCLUDES

- A. Progress Scheduling and reporting requirements.

1.02 REFERENCED SECTIONS

- A. The following Sections are referenced in this Section:
 - 1. Section 00700 - General Conditions.
 - 2. Section 00800 – Supplementary General Conditions.
 - 3. Section 00510 – Agreement.

1.03 SUMMARY

- A. The Progress Schedule for this Project will also be referred to as the Critical Path Method (CPM) Schedule. A CPM network schedule is a graphical depiction of the Contractor's construction plan, showing the sequential activities necessary to complete the Work within the specified contract times and constraints. The CPM network schedule for this project shall depict events and tasks as activities, showing their interrelationships, and shall identify the progress required for each activity before subsequent activities can start. Activities shall be logically presented in a network showing the activities' interrelationships chronologically. Because each activity has an assigned duration, the completed network shall show the critical path of activities that must be completed on time to ensure timely project completion. The earliest and latest start and finish times for each activity shall also be shown. The CPM network shall be comprehensive and shall include all interdependencies and interactions required to perform the Work.
- B. The construction schedules and accompanying reports outlined in this section are important to the District as they budget, plan and administer the project. The District, Construction Manager and/or Engineer will regularly analyze the most current progress schedule during construction to monitor progress status of the project relative to contract times. The Schedule Updates and Weekly Schedules will be an agenda item at all project coordination meetings as project participants work together in prioritizing their respective tasks and action items to efficiently perform their duties.
- C. The Time Impact Analyses are very important submittals to the Construction Manager and District as they evaluate activity durations and the relationships between activities before deciding on possible changes to the contract time and/or viable options to mitigate time impacts.
- D. By submitting a bid for this Project, the Contractor represents to the District that Contractor will have included all costs within its Total Bid Price to fully comply with all scheduling and reporting requirements hereinafter prescribed in this Section.

1.04 RESPONSIBLE SCHEDULING PERSON

- A. The Contractor shall designate, in writing within five (5) calendar days after Notice of Award the person responsible for preparation, maintenance, updating and revision of all schedules required in this Section.
- B. The qualifications of this Responsible Scheduling Person shall include:
 - 1. Capable of understanding this project scheduling specification and producing a schedule and reports to the standards defined in this specification.
 - 2. Five (5) years verifiable experience in preparation of complex construction schedules for projects of similar value, size and complexity.
 - 3. Must be very proficient in the use of CPM scheduling utilizing Oracle Primavera Professional Project Manager (P6) PPM scheduling software.
- C. The Contractor shall provide references of three (3) project Owners or Construction Managers who have personal experience with this scheduler on previous projects. References shall include current contact information (telephone and/or email) for Construction Manager to verify the qualifications of the proposed responsible scheduling person.
- D. Contractor's Responsible Scheduling Person shall be available on-site as needed and have authority to act on behalf of the Contractor. All scheduling software and hardware shall be located on-site. Scheduler shall attend project meetings as called for by the Construction Manager for proper explanation of all schedule updates.
- E. In the event the Contractor does not have an employee with the required scheduling qualifications, as determined by the Construction Manager, the Contractor will be required to employ a qualified CPM scheduling consultant who regularly performs these services and who in the opinion of the Construction Manager possesses the required scheduling qualifications and availability to perform the CPM scheduling for this Project.
- F. Construction Manager reserves the right to remove scheduler from the project if found to be incompetent.

1.05 SCHEDULING FORMAT AND SOFTWARE

- A. Schedule Format: Utilize critical path method (CPM) format utilizing Precedence Diagramming Method.
- B. Prepare computerized schedules utilizing Oracle Primavera Professional Project Manager (P6) PPM scheduling software compatible with Windows 8 or later version.
- C. The Contractor shall provide one licensed copy of the Oracle Primavera Professional Project Manager (P6) PPM scheduling software program used to produce the Contractor's Schedule to the Construction Manager, registered in the Construction Manager's name. This licensed copy must be provided no later than five (5) days after the Notice to Proceed date.

1.06 PRECONSTRUCTION SCHEDULING MEETING AND PREPARATION

- A. The Construction Manager will conduct a Preconstruction Scheduling Meeting with Contractor's Project Manager and Responsible Scheduling Person within seven days (7) calendar days after approval of the Contractor's designated Scheduler. This meeting is separate from the Preconstruction Conference Meeting and is intended to only cover schedule requirements for this project. These requirements would include formatting, color coding, activity detail, coding structure, calendar requirements, reporting requirements, updates, revisions, and schedule delay analysis.
- B. Contractor shall present their schedule methodology, planned sequence of operations and proposed activity coding structure. The coding structure shall, at a minimum, include code fields for Project Segment or Phase, Area of Work, Type of Work, Submittal/Procurement/Construction and Responsibilities (this would include all subcontractors). The activity code structure shall be sufficient to allow future sorting and/or grouping by responsibility or subcontractor, area/location, CSI division, milestones and change orders. This will allow the "rollup" of the activities in the form of a Summary Schedule.
- C. During preparation of the Preliminary and Baseline Schedules, the Construction Manager will facilitate Contractor's efforts by being available to answer questions regarding sequencing issues, scheduling constraints, interface points, and dependency relationships.

1.07 PRELIMINARY PROGRESS SCHEDULE

- A. Within five (5) days after receipt of Notice to Proceed or Preconstruction Conference, whichever occurs first, the Contractor shall submit a Preliminary Progress Schedule in the form of time scaled logic diagram and a bar chart which shows the Contractor's intention to execute the Work within the specified contract times and constraints. The Preliminary Progress Schedule shall cover the following project phases and activities:
 - 1. Procurement and Submittals, including shop drawings and fabrication and delivery of key and long lead time procurement items. The Contractor's submittal information shall show intended submittal dates and shall include, as a minimum, the maximum allowable review period as specified as a separate predecessor activity:
 - a. The information shall provide sufficient durations for administration, fabrication and transportation to produce realistic delivery dates for the procurement items.
 - 2. All activities planned for the first ninety (90) days in the execution of the Work.
 - 3. The approach to scheduling the remaining activities or phases of the Work shall be represented by at least one summary activity for each major phase or activity. The total duration of the summary activities shall equal the Contract Time.
 - 4. Approximate duration for each summary activity representing the Contractor's best estimate for the work the summary activity represents.
 - 5. Weather days as specified in General Conditions 8.03.C.1.b, Weather Delays

- B. The Contractor shall produce and provide four (4) complete sets of each of time scaled logic diagram and bar charts in color on 22-inch by 34-inch sheets and one electronic copy on a CD with data in P6 format.
- C. The Preliminary Progress Schedule shall describe the activities to be accomplished and their dependency subject to all requirements under these Construction Schedule provisions, as appropriate. The Preliminary Progress Schedule will be used temporarily to record and monitor the progress of the Work until the Baseline Schedule, specified hereinafter, has been completely developed and favorably reviewed. Recorded data on the Preliminary Progress Schedule shall be incorporated into the Baseline Schedule during the first schedule update.
- D. The Construction Manager shall review the Preliminary Progress Schedule and provide any comments, provide favorable review of the Preliminary Progress Schedule, or request a meeting to review the Preliminary Progress Schedule with the Contractor within ten (10) days of receipt of the schedule. If requested, the Contractor shall participate in a review and evaluation of the schedule with the Construction Manager. Any revisions necessary as a result of this review shall be resubmitted for review by the Construction Manager within five (5) days.
- E. No progress payments will be made prior to submission and acceptance of the CPM Preliminary Schedule by the Construction Manager.
- F. The Preliminary Progress Schedule shall be updated as required by Construction Manager until the Baseline Schedule, specified hereinafter, has been accepted.

1.08 BASELINE SCHEDULE

- A. Baseline Schedule Submittal:
 - 1. The Contractor shall submit an acceptable Critical Path Method (CPM) Baseline Schedule to the Construction Manager within thirty-five (35) days after the receipt of the Notice to Proceed for all Work of the Project. Subsequent revisions to Baseline Schedule shall be submitted as set forth hereinafter.
 - 2. The Contractor shall produce and provide four (4) complete set each of time-scaled network logic diagrams and bar charts in color on 22-inch by 34- inch sheets and one electronic copy on a CD with data in P6 format. The network logic diagram shall be clear and legible. Critical activities shall be indicated in red color on both schedules.
 - 3. The Contractor shall include with the Baseline Schedule Submittal a signed statement from each Subcontractor listed on the Contractor's Bid Form Attachment D, DESIGNATION OF SUBCONTRACTORS which confirms they have evaluated the Contractor's Baseline Schedule and agree that the Baseline Schedule accurately depicts the quantity, logic and durations for all activities assigned to their company.
 - 4. The Construction Manager shall review the schedule and provide any comments, its favorable review of the schedule, or request a meeting to review the schedule with the Contractor within fifteen (15) days of receipt of the schedule. If requested, the Contractor shall participate in a review and evaluation of the proposed network diagrams and analysis by the Construction Manager. Any revisions necessary as a result of this review shall be resubmitted for review by the Construction Manager within ten (10) days.

When completed, the favorably reviewed schedule shall then be the schedule to be used by the Contractor for planning, organizing, and directing the work, and for reporting progress. If the Contractor thereafter desires to make significant changes in its method of operating and scheduling, the Contractor shall notify the Construction Manager in writing stating the reasons for the change.

5. No more than two (2) progress payments will be made prior to submission and acceptance of the CPM Baseline Schedule by the Construction Manager.
6. Failure to include an activity required for execution of the Work does not excuse Contractor from completing the Work and portions thereof within specified contract times and contract price. Failure of Contractor to include required schedule constraints, sequences or milestones in schedule shall not relieve Contractor of obligation to conform to requirements of Contract. Acceptance of schedule shall not waive Contract requirements. In event of conflict between accepted schedule and Contract requirements, terms of Contract shall govern at all times, unless requirements are waived in writing by the District.
7. Contractor shall not unilaterally change the accepted Baseline Schedule without the prior written notification to and acceptance and consent of the Construction Manager, excepting only the reporting of Actual Start, Actual Finish, and Activity Progress. The accepted Baseline Schedule shall be used for comparison with the current updated schedule, and possible revised schedules, during the Contract.

B. Baseline Schedule Requirements:

1. Prepare schedule utilizing Precedence Diagramming Method.
2. The Contractor's proposed CPM Baseline Schedule and all updates or revisions thereto, shall meet the following requirements:
3. Schedule and Project Completion- The Baseline Schedule and all updates or revisions shall show completion of the project within the required contract times and constraints on the Work. Failure to do so may result in the District terminating for cause under General Conditions 8.1, District's Right to Terminate Contract.
4. If the Contractor's schedule is based on less time than the maximum time allowed for milestone(s) or Contract completion no compensation for extended overhead expenses will be considered until the expiration of the entire time periods provided for in the Contract as adjusted by any time extensions granted other than compensable time extensions.
5. Acceptance of the Contractor's Base CPM Schedule, monthly updates or revised schedule, when based on less time than the maximum time allowed for milestone(s) or Contract completion does not serve to change any Contract duration, nor serve as a waiver of the Contractor's nor the District's right to utilize the full amount of time specified in the Contract, unless so modified in a Contract Change Order.
6. Prepare schedule utilizing activity durations in terms of working days. Do not exceed fifteen (15) working day duration on activities except concrete curing, submittal review, and equipment fabrication and deliveries. Where duration of continuous work exceeds fifteen (15) working days, subdivide activities by location, stationing, or other sub-element of the Work. Coordinate holidays and Furlough calendars to be observed with the City and incorporate them into the schedule as non-working days.

7. Schedule Logic: Schedule shall be assembled to show order in which Contractor proposes to carry out Work, indicate restrictions of access, availability of Work areas, and availability and use of manpower, materials and equipment. The Contractor shall indicate all dependencies and logic between activities so that it may be established what effect the progress of any one activity has on the schedule. The following criteria shall form basis for assembly of schedule logic:
 - a. Which activities must be completed before subsequent activities can be started?
 - b. Which activities can be performed concurrently?
 - c. Which activities must be started immediately following completed activities?
 - d. What major facility, equipment or manpower restrictions are required for sequencing these activities?
8. Resource Loading and Leveling: Contractor shall input manpower and equipment data on each schedule activity. Manpower data shall consist of the man-hours estimated to perform each task, categorized by trade. Equipment data shall consist of equipment hours estimated to perform each task, categorized by piece of equipment. Contractor shall optimize and level manpower and equipment requirements. Resource leveling shall reflect a reasonable plan for accomplishing Work. Individual activities may be sequenced within limits of available float. Critical or near critical paths resulting from use of manpower or equipment restraints shall be kept to a minimum. Near critical path identified as path with five (5) or less working days of float. The Construction Manager will not review the resources as these shall be deemed to be within the Contractor's means and methods of work. The Contractor shall determine and allocate the proper resources to complete the Project by the specified Contract completion date:
 - a. After the Baseline Schedule is accepted by the Construction Manager, Contractor is to submit a schedule histogram depicting total craft manpower for Contractor's own labor forces and those of each subcontractor. This manpower schedule shall be submitted electronically on a flashdrive with one paper copy.
9. Network Activities- The selection and number of detailed network activities shall be subject to favorable review by the Construction Manager and shall meet the following requirements:
 - a. All Work activities should be of sufficient detail to ensure adequate planning and execution of the Work and such that schedules provide an appropriate basis for monitoring and evaluating the progress of the Work. A work activity is defined as a single task that requires time and resources (manpower, equipment and/or material) to complete in a continuous operation, excluding submittal activities, review/acceptance activities, and fabrication/procurement activities. Durations for on-site work activities shall be in working days and shall not exceed ten (10) workdays. Passive on-site activities such as curing and testing periods can be in calendar days if desired by Contractor. All such passive on-site activities shall be included in the Contractor's schedule and durations should be as specified in the Contract Documents.

- b. The submittal and approval of samples and equipment, fabrication of special material and equipment and their installation and testing. Should the Contractor expect multiple submittals and deliveries for materials or equipment from the same supplier, the Contractor shall show each planned submittal and delivery and the logic to the respective on-site Work activity(s).
 - c. The critical path shall be shown on all reports and on the graphic network logic diagram. The activities which constitute the critical path shall be identified.
 - d. Progress milestone events or other significant stages of completion, as defined in Section 00510, Agreement, Article 4 – Contract Times. System shutdown and tie-in dates must be identified and included in the schedule.
 - e. The lead time required for testing, inspection and other procedures required prior to acceptance of the work. All witnessed factory tests shall be shown as individual activities.
 - f. The activity numbers shall be grouped by responsibility, phases, milestones, work area, trade and subcontractor to provide logical summary activities.
 - g. All activities of the District and the Construction Manager that affect progress along with required contract dates for completion of all parts of the work.
 - h. All activities of utilities, regulatory agencies and permitting agencies.
 - i. All mobilization and demobilization activities, including temporary controls.
 - j. Schedule shall show all hydraulic testing of structures, pipe testing, field testing, training and demonstration periods as required. Field testing and training activities should be broken down to reflect all individual system and equipment components.
 - k. Float shall not be an activity unless approved by the Construction Manager.
10. Network Logic Diagram- The graphic network diagram shall include for each activity, the description, activity number, the estimated duration in workdays, and all activity relationship lines. The network diagram shall be drawn for the early start and early finish of all activities. The diagrams shall show elements of the project in detail and an entire project summary. Diagrams shall show the order and interdependence of all activities and sequence in which the work is to be accomplished as planned by the Contractor and its subcontractors. The basic concept of a network analysis diagram shall be followed to show how the start of a given activity is dependent on the completion of preceding activities and its completion restricts the start of following activities. The Primavera layout of the network logic diagrams shall be time-scaled and show the following as a minimum:
- a. Activity numbers and description.
 - b. Activity duration and total float.
 - c. Critical path, highlighted in red color.
 - d. Relationships between activities and lag times, if any.
 - e. Start, completion and milestone dates.
11. Primavera Bar Chart:
- a. The Primavera layout of the bar chart shall show the following as a minimum:
 - 1) Activity description.
 - 2) Early-start-early-finish, duration and total float time of activities.
 - 3) Critical path, highlighted in red color.

- 4) Percent complete on bar, highlighted in blue color.
 - 5) Relationships between activities and lag times, if any.
 - 6) Start, completion and milestone dates.
 - b. The layout of the columns, printed on left hand side of bar chart, shall include the following as a minimum:
 - 1) Activity ID Number.
 - 2) Activity Description.
 - 3) Original Duration.
 - 4) Remaining Duration.
 - 5) Early Start, Early Finish, Late Start and Late Finish dates.
 - 6) Percent Complete.
 - 7) Current Total Float.
 - 8) Change in Float since Original Baseline.
 - 9) Change in Float since previous update.
12. Float:
- a. "Total Float" or "Float" shall be defined as the difference between the early finish and late finish dates for an activity. On the CPM Schedule delineate the specified Contract duration and identify the planned completion of the Work as the final finish milestone. The time period between these two dates, if any, shall be considered float. Float in any activity, milestone completion date or Contract completion date shall be considered a resource available to both the District and the Contractor. Neither the District nor the Contractor has ownership of the float.
 - b. The parties agree that float, as properly shown on the baseline schedule and all updates thereto, is not for the exclusive benefit of either party. Consequently, either party may, without liability to the other for actual or liquidated damages for delay, delay a schedule activity provided that such delay shall not cause the float of the affected activity to become negative. If the float of the activity is already negative, as shown on the most recent schedule update, either party may delay such activity, without liability to the other for actual or liquidated damages for delay, provided that such delay shall not cause the negative float of the delayed activity to exceed the negative float of all other schedule activities. If the project has interim milestones with separate liquidated damages, only delays by the District to the Project Critical Path shall potentially entitle the Contractor to extended overhead costs and only subject to the limitations of General Conditions 8.04, Time Extensions.
 - c. Pursuant to these float sharing requirements, use of float suppression techniques such as preferential sequencing or logic, special lead or lag logic restraints, extended activity duration's or imposed dates shall be cause for rejection of any schedule submittal.
13. District-furnished Equipment or Materials (If Applicable):
- a. Immediately after Award of Contract, Contractor shall obtain from Construction Manager anticipated delivery dates of District-furnished equipment or materials if they are not already specified in the Contract Documents.
 - b. These dates shall be shown on Baseline Construction Schedule in same manner indicated by Construction Manager.
14. Baseline Tabular Report:
- a. The Baseline Schedule submission should include a tabular report of all activities grouped by Area, Phases (as may be applicable), and sorted by early start, then total float, then early finish.

- b. For each activity, the following information shall be provided:
 - 1) Activity ID and Description.
 - 2) Original Duration.
 - 3) Total Float.
 - 4) Early/Late Starts and Finishes.
 - 5) Responsibility.
- c. This report should also include a project calendar indicating all non-working periods and an Activity Code dictionary which identifies all code values and code titles used.

1.09 WEATHER CONDITIONS AND WEATHER DAY ALLOWANCE

- A. Seasonal weather conditions shall be considered in the planning and scheduling of work activity durations influenced by high or low ambient temperatures or precipitation to ensure the completion of the Work within the Contract Time. No time extensions will be granted for the Contractor's failure to take into account such weather conditions for the location of the Work and for the period of time in which the Work is to be accomplished.
- B. The expected loss of working ten (10) days shall be included in a separate identifiable critical activity labeled "Weather Days Allowance" to be included as the last critical activity of the project schedule. When weather days are experienced, and are approved as such by the Construction Manager, the Contractor shall either:
 - 1. Increase the duration of the current critical activity(ies) by the number of weather days experienced.
 - 2. Add a critical activity to the schedule to reflect the occurrence of the weather day(s).
- C. The duration of the weather day allowance activity shall be reduced as weather days are experienced and included in the schedule. Any remaining weather days in the weather day allowance activity at the completion of the project shall be considered as float and shall not be for the exclusive use or benefit of either the District or Contractor.

1.10 UPDATING THE BASELINE SCHEDULE

- A. Contractor shall update the Baseline Schedule on a monthly basis (or at shorter intervals if deemed necessary by Construction Manager to identify corrections necessary, such as work activities ten (10) days or more behind schedule) for the purpose of recording and monitoring the progress of the work. The Updated Baseline Schedule shall incorporate changes mutually agreed upon by Contractor and Construction Manager during preceding periodic reviews and changes resulting from approved Change Orders and Field Orders.
- B. The Contractor shall produce and provide four (4) complete sets each of time-scaled network logic diagrams and bar charts in color on 22-inch by 34-inch sheets and one electronic copy on a CD with data in P6 format. The network logic diagram shall be clear and legible. Critical activities shall be indicated in red color on both schedules.

- C. Variance Reports:
1. Variance Report: With each updated schedule submission, provide a computer generated Log Report using Acumen Fuse software listing all changes made between the previous schedule and current updated schedule. Identify the name of the previous schedule and name of the current schedule being compared showing all changes to the Schedule. This report will as a minimum show changes for: Added & Deleted Activities, Original Durations, Calendars, Descriptions, Constraints (added, deleted or changed), Added/Deleted Resources, Resource Quantities, Costs, Added/Deleted Relationships, Changed Relationship Lags, a Critical Path Analysis, Float Analysis, Open Ended Activity Analysis, a "Ribbon" Analysis and an Overall Scorecard Value. A narrative shall be included in the variance report stating the reason for the changes listed above.
 2. This variance report should also include a narrative explaining the reasons for the changes listed in item (1) above, any significant updates or revisions inputted in the schedule since last schedule update, current or anticipated problems affecting the progress of Work, impact of these problems and the measures taken to mitigate impact.
 3. The District will not make monthly progress payments to the Contractor until the Construction Manager has received and accepted all schedule updates and reports as herein specified:
 - a. The Contractor should submit schedule updates and reports to Construction Manager at least five (5) days before Contractor's submission of its request for progress payment for same monthly period.

1.11 SCHEDULE REVISIONS

- A. The conditions under which the Construction Manager may require revisions of the Construction Schedule include the following:
1. When delay in completion of any work item or sequence of work items results in an estimated extension of project completion by either fifteen (15) working days or by five percent (5 percent) of the remaining duration of time to complete the Contract, whichever is less. This slippage duration may be reduced further for any contract time that is a critical fixed completion date which must be met.
 2. When delays in submittals or deliveries make re-planning or re-scheduling of the work necessary.
 3. When the schedule does not represent actual prosecution and progress of the work.
 4. When any change to the sequence of activities, the completion date for major portions of the work, or changes occur which affect the critical path.
 5. When Contract modification necessitates schedule revision.

1.12 WEEKLY SCHEDULE

- A. Submit to Construction Manager, on the last working day of every week, a progress schedule showing the activities completed during the previous week and the Contractor's schedule of activities for the following three (3) weeks.
- B. The Weekly Schedule may be a CPM schedule or a bar chart but shall utilize the logic and conform to the status of the current progress schedule. In the event that

the Weekly Schedule no longer conforms to the current schedule Contractor may be required to revise the schedule in accordance with Article, "Revisions to Schedule".

- C. The activity designations and activity numbers used in the Weekly Schedule shall be consistent with those used in the Baseline Schedule and the monthly Schedule Updates.
- D. The format of the Weekly Schedule shall be as agreed upon between the Contractor and the Construction Manager.

1.13 TIME IMPACT ANALYSIS

- A. When change orders are initiated, delays are experienced, or the Contractor desires to revise the schedule logic, the Contractor shall submit to the Construction Manager a written Time Impact Analysis illustrating the influence of each change, delay, or Contractor request on the current contract schedule completion date. Each Time Impact Analysis shall include a fragmentary network analysis demonstrating how the Contractor proposes to incorporate the change order, delay, or Contractor request into the Schedule. The analysis shall demonstrate the time impact based on the date of occurrence of the change, delay or revision; the status of construction at that point in time; and the impact of all affected activities. The event times used in the analysis shall be those included in the latest updated copy of the CPM Schedule or as adjusted by mutual agreement between the Construction Manager and Contractor.
- B. Activity time delays will not automatically mean that an extension of Contract Time is warranted or due the Contractor:
 - 1. It is possible that a strike or contract modification will not affect existing critical activities or cause non-critical activities to become critical, i.e., a strike or modification may result in only absorbing a part of the available total float that may exist within an activity chain of the network, thereby not causing any effect on the Contract completion date or time.
 - 2. The Contractor acknowledges and agrees that mitigation for delays due to changes, differing site conditions, suspension of the Work and other causes will require revision of preferential sequences of the Work before proposing an updated schedule which supports a delay to the Project as a whole. When a delay to the Project as a whole can be avoided by revising preferential sequencing, and the Contractor chooses not to implement the revisions, the Contractor will be entitled to a time extension but is not entitled to compensation for extended overhead.
 - 3. Float or slack shall not be for the exclusive use or benefit of the District or the Contractor. Extensions of time for performance will be granted only to the extent that the equitable time adjustments for the activity or activities affected exceeds the total float along the activity chain involved at the time the change was ordered or the delay occurred.
- C. Time Impact Submittal and Review:
 - 1. Time Impact Analyses shall be submitted in triplicate and within fifteen (15) days after a delay occurs or with the Contractor's cost proposal in response to a notice of change from the Construction Manager. In cases where the Contractor does not submit a Time Impact Analysis for a specific change order, delay, or Contractor request within the specified period of time, then it is

mutually agreed that the particular change order, delay, or Contractor request has no time impact on the Contract completion date and no time extension is required:

- a. Approval or rejection of Time Impact Analyses by the Construction Manager and the District will be made within fifteen (15) days after receipt of the Time Impact Analysis unless subsequent meetings and negotiations are necessary.
- b. Upon approval, a copy of the Time Impact Analysis signed by the Construction Manager and District will be returned to the Contractor.
- c. Upon mutual agreement by both parties, schedule revisions illustrating the influence of change orders, delays, and/or Contractor requests will be incorporated into the next schedule update.

1.14 RESPONSIBILITY FOR COMPLETION

- A. Contractor agrees that at the sole judgment of Construction Manager, whenever it becomes apparent from the current monthly Updated Contract Baseline Schedule that the contract completion date and/or any milestone dates will not be met, Contractor will take the appropriate following actions, acceptable to Construction Manager, at no additional cost to District:
 1. Increase construction labor in such quantities and crafts as will substantially eliminate, in the judgment of Construction Manager, the backlog of work.
 2. Increase the number of working hours per shift, shifts per working day, working days per week, or the amount of construction equipment, or any combination of the foregoing, sufficiently to substantially eliminate, in the judgment of Construction Manager, the backlog of work. This paragraph shall not be construed to allow work outside the allowable hours and days specified in the Contract Documents.
 3. Reschedule activities to achieve maximum practical concurrence of completion of activities.

1.15 FINAL SCHEDULE SUBMITTAL

- A. As a condition precedent to the release of retainage the final Schedule Update shall be identified by the Contractor as the As-Built Schedule.
- B. The As-Built Schedule shall reflect the exact manner in which the project was constructed by reflecting actual start and completion dates for all activities accomplished on the project.
- C. The As-Built Schedule shall be signed and certified by the Contractor's Project Manager and Responsible Scheduler as being an accurate record of the way in which the project was actually constructed.

1.16 CONTRACTOR'S DAILY REPORTS

- A. Maintain daily job reports recording all significant activity on the project, including number of workers on site, active construction equipment used, notable deliveries, work activities, delays, interruptions or any problems encountered.
- B. Submit a Contractor's Daily Report form, for an approval by the Construction Manager, to record this information and submit this form to the Construction

Manager no later than the following morning for the previous work day. See Section 01999, Referenced Forms, for an acceptable Contractor Daily Report form.

- C. If there is no work performed on any given day, note the reasons for no work and submit a daily report to the Construction
- D. Manager on those days also.
- E. Failure to stay current with daily reporting will be just cause for the District not processing a progress payment until reports are submitted.

END OF SECTION

SECTION 01326

PHOTOGRAPHIC AND VIDEOGRAPHIC DOCUMENTATION

PART 1 GENERAL

1.01 SUMMARY

- A. Section includes requirements for photographs and videos.
- B. The purpose of the photographs and videos is to document the condition of the facilities prior to the Contractor beginning work at the Project site, the progress of the Work, and the Project site after Substantial Completion of the Work.
- C. The scope of the photographic and videographic documentation shall be the sole responsibility of the Contractor, but shall be acceptable to the Engineer.
- D. Related sections:
 - 1. Section 01330 – Submittal Procedures.
 - 2. Section 01322 – Web Based Construction Document Management.
 - 3. Section 01770 – Contract Closeout

1.02 SUBMITTALS

- A. Photographer qualifications.
- B. Pre-construction photographs and videos: Submit prior to beginning work at the Project site or prior to the Preconstruction Conference specified in Section 01040 - Coordination, whichever occurs earlier.
- C. Construction photographs and videos: Submit with each application for payment.
- D. Post-construction photographs and videos: Submit with project closeout documents as specified in Section 01770 – Contract Closeout.

1.03 PHOTOGRAPHER

- A. Submit example work of previous photographs and video recording meeting the requirements of this Section:
 - 1. Provide to Engineer no later than the pre-construction conference.
 - 2. Provide photographs used for site examination.
 - 3. Provide video of site examination.
 - 4. Provide samples that used same camera and lighting equipment proposed for the Work.
 - 5. Engineer will review work examples to determine if the quality of the images is acceptable.
 - 6. Contractor is responsible for modifications to equipment and/or inspection procedures to achieve report material of acceptable quality.
 - 7. Do not commence Work prior to approval of the material by the Engineer.
 - 8. Once accepted, the standard report material shall serve as a standard for the remaining work.

1.04 PHOTOGRAPHS

- A. Provide a digital copy of each photograph for each area of Work:
 - 1. Monthly: Indexed digital CD.
 - 2. Project record documents:
 - a. Catalog and index prints in chronological sequence.
 - b. Include typed table of contents.

1.05 PRE-CONSTRUCTION PHOTOGRAPHS AND VIDEOS

- A. Provide photographs and video of the condition entire site including each area of Work prior to the start of Work:
 - 1. Areas to be photographed and videoed shall include the site of the Work and all existing facilities, either on or adjoining the Project site, including the interior of existing structures, that could be damaged as a result of the Contractor's Work.
 - 2. Include general condition, structures, vegetation, staging, storing, working, parking areas and excavation areas.

1.06 CONSTRUCTION PHOTOGRAPHS AND VIDEOS

- A. Provide photographs and videos of construction in each area of Work throughout progress of Work including a key plan designating where each photograph was taken.
- B. Take site and interior photographs and videos from differing directions of building demolition, pre-excavation, footing excavation, soil testing, utility crossings, installation of bypass piping, excavation of access pits, installation of lining system in pipes, rehabilitation of manholes, building modifications, utilities, electrical and instrumentation modifications, and other applicable activities indicating relative progress of the work.
- C. Take photos a maximum of 7 calendar days prior to submittal.

1.07 POST-CONSTRUCTION PHOTOGRAPHS AND VIDEOS

- A. Provide photographs of the entire site including each area of Work at the completion of Work:
 - 1. Include general condition, structures, vegetation, staging, storing, working, parking areas and excavation areas.
 - 2. Take photos and video from same points in same direction as pre-construction examination.
- B. Submittal of photos and videos is a condition of final payment.

PART 2 PRODUCTS

2.01 MEDIA

- A. Digital media:
 - 1. Flash Drive with minimum size of 32 GB. Provide additional flash drives as needed for all required documentation.

2. Provide photos as individual, indexed JPG files with the following characteristics:
 - a. Compression shall be set to preserve quality over file size.
 - b. Highest resolution JPG images shall be submitted. Resizing to a smaller size when high resolution JPGs are available shall not be permitted.
 - c. JPG image resolution shall be 5 megapixels at 2,400 by 1,800 or higher.
 - d. Images shall have rectangular clean images. Artistic borders, beveling, drop shadows, etc., are not permitted.
 3. Identification: On photograph, provide the following information:
 - a. Date stamp: Unless otherwise indicated, date and time stamp each photograph as it is being taken so stamp is integral to photograph.
- B. Videos:
1. DVD compatible, 120 millimeters, formatted for use with PC systems.
 2. Video quality shall be 720p HD or greater in MPG, AVCHD, AVI, or MP4 format.
 3. Digital color video format.
 4. Provide audio portion of the composite CD sufficiently free from electrical interference and background noise to provide complete intelligibility of oral report.
 5. Identification: On each copy provide a label with the following information:
 - a. Name of project.
 - b. Date video was recorded.
 6. Submit 4 copies of each video within 7 days of recording.

END OF SECTION

SECTION 01330
SUBMITTAL PROCEDURES

PART 1 GENERAL

1.01 SUMMARY

- A. Section Includes: Requirements and procedures for submitting Shop Drawings, Product Data, Samples, other submittals relating to products, and as specified in individual sections.
- B. Submittals shall follow the procedures in this Section regardless of reference to this Section in the individual sections.
- C. All submittals shall be transmitted pursuant to Section 01322 - Web Based Construction Document Management.
- D. Approved Equal and Substitutions shall be as defined and managed pursuant to Section 01600 - Product Requirements.
- E. Related Sections:
 - 1. Section 01322 – Web Based Construction Document Management.
 - 2. Section 01324 – Progress Schedules and Reports.
 - 3. Section 01326 – Photographic and Videographic Documentation.
 - 4. Section 01600 – Product Requirements.
 - 5. Section 01770 – Contract Closeout.
 - 6. Section 01782 – Operation and Maintenance Data.
 - 7. Section 01999 – Referenced Forms

1.02 DEFINITIONS

- A. Manufacturer's Instructions: Instructions, stipulations, directions, and recommendations issued in printed form by the manufacturer of a product addressing handling, installation, erection, and application of the product.
- B. Shop Drawings: Drawings, diagrams, schedules, and other data specially prepared for the Work to illustrate some portion of the Work.
- C. Product Data: Illustrations, standard schedules, performance charts, brochures, diagrams, and other information to illustrate materials or equipment for some portion of the Work. Product Data will also include engineering calculations and related information, where specified. Product Data will also include engineering calculations and related information, where specified.
- D. Samples: Physical examples which illustrate materials, equipment, or workmanship and establish standards by which the Work will be judged.
- E. Special Samples: Physical examples which illustrate materials, equipment or workmanship and establish standards by which the Work will be judged, and will be incorporated in the Work.

1.03 PROCEDURES

- A. Within fifteen (15) calendar days after the Notice To Proceed, the Contractor shall submit a preliminary Schedules of Submittals which shall list each required submittal and the proposed times for submitting, reviewing, and processing each submittal.
- B. Contractor shall submit a preliminary Project Schedule for the project as specified in Section 01324 - Progress Schedules and Reports.
- C. Within thirty (30) calendar days after award of Contract, the Contractor shall submit all submittals required to start the project. The submittals should include but are not limited to the following items:
 - 1. Site-Specific Contractor Safety Program (SSCSP) 01160.
 - 2. Project Baseline CPM Schedule 01324.
 - 3. Pre-Construction Video and Photos 01326.
 - 4. Water Pollution Control Plan 01060.
- D. Contractor shall be responsible for furnishing the remaining submittals identified in the Schedule of Submittals in sufficient time for approval action, including resubmittals, without delaying the project.
- E. Contractor shall be responsible for coordinating each submittal with fabrication, purchasing, testing, delivery, other submittals, and related activities that require sequential activity.
- F. No extension of Contract Time will be authorized due to a failure to transmit submittals sufficiently in advance of the Work to permit processing.
- G. Submittals shall be provided via ProCore as described in Section 01322. Submittals which cannot be provided electronically, such as samples, shall be delivered to the District's main office or to the Engineering office at the District's treatment plant, unless another mutually agreeable place is designated.
- H. Each submittal shall include a Submittal Transmittal Form in Section 01999 - Reference Forms, with the following additional information, where applicable:
 - 1. Subcontractor and major supplier.
 - 2. Reference submittal to Contract Documents by Drawing or detail.
 - 3. Variations from Contract Documents when variations are included in submittal.
 - 4. When applicable, follow Section 01600 - Product Requirements, to request for material substitution.
- I. Each submittal shall be submitted using the District's Web Based Construction Document Management system in Section 01322. Submit one electronic copy in a searchable Adobe Acrobat pdf format with bookmarks (tabs) for each separate components of the submittal. In addition, one full-size hard copy of any submittals as requested by the Construction Manager.

- J. Submittals and subsequent resubmittals shall be in the following format: XX XX XX denotes the Specification Number, YY denotes the sequential number of submittals in that Specification Section, and "ZZ" indicates whether the submittal is an original or a resubmittal. O&M submittal numbering shall be as specified in Section 01782 - Operation and Maintenance Data.
- K. Provide or furnish products and execute the Work in accordance with accepted submittals, unless in conflict with Contract Documents.
- L. When minor deviations from Contract Documents are accepted, modify Contract Documents in accordance with the Conditions of the Contract.
- M. Contractor to provide one (1) clean and conformed hard copy of each approved submittal to the Construction Manager.

1.04 SHOP DRAWINGS, PRODUCT DATA, AND SAMPLES

- A. Submit Shop Drawings, Product Data, Samples, and other pertinent information in sufficient detail to show compliance with specified requirements.
- B. Check, verify, and revise submittals as necessary to bring them into conformance with Contract Documents and actual field conditions:
 - 1. Determine and verify quantities, dimensions, specified design and performance criteria, materials, catalog numbers, and similar data.
 - 2. Coordinate submittal with other submittals and with the requirements of the Contract Documents.
 - 3. Excise or cross out non-applicable information and clearly mark applicable information with citations to, and terminology consistent with Contract Documents. On catalog cuts, clearly mark the items to be used, including proposed options and alternatives.
- C. After completion of checking, verification, and revising; stamp, sign, and date submittals indicating review and approval by the Contractor; and submit to District:
 - 1. Stamp and signature indicates Contractor has satisfied shop drawing review responsibilities and constitutes Contractor's written approval of shop drawing.
 - 2. Shop drawings without Contractor's written approval will be returned for resubmission.
- D. Submittal Drawings: Submit drawings prepared in AutoCAD, latest version.
- E. Product Data and Manufacturer's Instructions: Submit one electronic copy.
- F. Samples: Submit two (2) samples labeled with reference to applicable Specification Section and submittal number. Label will be returned with reviewer's selection when appropriate, comments, and stamp. Samples will not be returned unless return is requested in writing and additional sample is submitted.
- G. Special Samples: Submit one (1) samples labeled with reference to applicable Contract Documents and submittal number. Samples and one (1) label will be returned for installation in the Work.
- H. Assume risk of expense and delays when proceeding with work related to required submittals without review and acceptance.

- I. Contractor to review all shop drawings submitted by Subcontractors for compliance with Contract Documents.
- J. Contractor to distribute additional sets of samples to subcontractors, suppliers, fabricators, installers, etc. as required for the performance of the Work. Must show distribution on transmittal forms.

1.05 PERFORMANCE SPECIFICATIONS AND CONTRACTOR DESIGNED WORK

- A. Work under this Contract may be specified by a combination of descriptive, performance, reference standard and proprietary specifications. In the event of conflict between any of the various specification methods used to specify a single item the order of precedence shall be the order in which the methods are listed in the preceding sentence. The terms used to describe types of Specifications are taken from the Construction Specification Institute (CSI) Handbook of Practice.
- B. Where Specifications are used to define the characteristics of Contractor designed systems, items or components, the Contractor shall be fully responsible to design, engineer, manufacture, and install the systems, items and components to meet the specified functional requirements, performance requirements, quality standards, durability standards and conditions of use as well as all applicable codes, regulations and referenced trade or industry standards. The Contractor shall perform such design by employing engineers licensed in the State in which the Work is being constructed. The Contractor's design submittals shall include calculations and assumptions on which the design is based and shall be stamped and signed by appropriately licensed engineers.
- C. Where performance type specifications are used or where pre-engineered or Contractor designed systems, elements, equipment or components are called for, the District, the Design Engineer and the Engineer shall have the right to rely on the Contractor's design. Favorable Review of the Contractor's design submittal shall be limited to acknowledgment that the design was prepared with the intent of meeting the specified performance criteria, but the Engineer's review shall not constitute a review of the design itself, of the designer's calculations, or of the effectiveness of the design in actually satisfying the specified criteria. Favorable review of the Contractor's design submittal shall not relieve the Contractor from full responsibility for the adequacy of the Contractor design.

1.06 ASSET MANAGEMENT DATA

- A. Submit the Asset Management data as specified in Section 01782 - Operation and Maintenance Data.

1.07 MANUFACTURER'S INSTRUCTIONS

- A. Submit manufacturer's instructions wherever made available by manufacturers and when installation, erection, or application in accordance with manufacturer's instructions is required by the Specifications.
- B. Submit manufacturer's instructions prior to installation, erection, or application of equipment and other project components. Submit manufacturer's instructions in accordance with requirements for Product Data.

1.08 DISTRICT'S REVIEW

- A. District's review of submittals shall not release Contractor from Contractor's responsibility for performance or requirements of Contract Documents. Neither shall District's review release Contractor from fulfilling purpose of installation nor from Contractor's liability to replace defective work.
- B. Do not consider submittals as Contract Documents. Purpose of submittals is to demonstrate how Contractor intends to conform to the design concepts.
- C. District's review of shop drawings, samples, or test procedures will be only for conformance with design concepts and for compliance with information given in Contract Documents:
 - 1. District's review does not extend to:
 - a. Accuracy of dimensions, quantities, or performance of equipment and systems designed by Contractor.
 - b. Contractor's means, methods, techniques, sequences, or procedures except when specified, indicated on the Drawings, or required by Contract Documents.
 - c. Safety precautions or programs related to safety which shall remain the sole responsibility of the Contractor.
- D. Except as may be provided in subsequent specifications or specified herein, a submittal will be returned within thirty (30) calendar days after receipt:
 - 1. Submittals will be reviewed with appropriate comments as indicated below:
 - a. If the review indicates that the material, equipment, or work method complies with the project specifications, submittal copies will be marked "NO EXCEPTIONS TAKEN". In this event, the Contractor may begin to implement the work method or incorporate the material or equipment covered by the submittal.
 - b. If the review indicates limited corrections are required, copies will be marked "MAKE CORRECTIONS NOTED". The Contractor may begin implementing the work method or incorporating the material and equipment covered by the submittal in accordance with the noted corrections. Where submittal information will be incorporated in O&M data, a corrected copy shall be provided.
 - c. If the review reveals that the submittal is insufficient or contains incorrect data, copies will be marked "AMEND AND RESUBMIT". Except at his own risk, the Contractor shall not undertake work covered by this submittal until it has been revised, resubmitted and returned marked with "NO EXCEPTIONS TAKEN" or "MAKE CORRECTIONS NOTED".
 - d. If the review indicates that the material, equipment, or work method does not comply with the project specifications, copies of the submittal will be marked "REJECTED – SEE REMARKS". Submittals with deviations which have not been identified clearly may be rejected. Except at his own risk, the Contractor shall not undertake the work covered by such submittals until a new submittal is made and returned marked either "NO EXCEPTIONS TAKEN" or "MAKE CORRECTIONS NOTED".
 - e. Product Data submittals for information only:
 - 1) Such information is not subject to submittal review procedures and shall be provided as part of the work under this contract and its acceptability determined under normal inspection procedures.

- 2) Product data review status will be classified similarly to other submittals.
 2. When a submittal cannot be returned within that period, District will, within a reasonable time after receipt of the submittal, give notice of the date by which that submittal will be returned.
 3. A longer review duration will be required for large and complex submittals, particularly, but not limited to, those that require review by multiple engineering disciplines or where multiple sub-systems are part of a larger complex system. Identifications of submittals that are considered to be large and complex will be made jointly by the District and the Contractor, and shall be identified in the submittal schedule specified in Section 01324 - Progress Schedules and Reports.
- E. Submittals returned as "REVISE AND RESUBMIT" contain specific attention in writing to revisions other than the corrections called for by the District on previous submittals. Rejected submittals contain remarks relative to further submittal. The resubmittal will be returned within thirty (30) calendar days after receipt.
 - F. All resubmittals must be complete and not piecemeal. If a resubmittal is required it shall be submitted in its entirety.
 - G. District will be entitled to rely upon the accuracy or completeness of designs, calculations, or certifications made by licensed professionals accompanying a particular submittal whether or not a stamp or seal is required by Contract Documents or Laws and Regulations.
 - H. Costs incurred by District as a result of additional reviews of a particular submittal after the second time it has been reviewed shall be borne by Contractor. Reimbursement to District will be made by deducting such costs from Contractor's subsequent partial payments.
 - I. Costs incurred by unnecessary submittals, such as submitting on multiple products for the same requirement for the convenience of the Contractor, shall be borne by Contractor. Reimbursement to District will be made by deducting such costs from Contractor's subsequent partial payments.

1.09 MINOR OR INCIDENTAL PRODUCTS AND EQUIPMENT SCHEDULES

- A. Shop Drawings of minor or incidental fabricated products will not be required, unless requested.
- B. Submit tabulated lists of minor or incidental products showing the names of the manufacturers and catalog numbers, with Product Data and Samples as required to determine acceptability.

1.10 SUBMITTALS FOR INFORMATION OR RECORD ONLY

- A. Submit one electronic copy.
- B. Mill Test Reports:
 1. Submit one electronic copy of factory and mill test reports for record only.

2. Do not incorporate Products in the Work which have not passed testing and inspection satisfactorily.
3. Contractor shall pay for mill and factory tests.

1.11 PROJECT LIST OF SUBMITTALS

- A. The Contractor shall develop a comprehensive Master Submittal List of all specified submittals. The list shall be serially numbered in accordance with the appropriate specification section. The list shall be developed and submitted to the Construction Manager for review within fourteen (14) days following the Notice to Proceed. The Construction Manager will conduct a meeting to review the Master Submittal List with the Contractor within five (5) working days following receipt of the list.
- B. The Contractor shall develop a schedule for the submission and review of all specified submittals for the project. The schedule shall be developed in accordance with the Section 01324, Construction Progress Schedule and Reports. The schedule shall include individual activities for submission and review (and fabrication and delivery for equipment and material) for each submittal. The submittal schedule shall be a separate subnet of the master CPM construction schedule with each submittal activity linked to the appropriate construction activity. Every projected submittal shall be listed and incorporated into the schedule.
- C. The Contractor shall meet at least once per month with the Construction Manager to review the status of all submittals. In addition, the Contractor shall develop and transmit monthly, a written list of the submittals which require review within the following ninety (90) days. The list of projected submittals shall include the estimated date of submission for each submittal and a reference Master Submittal List for each item to be included in the submittal.
- D. This section shall not supersede or modify any specific requirements for submittals or the submittal process described elsewhere in these specifications, but shall be a supplement to the existing requirements.

END OF SECTION

SECTION 01340

REQUEST FOR INTERPRETATION

PART 1 GENERAL

1.01 GENERAL REQUIREMENTS

- A. The Contractor shall prepare a Request for Interpretation (RFI) when additional information, clarification or interpretation of the Contract Documents is needed. RFIs may also be used for apparent conflicts, inconsistencies, ambiguities, or omissions. "Request for Interpretation" and "Request for Information" shall have the same meaning.
- B. RFIs shall be submitted to the Construction Manager immediately upon discovery, but no less than fifteen (15) days prior to the start date of the activities related to the clarification, based on the latest updated and accepted construction schedule. Any work undertaken prior to receipt of an RFI response shall be at the risk of Contractor.
- C. RFIs generated during submittal and shop drawing preparation must be submitted by the Contractor sufficiently in advance to not only allow for investigation and preparation of a response, but also for inclusion of the response into the submittal and shop drawing. Failure by the Contractor to provide sufficient time will not be cause for entitlement to a time extension.
- D. The Contractor is responsible for its cost to implement and administer RFIs throughout the Contract duration. Regardless of the number of RFIs submitted, the Contractor will not be entitled to additional compensation.
- E. RFIs shall not be used for submittals or for substitute of material, equipment or for waiving of requirements.

1.02 SUBMITTAL

- A. Before submitting each RFI, the Contractor shall carefully review the following relevant information:
 - 1. All field measurements, quantities, dimensions, specified performance criteria, installation requirements, materials, catalog numbers, and similar information with respect thereto.
 - 2. All materials with respect to intended use, fabrication, shipping, handling, storage, assembly, and installation pertaining to the performance of the Work.
 - 3. All information relative to means, methods, techniques, sequences, and procedures of construction and safety precautions and programs incident thereto.
 - 4. The coordination of each Shop Drawing or Sample with other Shop Drawings and Samples and with the requirements of the Work and the Contract Documents.
 - 5. The Contract Documents.
 - 6. The Project correspondence and documentation.

- B. RFIs shall be submitted on the RFI Form found Section 01999, Reference Forms, via the District-furnished, Web Based Construction Document Management system in accordance with Section 01322. Each RFI shall deal with only one topic, item, issue or system.
- C. RFIs shall clearly describe the problem and specifically state what is needed. Relevant portions of the Contract Documents shall be cited, marked-up and attached.
- D. The Contractor shall review each RFI before submitting and compare it with the Contract Documents to verify that a response is required. RFIs will only be accepted from the Contractor and not from subcontractors or suppliers.
- E. A recommendation or proposed solution may be included when appropriate or expedient.
- F. Known schedule or cost impacts shall be noted in the RFI.

1.03 RESPONSE

- A. The Construction Manager will respond in writing to an RFI from the Contractor within a reasonable amount of time, but no more than fifteen (15) calendar days following receipt of RFI from the Contractor. The Contractor shall indicate a priority for responses if more than five (5) RFIs are pending at the same time.
- B. The Construction Manager and/or Design Engineer's review of an RFI will be only to provide clarification and interpretation of the Contract Documents.
- C. The Contractor shall reply within ten (10) calendar days if there is disagreement concerning the RFI response.
- D. Subsequent resubmittals shall be identified with the same RFI number and a consecutive letter designation. Resubmittals shall clearly state the reason for resubmitting.
- E. RFIs will not be recognized or accepted, if in the opinion of the Construction Manager, one of the following conditions exists:
 - 1. The Contractor submits an RFI as a submittal.
 - 2. The Contractor submits the RFI under the pretense of a Contract Documents discrepancy or omission without thoroughly reviewing the documents. In this case, the Contractor shall be responsible for both the Construction Manager's and Design Consultant's administrative costs to process the RFI. Such costs may be deducted from the Contractor's progress payments.
 - 3. The Contractor submits the RFI in a manner that suggests that specific portions of the Contract Documents are assumed to be excluded, or be taken as an isolated portion of the Contract Documents in part rather than whole.
 - 4. The Contractor submits an RFI in an untimely manner without proper coordination and scheduling of work or related trades.

- F. The Construction Manager and/or Design Engineer's review shall not relieve the Contractor from the responsibility for a variation from the requirements of the Contract Documents unless the Contractor has in writing called attention to each such variation at the time of each RFI submittal and Construction Manager has given written approval of each such variation by specific written notation thereof incorporated in the RFI review; nor will any review by District relieve Contractor from responsibility for compliance with the requirements for careful review above.
- G. The Construction Manager and/or Design Engineer's review will not extend to means, methods, techniques, sequences, or procedures of construction (except where a particular means, method, technique, sequence, or procedure of construction is specifically and expressly called for by the Contract Documents) or to safety precautions or programs incident thereto.
- H. The Construction Manager may furnish the Contractor additional detailed written instructions to further explain the work, and such instructions shall be a part of the Contract Documents. Clarifications will be issued using the above RFI system and the Field Order form found in Section 01999 - Referenced Forms. Should additional detailed instructions in the opinion of the Contractor constitute work in excess of the scope of the Contract, the Contractor shall submit notification immediately and written notification thereof to the Construction Manager no more than seven (7) days following receipt of such instruction, and in any event prior to the commencement of work thereon. If the Construction Manager considers it justified, the instructions of the Engineer will be revised or a proposed change order will be issued for the Owner's consideration. The Contractor shall have no claim for additional compensation or extension of the schedule because of any such additional instructions unless the Contractor provides the Construction Manager written notice thereof within the time frame specified above. In addition, the Contractor shall within fifteen (15) days from the date of notification provide detailed justification and analysis as well as complete pricing and schedule CPM fragmentary network to support any request for time extension.
- I. The District's review shall not relieve the Contractor from the responsibility for a variation from the requirements of the Contract Documents unless the Contractor has in writing called attention to each such variation at the time of each RFI submittal and Construction Manager has given written approval of each such variation by specific written notation thereof incorporated in the RFI review; nor will any review by District relieve Contractor from responsibility for compliance with the requirements for careful review above.

END OF SECTION

SECTION 01353

SPECIAL PROCEDURES FOR LOCATING AND VERIFYING CONCEALED EXISTING UTILITIES

PART 1 GENERAL

1.01 SUMMARY

- A. Section includes: Special procedures for locating and verifying concealed existing utilities.

1.02 CONCEALED EXISTING UTILITIES

- A. Verify locations of utilities which may exist by consulting with the Owner, utility companies, and local underground utility locator service:
 - 1. Abide by easement and right-of-way restrictions.
- B. Perform exploratory vacuum excavation potholing, as necessary to more accurately identify location, depth, configuration, and utility service in congested utility areas prior to preparation of shop drawings and subsequent excavation.
 - 1. Potholing shall be backfilled immediately after purpose has been satisfied and the surface restored and maintained in a manner satisfactory to Engineer.
 - 2. Adjustments in construction methods shall be made to accommodate utility location information gained from potholing as necessary to protect existing utilities and maintain plant in operations.
 - 3. Note that installation of all underground yard piping and utilities in this project are considered to be installed in congested utility areas.
 - 4. Some variation from the conditions indicated on the Drawings is to be expected.
- C. Notify the Owner, owners of facilities when the Work will be in progress.
- D. Make arrangements for potential emergency repairs in accordance with requirements of owners of utility facilities, including individual or residential facilities.
- E. Assume responsibility for repair of utilities and facilities damaged by performance of the Work.
- F. Expose sanitary and storm sewers, water, gas, electric, telephone utility lines, and other underground facilities indicated to permit survey location prior to commencement of Work in affected area:
 - 1. Expose in ample time to permit relocation of interfering utilities with minimum delaying effect on Contract Time.
- G. Work required for raising, lowering, or relocating utilities not indicated will be performed by affected utility owners or as part of the Work at option of affected owners of utilities:
 - 1. When part of the Work, perform work in accordance with standards of affected utility owner, and adjustment to Contract Price and Contract Times will be made as stipulated in conditions of Contract.

PART 2 PRODUCTS

Not Used.

PART 3 EXECUTION

Not Used.

END OF SECTION

SECTION 01354

HAZARDOUS MATERIAL PROCEDURES

PART 1 GENERAL

1.01 SUMMARY

- A. Section includes: Procedures required when encountering hazardous materials at the Work site.

1.02 REFERENCES

- A. California Code of Regulations (CCR):
 - 1. Title 8: Industrial Relations.
 - 2. Title 22: Social Security.
- B. California Occupational Safety and Health Administration (Cal-OSHA).
- C. United States Code of Federal Regulation (CFR):
 - 1. Title 29 - Labor:
 - a. 1926.62 - Lead.
 - 2. Title 40 - Protection of Environment:
 - a. 261 - Identification and Listing Of Hazardous Waste.

1.03 SUBMITTALS

- A. Submit laboratory reports, hazardous material removal plans, and certifications.
- B. Submit the following work plan:
 - 1. Removal and Legal Disposal of Asbestos Cement Pipe Plan:
 - a. Work plan shall include, but not be limited, to the following:
 - 1) Schedule of work.
 - 2) Security measures for work and disposal area.
 - 3) Staff training: Contractor shall provide at least one competent person who is capable of identifying asbestos hazards at the job site for the entire duration of the AC pipe removal and disposal operation.
 - 4) Trenching and removal of pipe procedure.

1.04 DEFINITIONS

- A. Adequately Wet: Penetration of the pipe wall with liquid to prevent release of particulates.
- B. Asbestos Cement Pipe: Also commonly referred to as AC Transite Pipe, AC pipe or ACP. Pipe that is generally composed of cement and asbestos fibers.
- C. Competent Person: A trained worker who is capable of identifying existing and predictable asbestos hazards, perform exposure assessment and monitoring, is qualified to train other workers, and has the authority to take immediate corrective action to eliminate a hazardous exposure.

- D. Non-friable Asbestos - Containing Material (NACM): Material containing more than 1 percent asbestos, that when dry, cannot be crumbled, pulverized, or reduced to powder by hand pressure.
- E. Regulated Asbestos - Containing Material (RACM): Category II non-friable ACM that has a high probability of becoming or has become crumbled, pulverized, or reduced to powder in the course of work.

1.05 HAZARDOUS MATERIALS PROCEDURES

- A. Hazardous materials are those defined by 40 CFR and State specific codes.
- B. When hazardous materials have been found:
 - 1. Prepare and initiate implementation of plan of action.
 - 2. Notify immediately Owner, Engineer, and other affected persons.
 - 3. Notify such agencies as are required to be notified by Laws and Regulations with the times stipulated by such Laws and Regulations.
 - 4. Designate a Certified Industrial Hygienist to issue pertinent instructions and recommendations for protection of workers and other affected persons' health and safety.
 - 5. Identify and contact subcontractors and licensed personnel qualified to undertake storage, removal, transportation, disposal, and other remedial work required by, and in accordance with, laws and regulations.
- C. Forward to Engineer, copies of reports, permits, receipts, and other documentation related to remedial work.
- D. Assume responsibility for worker health and safety, including health and safety of subcontractors and their workers:
 - 1. Instruct workers on recognition and reporting of materials that may be hazardous.
- E. File requests for adjustments to Contract Times and Contract Price due to the finding of Hazardous Materials in the Work site in accordance with Contract Documents:
 - 1. Minimize delays by continuing performance of the Work in areas not affected by hazardous materials operations.

PART 2 EXECUTION

2.01 ASBESTOS MATERIALS

- A. Notifications:
 - 1. Notify Cal-OSHA 24 hours prior to performing asbestos material removal operations.
 - 2. Contractor shall notify Owner 3 working days in advance of commencing asbestos material removal operations.
- B. Work area:
 - 1. Establish a regulated work area, using at a minimum, construction warning tape to establish limits of work area for the asbestos material removal.

2. On site stockpiling or storage of asbestos material designated for disposal shall not be allowed.
- C. Safety:
1. Conduct an Initial Exposure Assessment (IEA).
 2. Provide a hand/face wash station.
- D. Worker qualifications:
1. Asbestos removal shall be performed by trained employees in conformance with Section (g) Methods of Compliance, of CCR, Title 8, § 1529, "Asbestos," mandating wet methods, vacuum cleaners with HEPA filters to collect debris and prompt cleanup.
- E. Disposal:
1. Legally dispose of asbestos containing materials.

2.02 EXCAVATION OF ASBESTOS CEMENT PIPE

- A. Machine excavates to expose asbestos cement pipe.
- B. Hand excavate areas under pipe where breaks are planned.
- C. Pipe shall be pre-wetted prior to any breaks being made.
- D. Pipe shall be snapped using mechanical snapping methods.

2.03 ASBESTOS CEMENT PIPE REMOVAL

- A. All required pipe breaking operations shall require adequate pre-wetting with potable water.
- B. The Contractor shall make every effort to minimize the number of pipe breaks. Wherever possible, the pipe should be removed by pulling the pipe out of the pipe joint collars.
- C. Remove sections of asbestos cement (AC) pipe intact at joint collars by mechanical snapping methods between collars.
- D. Wet and containerize waste materials as removed from the trench. Use lifting straps and methods that do not further damage the pipe.
- E. Sections of AC pipe that become cut, have broken edges or have any friable surface shall be wet at exposed fractures and immediately wrapped:
 1. The pipe ends shall be sealed completely using a minimum 6-mil poly film wrap, which is securely fastened, taped to completely enclose the pipe and ACP appurtenances and shall have conspicuous, legible labeling that has the following or equivalent labeling: CAUTION: CONTAINS ASBESTOS FIBERS. BREATHING ASBESTOS DUST MAY CAUSE SERIOUS BODILY HARM.
- F. AC Pipe sections shall not be left exposed in public view, either in trench or in disposal area.

- G. All connecting parts of pipe, rubber gaskets, and pipe couplings shall be discarded with pipe.
- H. AC pipe from this project only, shall be placed in the bin designated.

END OF SECTION

SECTION 01355A

STORMWATER POLLUTION PREVENTION

PART 1 GENERAL

1.01 SUMMARY

- A. Section includes:
 - 1. Requirements for the preparation and implementation of the Stormwater Pollution Prevention Plan (SWPPP) for the Contractor's construction activities. This document (and other identified in this Section will be used for the purpose of applying for and obtaining a State of California General Construction Activity Stormwater Permit. This permit authorizes the discharge of stormwater associated with construction activities from the construction site.

1.02 REFERENCES

- A. National Pollutant Discharge Elimination System (NPDES).
- B. State of California, State Water Resources Control Board, Regional Water Quality Control Board (SWRCB).
- C. United States Code of Federal Regulation (CFR):
 - 1. 40 - Protection of Environmental:
 - a. 117 - Determination of reportable quantities for hazardous substance.
 - b. 302 - Designation, reportable quantities, and notification.

1.03 SUBMITTALS

- A. Construction General Permit:
 - 1. The Contractor shall prepare and submit all Permit Registration Documents (PRDs) to the Engineer for review, approval, and certification by the Legally Responsible Person (LRP) prior to start of work and mobilization:
 - a. The LRP will electronically submit the PRDs to the Stormwater Multiple Application and Report Tracking System (SMARTS) to obtain approval of the Construction General Permit (CGP).
 - 2. The PRDs shall include but are not limited to the Notice of Intent (NOI), Risk Determination Worksheet, Site Maps, Stormwater Pollution Prevention Plan (SWPPP), Annual Fees and Owner Certification. It shall also include all other reports, calculations, studies, exhibits, and documentation required to obtain the CGP.
 - 3. Contractor shall provide a Qualified SWPPP Practitioner (QSP), who will be responsible for maintaining the existing CGP active throughout the duration of the project:
 - a. Contractor shall be responsible for providing all reports required by the CGP (monitoring, inspection, Rain Event Action Plans, sampling, exceedance reports, annual reports, etc.) to the Engineer for review.
 - b. Upon approval, the Contractor's QSP shall upload the information to SMARTS.

- c. Time-sensitive reports involving monitoring data shall be provided as soon as the information is made available.
 - d. All other reports shall be provided to the Engineer a minimum of 2 weeks prior to their deadline for submittal to the SWRCB through SMARTS.
 - e. All CGP documents shall be submitted to the Owner for reference, and a copy shall be located on site at all times.
- B. Pollution Prevention Plan:
- 1. Prepare and submit a site-specific Stormwater Pollution Prevention Plan (SWPPP) in accordance with Section A of the General Construction Activity Stormwater Permit to the Owner for reference.
 - 2. Prepare and submit a monitoring program and reporting plan in accordance with Section B of the General Construction Activity Stormwater Permit to the Owner for reference.
 - 3. Submit to the Owner for reference a Stormwater Pollution Prevention Plan detailing the placement of physical Best Management Practices (BMPs) required for installation and the methods used to comply with those BMPs directed at operational procedures, Monitoring Program, and Reporting Plan.
 - 4. The plan shall specifically address and detail changes from the alternatives called out in this Section. The Contractor's preferred techniques shall show how it will comply with the stated objectives of the program.
 - 5. The SWPPP shall be prepared and amended by a Qualified SWPPP Developer (QSD), as defined by the CGP.
- C. Contractor shall submit a copy of the BMP Handbook with each BMP to be utilized check marked to show compliance or marked to show deviation.
- D. The entire plan shall be kept and maintained by the Contractor on the construction site during the duration of the project.
- E. Contractor shall be responsible for taking the proper actions to prevent contaminants and sediments from entering the storm sewer drainage system should any unforeseen circumstance occur. The Contractor shall take immediate action if directed by the Engineer, or if the Contractor observes contaminants and/or sediments entering the storm drainage system, to prevent further stormwater from entering the system.

1.04 REGULATORY REQUIREMENTS

- A. Contractor shall comply with the State Water Resources Control Board, Regional Water Quality Control Board, county, city, and other local agency requirements regarding stormwater discharges and management.
- B. Contractor shall not begin any construction work until the Owner receives the State of California General Construction Activity Stormwater Permit. The Contractor shall allow the Owner 30 days to obtain this permit after receipt of the information listed in this Section.
- C. Contractor shall comply with the following prohibitions and limitations, which are contained in the Stormwater Permit:
 - 1. Discharge prohibitions:

- a. Discharges of materials other than stormwater, which are not otherwise regulated by a NPDES permit, to a separate stormwater sewer system or water of the nation are prohibited.
 - b. Stormwater discharges shall not cause or threaten to cause pollution, contamination (including sediment), or nuisance.
 - c. Stormwater discharges regulated by this general permit shall not contain a hazardous substance equal to or in excess of a reportable quantity listed in 40 CFR 117 and 40 CFR 302.
2. Receiving water limitations:
 - a. Stormwater discharges to any surface or groundwater shall not adversely impact human health or the environment.
 - b. Stormwater discharge shall not cause or contribute to a violation of any applicable water quality standards contained in the California Ocean Plan, Inland Surface Waters and Enclosed Bays and Estuaries Plan, or the applicable Regional Water Board's Basin Plan.

1.05 STORMWATER POLLUTION PREVENTION PLAN IMPLEMENTATION

- A. Contractor's QSP shall implement all activities required by the General Permit and as detailed in the Stormwater Pollution Prevention Plan, Monitoring Program, and Reporting Plan.

1.06 NON-STORMWATER MANAGEMENT

- A. Stormwater Pollution Prevention Plan shall discuss any non-stormwater sources (i.e., landscaping irrigation, pipe flushing, street washing, and dewatering). In addition, the Plan shall include standard observation measures and best management practices, including best available technologies economically achievable and best conventional pollutant control technologies that are to be implemented in order to reduce the pollutant loading to the waters.

1.07 AMENDMENTS

- A. Contractor's QSP shall amend the Stormwater Pollution Prevention Plan, Monitoring Program, and Reporting Plan whenever there is a change in construction or operations which may affect the discharge of pollutants to stormwater.
- B. The Stormwater Pollution Prevention Plan shall also be amended if it is in violation of any conditions of the general permit or has not achieved the general objective of reducing pollutants in stormwater discharges.
- C. All amendments shall be completed at no additional cost to the Owner.

1.08 ANNUAL SUMMARY

- A. Contractor:
 1. Prepare an annual summary report (annual report) in accordance with all Regional Water Quality Control Board requirements.
 2. Utilize the annual report form available in the SMARTS and submit it to the Engineer a minimum of 2 weeks prior to the deadline for submittal.
 3. Upon approval of the report by the Engineer, the LRP will review and certify the report for final submittal via SMARTS.

1.09 NOTICE OF TERMINATION

- A. Contractor shall provide all necessary information for the completion of a Notice of Termination (NOT) upon completion of all construction activities (refer to Section C of the General Construction Activity Stormwater Permit for general requirements). Upon review of the information submitted, the LRP will certify and submit the NOT via SMARTS.

PART 2 PRODUCTS

Not Used.

PART 3 EXECUTION

3.01 GENERAL REQUIREMENTS

- A. Nonhazardous material/waste management:
 - 1. Designated area: The Contractor shall propose designated areas of the project site, for approval by the Engineer, suitable for material delivery, storage, and waste collection that, to the maximum extent practicable, are near construction entrances and away from catch basins, gutters, drainage courses, and creeks.
 - 2. Granular material:
 - a. Contractor shall store granular material at least 50 feet away from catch basin and curb returns.
 - b. Contractor shall not allow granular material to enter storm drains, creeks, or rivers.
 - c. When rain is forecast within 24 hours or during wet weather, the Engineer may require the Contractor to cover granular material with a tarpaulin and to surround the material with sand bags:
 - 1) All stockpiles are required to be protected immediately if they are not scheduled to be used within 14 days.
 - 3. Dust control: The Contractor shall use reclaimed water to control dust on a daily basis or as directed by the Construction Manager.
 - 4. Street sweeping and vacuuming:
 - a. At the end of each working day or as directed by the Engineer, the Contractor shall clean and sweep roadways and on-site paved areas of all materials attributed to or involved in the work.
 - b. Contractor shall not use water to flush down streets in place of street sweeping.
 - c. Additionally, the Contractor shall not use kick brooms or sweeper attachments.
- B. Spill prevention and control:
 - 1. Contractor shall keep a stockpile of spill cleanup materials, such as rags or absorbents, readily accessible on-site.
 - 2. Contractor shall immediately contain and prevent leaks and spills from entering storm drains, and properly clean up and dispose of the waste and cleanup materials:

- a. If the waste is hazardous, the Contractor shall dispose of hazardous waste only at authorized and permitted treatment, storage, and disposal facilities, and use only licensed hazardous waste haulers to remove the waste off-site, unless quantities to be transported are below applicable threshold limits for transportation specified in State and Federal regulations.
 3. Contractor shall not wash any spilled material into streets, gutters, storm drains, creeks, or rivers and shall not bury spilled hazardous materials.
 4. Contractor shall immediately report any hazardous materials spill to the Owner and Engineer for reporting to all applicable regulatory agencies.
- C. Vehicle/equipment cleaning:
1. Contractor shall not perform vehicle or equipment cleaning on-site or in the street using soaps, solvents, degreasers, steam cleaning equipment, or equivalent methods.
 2. Contractor shall perform vehicle or equipment cleaning, with water only, in a designated, bermed area that will not allow rinse water to run off-site or into streets, gutters, storm drains, creeks, or rivers.
- D. Vehicle/equipment maintenance and fueling:
1. Contractor shall perform maintenance and fueling of vehicles or equipment in designated, bermed area(s) or over a drip pan that will not allow run-on of stormwater or runoff of spills.
 2. Contractor shall use secondary containment, such as a drip pan, to catch leaks or spills any time that vehicle or equipment fluids are dispensed, changed, or poured.
 3. Contractor shall keep a stockpile of spill cleanup materials, such as rags or absorbents, readily accessible on-site.
 4. Contractor shall clean up leaks and spills of vehicle or equipment fluids immediately and dispose of the waste and cleanup materials as hazardous waste, as described in section "Spill prevention and control" above.
 5. Contractor shall not wash any spilled material into streets, gutters, storm drains, creeks, or rivers and shall not bury spilled hazardous materials.
 6. Contractor shall report any hazardous materials spill to the Owner and Engineer and all applicable regulatory agencies.
 7. Contractor shall inspect vehicles and equipment arriving on-site for leaking fluids and shall promptly repair leaking vehicles and equipment. Drip pans shall be used to catch leaks until repairs are made.
 8. Contractor shall recycle waste oil and antifreeze, to the maximum extent practicable.
 9. The Contractor shall comply with Federal, State, and City requirements for aboveground storage tanks.
- E. Contractor training and awareness:
1. Contractor's QSP shall train all employees/subcontractors on the stormwater pollution prevention requirements contained in these specifications.
 2. Contractor's QSP shall inform subcontractors of the stormwater pollution prevention contract requirements and include appropriate subcontract provisions to ensure that these requirements are met.
 3. Contractor shall post warning signs in areas treated with chemicals.
 4. Contractor shall paint new, reset, or raised catch basins, constructed as part of the project, with a "No Dumping" stencil.

3.02 SPECIFIC REQUIREMENTS

- A. Paving operations:
 - 1. Project site management:
 - a. When rain is forecast within 24 hours or during wet weather, the Engineer may prevent the Contractor from paving.
 - b. Engineer may direct the Contractor to protect drainage courses by using control measures, such as earth dike, straw bale, and sand bag, to divert runoff or trap and filter sediment in addition to those already shown on the construction plan sheets.
 - c. Contractor shall place drip pans or absorbent material under paving equipment when not in use.
 - d. Contractor shall cover catch basins and manholes when paving or applying seal coat, tack coat, slurry seal, or fog seal.
 - e. If the paving operation includes an on-site mixing plant, the Contractor shall comply with applicable Federal, State, and local General Industrial Activities Stormwater Permit requirements.
 - 2. Paving waste management:
 - a. Contractor shall not sweep or wash down excess sand (placed as part of a sand seal or to absorb excess oil) into gutters, storm drains, or creeks:
 - 1) Instead, the Contractor shall either collect the sand and return it to the stockpile, or dispose of it in a trash container.
 - b. Contractor shall not use water to wash down fresh asphalt concrete pavement.
- B. Saw cutting:
 - 1. During saw cutting, Contractor shall cover or barricade catch basins using control measures, such as filter fabric, straw bales, sand bags, and fine gravel dams, to keep slurry out of the storm drain system. When protecting a catch basin, the Contractor shall ensure that the entire opening is covered.
 - 2. Contractor shall vacuum saw cut slurry and pick up the waste prior to moving to the next location or at the end of each working day, whichever is sooner.
 - 3. If saw cut slurry enters catch basins, the Contractor shall remove the slurry from the storm drain system immediately.
- C. Concrete, grout, and mortar waste management:
 - 1. Material management: Contractor shall store concrete, grout, and mortar away from drainage areas and ensure that these materials do not enter the storm drain system.
 - 2. Concrete truck/equipment washout:
 - a. Contractor shall not washout concrete trucks or equipment into streets, gutters, storm drains, creeks, or rivers:
 - 1) Washout areas should be located at least 50 feet from storm drains, open ditches, or water bodies.
 - b. Contractor shall perform washout of concrete trucks or equipment in a designated area:
 - 1) Washout site should be lined so there is no discharge into the underlying soil.

3. Exposed aggregate concrete wash water:
 - a. Contractor shall avoid creating runoff from washing of exposed aggregate concrete. Contractor shall collect and return sweepings from exposed aggregate concrete to a stockpile or dispose of the waste in a trash container.

END OF SECTION

SECTION 01364

UNDERGROUND STORAGE TANK REMOVAL

PART 1 GENERAL

1.01 SUMMARY

- A. Section includes: Removal of existing underground diesel fuel storage tank and associated piping located at the Main Street Pump Station.
- B. Related section:
 - 1. Section 01140 - Work Restrictions.

1.02 REFERENCES

- A. California State Water Resources Control Board, Division of Water Quality – Underground Storage Tank Program – Local Guidance (LG) 48-5 “Contractor's Licensing and Certification Requirements for Installation and Certification Requirements for Installation, Removal, and Upgrade of Underground Storage Tanks” and LG 75-3 "Licensing Requirements for Hazardous Substance Removal and Remedial Action."
- B. Marin County Department of Public Works, Waste Management, Certified Unified Program Agency (CUPA).
- C. Contractor State License Board (CSLB) Description of Classification.
- D. United States Environment Protection Agency (EPA):
 - 1. SW-846 - Test Methods for Evaluating Solid Waste: Physical/Chemical Methods.
- E. California Code of Regulations (CCR) Title 23, Division 3, Chapter 16.
- F. California Health and Safety Code (HSC), Division 20, Chapter 6.7.

1.03 DEFINITIONS

- A. UST - Underground Storage Tank.

1.04 SYSTEM DESCRIPTION

- A. Project requires removal of UST and shall include as a minimum the following items:
 - 1. Plan and approvals:
 - a. All work UST removal work shall be performed by a certified UST remover and sampler holding current Class A contractor license with a Hazardous Substance Certification issued by the Contractors State License Board (CSLB).
 - b. UST removal work shall follow a closure plan approved by CUPA.

2. Underground Storage Tank (UST) liquid removal:
 - a. Test and characterize liquid within tank.
 - b. Remove and dispose liquid.
 - c. Provide characterization results of liquid contents.
 - d. Provide certification of proper disposal of liquid contents.
3. Underground storage tank cleaning and disposal:
 - a. Excavate tank.
 - b. Evacuate combustible vapors within soils.
 - c. Clean tank.
 - d. Disassemble tank.
 - e. Remove and dispose tank material.
 - f. Provide certification for proper disposal of tank.
4. Contamination assessment:
 - a. Test adjacent soils.
 - b. Dispose of contaminated soils.
 - c. Provide certification for proper disposal of contaminated soil.
5. Report:
 - a. Provide written report describing in detail the procedures used to remove the liquid from the underground storage tank, cleaning and removing of the underground storage tank, and disposal of the liquid residues.
 - b. Provide photographic documentation of the work, including lab and field results, and receipts from the proper authority for the tank and residue disposal.

1.05 SUBMITTALS

- A. Qualifications:
 1. Submit a copy of subcontractor CLSB License with Hazardous Substance Certification and UST closure experience as required in this Section.
- B. Closure Plan:
 1. Submit Notice of Intent and Project Closure Plan to Engineer for review prior to submitting to CUPA for review and approval:
 - a. Project closure plan shall include sufficient details to fully describe the activities required to remove and dispose of UST, liquids, and soils. Include as a minimum:
 - 1) Detailed sequence of procedures.
 - 2) Diagrams of piping, controls, and tank to be removed.
 - 3) Identify disposal locations and describe transportation plans.
 - 4) Identify laboratories to be used for testing.
 - 5) Coordination with local and state regulators.
 - 6) Safety plan and procedures.
- C. Provide documentation of closure by furnishing the following items:
 1. Documentation of disposal of tank to an approved disposal site.
 2. Documentation of disposal of liquid to an approved disposal site.
 3. Documentation of disposal of contaminated soil to an approved disposal site.
 4. 2 hardcopies and 1 electronic pdf of Final Closure Report including all sample tests and CUPA approvals.

1.06 QUALITY ASSURANCE

- A. Subcontractor's qualifications:
 - 1. Subcontractor shall have experience removing a minimum of 5 underground storage tanks of similar size or greater.
 - 2. Subcontractor shall be licensed by the CSLB with a General Engineering Contractor (Class A), C-10 Electrical Contractor, C-34 Pipeline Contractor, C-- 36 Plumbing Contractor or C-61(D40) Limited Specialty license and have a Haz A designation on the license. License and minimum 5 years of experience in UST closure work are required.

1.07 PROJECT SITE CONDITIONS

- A. Removal of UST shall be coordinated with overall project construction as specified in Section 01140.

PART 2 PRODUCTS

Not Used.

PART 3 EXECUTION

3.01 GENERAL

- A. Closure schedule:
 - 1. In accordance with the County of Marin's Certified Unified Program Agency requirements guidance, submit notice of intent and closure plan at least 30 days prior to tank closure. Receive final approval before commencing closure activities.
 - 2. Contact the Bay Area Air Quality Management District and City of Sausalito Fire and Building Departments for tank removal permits. Local Fire Marshall, Health Department, and County of Marin officials 48 hours prior to starting closure activities.
 - 3. Submit closure notice to the County of Marin's Certified Unified Program Agency within 90 days after the tank closure.

3.02 UNDERGROUND STORAGE TANK LIQUID REMOVAL

- A. Provide samples of liquids from the underground fuel storage tank to a qualified state certified hazardous waste testing facility for laboratory analysis and approval for the liquid disposal and disposal location.
- B. Remove the liquid from the tank for disposal prior to removing the tank from the ground.
- C. Provide documentation of the liquid removal and its disposal in a final report to the Contracting Officer.

3.03 UNDERGROUND STORAGE TANK CLEANING AND DISPOSAL

- A. Tank shall be reviewed and certified clean by a CUPA representative.

- B. Remove the tank from the ground, place it on the ground adjacent to removal location, and secure it prior to cleaning.
- C. Measure levels of combustible vapors and oxygen, and initiate ventilation of the tank, if needed:
 - 1. Ventilate tank using the following method or other as approved by supervising engineer.
 - 2. Using a small gas exhauster until the vapor concentration is reduced to 10 percent or less of the lower explosive limit.
 - 3. Oxygen content shall range from 19.5 to 23.5 percent.
 - 4. Cut access ports for cleaning into tank after vapor and oxygen concentrations have met the requirements noted above.
- D. Cleaning of the tank shall include mopping, scraping, and sweeping the interior of the tank.
- E. Collect, contain and place residuals in a DOT approved type 17H, 200 L (55 gallon) capacity drum, for transporting and disposal.
- F. Ensure final vapor and oxygen concentration are within the requirements noted above before proceeding to cut and dismantle the tank for its disposal.
- G. Remove dismantled tank to an approved disposal facility.
- H. Obtain disposal facility receipts noting proper tank disposal.

3.04 REMOVED TANK AREA ASSESSMENT

- A. Collect minimum of 5 soil samples from the removed underground storage tank area:
 - 1. Show the location of the soil samples on the as-built plan sheet.
 - 2. Take 1 sample from each of the sidewalls, and 1 sample from the base.
 - 3. Containerize the samples in glass sample jar(s), seal with Teflon-coated lids, and place the jar on ice.
 - 4. Deliver samples with completed chain-of-custody documentation to Engineer approved laboratory for testing.
 - 5. Laboratory shall analyze each sample for Total Petroleum Hydrocarbon (TPH) concentrations as per EPA SW-846.
 - 6. Contractor shall pay for testing required:
 - a. Contractor's scope shall include the 5 soil samples specified above, removal of 50 cubic yards of material, and 5 more soil samples. Any additional soil removal or testing shall as a result of contamination discovered shall require a change order to the contract.
- B. Site restoration: Upon removal of the tank and certification that there is no residual contamination the General Contractor, not the UST closure contractor, shall have responsibility to place fill materials and provide grading, paving, and/or landscape improvements as indicated on the Drawings.

3.05 CONTAMINATED SOIL

- A. When soil assessments reveal evidence of leakage or spillage of hydrocarbons at levels above those established by the CUPA:

1. Collect 6 additional soil samples beyond the boundaries of the original tank location.
2. Samples to be taken 20 feet (6 m) from edge of tank wall location as follows:
 - a. 2 samples on each side, right and left, of long axis of tank and 1 sample both ends of the tank.
 - b. If contamination still exists, notify CUPA to determine additional testing and soil removal that will be required:
 - 1) Contractor's scope shall include the 6 additional soil samples and removal and proper disposal of soil beyond the boundaries of the original tank as outlined above, not to exceed 50 cubic yards.
 - 2) Any work beyond the 50 cubic yards and more than 6 test locations shall be considered extra and shall be paid on a time a materials basis.
 - 3) Contractor shall provide a credit back to Owner should if it is determined that 6 additional tests and removal and disposal of 50 cubic yards of material is not required.

3.06 FINAL CLOSURE REPORT

- A. Submit a closure report summarizing removal activities including soil and/or water analysis results to Marin County CUPA and Engineer within 60 days of tank removal.
- B. Provide Engineer/Owner with Final Closure Report as outlined in this Section, include CUPA approvals and documentation of proper disposal of liquid, soil, and tank.

END OF SECTION

SECTION 01410
REGULATORY REQUIREMENTS

PART 1 GENERAL

1.01 SUMMARY

- A. Section includes: Regulatory authorities and codes.

1.02 APPLICABLE CODES

- A. California Code of Regulations (CCR), California Building Standards Code, CCR Title 24:
1. Building code:
 - a. California Building Code (CBC), Title 24, Part 2 – 2019.
 2. Electrical code:
 - a. California Electrical Code (CEC), Title 24, Part 3 – 2019.
 3. Mechanical code:
 - a. California Mechanical Code (CMC), Title 24, Part 4 – 2019.
 4. Plumbing code:
 - a. California Plumbing Code (CPC), Title 24, Part 5 – 2019.
 5. Energy code:
 - a. California Energy Code (CEC), Title 24, Part 6 – 2019.
 6. Historical building code:
 - a. California Historical Building Code (CHBC), Title 24, Part 8 – 2019.
 7. Fire code:
 - a. California Fire Code (CFC), Title 24, Part 9 – 2019.
 8. Existing building code:
 - a. California Existing Building Code (CEBC), Title 24, Part 10 – 2019.
 9. Green building standards code:
 - a. California Green Building Standards Code (CALGreen), Title 24, Part 11 – 2019.

PART 2 PRODUCTS

Not used

PART 3 EXECUTION

Not used

END OF SECTION

SECTION 01450

QUALITY CONTROL AND INSPECTION

PART 1 GENERAL

1.01 SECTION INCLUDES

- A. Requirements for quality control, inspection and testing of the Work.

1.02 REFERENCED SECTION

- A. The following Section is referenced in this Section:
 - 1. Section 01455 – Special Tests and Inspections.
 - 2. Section 01460 – Contractor Quality Control Plan.

1.03 CONTRACTOR'S QUALITY CONTROL

- A. General:
 - 1. Ensure that products, services, workmanship and Site conditions comply with the requirements of the Contract Documents by coordinating, supervising, testing and inspecting its Work.
 - 2. Utilize only suitably qualified, skilled and trained personnel experienced in the tasks required to complete the Work in accordance with the quality requirements of the Contract Documents.
 - 3. Should there be no quality basis specifically prescribed for any portion of the Work, the quality and testing procedures shall be in accordance with the best-accepted practices of the construction industry for the locale of the Project, for projects of this type, or standards set by engineering or technical societies (e.g. ASTM or ASHRAE), whichever is more stringent.
- B. Quality of Work:
 - 1. Quality of Products: Unless otherwise indicated or specified, all products shall be new, free of defects, and fit for the intended use.
 - 2. Quality of installation: All Work shall be produced plumb, level, square and true, or true to indicated angle, and with proper alignment and relationship between the various elements, as shown on or required by Contract Documents.
 - 3. Protection of Completed Work: Take all measures necessary to preserve completed Work free from damage, deterioration, soiling, and staining, until acceptance by District.
 - 4. Standards and Code Compliance and Manufacturer's Instructions and Recommendations: Unless more stringent requirements are indicated or specified, comply with manufacturer's instructions and recommendations, reference standards and building code research report requirements in preparing, fabricating, erecting, installing, applying, connecting, and finishing Work.

5. Deviations from Standards and Code Compliance and Manufacturer's instructions and Recommendations: Secure District's advanced written consent. Document and explain all deviations from reference standards and building code research report requirements and manufacturer's product installation instructions and recommendations, including acknowledgement by the manufacturer that such deviations are acceptable and appropriate for the Project.
 6. Verification of Quality: Work shall be subject to verification of quality by District in accordance with provisions of the Contract Documents.
- C. Defective Work:
1. Defective Work shall be modified, replaced, repaired or redone by the Contractor at no change in Contract Sum or Contract Time.
 2. Acceptance of Defective Work, without specific written acknowledgement and approval of City, shall not relieve the Contractor of the obligation to correct such Work.
 3. Should District determine that it is not feasible or in District's interest to require Defective Work to be repaired or replaced, an equitable reduction in Contract Sum shall be made by agreement between District and Contractor. If equitable amount cannot be agreed upon, a Construction Change Directive will be issued and the amount in dispute resolved in accordance with the Contract Documents.
 4. District and District's consultants disclaim any and all responsibility for Work produced not in conformance with the Drawings and Specifications. Contractor shall have full responsibility for all extra inspection, correction and re-work costs by Contractor and District resulting from Defective Work, as well as all delay damages in accordance with the liquidated damages provisions of the Contract Documents. Contractor waives excuses for defective work relating to District's prior review of Submittals and/or prior failure to notice Defective Work in place on inspection.

1.04 INSPECTION AND TESTING

- A. General:
1. Additional Requirements for tests are described in Section 01455, and other Technical Specifications (Division 02-16) of these Contract Documents.
 2. Construction Manager:
 - a. Where the Contract Documents require work to be field tested or approved, it shall be tested in the presence of the Construction Manager or its authorized representative.
 - b. The Construction Manager shall have the right to witness all on-site tests performed by the Contractor and any shop tests. The results of any tests performed by the Contractor shall be made available for the information of the Construction Manager.
 - c. Inspections, tests or favorable reviews by the Construction Manager or others shall not relieve the Contractor from its obligation to perform the work in accordance with the requirements of the Contract Documents or for its sole responsibility for the quality of workmanship and materials.

3. Testing and Sampling:
 - a. Except as specifically required under the technical specifications for testing and inspection, all tests for materials furnished by the Contractor will be done in accordance with commonly recognized standards of national organizations.
 - b. Where tests are to be performed by the Construction Manager or by an independent laboratory or agency, the Contractor shall furnish such samples of all materials as required by the Construction Manager without charge.
 - c. The sample or samples of materials to be tested shall be selected by such laboratory or agency, or the Construction Manager, and not by the Contractor.
 - d. No material for which the Contract Documents require the submittal and approval of tests, certificates of compliance or other documentation shall be incorporated in the Work until such submittal has been made and approved.
 - e. Provide safe access, including plants where materials or equipment are manufactured or fabricated, for the Construction Manager and inspectors to adequately inspect the quality of work and the conformance with the Contract Documents.
 - f. Furnish the Construction Manager the necessary labor and facilities for such things as excavation in the compacted fill to the depths required to take samples.
 - g. Provide adequate lighting, ventilation, ladders and other protective facilities as may be necessary for the safe performance of inspections.
4. Final Inspection:
 - a. Upon completion of the Work the Construction Manager will conduct a final inspection as provided for in General Conditions. Records shall be available at all reasonable hours for inspection by other local or State agencies to ascertain compliance with laws and regulations.
5. Contractor's Responsibility:
 - a. Neither the employment of independent testing and inspection agency nor observations or tests by District and District's consultants shall in any manner relieve the Contractor of obligation to perform Work in full conformance to all requirements of the Contract Documents.
 - b. The District reserves the right to reject all Work not in conformance to the requirements of the Contract Documents, or otherwise Defective.

B. Notice:

1. Notify the Construction Manager, in writing, at least twenty-four (24) hours before any field testing or special inspections are required to be performed by the Construction Manager or independent laboratory furnished by the District. The Contractor shall notify the Construction Manager at least two hours before any inspection is required to be performed or to witness the Contractor's on-site field testing.
2. Whenever the Contractor varies the period during which work is carried on each day, the Contractor shall give due notice to the Construction Manager so that proper inspection may be provided. Any work done in the absence of the Construction Manager shall be considered to be rejected. It will be the responsibility of the Contractor to demonstrate to the satisfaction the Construction Manager that the work meets all conditions of the specification and if such conditions are not met to remove the work.

3. Notify the Construction Manager, in writing, at least thirty (30) days prior to the shipment of materials and equipment to be tested and/or inspected at the point of origin. Satisfactory tests and inspections at the point of origin shall not be construed as a final acceptance of the materials and equipment nor shall such tests and inspections preclude retesting or re-inspection at the site of the Work.

C. Costs of Testing:

1. The Contractor shall be responsible for, and shall pay for, all quality control and off-site tests of materials required including all source and mix design tests for the approval of soil and concrete materials except those tests specifically noted to be performed and paid for by the District.
2. The District or Construction Manager, will perform the soils and concrete confirmation tests detailed in the Technical Specifications during the performance of the Work.
3. All other testing required by the technical specifications shall be the responsibility of the Contractor.
4. Additional Tests and Inspections:
 - a. The Construction Manager shall have the authority to require additional tests or inspections due to the manner in which the Contractor executes its work.
 - b. Examples of such additional tests and inspections include; tests of materials substituted for previously accepted materials, or substituted for specified materials, or retests made necessary by failure of material to comply with the requirements of the Specifications.
 - c. Where such tests and inspections are required by Contract to be performed by the District, the District will pay for the additional tests and inspections but will issue a unilateral Change Order to deduct these costs from the Contract price.

D. Work Covered Prior to Inspection and/or Testing:

1. Work requiring inspection and/or testing shall not be concealed or buried prior to the acceptance of such inspection or testing.
2. Work covered without the favorable review or consent of the Construction Manager shall, if required by the Construction Manager, be uncovered for inspection and/or testing at the Contractor's expense.

E. Work Covered With Prior Inspection and/or Testing:

1. If the Construction Manager considers it necessary or advisable that covered work which was favorably inspected and tested be uncovered for re-inspection and/or retesting, the Contractor, at the Construction Manager's request, will uncover, expose or otherwise make available for observation, inspection or testing as the Construction Manager may require, that portion of the work in question, furnishing all necessary labor, materials, tools, and equipment.
2. If it is found that such work is defective, the Contractor will bear all expenses of such uncovering, exposure, observation, inspection and testing and of satisfactory reconstruction.
3. If, however, such work is not found to be defective the Contractor will be allowed an increase in the Contract price or an extension of the Contract time, or both, directly attributable to such uncovering, exposure, observation, testing and reconstruction, and a Change Order shall be issued for such additional work.

- F. Coordination of County, City Building and Other Inspections:
 - 1. The Contractor is completely responsible for scheduling all County, City and any other agency inspections in accordance with the County, City and agency requirements.
 - 2. Notify the Construction Manager of all building and other work component inspection notices and schedules.
 - 3. Failure of the Contractor to properly coordinate and schedule these inspections shall not be cause for time extensions.

- G. Special Tests and Inspections:
 - 1. As provided for in the Contract Documents and laws and regulations, specialized tests and inspections shall be performed by special inspectors certified by the International Conference of Building Officials (ICBO).
 - 2. Unless otherwise stated in the Contract Documents, each of these tests will be performed and paid for by the District.

- H. Inspections and Tests by Serving Utilities:
 - 1. Unless otherwise indicated in the Contract Documents, the Contractor shall cause, schedule and conduct inspections and tests by serving Utilities required for the Work under this Contract.

- I. Inspections and Tests by Serving Manufacturers:
 - 1. Unless otherwise indicated in the Contract Documents, the Contractor shall cause all required tests and inspections to be conducted by materials, equipment or systems manufacturers.
 - 2. Additionally, all tests and inspections required by materials, equipment or systems manufacturers as conditions of warranty or certification of Work shall be made, the cost of which shall be included in the Contractor's bid.

1.05 WATER FOR TESTING

- A. Contractor may use water from the Owner's water system only related to the WORK to be performed by the Contractor. The Contractor shall provide temporary connections, piping and valving to the Owner's water system at Contractor's expense. The Contractor shall not make connection to any water system without first obtaining permission from the Owner. Contractor may not use District hose bibs unless coordinated and approved in advance. Contractor will be responsible to reimburse the District for any water use.

- B. The Contractor shall submit a written request of water needs for testing including a description, volume, location and duration of use to the Construction Manager for approval:
 - 1. The Construction Manager will designate the type of water, locations where connections may be made and the backflow protection, if required.
 - 2. The Contractor is responsible for providing, installing and testing the backflow prevention device at the Contractor's expense.
 - 3. The Construction Manager may also require a meter on the connection depending on the volume of water requested.

PART 2 PRODUCTS (NOT USED)

PART 3 EXECUTION (NOT USED)

END OF SECTION

SECTION 01455

SPECIAL TESTS AND INSPECTIONS

PART 1 GENERAL

1.01 SUMMARY

- A. Section includes: This Section describes the requirements for providing special tests and inspections.
- B. Related sections:
 - 1. Section 01450 - Quality Control and Inspection.

1.02 REFERENCES

- A. ASTM International (ASTM):
 - 1. C140 - Standard Test Methods for Sampling and Testing Concrete Masonry Units and Related Units.
 - 2. C270 - Standard Specification for Mortar for Unit Masonry.
 - 3. C780 - Standard Test Method for Preconstruction and Construction Evaluation of Mortars for Plain and Reinforced Unit Masonry.
 - 4. C1019 - Standard Test Method for Sampling and Testing Grout.
 - 5. C1314 - Standard Test Method for Compressive Strength of Masonry Prisms.
- B. California Building Code (CBC).

1.03 DESCRIPTION

- A. This Section describes special tests and inspections of structural assemblies and components to be performed in compliance with CBC.
- B. These special tests and inspections are in addition to the requirements specified in Section 01450 – Quality Control and Inspection, and by the individual sections.
- C. The Owner will employ 1 or more inspectors who will provide special inspections during construction on an as needed basis. Contractor shall provide adequate advanced notification for all inspections.

1.04 INSPECTION

- A. Duties of Special Inspector:
 - 1. General: Required duties of the Special Inspector are described in CBC.

1.05 TESTS

- A. Selection of the material required to be tested shall be by the Owner's Testing Laboratory and not the Contractor.

1.06 SPECIAL TESTING AND INSPECTIONS

- A. Testing laboratory: Special tests will be performed by the Owner's testing laboratory as specified in Section 01450.
- B. Owner reserves the right to positive material identification tests:
 - 1. Contractor must make materials available for testing.
- C. The following types of work require special inspection as described in CBC, Refer to the following verification, testing and inspection schedules.
 - 1. Appendix A, Cast-In-Place Concrete Special Inspection Schedule.
 - 2. Appendix B, Essential Architectural, Mechanical And Electrical Inspection Schedule.
 - 3. Appendix D, Soils Verification And Inspection Schedule.
 - 4. Appendix E, Structural Steel Special Inspection Schedule.
 - 5. Appendix F, Other Special Inspection.

PART 2 PRODUCTS

Not Used.

PART 3 EXECUTION

3.01 SCHEDULE

- A. The Contractor shall allow time necessary for Special Inspections as listed above.
- B. Sufficient notice shall be given so that the Special Inspections can be performed. This includes time for off-site Special Inspectors to plan the inspection and travel to site.

3.02 PROCEDURE

- A. The Special Inspector will immediately notify the Engineer of any corrections required and follow notification with appropriate documentation.
- B. The Contractor shall not proceed until the work is satisfactory to the Engineer.

END OF SECTION

**APPENDIX A
CAST-IN-PLACE CONCRETE SPECIAL INSPECTION SCHEDULE**

Verification and Inspection	Reference Standard	Frequency of Inspection	
		Continuous During Task Listed	Periodic During Task Listed
1. Inspection of reinforcing steel, including prestressing tendons, and placement.		–	X
2. Inspection of reinforcing steel welding.	IBC Table 1704.3, Item 5B	–	–
3. Inspect bolts to be installed in concrete prior to and during placement of concrete.		X	–
4. Verifying use of required design mix.		–	X
5. At the time fresh concrete is sampled to fabricate specimens for strength tests, perform slump and air content tests, and determine the temperature of the concrete.		X	–
6. Inspection of concrete and shotcrete placement for proper application techniques.		X	–
7. Inspection for maintenance of specified curing temperature and techniques.		–	X

**APPENDIX B
ESSENTIAL ARCHITECTURAL, MECHANICAL AND ELECTRICAL
INSPECTION SCHEDULE**

Verification and Inspection	Reference Standard	Frequency of Inspection	
		Continuous During Task Listed	Periodic During Task Listed
2. Anchorage of electrical equipment for emergency standby power.		–	X
3. Anchorage of other electrical or mechanical equipment over 1,000 lb. on floors or roofs.		–	X
4. Anchorage of ducts greater than 6 s.f. in cross-section.		–	X
5. Anchorage of pipelines greater than 8 inches in diameter.		–	X
6. Steel storage racks supporting pipelines.		–	X

**APPENDIX D
SOILS VERIFICATION AND INSPECTION SCHEDULE**

Verification and Inspection	Reference Standard	Frequency of Inspection	
		Continuous During Task Listed	Periodic During Task Listed
1. Verify materials below footings are adequate to achieve the design bearing capacity.		–	X
2. Verify excavations are extended to proper depth and have reached proper material.		–	X
3. Perform classification and testing of controlled fill materials.		–	X
4. Verify use of proper materials, densities, and lift thicknesses during placement and compaction of controlled fill.		X	–
5. Prior to placement of controlled fill, observe subgrade and verify that site has been prepared properly.		–	X

**APPENDIX E
STRUCTURAL STEEL SPECIAL INSPECTION SCHEDULE**

Verification and Inspection	Reference Standard	Frequency of Inspection	
		Continuous During Task Listed	Periodic During Task Listed
1. Material verification of high-strength bolts, nuts and washers:			
a. Identification markings to conform to ASTM standards specified in the approved construction documents.		–	X
b. Manufacturer's certificate of compliance required.		–	X
2. Inspection of high-strength bolting:			
a. Bearing-type connections.		–	X
b. Slip-critical connections.		X	X
3. Material verification of structural steel:			
a. Identification markings to conform to ASTM standards specified in the approved construction documents.		–	X
b. Manufacturers' certified mill test reports.		X	–
4. Material verification of weld filler materials:			
a. Identification markings to conform to AWS specification in the approved construction documents.		–	X
b. Manufacturer's certificate of compliance required.		–	X
5. Inspection of welding:			
a. Structural steel:		–	–
1) Complete and partial penetration groove welds.		X	–
2) Multi-pass fillet welds.		X	–
3) Single-pass fillet welds > 5/16".		X	–
4) Single-pass fillet welds ≤ 5/16".		–	X
5) Floor and deck welds.		–	X
b. Reinforcing steel:		–	–
1) Verification of weldability of reinforcing steel other than ASTM A706.		–	X
2) Reinforcing steel-resisting flexural and axial forces in boundary elements of special reinforced concrete shear walls and shear reinforcement.		X	–
3) Shear reinforcement.		X	–
4) "Form Saver" (reinforcing couplers).		X	–

6.	Inspection of steel frame joint details for compliance with approved construction documents:			X
a.	Details such as bracing and stiffening.		X	–
b.	Member locations.		X	–
c.	Application of joint details at each connection.		X	
7.	Seismic force resisting systems identified on structural plans.		X	–

**APPENDIX F
OTHER SPECIAL INSPECTION SCHEDULE**

Verification and Inspection	Reference Standard	Frequency of Inspection	
		Continuous During Task Listed	Periodic During Task Listed
1. Piling, drilled piers, and caissons.		X	-

SECTION 01460

CONTRACTOR QUALITY CONTROL PLAN

PART 1 GENERAL

1.01 SUMMARY

- A. Section includes:
 - 1. Contractor Quality Control Plan.

1.02 SUBMITTALS

- A. Qualifications of the Contractor's Quality Control (CQC) Plan Manager must include all qualifying registrations and show that the candidate has had experience (minimum 5 years) on projects of similar type and size.
- B. Contractor's Daily Quality Control Report: Submit to Engineer within 1 day of completion of each inspection.
- C. Daily Inspection Report: Submit to Engineer at the end of each working day or no later than prior to the beginning of the next working day.

1.03 CONTRACTOR'S INSPECTION OF THE WORK

- A. Work performed by Contractor shall be inspected by the Contractor's CQC Plan Manager. Non-conforming Work and any safety hazards in the Work area shall be noted and promptly corrected.
- B. No materials or equipment shall be used in Work without inspection and acceptance by Contractor's CQC Plan Manager.

1.04 QUALIFICATIONS

- A. Contractor's CQC Plan Manager: Demonstrate having performed similar CQC functions on similar type projects. Submit records of personnel experience, training, and qualifications.

1.05 COVERING WORK

- A. Whenever Contractor intends to backfill, bury, cast in concrete, or otherwise cover any Work, notify Engineer not less than 24 hours in advance to request inspection before beginning any such Work of covering. Failure of Contractor to notify Engineer in accordance with this requirement shall be resolved according to Article 14 of the General Conditions.

1.06 REJECTED WORK

- A. Failure to promptly remove and replace rejected Work will be considered a breach of this Contract, and Owner may proceed under provisions of the General Conditions.

1.07 CONTRACTOR'S QUALITY CONTROL PROGRAM

- A. General: Establish and execute a Quality Control (CQC) Plan for Work. The plan shall establish adequate measures for verification and conformance to defined requirements by Contractor personnel and lower-tier Subcontractors (including Fabricators, Suppliers, and Subcontractors). This program shall be described in a Plan responsive to this Section.

- B. CQC personnel:
 - 1. Contractor's CQC Plan Manager shall report to a Senior Project Manager of the Contractor and shall have no supervisory or managerial responsibility over the workforce.
 - 2. The Contractor CQC Plan Manager shall be on-site as often as necessary, but not less than the daily working hours specified in the Contract Documents to remedy and demonstrate that Work is being performed properly and to make multiple observations of Work in progress.
 - 3. The Contractor is to furnish personnel with assigned CQC functions reporting to the CQC Manager. Persons performing CQC functions shall have sufficient qualifications, authority, and organizational freedom to identify quality problems and to initiate and recommend solutions.

- C. CQC Plan:
 - 1. Contractor's CQC Plan shall include a statement by the Senior Project Manager designating the CQC Plan Manager and specifying the authority delegated to the CQC Plan Manager to direct cessation or removal and replacement of defective Work.
 - 2. Describe the CQC program and include procedures, work instructions, and records. Describe methods relating to areas that require special testing and procedures as required by the specifications.
 - 3. Include specific instructions defining procedures for observing Work in process and comparing this Work with the Contract requirements (organized by specifications section).
 - 4. Describe procedures to ensure that equipment or materials that have been accepted at the Site are properly stored, identified, installed and tested.
 - 5. Include procedures to verify that procured products and services conform to the requirements of the Specifications. Requirements of these procedures shall be applied, as appropriate, to lower-tier Suppliers and/or Subcontractors.
 - 6. Startup and testing quality control: Include procedures to verify that the startup and testing requirements of the Contract Documents are integrated into the Contractor's CQC Plan and conform to the requirements of the Specifications. Requirements of these procedures shall be applied, as appropriate, to the Contractor and the lower-tier Suppliers and/or Subcontractors.
 - 7. Include instructions for recording inspections and requirements for demonstrating through the Daily Inspection Reports that Work inspected was in compliance or a deficiency was noted and action to be taken.
 - 8. Procedures to preclude the covering of deficient or rejected Work.
 - 9. Procedures for halting or rejecting Work.
 - 10. Procedures for resolution of differences between the CQC Plan Manager and the production personnel.
 - 11. Identify contractual hold/inspection points as well as any Contractor-imposed hold/inspection points.

- D. Daily Inspection Report: Include, at a minimum:
1. Inspection of specific work.
 2. Quality characteristics in compliance.
 3. Quality characteristics not in compliance.
 4. Corrective/remedial actions taken.
 5. Statement of certification.
 6. CQC Manager's signature.
 7. Information provided on the daily report shall not constitute notice of delay or any other notice required by the Contract Documents.
- E. Deficient and Non-conforming Work and Corrective Action: Include procedures for handling deficiencies and non-conforming Work. Deficiencies and non-conforming Work are defined as documentation, drawings, material, equipment, or Work not conforming to the indicated requirements or procedures. The procedure shall prevent non-conformances by identification, documentation, evaluation, separation, disposition, and corrective action to prevent reoccurrence. Conditions having adverse effects on quality shall be promptly identified and reported to the senior level management. The cause of conditions adverse to quality shall be determined and documents and measures implemented to prevent recurrence. In addition, at a minimum, this procedure shall address:
1. Personnel responsible for identifying deficient and non-complying items within Work.
 2. How and by whom deficient and non-compliant items are documented "in the field."
 3. The personnel and process utilized for logging deficient and non-compliant Work at the end of each day onto a deficiency log.
 4. Tracking processes and tracking documentation for deficient and non-conforming Work.
 5. Personnel responsible for achieving resolution of outstanding deficiencies.
 6. Include detailed procedures for the performance and control of special process (e.g., welding, soldering, heat treating, cleaning, plating, nondestructive examination, etc.).
- F. Audits: The CQC program shall provide for regularly scheduled documented audits to verify that CQC procedures are being fully implemented by Contractor and its Subcontractors. Audit records shall be made available to Engineer upon request.
- G. Documented control/quality records:
1. Establish methods for control of Contract Documents that describe how Drawings and Specifications are received and distributed to assure the correct issue of the document being used. Describe how record document/drawing data are documented and furnished to Engineer.
 2. Maintain evidence of activities affecting quality. Including operating logs, records of inspection, audit reports, personnel qualification and certification records, procedures, and document review records.
 3. Maintain quality records in a manner that provides for timely retrieval and traceability. Protect quality records from deterioration, damage and destruction.
 4. Develop a list of specific records as required by the Contract Documents that will be furnished to Engineer at the completion of activities.

- H. Acceptance of CQC Plan: Engineer's acceptance of the CQC Plan shall not relieve Contractor from any of its obligations for performance of Work. Contractor's CQC staffing is subject to Engineer's review and continued acceptance. Owner, at its sole option, and without cause, may direct Contractor to remove and replace the CQC Plan Manager:
1. Acceptance of the CQC Plan by the Engineer is required prior to the start of construction. Acceptance is conditional and will be predicated on satisfactory performance during the construction.
 2. After acceptance of the CQC Plan, notify the Engineer in writing of any proposed change. Proposed changes are subject to acceptance by the Engineer.

PART 2 PRODUCTS

Not Used.

PART 3 EXECUTION

Not Used.

END OF SECTION

SECTION 01500

CONSTRUCTION FACILITIES AND TEMPORARY CONTROLS

PART 1 GENERAL

1.01 SUBMITTALS

- A. Meet requirements of Section 01330, SUBMITTAL PROCEDURES, as applicable. Make Submittals required below before starting Work at the site or in accordance with accepted schedule of Submittals submissions.
- B. Administrative Submittals: Copies of permits and approvals for construction as required by Laws and Regulations and governing agencies.

1.02 MOBILIZATION

- A. Reference the General Conditions.
- B. Mobilization shall include, but not be limited to, these principal items:
 - 1. Obtaining required permits.
 - 2. Moving Contractor's plant and equipment required for first month operations onto site.
 - 3. Installing temporary construction power, wiring, and lighting facilities.
 - 4. Providing onsite communication facilities, including telephones.
 - 5. Providing onsite sanitary facilities and potable water facilities as specified and as required by Laws and Regulations, and governing agencies.
 - 6. Arranging for and erection of Contractor's work and storage yard.
 - 7. Posting OSHA required notices and establishing safety programs and procedures.
 - 8. Having Contractor's superintendent at the site full time.
 - 9. Submitting of initially acceptable schedules as required in the General Conditions.
- C. Payment for Mobilization. The Contractor's attention is directed to the condition that no payment for mobilization, or any part thereof will be approved for payment under the contract until all applicable mobilization items listed above have been completed.

1.03 CONTRACTOR ACCESS, STAGING/LAYDOWN AREA, USE OF PREMISES

- A. Lands furnished by District upon which Contractor shall perform the Work are as shown on the Drawings. Unless otherwise provided, specific location(s) and available area(s) for Contractor's staging/laydown, and site access, shall be the Contractor's responsibility to obtain. Staging fees are the responsibility of the Contractor. All staging must be obtained by the Contractor and pre-approved by the District or City of Sausalito as applicable prior to mobilizing. Limited remote staging may be available on an as-needed/short term basis by the District.

- B. Contractor's employees shall not use District's facilities including, but not limited to, buildings, restrooms/showers, or landscaped areas for break or lunch times. Contractor's employees shall be responsible for cleaning up any litter from break or lunch times.

1.04 PERMITS

- A. Permits, Licenses, or Approvals: Obtain in accordance with Paragraph 6.06 of the General Conditions.

1.05 PROTECTION OF WORK AND PROPERTY

- A. Not used.
- B. Comply with the City of Sausalito and District's safety rules.
- C. Keep District informed of serious accidents on the site and related claims.
- D. Use of Explosives: No blasting or use of explosives will be allowed on the site.
- E. During the performance of the Work, Contractor is responsible for adapting its means, methods, techniques, sequences, and procedures of construction to allow District to maintain operation as described in Section 01140, WORK RESTRICTIONS, at the existing level of facility production and consistent with applicable permit requirements, and Laws and Regulations. In performing such Work and in cooperating with District to maintain operations, it may be necessary for Contractor to plan, design, and provide various temporary services, utilities, connections, temporary piping and heating, access, and similar items that will be included within the Contract Price.

PART 2 PRODUCTS

2.01 CONTRACTOR FIELD OFFICE

- A. It is optional that the Contractor install a project field office at the WORK site. It is the Contractor's responsibility to obtain and to pay for all temporary utilities including water, sanitary connection, telephone and electric power services for its field office. In addition, the Contractor is responsible for obtaining approved staging within the City of Sausalito or in remote locations.

PART 3 EXECUTION

3.01 DISTRICT'S FIELD OFFICE. NOT REQUIRED

3.02 TEMPORARY UTILITIES

- A. Power:
1. Contractor may not use District outlets unless coordinated and approved in advance. Contractor will be responsible to reimburse the District for any power use. Contractor shall coordinate with PG&E and provide temporary utility power in order to reduce construction noise and emissions impacts to the local community if required by the City of Sausalito to comply with sound ordinances or other conditions of the Contractor's Encroachment Permit.
 2. Electrical appurtenances required for providing temporary electric power services for the Contractor shall be provided by the Contractor and approved by the District.
 3. If the Contractor damages any of the District's electrical components, the Contractor shall be responsible for repairing the damage to the satisfaction of the District.
 4. If PG&E power is not available, the Contractor shall provide its own diesel or gasoline engine driven generator to provide power to all construction tools, bypass pumps, and other devices needed to complete the work. Generators must comply with City of Sausalito sound ordinances for the location and time of use.
- B. Lighting: Provide temporary lighting at least to meet all applicable safety requirements to allow erection, application or installation of materials and equipment, and observation or inspection of the Work. Cost of temporary lighting required for performing the Work will be borne by the Contractor.
- C. Heating, Cooling, and Ventilating:
1. Provide as required to maintain adequate environmental conditions to facilitate progress of the Work, to meet specified minimum conditions for the installation of materials, and to protect materials, equipment, and finishes from damage due to temperature or humidity.
 2. Provide adequate forced air ventilation of enclosed areas to cure installed materials, to dispense humidity, and to prevent hazardous accumulations of dust, fumes, vapors, or gases.
 3. Pay all costs of installation, maintenance, operation, removal, and fuel consumed.
 4. Provide portable unit heaters, complete with controls, oil- or gas-fired, and suitably vented to outside as required for protection of health and property.
 5. If permanent natural gas piping is used for temporary heating units, do not modify or reroute gas piping without approval of District. Provide separate gas metering as required by District.

- D. Water:
1. Contractor may use water from the District's water system only related to the WORK to be performed by the Contractor. The Contractor shall provide temporary connections, piping and valving to the District's water system at Contractor's expense. The Contractor shall not make connection to any water system without first obtaining permission from the District. Contractor may not use District hose bibs unless coordinated and approved in advance. Contractor will be responsible to reimburse the District for any water use.
 2. Contractor will provide temporary facilities and piping required to bring water to the point of use, and remove them when no longer needed.
 3. Contractor will provide water required for testing equipment, manholes or vaults, and piping prior to Substantial Completion, unless otherwise specifically stated in the Specifications for the equipment, systems, or facilities to be tested.
 4. Recycled water is not available at site for use. Contractor facilities, receiving and using recycled water shall be marked appropriately, i.e. "Recycled Water Do Not Drink."
- E. Sanitary and Personnel Facilities:
1. Provide and maintain facilities for Contractor's employees, Subcontractors, and all other onsite employer's employees. Service, clean, and maintain facilities and enclosures.
 2. Use of District's existing sanitary facilities by Contractor's personnel will not be allowed.
- F. Communication:
1. The Contractor shall make arrangements to obtain and pay for its own communication equipment such as telephone, cellular phone, and facsimile equipment.
 2. Arrange and provide onsite telephone service for Contractor's use during construction. Contractor to pay all costs of installation and monthly bills.
 3. No incoming telephone calls shall be allowed to District's plant telephone system.
- G. Fire Protection: Furnish and maintain on the site adequate firefighting equipment capable of extinguishing incipient fires. Comply with applicable parts of the National Fire Prevention Standard for Safeguarding Building Construction Operations (NFPA No. 241).

3.03 PROTECTION OF WORK AND PROPERTY

- A. General:
1. Not Used.
 2. Perform Work within rights-of-way and easements in a systematic manner that minimizes inconvenience to property owners and the public.
 3. No primary access road shall be cut off from vehicular traffic unless special arrangements have been made and approved by the District.
 4. Maintain in continuous service all existing pipelines, cables, storm drains, process drains, sewers, poles and overhead power, and all other utilities encountered along the line of Work, unless other satisfactory arrangements have been made.

5. Where completion of Work requires temporary or permanent removal and/or relocation of an existing utility, coordinate all activities with owner of said utility and perform all work to their satisfaction.
6. Protect, shore, brace, support, and maintain underground pipes, conduits, drains, and other underground utility construction uncovered or otherwise affected by construction operations.
7. Keep fire hydrants and water control valves free from obstruction and available for use at all times.
8. In areas where Contractor's operations are adjacent to or near pipelines, cables, storm drains, sewers, process drains, and such operations may cause damage or inconvenience, suspend operations until arrangements necessary for protection thereof have been made by Contractor.
9. Notify all affected parties other than District, which may be affected by any construction operations, five (5) days in advance. Thereafter, provide written notice at least two (2) days in advance.
10. Do not impair operation of existing sewer systems. Prevent construction material, pavement, concrete, earth, volatile and corrosive wastes, and other debris from entering sewers, pump stations, or other sewer structures. Maintain original site drainage wherever possible.

B. Site Security:

1. Security Fence: Provide and maintain additional temporary security fences as necessary to protect the Work and Contractor's equipment, stored material, etc.

C. Barricades and Lights:

1. Provide as necessary to prevent unauthorized entry to construction areas and affected roads, streets, and alleyways, inside and outside of fenced area, and as required to ensure public safety and the safety of District's, Contractor's employees, other employer's employees, and others who may be affected by the Work.
2. Provide to protect existing facilities and adjacent properties from potential damage.
3. Locate to enable access by facility operators and property owners.

D. Signs and Equipment:

1. Conform to requirements of Caltrans Standard Specifications.
2. Barricades: Provide in sufficient quantity to safeguard public and Work.
3. Traffic Cones: Provide to delineate traffic lanes to guide and separate traffic movements.
4. Provide at obstructions, such as material piles and equipment.
5. Illuminate barricades and obstructions with warning lights from sunset to sunrise.
6. Use to alert general public of construction hazards, which would include surface irregularities, unramped walkways, grade changes, and trenches or excavations in roadways and in other public access areas.
7. No Parking signs shall be posted at least forty-eight (48) hours prior to start of Work in affected area. No Parking signs shall be posted on barricades and not on existing trees or poles.

- E. Existing Structures: Where Contractor contemplates removal of small structures such as signposts and culverts that interfere with Contractor's operations, obtain District's prior approval. Replace those removed in a condition equal to or better than original.
- F. Finished Construction: Protect finished floors and concrete floors exposed as well as those covered with composition tile or other applied surfacing.
- G. Waterways: Keep ditches, culverts, existing swales, and natural drainages continuously free of construction materials and debris.
- H. Dewatering: Construct, maintain, and operate channels, flume drains, sumps, pumps, or other temporary diversion and protection works. Furnish materials required, install, maintain, and operate necessary pumping and other equipment for the environmentally safe removal and disposal of water from the various parts of the Work. Maintain the foundations and parts of the Work free from water.

3.04 TEMPORARY CONTROLS

- A. Water Pollution Control:
 - 1. Prior to commencing excavation and construction, obtain District's agreement with detailed plans showing procedures intended to handle and dispose of sewage, groundwater, and stormwater flow, including dewatering pump discharges.
 - 2. Do not dispose of volatile wastes such as mineral spirits, oil, chemicals, or paint thinner in storm drains or sanitary sewers. Disposal of wastes into streams or waterways is prohibited. Provide acceptable containers for collection and disposal of waste materials, debris, and rubbish.
- B. Erosion, Sediment, Runoff, and Flood Control:
 - 1. Provide, maintain, and operate temporary facilities to control erosion and sediment releases, prevent runoff of recycled water, and to protect Work and existing facilities from flooding during construction period.
 - 2. Obtain and comply with the General NPDES Permit for Storm Water Discharges associated with Construction Activity.

3.05 STAGING AREA

- A. Staging is the Contractor's responsibility to obtain, permit and pay for. The Owner will not be providing staging. The Contractor's staging area (including fencing, aesthetics and housekeeping) shall be coordinated with the District.
- B. Temporary Storage Area: Construct temporary storage area for storage of products that are not subject to damage by weather conditions.
- C. Temporary Storage Buildings:
 - 1. Provide environmental control systems that meet recommendations of manufacturers of equipment and materials stored. Equipment and materials may require off-site storage due to space limitations at the project site.
 - 2. Arrange or partition to provide security of contents and ready access for inspection and inventory.

3. Store combustible materials (paints, solvents, fuels, etc.) in a well-ventilated and remote building meeting safety standards.

3.06 ACCESS ROADS AND DETOURS

- A. No road detours are anticipated for the Work.
- B. Maintain drainage ways.
- C. Where required, or as directed by District's Representative, provide gravel, crushed rock, or other stabilization material to permit access by all motor vehicles at all times.
- D. Maintain road grade and crown to eliminate potholes, rutting, and other irregularities that restrict access.
- E. Where construction affects existing fences, install and maintain gates. Temporary fencing will be adequate to perform the function of the permanent fencing it will replace on a short term basis. Refer also to any permit requirements in Section 01060, Regulatory Requirements and Permits.
- F. Upon completion of construction, leave roads and fences in same or better condition as prior to start of construction activities, and suitable for future use by District.
- G. Trench-plates installed in roadways shall be recessed to be flush with existing asphalt. Using "cut back" around trench-plates is not acceptable. Sausalito has a high volume of bicycle traffic which requires recessed trench-plates for safety.

3.07 PARKING AREAS

- A. Control vehicular parking to preclude interference with public traffic or parking, access by emergency vehicles, District's operations, or construction operations.
- B. Provide parking facilities for personnel working on the Project in Contractor's staging area or in legal parking spaces. No employee or equipment parking will be permitted in the District's parking lot at the treatment plant.

3.08 CLEANING DURING CONSTRUCTION

- A. General:
 1. In accordance with the General Conditions, as may be specified in specific Specification sections, and as required herein.
 2. Wet down exterior surfaces prior to sweeping to prevent blowing of dust and debris.
 3. Provide approved containers for collection and disposal of waste materials, debris, and rubbish. At least at weekly intervals, dispose of such waste materials, debris, and rubbish offsite.
 4. Daily, brush sweep the entry drive and roadways, and all other streets and walkways affected by Work and where adjacent to Work. Contractor shall maintain an organized worksite and clean daily. Contractor may be charged \$500 per day if worksite is not cleaned and/or worksite is not in compliance with permit requirements.

3.09 REMOVAL OF TEMPORARY FACILITIES AND UTILITIES

- A. At such time or times any temporary construction facilities and utilities are no longer required for the Work, Contractor shall notify District of his intent and schedule for removal of the temporary facilities and utilities, and obtain District's approval before removing the same. As approved, Contractor shall remove the temporary facilities and utilities from the site as his property and leave the site in such condition as specified, as directed by District, and/or as shown on the Drawings.
- B. After completion of the project, all temporary utility services shall be disconnected or removed and all affected improvements shall be restored to their original condition by the Contractor at no cost to the District.
- C. The condition of the site shall be left in a condition that will restore original drainage, evenly graded, seeded or planted as necessary, and left with an appearance equal to, or better than original.

END OF SECTION

SECTION 01550
TRAFFIC CONTROL

PART 1 GENERAL

1.01 SUMMARY

- A. Contractor's responsibilities regarding the Traffic Control Plan during the construction period shall include labor, material, equipment, tools, and services used in the regulation of construction traffic to and from the project site as well as public vehicular and pedestrian traffic within the project limits.

1.02 REFERENCES

- A. United States Department of Transportation, Federal Highway Administration:
 - 1. Manual on Uniform Traffic Control Devices, (MUTCD).
- B. California Department of Transportation, (CalTrans).

1.03 SUBMITTALS

- A. Approved and signed copies of:
 - 1. Encroachment permit.
 - 2. Traffic control plan (TCP).
 - 3. Notification plan.

1.04 GENERAL REQUIREMENTS

- A. Comply with requirements from the following Authorities Having Jurisdiction (AHJ):
 - 1. City of Sausalito.
- B. Federal, state, and local laws and regulations as required by the AHJ supersede the requirements of this Section.
- C. Contractor is responsible for costs associated with permits, plans, implementation, and maintenance.

1.05 ENCROACHMENT PERMIT

- A. Provide Encroachment Permit.

1.06 TRAFFIC CONTROL PLAN (TCP)

- A. Provide a TCP for each phase or segment of the construction meeting the requirements of the AHJ and this Section:
 - 1. Each TCP shall be considered separately.
- B. Timing:
 - 1. Include work hours and define off peak hour work requirements.
 - 2. Lane closures shall not commence outside of permitted hours:

- a. No work that interferes with public traffic shall be done outside of permitted hours.
 - b. Minor deviations from the requirements of this Section concerning hours of work may be permitted upon approval by the AHJ.
 - c. When work is not actively in progress the full width of the traveled way shall be open for public traffic.
- C. Maintain at least one vehicle access to all properties (including emergency vehicles) at all times unless closure is approved in writing.
- D. Define placement of the following:
 - 1. Project signs.
 - 2. Text message boards:
 - a. Locate fixed text message boards at each end of the project set.
 - b. Locate 2 moveable boards within the project setup area.
- E. Define placement and spacing of traffic control devices (including signs, markings, channelizing devices, lighting devices, flaggers, etc.) and spacing/location of these within the following traffic control areas:
 - a. Advance warning areas.
 - b. Transition areas.
 - c. Buffer spaces.
 - d. Work areas.
 - e. Termination Areas.
 - f. No parking areas.
 - g. Fresh oil areas.
 - h. Advance warning signs.
 - i. Lane closure areas.
 - j. "Double penalty in work zones" warning areas.
 - k. Detour areas.
- F. Set-up changes to accommodate different phasing of the work:
 - 1. Define channelizing, shifting of traffic lanes, and barricading.
 - 2. After installation of new or modified control, Contractor shall inspect and certify that controls are installed and operating as intended:
 - a. Certification shall consist of a signed affidavit stating that the traffic control has been inspected and found to be in conformance with the TCP and contract requirements provided to the government agency with jurisdiction of the right-of-way.
- G. General signage requirements:
 - 1. Include location, size, height, text height, and color of each sign.
- H. Provide for the protection of the traveling public, pedestrians and workers within the area covered by the limits of construction, at all times when the area is affected by construction facilities or activities including the following:
 - 1. Business access.
 - 2. Private property access:
 - a. Warn, control, protect, and expedite vehicular and pedestrian traffic through the private property.
 - 3. Driveway access.
 - 4. Pedestrian access.
 - 5. Bus stop access.

6. Bike access:
 - a. Maintain safe bike facilities through the work zone and associated traffic control layouts.
 - b. Provide alternative bike facilities or designated detour routes when necessitated by temporary removal of existing bike lanes.
 - c. Trench-plates shall be recessed to be even with asphalt or concrete where bike access exists.
 7. Emergency vehicle access:
 - a. Maintain access for emergency vehicles at all times.
 8. School zones and safe routes to school:
 - a. When a designated Safe Route to School is encroached upon by a construction work zone or the AHJ identifies a need for students to be assisted in the safe crossing through the work zone, provide a qualified crossing guard approved by the AHJ:
 - 1) Crossing guard shall be present for the full duration of time that children are likely to be present, as determined by the AHJ.
 - 2) Contractor is responsible for fees associated with the use of crossing guards.
 9. Excavations.
 10. Work sites.
 11. Intersections.
- I. Define lane widths and transitions.
- J. Provide 24-hour emergency contact information.
- K. Administration:
 1. Submit the approved TCP to the Engineer within 48 hours of approval by the AHJ:
 - a. Review and comment on the TCP by the government agency with jurisdiction of the right-of-way shall in no way relieve the Contractor of the responsibility for traffic and safety requirements.
 - b. Such acceptance shall in no way be construed as confirmation of the technical accuracy or adequacy of the contents of the TCP and shall not relieve the Contractor of the obligation to institute traffic control measures in full compliance with contract requirements and in conformance with local agency requirements.
 2. Submit traffic control change certification to the Engineer within 48 hours of completing the change.
- L. Changes to the TCP:
 1. If, during the execution of the work, the Contractor determines that the traffic control is not functioning as intended, the Contractor may make revisions to the TCP as necessary, provided that the local agencies with jurisdiction have accepted the changes.
 2. Emergency change requests affecting life and property can be submitted by the Contractor to the AHJ.
- M. Temporary surfaces:
 1. Provide temporary surfacing of excavated areas immediately after completing the backfilling of any section of the Work:

- a. If permitted by the AHJ, the Contractor may be allowed to leave excavations open provided that traffic control devices, approved by the governmental agency maintaining the right-of-way, are in place and maintained, and excavations are covered with approved temporary steel plates (non-skid surface type) at the close of each working day.
 - b. All temporary steel plates/trench-plates installed in roadways shall be recessed to be flush with existing asphalt. Using "cut back" around trench-plates is not acceptable. Sausalito has a high volume of bicycle traffic which requires recessed trench-plates for safety.
- N. Barricades and enclosures:
- 1. Provide suitable barricades, lights, signs, and watchmen at places where the Work causes obstructions to the normal traffic or constitutes in any way a hazard to the workmen or the public.
 - 2. Statutory requirements: Install and maintain barricades, signs, lights, and other protective devices within rights-of-way in strict conformity with applicable statutory requirements by the AHJ in accordance with approved Traffic Control Plan.
- O. Temporary bridges:
- 1. Design and place suitable temporary bridges where necessary for the maintenance of vehicular and pedestrian traffic and to accommodate the use of temporary sewer bypass pipelines.
- P. Pavement markings:
- 1. Temporary pavement markings:
 - a. Use pilot line method for the placement.
 - b. Use is limited to 14 calendar days.
 - c. Spacing minimum: every 40 feet.
 - d. Double yellow line shall have 2 pieces of tape side by side with a 4-inch space between.
 - e. Painted temporary striping shall be 4 inches wide unless specified otherwise:
 - 1) Do not use painted temporary striping on the existing pavement or on final wearing course of pavement.
 - 2. Existing pavement markings:
 - a. Remove either painted or raised pavement markers that are not applicable or are within the transverse limits of the temporary travel lanes to the satisfaction of the Engineer.
 - b. Painting over existing markings is not permitted.
- Q. Placement and maintenance of traffic control devices:
- 1. Maintain required traffic control devices and trenches within the right-of-way at all times, 24 hours per day, 7 days per week including holidays and weekends.
 - 2. Replace in kind signs and street markings damaged by the construction:
 - a. Replace the whole stripe or marking in its entirety on partial damaged lane stripes and traffic lettering.
 - 3. Remove traffic control devices not in use, or that will not be used for a period greater than 24 hours, from the work area:
 - a. Do not store unused traffic control devices on sidewalk unless the sidewalk is closed and an approved barricade plan is provided for rerouting pedestrians.

4. Maintain barricades and other traffic control devices in clean and effective condition and replace devices in poor condition immediately.
5. Begin placing barricades in the direction of traffic and remove them in the direction of opposing traffic.

R. Flaggers:

1. Provide flaggers in accordance with applicable requirements.

S. Residential areas:

1. Notify adjacent residents as applicable at least 4 days prior to restricting driveway access or starting any work, using "door knob type" Notices approved by the Engineer:
 - a. Notices shall describe the impending work.
 - b. Notices shall also identify dates and stages of work.
2. Give special consideration to multi-family residential complexes and other high-density uses.
3. Traffic Control Plan must include provisions for emergency service providers such as medical, fire, and police.

1.07 NOTIFICATION PLAN

A. Submit Notification Plan to Engineer for approval.

B. Define who will notify, how they will notify, and when they will notify:

1. Notify affected emergency agencies, residences, and businesses within the area of current work 15 days prior to start of operations.
2. Notify AHJ for any traffic control or Work areas affecting traffic signals, public bus routes, or bus stops at a minimum of 72 hours prior to any the work.

C. Notify Engineer prior to the start of operations and submit notification to Engineer 15 days prior to start of operations.

D. Notify the AHJ a minimum of 2 working days prior to the anticipated beginning of construction.

E. Provide street closure details related to the notification of the following:

1. Emergency services, such as police and fire.
2. Other services, such as mail and garbage collection.

F. Residential areas:

1. Notify adjacent residents as applicable at least 4 days prior to restricting driveway access or starting any work, using "door knob type" Notices approved by the Engineer:
 - a. Notices shall describe the impending work.
 - b. Notices shall also identify dates and stages of work.

G. Temporary closing:

1. At least 2 weeks prior to temporarily closing any part of the street, sidewalk, driveway, or other access to pedestrian or vehicle traffic, obtain approval from AHJ.

H. Contractor will immediately notify the AHJ of emergency change requests affecting life and property.

- I. The provisions of this Section do not relieve the Contractor from the responsibility to provide such additional devices or take such measures as may be necessary to ensure public safety:
 - 1. Contractor shall immediately repair or replace any component in the Traffic Control system that is damaged, displaced, or ceases to operate or function as specified.
 - 2. When lane closures are made for work periods only, at the end of each work period, remove all components of the traffic control system, except portable delineators placed along open trenches or excavation adjacent to the traveled way, from the traveled way, shoulder, and auxiliary lanes.
 - 3. Upon completion of the work requiring lane closure, remove all components of the Traffic Control system from the site of the work and shall become the property of the Contractor.
- J. Contractor shall maintain all traffic control devices installed in the field. This shall include patrolling the devices to ensure that they are in the correct locations and in good repair. All construction signs shall be kept clean and readable at all times.
- K. Contractor shall designate in writing a representative in charge of all traffic control on the project site. This representative shall be responsible for the care and maintenance of all devices.
- L. Access to driveways adjacent to the construction work zone shall be maintained at all times, if at all possible. Additional cones, delineators, traffic plates, and flaggers may be required to delineate the driveway access route through the construction work zone. A minimum of one travel lane shall be maintained across the driveways, unless prior written approval is obtained from the Traffic Engineer.
- M. Through travel lanes maintained during construction shall be a minimum of 11 feet or the existing lane width wide. Left-turn and right-turn lanes maintained during construction shall be a minimum of 10 feet wide:
 - 1. Barricades or barrier rails shall be located a minimum of 2 feet beyond the outside of any travel lane.
 - 2. Travel lanes located along curb and gutter shall be measured from the lip of gutter.
 - 3. Travel lanes for each roadway segment shall be opened when the underground construction activities are complete. If additional construction activities are required to complete the roadway in accordance with the contract documents, the Contractor shall completely remove all traffic control devices until additional construction activates commence on the segment of roadway.
- N. Contractor shall stage the work at each intersection to accommodate turning vehicles, including trucks and emergency vehicles, at all times, except as indicated in the concept traffic control plan set.
- O. Contractor shall stage the work at each intersection to accommodate turning vehicles, including trucks and emergency vehicles, at all times, except as indicated in the concept traffic control plan set.
- P. Coordinate all lane closures with City of Sausalito.

PART 2 PRODUCTS

2.01 MATERIALS

- A. Materials shall conform to the requirements of the AHJ and as specified in this Section.

2.02 TEMPORARY STRIPING

- A. Temporary traffic striping tape material shall conform to requirements of the AHJ.
- B. Tape shall be 4 inches wide and 4 feet long. The color of the tape shall match the color of the existing line.

2.03 PERMANENT STRIPING

- A. Permanent striping shall conform to the requirements of the AHJ.

2.04 TEMPORARY PAVEMENT

- A. Temporary pavement may be required to maintain required number of lanes or lane widths:
 - 1. Hard surfaced with a minimum of 1 1/2 inches of asphalt-concrete pavement and maintained in a smooth and usable condition for the duration of the detour and/or bypass.
 - 2. Upon completion of construction, remove temporary pavement and return the affected area to original condition.

PART 3 EXECUTION

3.01 ENCROACHMENT PERMIT TERMS AND CONDITIONS

- A. Comply with the requirements of the AHJ.
- B. An approved traffic control plan will be required, prior to the anticipated beginning of construction:
 - 1. A pre-approved concept traffic control plan set is included in the contract documentation, for streets with the highest potential impact to traffic.
 - 2. The traffic control plan shall be available at the job site at all times during construction.
- C. A complete permit package shall be available at the job site at all times during construction.
- D. Contractors and subcontractors connected with work on this project shall have a complete copy of this permit at the site at all times or the work will be closed down until a copy is made available.

3.02 IMPLEMENTATION OF TRAFFIC CONTROL

- A. Do not perform any lane closures or implement any part of the Traffic Control Plan until the AHJ has approved or provided the following:

1. Encroachment permit.
 2. Traffic control plan (TCP).
 3. Notification plan.
- B. If, in the Engineers judgment, a condition exists that is dangerous to the public safety, the Owner may immediately remedy it by any means available, and deduct the cost of the remedy from amounts owed the Contractor by the Owner.

END OF SECTION

SECTION 01560

ENVIRONMENTAL CONTROLS

GENERAL

1.01 SCOPE

- A. The Contractor shall provide facilities, establish procedures, and conduct construction activities in a manner which will ensure compliance with the environmental regulations and related Contract requirements controlling construction activities at Project Site. The Contractor shall designate one person, the General Superintendent or other, to enforce strict discipline on activities related to generation of wastes, pollution of air/water/soil, generation of noise, and similar harmful or deleterious effects which might violate regulations or reasonably irritate persons at or in vicinity of Project Site.
- B. Related Sections:
 - 1. Section 01060 – Regulatory Requirements and Permits.
 - 2. Section 01324 – Construction Progress Schedules and Reports.
 - 3. Section 01330 – Submittal Procedures.

1.02 SUBMITTALS

- A. Develop an Environmental Protection Plan and submit in accordance with Section 01330, Submittal Procedures, within thirty (30) days from the date of the Notice to Proceed. The Environmental Protection Plan shall include, but not be limited to, the following items:
 - 1. Copies of required permits.
 - 2. Proposed disposal site(s).
 - 3. Copies of any agreements with public or private landowners regarding equipment, materials storage, borrow sites, fill sites, or disposal sites. Any such agreement made by the Contractor shall be invalid if its execution causes violation of local or regional grading or land use regulations.
- B. Distribute the favorably reviewed plan to all employees and to all subcontractors and their employees.

1.03 SITE MAINTENANCE

- A. The Contractor shall keep the work site clean and free from rubbish and debris. Materials and equipment shall be removed from the site when they are no longer necessary. Upon completion of the work and before final acceptance, the work site shall be cleared of equipment, unused materials, and rubbish to present a clean and neat appearance.
- B. Waste material of any kind will not be permitted to remain on the Site of the Work or on adjacent streets. Immediately upon such materials becoming unfit for use in the Work, they shall be collected, carried off the Site and disposed of by the Contractor. Paper and trash that can be carried by wind shall be placed in sealed containers.

- C. The Contractor shall keep all buildings occupied by the Contractor clear of all refuse, rubbish and debris that may accumulate from any source and shall keep them in a neat condition to the satisfaction of the Construction Manager.
- D. In the event that waste material, refuse, debris and/or rubbish are not so removed from the Project Site by the Contractor, the District reserves the right to have the waste material, refuse, debris and/or rubbish removed and the expense of the removal and disposal charged to the Contractor.
- E. Paints, solvents, and other construction materials shall be handled with care to prevent entry of contaminants into storm drains, surface waters, or soils.

1.04 STREET CLEANING

- A. The Contractor shall be responsible for preventing dirt and dust from escaping from trucks departing the Project Site, by covering dusty loads, washing truck tires before leaving the Site, or other reasonable methods.
- B. When working dump trucks and/or other equipment on paved streets and roadways, the Contractor will be required to clean said streets as required by the Construction Manager to remove dirt caused by the Contractor's activities. The use of water in amounts, which result in mud on public streets, is not acceptable as a substitute for sweeping or other methods. Equipment for this operation shall be on the Project Site or available at all times.
- C. In the event that the above requirements are violated and no action is taken by the Contractor after notification of infraction by the Construction Manager, the District reserves the right to have the streets in question cleaned by others and the expense of the operation charged to the Contractor.

1.05 DUST AND AIR POLLUTION CONTROL

- A. The Contractor shall not discharge smoke, dust, and other contaminants into the atmosphere that violate the regulations of any legally constituted authority. The Contractor shall also abate dust nuisance by cleaning, sweeping, and sprinkling with water, or other means as necessary. The use of water, in amounts which result in mud on public streets, is not acceptable as a substitute for sweeping or other methods.
- B. The Contractor shall maintain all pollution control devices on all mechanical equipment in good working order and all such devices shall be properly connected to said equipment.
- C. The Contractor will not be allowed to use rapid-cure cutback asphalt in accordance with the Bay Area Air Quality Management District's Rules and Regulations, Regulation 8, Rule 15.

- D. The Contractor shall be required to reduce particulate emissions by complying with the Bay Area Air Quality Management District. The Contractor shall provide the following measures as a minimum:
 - 1. Enclose, cover, or water all soil stockpiles as needed to maintain dampness and prevent visible dust emissions. The amount of watering may depend upon temperature, humidity, and wind speed for any given day. Alternatively, a higher degree of emission reduction can be achieved through installation of sprinklers on all soil stockpiles. Contractor is advised that high wind conditions are prevalent at the Site.
 - 2. Water all exposed soil as needed to maintain dampness and prevent visible dust emissions. The amount of watering may vary depending upon temperature, humidity, and wind speed for a given day.
 - 3. Water all haul roads as necessary to maintain dampness and prevent visible dust emissions. The amount of watering may vary depending upon temperature, humidity, and wind speed for a given day.
 - 4. Maintain adequate freeboard or secure covering of all haul/dump trucks sufficient to prevent visible dust emissions and to prevent soil spillage on to surrounding roadways.

1.06 NOISE CONTROL

- A. The Contractor shall comply with applicable laws, regulations, and ordinances which apply to any work performed pursuant to the Contract. If the requirements of this Section are more restrictive than those of the local regulations, the requirements of this Section shall govern.
- B. Each internal combustion engine, used for any purpose on the job or related to the job, shall be enclosed and be equipped with a muffler of a type recommended by the manufacturer. No internal combustion engine shall be operated on the project without said muffler and enclosure. Use "quiet package" or "hush" equipment which is readily available for such equipment as trailer-mounted compressors, generators, welders, etc. All equipment shall be operated in the quietest manner practicable.
- C. Contractor shall be required to locate all fixed construction equipment such as compressors and generators as far as feasibly possible from sensitive receptors. Contractor shall shroud or shield all impact tools.
- D. Where possible, the Contractor shall use electric rather than diesel or gas-powered equipment.
- E. There shall be no start-up of machines or equipment, no delivery of materials or equipment, no cleaning of machines or equipment and no servicing of equipment except during the permitted hours of construction involving noisy operations.
- F. Amplified sound and other forms of loud communication constituting a nuisance, at the sole discretion of the Construction Manager, shall not be permitted.
- G. Material stockpiles and/or vehicle staging areas shall be located as far as practicable from dwellings.
- H. The Contractor shall designate a disturbance coordinator responsible for responding to noise complaints. The name and telephone number of the coordinator shall be clearly posted at the construction site. The disturbance coordinator shall

determine causes and implement measures to mitigate the noise impact, including the enforcement of the allowable hours of construction, the identification of poorly muffled equipment and requiring its repair or replacement; and recommending temporary construction noise barriers.

1.07 TREE AND PLANT PROTECTION

A. Temporary tree protection:

1. The Contractor shall carefully protect existing trees from damage by construction activities. Additional requirements for specific trees may be shown on the Plans or designated in the Contract Documents.
2. All trees and bushes shall be protected in place until marked for removal by the Construction Manager. Contractor shall request an on-site visit with the Construction Manager prior to performing any work on-site.
3. All tree trunks shall be wrapped in fabric and wood (2x4 or similar) to protect trunks. Do not disturb roots within any protected tree's drip line. Contact the Construction Manager for examination of any roots 2" or larger in diameter which are in the way of construction prior to disturbing them. In the event roots need to be cut they shall be clean cut and wrapped in burlap to protect fungus &/or insect intrusion.
4. Not used.
5. Every reasonable effort shall be made to avoid creating conditions adverse to the tree's health:
 - a. The natural ground within the dripline of protected trees shall remain undisturbed.
 - b. The dripline area of protected trees shall be identified on the ground by a circle with a radius measurement from the trunk of the tree to the tip of its longest limb.
 - c. No limb shall be cut back in order to change the dripline measurement.
 - d. The area within the dripline is a critical area of the tree's root zone and defines the minimum protected area of each tree.
 - e. No vehicles, construction equipment, temporary buildings, supplies, materials or facilities shall be driven, parked, stockpiled or located within the dripline of protected trees.
 - f. No trees outside the construction limits shall be removed or damaged, unless authorized by the Construction Manager.
6. If a tree is damaged or destroyed by construction (other than those designated for removal), the Contractor shall replace it in species, size and grade with a healthy tree as directed by the Construction Manager. Where it is necessary to replace a tree damaged by construction, the Contractor shall bear all expenses required to establish the replacement tree and paying tree removal fees.

B. Cultivated areas and other surface improvements:

1. All landscaped areas and other surface improvements which are damaged by actions of the Contractor shall be restored.
2. The Contractor shall minimize vegetation removal.
3. Areas shall not be cleared until construction activities require the work.
4. Erosion controls shall be in place prior to clearing and grading activities.

- C. Other areas to be protected:
 - 1. Environmentally sensitive areas are indicated on the Drawings.
 - 2. The Contractor shall erect a protective fence around the area to be protected.
 - 3. The protective fence shall be 4 feet tall, international orange high density polyethylene resin (Visi-Barrier or equal).
 - 4. Posts shall be heavy duty steel T-posts with corrosion resistant coating spaced at 5 feet on centers.

1.08 WATER QUALITY PROTECTION AND WATER CONTROL

- A. Field Management Practices for Water Quality Protection, Appendix B, contains a Field Management Practices for Water Quality Protection Manual. The Contractor is required to comply with these requirements.
- B. Temporary pumping and drainage:
 - 1. The Contractor shall conform to the regulations and requirements of legally authorized surface water management agencies.
 - 2. The Contractor shall be responsible for keeping trenches and other areas free from water as required to permit continuous progress of, or to prevent damage to, its own work or the work of others. The Contractor's operations shall be conducted in such a manner as to prevent sediment from reaching existing sewers, storm drains, and creeks.
 - 3. The Contractor shall cover exposed excavated areas and spoils piles when runoff from rain is or would be likely to cause turbidity to enter local waterways. The Contractor shall suspend work in the rain if such work cannot be performed without causing turbid runoff.
 - 4. To avoid solids or turbid runoff from entering local waterways, the Contractor shall cover, secure, and/or berm excavated area and spoils piles and employ other methods as necessary such as hay bale around storm drains or around construction sites; use of cut and cover construction method; or use of sedimentation basins.

1.09 OIL SPILL PREVENTION AND CONTROL

- A. General:
 - 1. The Contractor shall be responsible for prevention, containment, and cleanup of spilling of oil, fuel, and other petroleum products used in the Contractor's operations.
 - 2. All such prevention, containment, and cleanup costs shall be borne by the Contractor.
 - 3. The Contractor shall not discharge oil, fuel, or other petroleum products from equipment or facilities into surrounding waters or onto adjacent land.
- B. Spill mitigation measures:
 - 1. The Contractor shall, at a minimum, take the following measures regarding oil spill prevention, containment, and cleanup:
 - a. Fuel hoses, lubrication equipment, hydraulically operated equipment, oil drums, and other equipment and facilities shall be inspected regularly for drips, leaks, or signs of damage, and shall be maintained and stored

properly to prevent spills. Proper security shall be maintained to discourage vandalism.

- b. All land-based oil and products storage tanks shall be diked or located so as to prevent spills from escaping to the water.
- c. Diking and subsoils shall be lined with impervious material to prevent oil from seeping through the ground and dikes.
- d. All visible oils on land shall be immediately contained using dikes, straw bales, or other appropriate means and removed using sand, ground clay, sawdust, or other absorbent material, which shall then be properly disposed of by the Contractor.
- e. Waste materials shall be temporarily stored in drums or other leakproof containers after cleanup and during transport to disposal.
- f. Waste material shall be disposed of off property at an approved site.

1.10 GROUNDWATER DISCHARGE TO DISTRICT FACILITIES

- A. On-site disposal of groundwater is permitted subject to the following conditions:
 1. Water disposed into on-site sewers must comply with the District's discharge limits provided in the appendices.
 2. The Contractor shall sample the groundwater prior to initial discharge into on-site sewers. Submit test results and receive approval of the water prior to initial discharge. Continue to sample the water on a monthly interval for the entire duration of discharge and submit the monthly test results to the Owner for acceptance.
 3. Mud and silt are not acceptable for discharge into District or City facilities. The use of silt socks, baffled separation tanks or other means may be required to ensure silt levels are acceptable to the District.
 4. The rate at which groundwater is discharged to District or City facilities must be approved by the District in advance and continuously monitored by the Contractor at all times. It may be required to reduce discharge rates or eliminate discharge of ground water during significant storm events.
 5. The Owner may terminate acceptance of on-site groundwater discharge at any time.
 6. Coordinate groundwater discharge location with the Owner.

1.11 MANAGEMENT OF HAZARDOUS MATERIAL AND/OR WASTE

- A. Notification: If the Contractor causes or permits the spillage or overflow of any oil, or petroleum product, hazardous substance, contaminant, waste or wastewater, including overflows or releases of untreated or treated (partially or fully) wastewater, and backups into buildings and on private property, the Contractor shall notify the District as soon as possible to the extent notification can be provided without substantially impeding cleanup or other emergency measures. In no event shall such notification be later than one (1) hour after knowledge of the occurrence.

- B. Storage: The Contractor shall label and store all hazardous materials, such as pesticides, paints, thinners, solvents, and fuels, and all hazardous wastes, such as waste oil and antifreeze, in accordance with all applicable state and federal regulations. The Contractor shall store all hazardous materials and all hazardous wastes in accordance with secondary containment regulations. All such materials and wastes shall be covered, as needed, to avoid rainwater becoming polluted with hazardous constituents, which could result in potential management of collected rainwater as hazardous waste. The Contractor shall keep an accurate, up-to-date inventory, including Material Safety Data Sheets (MSDS), of hazardous materials and hazardous wastes stored on site.
- C. Usage: When rain is forecast within 24 hours or during wet weather, the Contractor shall refrain from applying chemicals in outside areas. The Contractor shall follow material manufacturer's instruction regarding uses, protective equipment, ventilation, flammability, and mixing of chemicals. The Contractor shall post warning signs in areas treated with chemicals.
- D. Disposal: The Contractor shall arrange for regular hazardous waste collection to comply with time limits on storage of hazardous wastes. The Contractor shall dispose of hazardous waste in accordance with this Section 00 72 00, General Conditions. The Contractor shall not wash any spilled material into streets, gutters, storm drains, or creeks and shall not bury spilled hazardous materials. The Contractor shall report any hazardous material spills to the District in accordance with paragraph A above.
- E. Chemicals: All chemicals used during project construction or furnished for project operation, whether defoliant, soil sterilant, herbicide, pesticide, disinfectant, polymer, reactant, or other classification, shall show approval of either the U.S. Environmental Protection Agency or the U.S. Department of Agriculture. Use of all such chemicals and disposal of residues shall be in strict accordance with the printed instructions of the manufacturer.

1.12 CONCRETE, GROUT, AND MORTER WASTE MANAGEMENT

- A. Concrete Truck/Equipment Washout: The Contractor shall not wash out concrete trucks or equipment into streets, gutters, storm drains, or creeks. The Contractor shall perform washout of concrete trucks or equipment off site or in a designated area on site where the water will flow onto dirt or into a temporary pit in a dirt area. The Contractor shall let the water percolate into the soil and dispose of the hardened concrete in a trash container. If a suitable dirt area is not available, the Contractor shall collect the wash water and remove it off site.
- B. Exposed Aggregate Concrete Wash Water: The Contractor shall avoid creating runoff by draining water from washing of exposed aggregate concrete to a dirt area. If a suitable dirt area is not available, the Contractor shall filter the wash water through straw bales or equivalent material before discharging to a storm drain. The Contractor shall collect sweepings from exposed aggregate concrete for disposal.

1.13 PAINT DISPOSAL AND CLEAN-UP

- A. Disposal of Unused Paint: The Contractor shall carefully use, store, and dispose of paint, solvents, chemicals, and waste materials in compliance with all applicable state and federal regulations. The Contractor shall not dispose of paint to sanitary sewer systems or storm drains. The Contractor shall utilize other recycling and disposal services as follows:
 - 1. "Recycling Centers" and "Waste Disposals" as may be listed in the yellow pages.
 - 2. Local household hazardous waste facility if appropriate.
- B. The Contractor may dispose of small amounts of leftover latex (water-based) paint by applying the paint to the surface of an item to be discarded and allowing it to dry thoroughly, then disposing of it in a dumpster.
- C. The Contractor shall store these materials and conduct cleaning of painting equipment and tools in a designated area that will not allow run-on of storm water or runoff of spills. The Contractor shall not allow wash water from cleaning of painting equipment and tools into streets, gutters, storm drains, or creeks.
- D. Disposal of Paint Cleanup Waste: The Contractor shall remove as much excess paint as possible from brushes, rollers, and equipment before starting cleanup:
 - 1. The Contractor shall not discharge cleaning wastes from oil-based paints, buckets, brushes, or tools to the sanitary sewer system. The Contractor shall retain a certified waste hauler to recycle or to dispose of cleaning wastes from oil-based paints at the Contractor's expense.
 - 2. The Contractor may discharge very small amounts of cleaning wastes from brushes, rollers, buckets, and tools contaminated with latex (water-based) paints to the sanitary sewer system provided they do not contain additives with pollutants of concern (e.g., mercury, tributyltin). Brushes, rollers, and tools containing latex paints may be washed over a sink with plenty of water. Buckets containing latex paints shall first be emptied into the original can or discarded as specified above. Should excessive amounts of paint or solvent be found in the wastewater discharged, the Contractor may be subject to enforcement action by the District in accordance with the District Code.
 - 3. The Contractor shall not discharge any of these paint cleanup wastes to storm drains, streets, gutters, or creeks.
 - 4. Waste Disposal: The Contractor shall dispose of waste thinner, solvent, and sludge from cleaning of equipment and tools as hazardous waste, as described in paragraph C.3 above. The Contractor shall dispose of excess thinners, solvents, and oil- and water-based paint as hazardous waste.

1.14 CONTAMINATED SOILS/MATERIALS

- A. Contaminated soils and materials shall include, but not be limited to, pollutants and/or materials defined as hazardous substances or hazardous wastes under the Resource Conservation and Recovery Act (RCRA), the Comprehensive Environmental Response Compensation and Liability Act (CERCLA), the Hazardous Substances Control Act (Health and Safety Code Section 25300 and following), the Hazardous Waste Control Act (Health and Safety Code Section 25100 and following), or as defined as pollutants or contaminants under any other applicable state and federal laws and regulations. Said materials shall include, but not be limited to, friable asbestos, PCBs, petroleum products and its byproducts, and waste oil, among other substances.
- B. Contractor shall notify the District by person or by telephone within two hours of discovery as to any contaminated soil or materials on or beneath the job site, including in buildings and related structures that could be impacted by the construction Project so discovered by the Contractor, its personnel, agents, representatives, consultants, or any other persons working under the direction and control of the Contractor. In addition, written notice shall be delivered to the District by the Contractor within 24 hours of discovery. Contractor shall require that like provisions be inserted in all contracts with its subcontractors and tiers of subcontractors. This shall not relieve the Contractor from the obligation and responsibility to ensure that the provisions of this General Condition are complied with.
- C. The Contractor and its subcontractors shall immediately cease any and all work at the location of the discovery of the contaminated soils or materials until further notice from the District or the Engineer.
- D. However, if the Contractor is specifically directed to conduct appropriate cleanup operations with respect to the contaminants discovered, the Contractor shall proceed with these operations. In addition, the Contractor shall notify the District of the discovery of said contaminants in the manner set forth above. Further, if the contaminants substantially vary from the description in the Contract as to type of material, quality of materials, level of concentration or toxicity, location, as to the materials' affect on groundwater, or vary in any other substantial manner from the description as set forth in the Contract, the Contractor shall immediately cease operations and notify the District in the manner set forth above.
- E. All work done by the Contractor with respect to cleanup, removal, and remedial actions concerning the contaminated soils or materials shall be done according to law. All required notices shall be given by the Contractor to the County Environmental Health Hazard Materials Section and other appropriate governmental agencies, including the State Department of Toxic Substances Control and Regional Water Quality Control Board-San Francisco Bay Region, among others. The Contractor or any subcontractor doing such work on behalf of the Contractor shall have the appropriate certification, licenses, and permits prior to commencing any such cleanup, removal, and/or remedial work. The District shall not be responsible for the negligence of or violation of any laws, rules, regulations, or ordinances by the Contractor or any of the Contractor's subcontractors, agents, consultants, employees, or representatives in doing such cleanup, removal, and remedial work.

- F. If any of the cleanup, removal, containment, and remediation work substantially impacts upon the community, including, but not limited to, traffic, odor, and health issues, the District reserves the right to direct that the manner of operations by the Contractor be revised accordingly to reduce or eliminate the adverse effects.

1.15 BYPASSING OF SEWAGE FLOW

- A. Renovation of the pump stations includes connection to existing sanitary sewers. Flow control and bypass pumping will be required to complete these connections. Bypass sewage pumping shall be provided at the Contractor's cost wherever and whenever required to ensure uninterrupted sewer service:
 - 1. Reference Section 02552, Temporary Bypass Pumping for further information and requirements.
 - 2. Reference Section 01140, Work Restriction for construction sequencing and constraints related to bypassing of sewage flows.
- B. Connections and utility changes must be coordinated with the District. The Contractor shall notify the Engineer in writing at least twenty one (21) days in advance of any required shutdown.
- C. Bypassing of sewage flows shall be done in such a manner that it will not damage private or public property or create a nuisance or public menace. Bypassing of sewage flows shall not cause the backing up and flooding of upstream connections. The Contractor shall be liable for all damages and fines associated with this work.
- D. All chemicals used during project construction or furnished for project operation, whether defoliant, soil sterilant, herbicide, pesticide, disinfectant, polymer, reactant, or other classification, shall show approval of either the U.S. Environmental Protection Agency or the U.S. Department of Agriculture. Use of all such chemicals and disposal of residues shall be in strict accordance with the printed instructions of the manufacturer.

PART 2 - PRODUCTS (NOT USED)

PART 3 - EXECUTION (NOT USED)

PART 4 - ADDITIONAL REQUIREMENTS (NOT USED)

END OF SECTION

SECTION 01600
PRODUCT REQUIREMENTS

PART 1 GENERAL

1.01 SUMMARY

- A. Section Includes: Product requirements, product selection, products schedule, execution, manufacturer's instructions, and delivery, handling, and storage.
- B. Related Sections:
 - 1. Section 01330 – Submittal Procedures.
 - 2. Section 01610 – Product Design Criteria.
 - 3. Section 01745 – Spare Parts, Special Tools and Maintenance Materials.

1.02 PRODUCT REQUIREMENTS

- A. Comply with Specifications and referenced standards as minimum requirements.
- B. Provide products by same manufacturer when products are of similar nature, unless otherwise specified.
- C. Provide identical products when products are required in quantity.
- D. Provide products with interchangeable parts whenever possible.
- E. Require each equipment manufacturer to have maintenance facilities meeting the following requirements:
 - 1. Minimum three years operational experience.
 - 2. Location in continental United States.
 - 3. Equipment and tools capable of making repairs.
 - 4. Staff qualified to make repairs.
 - 5. Inventory of maintenance spare parts.
- F. Provide evidence of product compliance pursuant to appropriate specification section, this Section, and Section 01330 - Submittal Procedures.

1.03 PRODUCT SELECTION

- A. When products are specified by standard or specification designations of technical societies, organizations, or associations only, provide products which meet or exceed reference standard and Specifications.
- B. When products are specified with names of manufacturers but no model numbers or catalog designations, provide:
 - 1. Products by one of named manufacturers which meets or exceeds Specifications.
 - 2. Accepted or equals.
- C. When products are specified with names of manufacturers and model numbers or catalog designations, provide:

1. Products with model numbers or catalog designations by one of named manufacturers.
 2. Accepted or equals.
- D. When products are specified with names of manufacturers, but with brand or trade names, model numbers, or catalog designations by one manufacturer only, provide:
1. Products specified by brand or trade name, model number, or catalog designation.
 2. Products by one of named manufacturers proven in accordance with requirements for or equals to meet or exceed quality, appearance and performance of specified brand or trade name, model number, or catalog designation.
 3. Accepted or equals.
- E. When products are specified with only one manufacturer followed by "or Equal," provide:
1. Products meeting or exceeding Specifications by specified manufacturer.
 2. Accepted or equals.

1.04 APPROVED EQUAL AND SUBSTITUTION

- A. Specified Item: Material, equipment, product, thing, or service referenced in this Project Plans and Specifications that has been identified by specific brand, manufacturer, model number, catalog number, or trade name.
- B. Equal Items: Items, as referenced in this Project Plans and Specifications are those, which, to the District's knowledge, meet the requirements of the Project Plans and Specifications and are considered equal to the Specified Items.
- C. Substitutions: Substitutions are considered changes to the Contract.
- D. Submissions for Evaluation:
1. The Contractor shall submit to the District in accordance with Public Contract Code Section 3400, after contract award but no later than thirty (30) days after the date of Notice to Proceed, proposal(s) for acceptance of a proposed Equal Item for a Specified Item or a Substitution. At the sole discretion of the District, District may give written consent to the submission of the proposed Equal Item or Substitution after the expiration of the thirty (30) day time limit.
 2. The Contractor shall completely fill out the Material/Product Substitution Request Form per Section 01600.01 and submit sufficient data, drawings, samples, literature, calculations, and all other information requested by District to demonstrate to the District that the proposed Equal Item or Substitution is a suitable replacement for the Specified Item(s).
 3. Failure of the Contractor to submit the proposed Equal Item or Substitution for review in the manner and time described above shall be sufficient cause for rejection by the District of the proposed Equal Item or Substitution.
 4. Burden of proof as to the submitted items being equal to the Specified Items, or a suitable Substitution, is the responsibility of the Contractor.
- E. Evaluation as Equal:
1. Submission of items which are proposed as equal to the Specified Items will be evaluated in accordance with the following provisions.

2. In addition to the complete Substitution Request Form located at the end of this Section, and information specified above, Contractor shall provide a table showing equivalency between the Specified Item and the proposed equal item.
3. The District's evaluation of the submitted items proposed as being equal to the Specified Items is based on, but not limited to, the following:
 - a. Performance.
 - b. Functionality.
 - c. Efficiency.
 - d. Durability.
 - e. Life cycle costs.
 - f. Ease and economy of maintenance and operation.
 - g. Construction and physical characteristics as compared to the Specified Items, or as delineated in the Project Plans and Specifications.
 - h. Dimensional compatibility with the materials it combined to produce a unified design system.
 - i. Compatibility with products in use.
 - j. Impact to Project design, construction schedule, or construction sequencing.
 - k. All aspects of finished appearance including form, texture, and color, that may affect other design elements.
4. The District will be the sole judge in this matter. In the event the District rejects the proposal items based on one of the above criteria, the Contractor shall submit the Specified Items.

- F. Submission of items which are proposed as substitution of the Specified Items shall be subject to requirements for proposed equal evaluation and the following additional provisions:
1. Substitution(s) of Specified Item(s) proposed by the Contractor may require modifications in the Project design, Project schedule, and/or construction sequencing. The Contractor shall identify all necessary project modification required for the substitution(s). Necessary project modifications may include, but not be limited to, construction cost (credit), electrical, instrumentation, structural, mechanical, architectural, testing, engineering costs, and other related modifications.
 2. The Contractor is responsible for all costs associated with the substitutions(s) including submittal reviews and any project redesigns and modifications. Contractor refusal to accept any of these costs shall be just cause for disapproval of the substitution(s).
 3. The District will review and respond in writing to the Contractor's proposed substitution within thirty (30) days after receipt of all information the District requires to make a final determination.
 4. If the proposed items are accepted, all cost saving shall be credited to the District.

1.05 QUALITY ASSURANCE

- A. Employ entities that meet or exceed specified qualifications, to execute the Work.
- B. Inspect conditions before executing subsequent portions of the Work. Accept responsibility for correcting unsatisfactory conditions upon executing subsequent portions of the Work.

- C. Secure products in place with positive anchorage devices designed and sized to withstand stresses, vibration, and racking.

1.06 TOOLS AND SPARE PARTS

- A. See Section 01745 - Spare Parts, Special Tools and Maintenance Materials, and individual technical specification sections for additional spare parts, special tools and maintenance materials requirements.
- B. All special tools and the manufacturer's standard set of spare parts required for the normal operation and maintenance of respective items of equipment shall be furnished with those items of equipment by the manufacturer. This includes special tools, instruments, accessories required for proper "in-plant" adjustment, maintenance, overhaul, and operation. Tools shall be high-grade, smooth, forged, alloy tool steel or other appropriate material required for service conditions.
- C. Special tools are considered to be those tools which because of their limited use are not normally available, but which are necessary for the particular equipment, whether identified in the manufacturer's standard manual or not.
- D. All spare parts shall be carefully packed in sealed, weather-resistant cartons and all tools packed in metal tool boxes with locking clasps, each labeled with indelible markings, and shall be adequately treated for a long period of storage. Complete ordering information including manufacturer's name and address, part ordering information including manufacturer, part number, part name, and equipment name and number(s) for which the part is to be used shall be supplied with the required spare parts. The tools and spare parts shall be delivered and stored in a location directed by the District no later than 30 days prior to scheduled field-testing. A list of spare parts, respectively, shall be placed in each storage container and a duplicate list included in the operations and maintenance manuals.
- E. Additional and specific spare parts and tools for certain equipment provided have been specified in the pertinent Sections of the Specifications. The Contractor shall collect and store all spare parts so required in an area to be designated by the District. In addition, the Contractor shall furnish to the District an inventory listing all spare parts, the equipment they are associated with, the name and address of the supplier, and the delivered cost of each item. Copies of the actual invoice for each item shall be furnished with inventory to substantiate the delivery.
- F. Special tools and spare parts shall be new and shall not be utilized by the Contractor.

1.07 PROTECTION OF EQUIPMENT AFTER INSTALLATION:

- A. After installation, all equipment shall be protected from damage from, including but not limited to, dust, abrasive particles, debris and dirt generated by the placement, chipping, sandblasting, cutting, finishing and grinding of new or existing concrete, terrazzo and metal; and from the fumes, particulate matter, and splatter from welding, brazing and painting of new or existing piping and equipment.
- B. As a minimum, vacuum cleaning, blowers with filters, protective shieldings, and other dust suppression methods will be required at all times to adequately protect all equipment.

- C. During concreting, including finishing, all equipment that may be affected by cement dust must be completely covered.
- D. During painting operations, all grease fittings and similar openings shall be covered to prevent the entry of paint.
- E. Electrical switchgear, unit substation, and motor load centers shall not be installed until after all concrete work and sandblasting in those areas have been completed and accepted and the ventilation systems installed.

1.08 MANUFACTURER'S INSTRUCTIONS

- A. Deliver, handle, store, install, erect, or apply products in accordance with manufacturer's instructions, Contract Documents, and industry standards.
- B. Periodically inspect to assure products are undamaged and maintained under required conditions.

PART 2 PRODUCTS

Not Used.

PART 3 EXECUTION

Not Used.

PART 4 ADDITIONAL REQUIREMENTS

Not Used.

END OF SECTION

PRODUCT REQUIREMENTS SUPPLEMENT
MATERIAL / PRODUCT SUBSTITUTION REQUEST FORM

Project Name: _____ Date: _____

A. We hereby submit for your consideration the following product instead of the specified item:

1. Section _____ Subarticle _____
2. Specified Item _____
3. Proposed Substitution (Mfr, Type, Model, etc.) _____

B. Complete all of the following:

1. Does this substitution offer the District a cost credit (including costs for changes by other trades)? _____
If so, how much? _____
2. Does this substitution offer earlier delivery or less construction time? _____
How much and why? _____
3. How does this substitution affect any dimensions, layout, or details of other trades as shown on the Drawings? _____

4. What are the specific differences between this substitution and the specified item? _____

C. Attach the following items as applicable:

Check if applicable

- | | |
|---|-------|
| 1. Manufacturer's technical data | _____ |
| 2. Laboratory test or performance results | _____ |
| 3. Drawings and wiring diagrams of the proposed product | _____ |
| 4. Drawings & description of changes required by other trades | _____ |
| 5. Samples | _____ |
| 6. Manufacturer's guarantee and maintenance instructions | _____ |
| 7. Documentation of code compliance for all specified uses | _____ |

D. The undersigned agrees to pay for all additional review, design, testing, changes in the Contract

Documents and construction as a result of the acceptance of this substitution, at no cost to the District.

E. Submitted by (Firm) _____

Signature _____ Date _____

F. Accepted _____ Rejected _____ Revise and Resubmit _____ See attached .

By (District / Design Engineer) _____ Date _____

END OF SECTION

SECTION 01605

DELIVERY, STORAGE AND HANDLING

PART 1 GENERAL

1.01 SCOPE OF WORK

- A. This Section specifies the general requirements for the delivery handling, storage and protection for all items required in the construction of the work. Specific requirements, if any, are specified with the related item.

1.02 TRANSPORTATION AND DELIVERY

- A. Transport and handle items in accordance with manufacturer's instructions.
- B. Schedule delivery to reduce long term on-site storage prior to installation and/or operation. Under no circumstances shall equipment be delivered to the site more than one month prior to installation without written authorization from the Owner's Representative.
- C. Coordinate delivery with installation to ensure minimum holding time for items that are hazardous, flammable, easily damaged or sensitive to deterioration.
- D. Deliver products to the site in manufacturer's original sealed containers or other packing systems, complete with instructions for handling, storing, unpacking, protecting and installing.
- E. All items delivered to the site shall be unloaded and placed in a manner which will not hamper the Contractor's normal construction operation or those of subcontractors and other contractors and will not interfere with the flow of necessary traffic.
- F. Provide necessary equipment and personnel to unload all items delivered to the site.
- G. Promptly inspect shipment to assure that products comply with requirements, quantities are correct and items are undamaged. For items furnished by others (i.e. Owner, other Contractors), perform inspection in the presence of the Owner's Representative. Notify Owner's Representative verbally, and in writing, of any problems.

1.03 STORAGE AND PROTECTION

- A. Store and protect products in accordance with the manufacturer's instructions, with seals and labels intact and legible. Storage instruction shall be studied by the Contractor and reviewed with the Owner's Representative by him/her. Instruction shall be carefully followed and a written record of this kept by the Contractor. Arrange storage to permit access for inspection.

- B. Store loose granular materials on solid flat surfaces in a well-drained area. Prevent mixing with foreign matter.
- C. Cement and lime shall be stored under a roof and off the ground and shall be kept completely dry at all times. All structural, miscellaneous and reinforcing steel shall be stored off the ground or otherwise to prevent accumulations of dirt or grease and in a position to prevent accumulations of standing water and to minimize rusting. Beams shall be stored with the webs vertical. Precast concrete shall be handled and stored in a manner to prevent accumulations of dirt, standing water, staining, chipping or cracking. Brick, block and similar masonry products shall be handled and stored in a manner to reduce breakage, cracking and spalling to a minimum.
- D. All mechanical and electrical equipment and instruments subject to corrosive damage by the atmosphere if stored outdoors (even though covered by canvas) shall be stored in a weathertight building to prevent injury. The building may be a temporary structure on the site or elsewhere, but it must be satisfactory to the Owner's Representative. Building shall be provided with adequate ventilation to prevent condensation. Maintain temperature and humidity within range required by manufacturer.
 - 1. All equipment shall be stored fully lubricated with oil, grease and other lubricants unless otherwise instructed by the manufacturer.
 - 2. Moving parts shall be rotated a minimum of once weekly to ensure proper lubrication and to avoid metal-to-metal "welding". Upon installation of the equipment, the Contractor shall start the equipment, at least half load, once weekly for an adequate period of time to ensure that the equipment does not deteriorate from lack of use.
 - 3. Lubricants shall be changed upon completion of installation and as frequently as required thereafter during the period between installation and acceptance. New lubricants shall be put into the equipment at the time of acceptance.
 - 4. Prior to acceptance of the equipment, the Contractor shall have the manufacturer inspect the equipment and certify that its condition has not been detrimentally affected by the long storage period. Such certifications by the manufacturer shall be deemed to mean that the equipment is judged by the manufacturer to be in a condition equal to that of equipment that has been shipped, installed, tested and accepted in a minimum time period. As such, the manufacturer will guaranty the equipment equally in both instances. If such a certification is not given, the equipment shall be judged to be defective. It shall be removed and replaced at the Contractor's expense.

END OF SECTION

SECTION 01722

FIELD ENGINEERING

PART 1 GENERAL

1.01 SECTION INCLUDES

- A. Requirements for surveying and surveying record documents.

1.02 REFERENCED SECTION

- A. The following Section is referenced in this Section
 - 1. Section 01330 – Submittal Procedures
 - 2. Section 01770 – Contract Closeout
 - 3. Section 01780 – Record Documents

1.03 DATUM

- A. Vertical and horizontal datum are based on the coordinates and benchmarks shown on the Drawings.
 - 1. The Contractor is to locate and protect District furnished control points prior to starting the Work and preserve control points during construction.
 - 2. The Contractor shall re-establish all control points disturbed by its operations at no cost to District.
 - 3. The elevations of any existing facilities, in particular where tie-ins to this Project are required, must be field verified by the Contractor and confirmed with relation to the elevations for the facilities under this Project.
- B. The Contractor shall establish other vertical and horizontal control from these District furnished reference points as required to properly layout and construct the Work. All connections shall be installed based on actual elevations of existing structures to which connections are made.
- C. The Contractor's layout shall be based upon existing structures and the vertical and horizontal datum established by the District.
- D. The Contractor shall be responsible for the preservation of all existing survey monuments or permanent bench marks. Any monuments or bench marks disturbed or destroyed by Contractor shall be referenced and replaced by a licensed land surveyor.

1.04 QUALITY ASSURANCE

- A. The Contractor's Surveyor shall be a land surveyor registered in California or civil engineer qualified and licensed in California with at least five (5) years surveying experience of similar projects.
- B. Dimensions for all existing structures, piping, paving, and other nonstructural items are taken from the available information during the District's planning and design.

1. The Contractor shall field verify all dimensions and conditions in advance of any construction in the area.
 2. Any discrepancy between the field survey by the Contractor and the information indicated in the Contract Documents shall be immediately brought to Construction Manager's attention by written notification.
 3. In all questions arising as to proper location of lines and grades, the Construction Manager's decision will be final.
- C. Accuracy of the Contractor's stakes, alignments and grades may be periodically and randomly checked by the Construction Manager.
1. If requested by Construction Manager, the Contractor shall supply field labor as required, at no extra charge to District, to aid and assist the Construction Manager in checking location and grades of the work as set by the Contractor.
 2. This shall include postponing parts of the Work affected by survey check, moving materials and equipment that interfere with a clear line of sight between horizontal control points and the construction work.
 3. The Contractor is not to assume that Construction Manager's check substitutes or complements the Contractor's required field quality control procedures.
- D. The Contractor's registered land surveyor to check the line and grade of the slab or footing concrete forms prior to the first slab or footing pour at each structure and building.

1.05 SUBMITTALS

- A. Comply with Section 01330, Submittal Procedures.
- B. Contractor to furnish Construction Manager one copy of all land surveyor notes, calculations, sketches and drawings within 48 hours after completion of each survey task.

PART 2 PRODUCTS (NOT USED)

PART 3 EXECUTION

3.01 PROJECT SURVEY REQUIREMENTS

- A. As part of the bid price for the construction of the improvements the Contractor shall provide and be responsible for the layout of all work specified in the contract.
- B. The Contractor shall provide all necessary surveys, field staking, and positioning for the construction of all components at the proper alignment, elevations, grades, and positions, as indicated on the Drawings and as required for the proper operation and function.
- C. The Contractor shall stake the work limits and right-of-way lines prior to the start of sitework.

- D. The Contractor shall lay out all work, including structures and pipelines, and shall be solely responsible for executing the Work in accordance with the lines and grades indicated.
- E. The Contractor shall survey the four existing effluent weir gates that are located on the west end of the oxidation ditches. At each weir gate survey the elevation of the top of the weir gate frame, top of weir plate and the top of the limit nut when oxidation ditch is in service.

3.02 RECORD DOCUMENTS

- A. The Contractor is to prepare, maintain and submit Record Documents as specified in Section 01780, Record Documents. The Contractor's land surveyor is to affix his signature and registration number to applicable record drawings certifying the accuracy of lines and grades shown.
- B. Submit survey record drawings before final completion as specified in Section 01770, Contract Closeout.

END OF SECTION

SECTION 01734

WORK WITHIN PUBLIC RIGHT-OF-WAY

PART 1 GENERAL

1.01 SUMMARY

- A. Section includes: Requirements for maintenance, support, protection, relocation, reconstruction and adjusting-to-grade, restoration, construction of temporary and new facilities, and abandonment of existing utilities affected by construction work within the public right-of-way.

1.02 DEFINITIONS

- A. Utility: For purpose of this Section, utility means any public or private service, such as electric light and power systems; gas distribution systems; telephone, telegraph, cable television and other communication services; water distribution; storm drain and sanitary sewer services; police and fire communication systems; street lighting and traffic signs and signals; parking meters; and steam distribution systems.
- B. Trenching:
 - 1. Open trench:
 - a. General: Includes excavation, pipe laying, backfilling, and pavement replacement.
 - 2. Any excavated areas shall be considered as "open trench" until all pavement replacement has been made, or until all trenches outside of pavement replacement areas have been backfilled and compacted in accordance with these Contract Documents.

1.03 DESIGN REQUIREMENTS

- A. Trenching:
 - 1. Except where otherwise specified, indicated on the Drawings, or accepted in writing by the Engineer, the maximum length of open trench, where construction is in any stage of completion, shall not exceed the linear footage as set forth below. Descriptions under following area designations are general in nature and may be amended in writing by the Engineer due to particular or peculiar field conditions:
 - a. Business District Areas maximum 100 linear feet: Store front areas.
 - b. Commercial Areas maximum 400 linear feet: Industrial, shopping centers, churches, schools, hotels, motels, markets, gas stations, government and private office buildings, hospitals, fire and police stations, and nursing homes.
 - c. Residential Areas maximum 1 Block or 600 linear feet, whichever is the least: Single and multi-family residences, apartments, and condominiums.
 - d. Undeveloped Areas maximum 1,000 linear feet: Parks, golf courses, farms, undeveloped subdivided land.
 - 2. Completely backfill trenches across streets and install temporary or permanent pavement as soon as possible after pipe laying.

- B. Site conditions:
1. Use substantial steel plates with adequate trench bracing to bridge across trenches at street and alley crossings, commercial driveways, and residential driveways where trench backfill and temporary patch have not been completed during regular working hours. Trench-plates installed in roadways shall be recessed to be flush with existing asphalt. Using "cut back" around trench-plates is not acceptable. Sausalito has a high volume of bicycle traffic which requires recessed trench-plates for safety.
 2. Provide safe and convenient passage for pedestrians.
 3. Maintain access to fire stations, fire hydrant, and hospitals at all times.
 4. Provide traffic control devices, barricades, and signage as required by the regulating agency.

1.04 SUBMITTALS

- A. Traffic control plan: Submit detailed traffic control plan for acceptance by jurisdictional agency.

PART 2 PRODUCTS

Not Used.

PART 3 EXECUTION

Not Used.

END OF SECTION

SECTION 01738

SELECTIVE ALTERATIONS AND DEMOLITION

PART 1 GENERAL

1.01 SUMMARY

- A. Section includes:
 - 1. Cutting or modifying of existing and new work.
 - 2. Partial demolition of structures.
- B. Related sections:
 - 1. Section 01140 - Work Restrictions.
 - 2. Section 01330 - Submittal Procedures.
 - 3. Section 02300 - Earthwork.

1.02 REFERENCES

- A. American National Standards Institute (ANSI):
 - 1. A10.6 - Safety and Health Program Requirements for Demolition Operations.
- B. International Concrete Repair Institute (ICRI):
 - 1. Guideline No. 310.2R - Selecting and Specifying Concrete Surface Preparation for Sealers, Coatings, Polymer Overlays, and Concrete Repair.
 - 2. Guideline No. 310.3R - Guide for the Preparation of Concrete Surfaces for Repair Using Hydrodemolition Methods.

1.03 DEFINITIONS

- A. Chipping hammer: A hand-operated electrical or pneumatic demolition device for removal of hardened concrete or masonry materials having a weight of less than 15 pounds and an impact frequency of greater than 2,000 blows/minute.
- B. Concrete breaker: A hand-operated electrical or pneumatic demolition device for removal of hardened concrete or masonry materials having a weight greater or impact frequency less than the limits defined for a chipping hammer.
- C. Coring equipment: Non-impact rotary drill with diamond cutting edges.
- D. Heavy abrasive blast: Cleaning procedure by which various abrasives materials, or steel shot, are forcibly propelled by high pressure against a surface to remove loose material and produce a concrete surface roughened to ICRI Surface Profile CSP-7, or higher, as specified in ICRI 301.3R.

1.04 DESCRIPTION OF WORK

- A. The work includes partial demolition, cutting, and modifying of existing facilities, utilities, and/or structures.

- B. These facilities may be occupied and/or operational. Satisfactory completion of the work will require that the Contractor plan activities carefully to work around unavoidable obstacles and to maintain overall stability of structures and structural elements. It will further require restoration of existing facilities, utilities, and structures that are to remain in place and that are damaged by demolition or removal operations.

1.05 SUBMITTALS

- A. General:
 - 1. Submit specified in Section 01330, Submittal Procedures.
- B. Shop drawings: Include:
 - 1. The location of all embedded items shall be documented using diagrams and/or other media that clearly show dimensions and locations of existing structural elements, existing embedded items and any new embedded items and their relationship to each other.
- C. Submittals for information only:
 - 1. Permits and notices authorizing demolition.
 - 2. Certificates of severance of utility services.
 - 3. Permit for transport and disposal of debris.
 - 4. Selective Demolition Plan.
- D. Quality assurance submittals:
 - 1. Qualifications of non-destructive testing agency/agencies.
- E. Project record documents.
- F. Drawings and/or other media documenting locations of service lines and capped utilities.

1.06 QUALITY ASSURANCE

- A. Qualifications:
 - 1. Assign relocation, removal, cutting, coring and patching to trades and workers qualified to perform the Work in manner that causes the least damage and that provides means of returning surfaces to an appearance at least equal to that of the surrounding areas unaffected by the Work.
 - 2. Non-destructive testing agencies: Minimum of 5 years' experience performing non-destructive testing for location of steel reinforcement in existing concrete under conditions similar to that required for this Work.

1.07 SEQUENCING

- A. Perform Work in sequences and within times specified in Section 01140, Work Restrictions.
- B. If the facility or utility to be modified cannot be removed from service, perform the Work while the facility is in operation using procedures and equipment that do not jeopardize operation or materially reduce the efficiency of that facility.

- C. Coordinate the Work with operation of the facility:
 - 1. Do not begin alterations of designated portions of the Work until specific permission for activities in each area has been granted by Owner in writing.
 - 2. Engineer will coordinate the planned procedure with facility manager.
 - 3. Complete Work as quickly and with as little delay as possible.
- D. Operational functions of the facility that are required to be performed to facilitate the Work will be performed by facility personnel only.
- E. Owner will cooperate in every way practicable to assist in expediting the Work.
- F. When necessary for the proper operation or maintenance of portions of the facility, reschedule operations so the Work will not conflict with required operations or maintenance.

1.08 REGULATORY REQUIREMENTS

- A. Dispose of debris in accordance with governing regulatory agencies.
- B. Comply with applicable air pollution control regulations.
- C. Obtain permits for building demolition, transportation of debris to disposal site and dust control.

1.09 PREPARATION

- A. Non-destructive evaluation of existing concrete and masonry:
 - 1. Prior to cutting, drilling, coring, and/or any other procedure that penetrates existing concrete or masonry, retain and pay for the services of a qualified non-destructive testing agency to perform investigations to determine the location of existing steel reinforcement, plumbing, conduit, and/or other embedments in the concrete.
 - 2. Submit documentation of the investigations to the Engineer for review and approval as specified in Section 01330, Submittal Procedures, before any work involving penetration of existing concrete is initiated.
- B. Obtain permission from adjacent property owners when outriggers, swinging cranes, and other equipment may have to traverse or extend into adjacent property.

1.10 PROJECT CONDITIONS

- A. Do not interfere with use of adjacent structures and elements of the facility not subject to the Work described in this Section. Maintain free and safe passage to and from such facilities.
- B. Provide erect and maintain barricades, lighting, guardrails, and protective devices as required to protect building occupants, general public, workers, and adjoining property:
 - 1. Do not close or obstruct roadways without permits.
 - 2. Conduct operations with minimum interference to public or private roadways.

- C. Prevent movement, settlement, or collapse of structures adjacent services, sidewalks, driveways and trees:
 - 1. Provide and place bracing or shoring.
 - 2. Cease operations and notify Engineer immediately when safety of structures appears to be endangered. Take precautions to properly support structure. Do not resume operations until safety is restored.
 - 3. Assume liability for movement, settlement, or collapse. Promptly repair damage.

- D. Arrange and pay for capping and plugging utility services. Disconnect and stub off:
 - 1. Notify affected utility company in advance and obtain approval before starting demolition.
 - 2. Place markers to indicate location of disconnected services.

- E. Unknown conditions:
 - 1. The drawings may not represent all conditions at the site and adjoining areas. Compare actual conditions with drawings before commencement of Work.
 - 2. Existing utilities and drainage systems below grade are located from existing documents and from surface facilities such as manholes, valve boxes, area drains, and other surface fixtures.
 - 3. If existing active services encountered are not indicated or otherwise made known to the Contractor and interfere with the permanent facilities under construction, notify the Engineer in writing, requesting instructions on their disposition. Take immediate steps to ensure that the service provided is not interrupted, and do not proceed with the Work until written instructions are received from the Engineer.

PART 2 PRODUCTS

2.01 SALVAGE MATERIALS

- A. Salvage materials: Materials removed from existing facility.

- B. Materials designated for salvage:
 - 1. Marin City Pump Station:
 - a. Standby generator.

 - 2. Gate 5 Pump Station:
 - a. Standby generator.

 - 3. Locust St. Pump Station:
 - a. Standby generator.

 - 4. All other items designated on the Drawings to be salvaged or provided to the Owner.

- C. Handling and storage:
 - 1. Prevent damage to salvaged materials during removal, handling, and transportation of salvaged materials.
 - 2. Coordinate with Owner for receipt of salvaged materials at least 3 weeks in advance.

3. Transport salvaged materials to the Owner's treatment plant site or other storage location within the City of Sausalito designated by the Owner.
- D. Pay costs associated with salvaging materials, including handling and transporting.

PART 3 EXECUTION

3.01 EXAMINATION

- A. Prior to beginning selective demolition operations, perform a thorough inspection of the facility and site, and report to the Engineer defects and structural damage to or deterioration of existing construction to remain.
- B. Examine areas affected by the Work and verify the following conditions prior to commencing demolition:
 1. Disconnection of utilities as required.
 2. That utilities serving occupied or active portions of surrounding facilities will not be disturbed, except as otherwise indicated.
- C. If unsatisfactory conditions exist, notify the Engineer, and do not begin demolition operations until such conditions have been corrected.

3.02 PREPARATION

- A. Selective Demolition Plan:
 1. Prepare and submit a comprehensive selective demolition plan for the Work. Describe, at a minimum, the following elements:
 - a. Proposed sequence, methods, temporary support, and equipment for demolition, removal, and disposal of portions of structure(s).
 - b. Provisions and procedures for salvage and delivery to Owner of salvaged items, if required.
 2. Plan shall be signed and sealed by a Professional Engineer registered in the state where Project is located.
 3. Submit plan a minimum 4 weeks before demolition is scheduled to begin.
- B. Protection:
 1. Erect weatherproof closures to protect the interior of facilities and elements or equipment that are not designed for exposure to the weather. Provide temporary heat, cooling, and humidity control as necessary to prevent damage to existing and new construction. Maintain existing exiting paths and/or provide new paths in compliance with Building Code requirements.
 2. Erect and maintain dustproof partitions as required to prevent spread of dust, to other parts of building. Maintain negative pressure in the area where the Work is being performed to prevent the accidental spread of dust and to minimize the spread of fumes related to the Work.
 3. Upon completion of Work, remove weatherproof closures and dustproof partitions, and repair damaged surfaces to match adjacent surfaces.
 4. Provide and maintain protective devices to prevent injury from falling objects.
 5. Locate guardrails in stairwells and around open shafts to protect workers. Post clearly visible warning signs.
 6. Cause as little inconvenience to adjacent building areas as possible.

7. Protect landscaping, benchmarks, and existing construction to remain from damage or displacement.
8. Carefully remove designated materials and equipment to be salvaged by Owner or reinstalled.
9. Store and protect materials and equipment to be reinstalled.

C. Layout:

1. The limits of selective demolition are indicated on the Drawings. Confine demolition operations within the limits indicated on the Drawings.
2. Lay out demolition and removal work at the site and coordinate with related Work for which demolition and removal is required. Clearly mark the extent of structural elements to be removed on the actual surfaces that will be removed.
3. Arrange for Engineer's inspection of the lay out extents.
4. Do not begin demolition/removal operations until the lay out markings have been reviewed by the Engineer.

3.03 DEMOLITION

A. General:

1. Perform demolition work in accordance with ANSI A10.6.
2. Demolish designated portions of structures and appurtenances in orderly and careful manner in accordance with the Selective Demolition Plan.
3. Conduct demolition and removal work in a manner that will minimize dust and flying particles:
 - a. Use water or dust palliative when necessary to prevent airborne dust.
 - b. Provide and maintain hoses and connections to water main or hydrant.
4. Demolish concrete and masonry in small sections. Perform demolition with small tools as much as possible. Blasting with explosive charges is not permitted.
5. Sawcut concrete to establish the edges of demolition, wherever possible:
 - a. Do not use a concrete breaker within 6 inches of reinforcing or structural metals that are designated to remain.
 - b. At edges that are not sawcut, remove the final 6 inches of material with a chipping hammer as defined herein. At surfaces where material is removed with a chipping hammer, follow with a heavy abrasive blast to remove all loose material and microcracking.
 - c. Alternate techniques to remove concrete may be used if acceptable to the Engineer; however, techniques other than those deemed by ICRI Guideline No. 310.2R to provide a low risk of introducing microcracking will require a subsequent procedure to remove loose material.

B. Remove tanks and service piping from site.

C. Immediately upon discovery, remove and dispose of contaminated, vermin-infested, or dangerous materials using safe means that will not endanger health of workers and public.

D. Remove trees and shrubs within marked areas; clear undergrowth and dead plant material as specified in Section 02300, Earthwork.

E. Backfill open pits and holes caused by demolition as specified in Section 02300, Earthwork.

- F. Rough grade areas affected by demolition.
- G. Remove demolished materials, tools, and equipment upon completion of demolition.

3.04 RESTORATION

- A. General:
 - 1. Repair damage caused by demolition to a conditions equal to those that existing prior to beginning of demolition:
 - a. Patch and replace portions of existing finished surfaces that are damaged, lifted, and discolored with matching material. Refinish patched portion surfaces in a manner which produces uniform color and texture to entire surface.
 - b. When existing finish cannot be matched, refinish entire surface to nearest change of plane where angle of change exceeds 45 degrees.
 - 2. The cost of repairs shall be at the Contractor's expense at no increase in the Contract Price.
 - 3. When new construction abuts or finishes flush with existing construction, make smooth transitions. Match finish of existing construction.
 - 4. Where partitions are removed, patch floors, walls, and ceilings with finish materials that match existing materials.
 - 5. Where removal of partitions results in adjacent spaces becoming one, rework floors, walls, and ceilings to provide smooth planes without breaks, steps, or bulkheads.
 - 6. Where changes of plane exceed 2 inches, request instructions for making transition.
 - 7. Trim and refinish existing doors as necessary to clear new floors.
 - 8. Match patched construction with adjacent construction in texture and appearance so that patch or transition is invisible at 5-foot distance.
 - 9. When finished surfaces are cut so that smooth transition is impossible, terminate existing surface in neat manner along straight line at natural line of division and provide appropriate trim.
- B. Restore existing concrete reinforcement as follows:
 - 1. Where existing reinforcement is to be incorporated into the new Work, protect, clean, and extend into new concrete.
 - 2. Where existing reinforcement is not to be retained, cut off as follows:
 - a. Where new concrete joins existing concrete at the removal line, cut reinforcement flush with concrete surface at the removal line.
 - b. Where concrete surface at the removal line will become the finished surface, cut reinforcement 2 inches below the surface, paint ends with epoxy, and patch holes with dry pack mortar.
- C. Restore areas affected by removal of existing equipment, equipment pads and bases, piping, supports, electrical panels, electric devices, and conduits such that little or no evidence of the previous installation remains:
 - 1. Fill areas in existing floors, walls, and ceilings from removed piping, conduit, and fasteners with non-shrink grout and finish smooth.
 - 2. Remove concrete bases for equipment and supports by:
 - a. Saw cutting clean, straight lines with a depth equal to the concrete cover over reinforcement minus 1/2 inch below finished surface:
 - 1) Do not cut existing reinforcement on floors.

- b. Chip concrete within scored lines and cut exposed reinforcing steel and anchor bolts.
 - c. Patch with non-shrink grout to match adjacent grade and finish.
- 3. Terminate abandoned piping and conduits with blind flanges, caps, or plugs.

3.05 FIELD QUALITY CONTROL

- A. Do not proceed with demolition without Engineer's inspection of lay out.
- B. Do not deviate from the submitted demolition plan without notifying the Engineer prior to Work.

END OF SECTION

SECTION 01745

SPARE PARTS, SPECIAL TOOLS AND MAINTENANCE MATERIALS

PART 1 GENERAL

1.01 SECTION INCLUDES

- A. Spare parts, keys, special tools, maintenance materials and test equipment.

1.02 RELATED SECTIONS

- A. Coordinate the work of this Section with the work specified in individual equipment technical specification sections and Section 01782 - Operation and Maintenance Data, for lists of spare parts.
- B. Include operation and maintenance of special tools and test equipment in Operation and Maintenance Data Manuals.
- C. Keys for finish hardware are specified in Section 08710 - Door Hardware.
- D. Section 01600 - Product Requirements.
- E. Section 01756 – Facility Start-up.

1.03 SUBMITTALS

- A. General: Refer to Section 01330 - Submittal Procedures, for submittal requirements and procedures.
- B. Spare Parts Lists:
 - 1. No later than 120 days after Contractor's receipt of Notice to Proceed, prepare and submit a complete list of recommended spare parts for all equipment, appliances, and systems as specified in the various individual Sections of the Contract Specifications, as applicable.
 - 2. The Spare Parts List shall include all spare parts as required to provide for the maintenance and repairs of all Contractor-furnished equipment and appliances for a period of two years after the date of the Acceptance of the Work.
 - 3. The Spare Parts List shall be organized in accordance with the Contract Documents, by Section number and title:
 - a. The Spare Parts List shall include the part's generic name or description, its trade name, Contractor's part number, manufacturer's name, manufacturer's part number, retail price, quantity, and correlation with the pertinent Contract Specifications, Contract Drawings, and Operation and Maintenance Manuals specified in Section 01782, Operation and Maintenance Data.
 - b. Spare parts shall be grouped by equipment category. Replacement parts common to more than one category shall be cross-referenced and indexed. Such common parts shall have only one part number.

- C. Maintenance Materials List:
 - 1. Prepare and submit a complete list of maintenance materials as specified in the various individual Sections of the Contract Specifications.
 - 2. The Maintenance Materials List shall be organized in accordance with the Contract Documents, by Section number and title. Include the quantities to be furnished.
 - 3. Where maintenance materials are specified as a percentage of the materials installed, such percentages shall be translated to actual quantities of materials in the Maintenance Materials List.

- D. Keys, Special Tools, and Test Equipment List:
 - 1. Prepare and submit a complete list of the keys, special tools, and test equipment as specified in the various individual Sections of the Contract Specifications.
 - 2. The Keys, Special Tools, and Test Equipment List shall be organized in accordance with the Contract Documents, by Section number and title.

1.04 SPARE PARTS

- A. Requirements:
 - 1. Provide specific spare parts as specified in the individual Sections of the Contract Specifications. Spare parts furnished to the District as required in the detailed specification sections shall be in addition to those spare parts as required by the Contractor for the testing, starting and adjustment of equipment as specified in Section 01756, Facility Start-up.
 - 2. Contractor shall provide for each item of mechanical, electrical, and instrumentation equipment a supply of spare parts and special tools required for the testing, starting, and adjusting of equipment, including but not limited to, functional testing, operational testing, and performance testing of completed portions of the work and as a completed facility as specified in Section 01756, Facility Start-up.
 - 3. The Contractor shall be responsible to have on hand sufficient spare parts and special tools to meet his/her start-up and testing schedule and contract completion schedule.
 - 4. Spare parts shall be identical to the parts installed in the Work.
 - 5. Spare parts and special tools will not be provided by the District.

- B. Quantities: Provide quantities based on reliability requirements, replacement lead time, the Contractor's recommendations, and the following requirements:
 - 1. Wear: Provide spare parts for components which may be expected to require regular replacement under normal maintenance schedules, such as mechanical parts subject to continuous operation.
 - 2. Consumability: Provide spare parts for components with a life-expectancy of less than 5 years.
 - 3. One-Time Limited Service: Provide spare parts which normally require replacement after performing their function one time, such as fuses.
 - 4. Long Lead Time: Provide spare parts for components which are not readily available from distributors, such as for custom-fabricated components.
 - 5. Exchange Assemblies: Provide assemblies which will be exchanged with malfunctioning units for installed equipment, and which must be inventoried as complete assemblies.

1.05 MAINTENANCE MATERIALS

- A. Requirements:
 - 1. Provide maintenance materials as specified in the individual Sections of the Contract Specifications.
 - 2. Maintenance materials shall be identical to the materials installed in the Work.
- B. Quantities: Provide quantities of materials as specified in the individual Sections of the Contract Specifications.

1.06 KEYS, SPECIAL TOOLS, AND TEST EQUIPMENT

- A. Requirements: Provide sufficient keys, special tools and wrenches, and special test equipment and gages as required to access, start, maintain, and repair all the installed equipment, appliances, systems, and assemblies.
- B. Quantities: Provide quantities of keys, special tools, and test equipment as specified in the individual Sections of the Contract Specifications.

1.07 BAR CODE

- A. All spare parts with the manufacturer's or supplier's serial number or other identification shall also be identified with bar codes, coded in accordance with the District's Bar Coding System (AIAG Auto Industry Code 39) or equivalent. The System details will be provided by the Construction Manager.

1.08 PACKAGING

- A. Comply with applicable requirements found in Section 01605, Delivery, Storage and Handling. All spare parts, maintenance materials, keys, special tools, and test equipment shall be securely packaged in boxes, with the boxes clearly labeled as to the contents. Such labeling shall include: location and description of the equipment and the item, complete listing of all items in the box, and the quantity of each item included in the box.

1.09 DELIVERY

- A. Deliver spare parts, maintenance materials, keys, special tools, and test equipment to the warehouse location or locations specified in the Contract Documents. Provide unloading service at the designated storage location for all delivered products.
- B. Prepare formal receipts for all such delivered products, and have them signed by the authorized District Representative at the location. A copy of all such receipts shall be submitted to the Construction Manager for information and record.

1.10 STORAGE

- A. Spare parts, maintenance materials, keys, special tools, and test equipment may be stored temporarily at the Site of the Work in suitable storage facilities until time to deliver these products to the locations designated in the Contract Documents. Any such storage shall comply with the requirements specified in Section 01605, Delivery, Storage and Handling.

PART 2 PRODUCTS

Not Used.

PART 3 EXECUTION

Not Used.

END OF SECTION

SECTION 01756

FACILITY STARTUP

PART 1 GENERAL

1.01 DEFINITIONS

- A. Facility Startup: Includes putting Project in operating order, cleaning, adjusting and balancing equipment, initial operation (startup) of equipment item, operating equipment, starting systems, operation of systems, testing of equipment and systems, and demonstration and verification of the completed facility as a unit.
- B. Functional Test: A test or tests in the presence of the District's Representative to demonstrate that the installed equipment or system meets manufacturer's installation and performance requirements and other requirements specified including, but not limited to, pressure testing, leak testing, vibration testing, and proper mechanical connections and operability for all valves and appurtenances.
- C. Operation Period: The operation period begins when the facility has been successfully started up as defined under Paragraph Startup Test Period and has met all Substantial Completion requirements.
- D. Performance Test: A test performed in the presence of the District and after any required functional test specified, to demonstrate and confirm that the equipment and/or system meets the specified performance requirements.
- E. Significant Interruption: May include any of the following events:
 - 1. Failure of Contractor to maintain qualified onsite startup personnel as scheduled.
 - 2. Failure to meet specified performance for more than 2 consecutive hours.
 - 3. Failure of any critical equipment unit, system, or subsystem that is not satisfactorily corrected within 5 hours after failure.
 - 4. Failure of noncritical unit, system, or subsystem that is not satisfactorily corrected within 8 hours after failure.
 - 5. As may be determined by District.
- F. Startup Test Period:
 - 1. Startup of the entire facility or any portion thereof includes coordinated operation of the facilities by the Contractor, Subcontractors, District operating personnel, and Supplier or manufacturer's representatives for equipment items and systems after all required functional tests have been completed and those performance tests deemed necessary for the safe operation of the entire facility have been completed.

2. Startup of the entire facility or any portion thereof shall be considered complete when, in the opinion of the District, the facility or designated portion has operated in the manner intended for five (5) continuous days without significant interruption. This period is in addition to any training, functional, or performance test periods specified elsewhere. A significant interruption will require the startup then in progress to be stopped and restarted after corrections are made.
- G. System: The overall process, or a portion thereof, that performs a specific function. A system may consist of two or more subsystems as well as two or more types of equipment.

1.02 SUBMITTALS

- A. Administrative Submittals:
1. Functional and performance test schedules and plan for equipment, units, and systems at least fourteen (14) days prior to start of related testing. Include test plan and procedures.
 2. Schedule and plan of facility startup activities at least fourteen (14) days prior to commencement.
- B. Quality Control Submittals:
1. Manufacturer's Certificate of Proper Installation as required.
 2. Test Reports: Functional and performance testing, in format acceptable to District and certification of functional and performance test for each piece of equipment or system specified.
 3. Operation and maintenance data as required.
 4. Certifications of Calibration: Testing equipment including vibration test equipment.

1.03 FACILITY STARTUP; CONTRACTOR RESPONSIBILITIES

- A. General:
1. Perform Work for tests specified, including items furnished by District.
 2. Demonstrate proper installation, adjustment, function, performance, and operation of equipment, systems, control devices, and required interfaces individually and in conjunction with existing system.
 3. Provide water, power, chemicals, and other items as required for testing, unless otherwise indicated.

1.04 FACILITY STARTUP; DISTRICT'S RESPONSIBILITIES

- A. General:
1. Review Contractor's test plan and schedule.
 2. Witness each functional or performance test.
 3. Coordinate other plant operations, if necessary, to facilitate Contractor's tests.
 4. Provide reclaimed water, as available.
- B. Startup Test Period: Operate process units and devices, with support of Contractor.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

3.01 TESTING PREPARATION

A. General:

1. Complete Work associated with the unit and related processes before testing, including related manufacturer's representative services.
2. Provide related operating and maintenance manuals, and spare parts and special tools as specified before testing any unit or system. **These manuals shall be provided two weeks in advance of any on site testing.**
3. Furnish qualified manufacturer's representatives when required to assist in testing.
4. Schedule and attend pretest (functional and performance) meetings related to test schedule; plan of test; materials, chemicals, and liquids required; facilities' operations interface; and District involvement.
5. Designate and provide one or more persons to be responsible for coordinating and expediting Contractor's facility startup duties. The person or persons shall be present during facility startup meetings and shall be available at all times during the facility startup period.
6. Provide temporary valves, gauges, piping, test equipment and other materials and equipment required to conduct testing.

B. Cleaning and Checking: Prior to starting functional testing:

1. Calibrate testing equipment for accurate results.
2. Inspect and clean equipment, devices, connected piping, and structures so they are free of foreign material.
3. Lubricate equipment in accordance with manufacturer's instructions.
4. Turn rotating equipment by hand and check motor-driven equipment for correct rotation.
5. Open and close switches by hand and operate other devices to check for binding, interference, or improper functioning.
6. Check power supply to electric-powered equipment for correct voltage.
7. Adjust clearances and torques.
8. Test piping for leaks.

C. Ready-to-test determination will be by District, based at least on the following:

1. Notification by Contractor of equipment and system readiness for testing.
2. Acceptable testing plan.
3. Acceptable operation and maintenance manuals incorporating review comments.
4. Adequate completion of Work adjacent to, or interfacing with, equipment to be tested, including items to be furnished by District.
5. Availability and acceptability of supplier or manufacturer's representative, when specified, to assist in testing of respective equipment, and satisfactory fulfillment of other specified manufacturers' responsibilities.
6. All spare parts and special tools delivered to District.

3.02 FUNCTIONAL TESTING

A. General:

1. Begin testing at a time mutually agreed upon by the District, Supplier, manufacturer's representative(s), and Contractor.
2. Notify in writing District, and Supplier or manufacturer's representative at least five (5) working days prior to scheduled date of functional tests.
3. Separate items of equipment demonstrated to function properly during subsystem testing may require no further functional test if documentation of subsystem testing is acceptable to District.
4. Conduct functional test until each individual component item or system has achieved three (3) continuous hours of satisfactory operation. Demonstrate all operational features and controls function during this period while in automatic modes.
5. If, in District's opinion, each system meets the functional requirements specified, such system will be accepted as conforming for purposes of advancing to performance testing phase, if required. If, in District's opinion, functional test results do not meet requirements specified, the systems will be considered as nonconforming.
6. Performance testing shall not commence until the equipment or system meets functional tests specified.

3.03 PERFORMANCE TESTING

A. General:

1. Begin testing at time mutually agreed upon by the District, Supplier, manufacturers' representative(s), and Contractor, as appropriate.
 - a. District will be present during test.
 - b. Notify District at least five (5) working days prior to scheduled date of test.
2. Follow approved testing plan and detailed procedures specified.
3. Source and type of fluid, gas, or solid for testing shall be as specified.
4. Unless otherwise indicated, provide all labor, materials, and supplies for conducting the test and taking all samples and performance measurements.
5. Prepare performance test report summarizing test method. Include test logs, pertinent calculations, and certification of performance.

3.04 STARTUP TEST PERIOD

- #### **A. Test Reports:** As applicable to the equipment furnished, certify in writing that:
1. Tanks, pumps, piping systems, and valves have been successfully tested and are fully operational.
 2. Equipment systems and subsystems have been checked for proper installation, started, and successfully tested to indicate that they are operational and can perform their intended function.
 3. Systems and subsystems are capable of performing their intended functions.
 4. Facilities are ready for final testing and their intended operation.
- #### **B.** Attend planning meetings and arrange for attendants by key major equipment supplier or manufacturer representatives and required, or as required by the Contract Documents.
- #### **C.** Designate and provide one or more persons to be responsible for coordinating and expediting Contractor's facility startup duties.

- D. When facility startup has commenced, schedule remaining Work so as not to interfere with or delay the completion of facility startup. Support the facility startup activities with adequate staff to prevent delays and process upsets. This staff shall include, but not be limited to, major equipment and system manufacturers' representatives, Subcontractors, electricians, instrumentation personnel, millwrights, pipefitters and plumbers.
- E. Supply and coordinate specified manufacturer's facility startup services, as applicable.
- F. Make adjustments, repairs, and corrections necessary to complete facility startup.
- G. After the facility is operating, complete the testing of those items of equipment, systems, and subsystems which could not be or were not adequately or successfully tested prior to startup test period.

3.05 PARTIAL UTILIZATION

- A. After successful performance testing of a particular equipment type or system, District may elect to start up a portion of the equipment or system for continuous operation in accordance with Paragraph 10 of the General Conditions. Such operation will not interfere with testing of other equipment and systems that may still be underway, and shall not preclude the need to startup that portion operated in combination with the rest of the facility when testing is completed.

3.06 CONTINUOUS OPERATIONS

- A. District will accept equipment and systems as substantially complete and ready for continuous operation only after successful facility startup is completed and documented, and reports submitted, and manufacturers' services completed for training of District's personnel.

END OF SECTION

SECTION 01770
CONTRACT CLOSEOUT

PART 1 GENERAL

1.01 SECTION INCLUDES

- A. Administrative and procedural requirements for Contract closeout.

1.02 REFERENCED SECTIONS

- A. The following Sections are referenced in this Section:
 - 1. General Conditions.
 - 2. Section 01722– Field Engineering.
 - 3. Section 01780 – Record Documents.
 - 4. Section 01782 – Operation and Maintenance Data.

1.03 FINAL CLEANING

- A. Immediately prior to submittal of a request for inspection for Substantial Completion, clean the project site and make ready for District's use and occupancy.
- B. Employ experienced workers or professional cleaners for final cleaning.
- C. Use cleaning materials which are recommended by manufacturers of surfaces to be cleaned.
- D. Complete the following cleaning operations:
 - 1. Clean the project site, yard and grounds which were disturbed by construction activities. Remove rubbish, waste material, litter and other foreign material.
 - 2. Remove all rocks and other non-native materials from agricultural fields used for staging areas and re-grade fields to original or better condition. Employ laser leveling equipment to verify smooth grade free from mounding, depressions, swales or other surface irregularities.
 - 3. Sweep paved areas, remove oil stains, grease, dust and dirt.
 - 4. Remove tools, construction equipment, machinery, storage sheds, temporary fences and surplus material.
 - 5. Broom clean sidewalks and concrete floors.
 - 6. Vacuum carpets, spot clean or if necessary, shampoo to remove visible soil or stains.
 - 7. Clean glass in doors and windows, remove glazing compounds, replace chipped and broken glass, clean door and window frames.
 - 8. Patch, touch up and repair marred surfaces and finishes. Replace finishes and surfaces that cannot be satisfactorily repaired or restored.
 - 9. Wipe surfaces of mechanical and electrical equipment, remove excess lubrication, paint splatter and mortar droppings.
 - 10. Clean plumbing fixtures and mirrors.
 - 11. Clean light fixtures, lamps and bulbs. Replace burned-out bulbs and defective or noisy starters in fluorescent and mercury vapor fixtures.

12. Prevent scratching, discoloring, and otherwise damaging surfaces being cleaned.
 13. Clean roofs, gutters, downspouts, and drainage systems. Hydroclean all plant storm drainage piping.
 14. Remove dust, cobwebs, and traces of insects and dirt.
 15. Clean grease, mastic, adhesives, dust, dirt, stains, fingerprints, paint, blemishes, sealants, plaster, concrete, and other foreign materials from sight-exposed surfaces, and fixtures and equipment.
 16. Remove non-permanent protection and labels.
 17. Clean permanent filters and replace disposable filters when heating, ventilation, and air conditioning units were operated during construction.
 18. Clean ducts, blowers and coils when units were operated without filters during construction.
- E. Waste Disposal:
1. Arrange for and dispose of surplus materials, waste products, and debris off-site:
 - a. Prior to making disposal on private property, obtain written permission from Owner of such property.
 2. Do not fill ditches, washes, or drainage ways which may create drainage problems.
 3. Do not create unsightly or unsanitary nuisances during disposal operations.
 4. Maintain disposal site in safe condition and good appearance.
 5. Complete leveling and cleanup prior to final acceptance of the Work

1.04 SUBSTANTIAL COMPLETION

- A. General:
1. Comply with procedural requirements for Substantial Completion as specified in the General Conditions.
- B. Complete final cleaning operations before requesting inspection for Substantial Completion.
- C. Prior to requesting inspection for Substantial Completion, complete and submit the following:
1. List of items to be completed or corrected (punch list). Organize list by facility, space, system and piece of equipment.
 2. Specific warranties, bonds, maintenance service agreements, final certifications and similar documents.
 3. Delivery of spare parts, special tools, extra materials and similar items to designated locations.
 4. Make final changeover of permanent locks and deliver keys to District.
- D. Inspection for Substantial Completion:
1. Engineer, District and Contractor shall jointly walk through and inspect the project site to determine whether the Work is satisfactory and Substantially Complete.
 2. The Contractor's punch list will be reviewed and additional items identified during the inspection requiring corrective actions will be added to the list as determined by the inspection.

1.05 FINAL COMPLETION

- A. Final Completion Submittals:
 - 1. At least seven (7) days prior to submitting final Application for Payment, complete and submit the following:
 - a. Final Project Record Documents. Refer to Section 01780.
 - b. Guaranty, Warranties, and Bonds.
 - c. Operation and Maintenance Data Information. Refer to Section 01782.
 - d. Punch List with all corrective actions completed and ready for Final Inspection.
 - e. Releases from Agreements with property owners or public agencies.
 - f. Releases or Waivers of Liens and Claims, and Stop Payment Notice as specified in conditions of the Contract.
 - g. Evidence of final, continuing insurance coverage complying with insurance requirements.
 - h. Consent of Surety to Final Payment.
 - i. Keys and keying schedule.
 - j. Survey record documents as specified in Section 01722.
- B. Final Inspection:
 - 1. Submit written request for final inspection for Project Acceptance.
 - 2. Engineer will either proceed with the inspection or advise Contractor of unfulfilled requirements.
 - 3. Engineer will prepare a final Certificate of Completion after satisfactory inspection of the Work.

1.06 FINAL APPLICATION FOR PAYMENT

- A. Following a satisfactory Final Inspection and receipt of a final Certificate of Completion from the Engineer, submit the final Application for Payment in accordance with the procedures and requirements specified in the General Conditions.

PART 2 PRODUCTS

NOT USED

PART 3 EXECUTION

NOT USED

END OF SECTION

SECTION 01780
RECORD DOCUMENTS

PART 1 GENERAL

1.01 SUBMITTALS

- A. Quality Control Submittals: Written procedures for maintaining and markup of record documents (As-Builts).
- B. Contract Closeout Submittal: Submit Record Documents in accordance with the requirements of this section. Submit prior to application for final payment.

1.02 QUALITY ASSURANCE

- A. Furnish qualified and experienced person, whose duty and responsibility shall be to maintain record documents.
- B. Accuracy of Records:
 - 1. Coordinate changes within record documents, making legible and accurate entries on each page of Specifications and each sheet of Drawings and other documents where such entry is required to show change.
- C. Purpose of Project record documents is to provide factual information regarding aspects of Work, both concealed and visible, to enable future modification of Work to proceed without lengthy and expensive site measurement, investigation, and examination.
- D. Make entries within 24 hours after receipt of information that a change in Work has occurred.
- E. Prior to submitting each request for progress payment, request District's review and approval of current status of record documents. Failure to properly maintain, update, and submit record documents may result in return of Contractor's Application for Progress Payment by District as provided in Article 10 of the General Conditions.

1.03 DELIVERY, STORAGE, AND HANDLING

- A. Maintain record documents completely protected from deterioration, loss, and damage until completion of Work. As-Builts must be maintained on-site and available for inspection at all times.
- B. In event of loss of recorded data, use means necessary to again secure data to District's acceptance:
 - 1. Such means shall include, if necessary in District's opinion, removal and reconstruction of covering materials, at no cost to District.

PART 2 PRODUCTS

2.01 RECORD DOCUMENTS

- A. Promptly following commencement of Contract Times, secure from District at no cost to Contractor, one complete set of Contract Documents. Drawings will be half size, 11 by 17 inches.

PART 3 EXECUTION

3.01 MAINTENANCE OF RECORD DOCUMENTS

- A. General:
 - 1. Label or stamp each record document with title, "RECORD DOCUMENTS," in neat large printed letters.
 - 2. Record information concurrently with construction progress and within 24 hours after receipt of information that change has occurred. Do not cover or conceal Work until required information is recorded.
- B. Preservation:
 - 1. Maintain documents in a clean, dry, legible condition and in good order. Do not use record documents for construction purposes.
 - 2. Make documents and Samples available at all times for observation by District.
- C. Making Entries on Drawings:
 - 1. Using an erasable colored pencil (not ink or indelible pencil), clearly describe change by graphic line and note as required.
 - a. Color Coding:
 - 1) Green when showing information deleted from Drawings.
 - 2) Red when showing information added to Drawings.
 - 3) Blue and circled in blue to show notes.
 - 2. Date entries:
 - 3. Call attention to entry by "cloud" drawn around area or areas affected.
 - 4. Legibly mark to record actual changes made during construction, including, but not limited to:
 - a. Depths of various elements of foundation in relation to finished first floor data if not shown or where depth differs from that shown.
 - b. Horizontal and vertical locations of existing and new Underground Facilities and appurtenances, and other underground structures, equipment or Work. Reference to at least two measurements of permanent surface improvements.
 - c. Location of internal utilities and appurtenances concealed in the construction referenced to visible and accessible features of the structure.
 - d. Locate existing facilities, piping, equipment, and items critical to the interface between existing physical conditions or construction and new construction.
 - e. Changes made by Addenda and Field Orders, Work Change Directive, Change Order, Written Amendment, and District's written interpretation and clarification using consistent symbols for each and showing appropriate document tracking number.

5. Dimensions on Schematic Layouts: Show on record drawings, by dimension, the centerline of each run of items such as are described in previous subparagraph above:
 - a. Clearly identify the item by accurate note such as "cast iron drain," galv. Water," and the like.
 - b. Show, by symbol or note, vertical location of item ("under slab," "in ceiling plenum, " "exposed," and the like).
 - c. Make identification so descriptive that it may be related reliably to Specifications.
- D. Make entries in other pertinent documents as accepted by District.
- E. If documents are not accepted by District, secure a new copy of that document from District at District's usual charge for reproduction and handling, and carefully transfer change data to new copy to acceptance of District.

END OF SECTION

SECTION 01782

OPERATING AND MAINTENANCE DATA

PART 1 GENERAL

1.01 SCOPE OF WORK

- A. Compile, organize, submit, and revise, as directed by the Engineer an Operation and Maintenance Manual for each piece of equipment furnished, as required by the Specifications.
- B. Compile, organize, submit, and revise, as required, the attached Equipment Manufacturer's Certificate of Installation Testing and Instruction for acknowledgement by the District.
- C. Compile, submit, and revise as directed by the Engineer, the following attached Computerized Maintenance Management System (CMMS) datasheets for each piece of equipment furnished on this project:
 - 1. CMMS Information Worksheet.
 - 2. Spare Parts List.
 - 3. PM Program Worksheet.
 - 4. Equipment Nameplate data Record.

1.02 CONTENT OF MANUAL

- A. Each manual shall be specific to this Contract. All non-applicable information shall be crossed out, and the applicable information shall be highlighted or otherwise indicated in a manner to prevent confusion of those utilizing the manuals.
- B. Each manual shall contain the following information:
 - 1. Neatly typewritten Title Page/Cover Sheet to include:
 - a. Identification of equipment covered by the manual by providing the process name and equipment tag numbers.
 - b. Name of responsible principal, address, telephone number and area of responsibility of:
 - 1) Contractor.
 - 2) Subcontractor or installer.
 - 3) Product manufacturer.
 - 4) Nearest service center or maintenance contract or, as appropriate.
 - 5) Nearest source of supply for parts, materials, supplies or replacement products.
 - 2. Neatly typewritten Table of Contents sheet to include the following manual section headings:
 - a. CMMS Data Sheets.
 - b. System and Component Description.
 - c. Maintenance Schedule.
 - d. Installation Instructions.
 - e. Operation Procedures.
 - f. Maintenance Instructions.
 - g. Troubleshooting Guide.

- h. Drawings and Spare Parts Lists.
 - i. Technical Data.
 - j. Other Components.
 - k. Warranties, Bonds and Service Contracts.
3. Manual sections shall be manufacturer's original printed information or neatly typewritten pages. Each section shall be tabbed in consecutive order such that the first tab will be Table of Contents.

1.03 MANUALS FOR ELECTRICAL AND ELECTRONIC EQUIPMENT

- A. In addition to the required content as listed in Paragraph 1.02, manuals for electric and electronic equipment shall include:
- 1. Circuit directories of panelboards.
 - a. Electrical service.
 - b. Controls and control loops.
 - c. Communications.
 - 2. As-installed color coded wiring diagrams.
 - 3. Refer to Division 16 for further requirements.

1.04 MANUALS FOR MATERIALS AND FINISHES

- A. Submit two copies of complete manuals for applied materials, finishes, moisture protection and weather-exposed products in final form only.
- B. Content:
- 1. Manufacturer's data, giving full information on product.
 - 2. Instructions for care and maintenance.
 - 3. Additional pertinent information as required by the respective Specification sections for the products.

1.05 SUBMITTAL SCHEDULE

- A. Manuals shall be submitted according to the following schedule:
- 1. Submit three preliminary copies of manuals to the District prior to equipment delivery and no later than 30 days following approval of the shop drawings for each piece of equipment. Engineer will review and return one copy with comments.
 - 2. Submit three revised copies of manuals to the District, incorporating comments and revisions required by the District and/or Engineer 30 days after initial receipt of the reviewed preliminary manuals and comments from the Engineer. When manufacturer training is required for the subject equipment/system, the training shall not occur until after the revised copies have been approved by the Engineer. These revised copies shall be the source document for such training.
 - 3. Submit seven final copies of the manuals to the District, identical to the revised copies as approved by the Engineer, within 60 days of initial receipt of the approved revised copies from the Engineer, or prior to issuance of a certificate of substantial completion, whichever is earlier. The seven final copies shall be submitted in binders, as detailed in Paragraph 1.06.

1.06 FORM OF MANUAL SUBMITTALS

- A. Format:
 - 1. Printed and typewritten sheets shall be standard 8-1/2 inch X 11 inch size.
 - 2. Paper shall be 20 pound minimum, white, with standard three hole punch pattern, with punched edges of each sheet of final submittals reinforced with plastic, cloth or metal.
 - 3. All text shall be manufacturer's original printed sheets or neatly typewritten pages.
 - 4. Sections shall be separated with tabbed index sheets to correlate with the Table of Contents of the manual.
 - 5. Drawings that are standard 8-1/2 inch x 11 inch size shall also have standard three hole punch pattern with punched edges of each sheet of final submittals reinforced with plastic, cloth or metal. Drawings larger than standard 8-1/2 inch X 11 inch size shall be folded and inserted into standard three hole punch pattern, 8-1/2 inch X 11 inch size, 0.0035 gauge standard pocket plastic sheet protectors.
 - 6. All diagrams, drawings and illustrations shall be of original quality, reproducible by the dry copy method.

- B. Binding:
 - 1. Preliminary and revised manual submittals.
 - a. Commercial quality three ring binders:
 - 1) Cleanable, oil, moisture and wear resistant, vinyl sealed stiff board covers with full size clear plastic/vinyl pockets on front and spine.
 - 2) Ring size to suit thickness of manual content.
 - 2. Final manual submittals.
 - a. Four copies shall be bound in uniform three ring binders as detailed in Paragraph 1.06.B. 1.
 - b. Submit an electronic version of the entire O&M manual on CDs using PDF format, with bookmarks for each piece of equipment included.

1.07 INSTRUCTION OF DISTRICT PERSONNEL

- A. Before final inspection, instruct District's designated personnel in operation, adjustment and maintenance of products, equipment and systems, at agreed upon times.

- B. Use operation and maintenance manuals as basis for instruction. Review contents of manual with personnel in detail to explain all aspects of operation and maintenance.

- C. Prepare and insert additional data in Operation and Maintenance Manual when need for such data becomes apparent during instruction.

1.08 SERVICES OF MANUFACTURER'S REPRESENTATIVE

- A. Equipment furnished under Divisions 11, 13, 15 and 16 shall include the cost of a competent representative of the manufacturers of all equipment to supervise the installation, adjustment and testing of the equipment and to instruct the District's operating personnel on operation and maintenance. This supervision may be divided into two or more time periods as required by the installation program or as directed by the Engineer.

- B. See the detailed specifications for additional requirements for furnishing the services of manufacturer's representatives.
- C. A certificate in the form attached to this Section, from the manufacturer and signed by District's representative stating that the installation of the equipment is satisfactory, that the unit has been satisfactorily tested, is ready for operation and that the operating personnel have been suitably instructed in the operation, lubrication and care of the unit shall be submitted for each piece of equipment furnished on the project.

EQUIPMENT MANUFACTURER'S CERTIFICATE OF INSTALLATION TESTING
AND INSTRUCTION

Owner: _____

Project: _____

Contract No. _____

EQUIPMENT SPECIFICATION SECTION _____

EQUIPMENT DESCRIPTION _____

I _____, Authorized representative of
(Print Name)

(Print Manufacturer's Name)

hereby CERTIFY that _____
(Print equipment name and model with serial No.)

installed for the subject project [has] [have] been installed in a satisfactory manner, [has] [have] been satisfactorily tested, [is] [are] ready for operation, and that Owner assigned operating personnel have been suitably instructed in the operation, lubrication, and care of the unit[s] on Date: _____ Time: _____.

CERTIFIED BY: _____ DATE: _____
(Signature of Manufacturer's Representative)

OWNER'S ACKNOWLEDGMENT OF MANUFACTURER'S INSTRUCTION

[I] [We] the undersigned, authorized representatives of the _____ and/or Plant Operating Personnel have received classroom and hands on instruction on the operation, lubrication, and maintenance of the subject equipment and [am] [are] prepared to assume normal operational responsibility for the equipment:

_____ DATE: _____

_____ DATE: _____

_____ DATE: _____

CMMS Information Worksheet

Asset #	Critical Factor	Asset Location	Safety Requirement	Asset Name	Asset Description	Cost	Replace Cost	Depreciation No. of Mo.	Warrior Exp Date	Manufacturer	Model #	Part #	Serial #	Supplier	Install Date	Purchase Date	Manufactured Date

Form Completed By: _____
 Date: _____

EQUIPMENT NAMEPLATE DATA RECORD	
Asset No.:	Location:
Asset Name:	Description:
Supplier Name:	Address/Phone/Contact:
PUMP	
Manufacturer Name:	Address/Phone/Contact:
Description:	
Output:	TDH: Model No.: Serial No.:
Size:	RPM: Type:
Installed Date:	Impeller Data:
Project No.:	Purchase Cost: Vendor:
Remarks:	
MOTOR	
Manufacturer Name:	Address/Phone/Contact
Model No.:	Serial No.:
RPM: Volts:	H.P.: Ph:
Amps: Hz:	EFF/SF: Code:
Frame: Type:	Insul Class:
Design:	Duty:
Remarks:	
COMPONENT	
Manufacturer Name:	Address/Phone/Contact
Model No.:	Serial No.:
Remarks/Specifications:	
GENERIC	
Manufacturer Name:	Address/Phone/Contact:
Remarks/Specifications:	

END OF DOCUMENT

SECTION 01785

WARRANTIES AND BONDS

PART 1 GENERAL

1.01 GUARANTEE OF WORK

- A. The Contractor hereby agrees to make, at its own expense, all repairs or replacements necessitated by defects in materials or workmanship, supplied under terms of this Contract, and pay for any damage to other works resulting from such defects, which becomes evident within one (1) year after the date of acceptance of the project or the Substantial Completion date whichever is applicable or within such longer period of time as may be prescribed by law or by the terms of any applicable special guarantee required by the Contract Documents. The Contractor further assumes responsibility for a similar guarantee for all work and materials provided by subcontractors or manufacturers of packaged equipment components. The Contractor also agrees to indemnify, defend, and hold the District harmless from liability of any kind arising from damage due to said defects.
- B. The Contractor shall execute and submit a completed Warranty Form in the format as appended to this section for the Work, and any portion of the Work possessed in accordance with Paragraph 00700-3.04, District's Right to Use or Occupy. The Warranty Form shall be submitted prior to the Substantial Completion date or the final acceptance of the project or within five (5) days of the occupancy or use of a portion of the Work, whichever is applicable. In addition, the Contractor shall submit the other specified additional warranties, guarantees, and bonds from manufacturers and suppliers.
- C. The Contractor shall, upon the receipt of notice in writing from the District, promptly make all repairs arising out of defective materials, workmanship, or equipment. The District is hereby authorized to make such repairs, and the Contractor and its Surety shall be liable for the cost thereof, if ten (10) days after giving of such notice to the Contractor, the Contractor has failed to make or undertake the repairs with due diligence. In case of emergency, where in the opinion of the District delay could cause serious loss or damage, repairs may be made without notice being sent to the Contractor, and the expense in connection therewith shall be charged to the Contractor, and its Surety shall be liable for the cost thereof.
- D. Prior to the expiration of the Warranty period, the District reserves the right to hold a meeting and require the attendance of the Contractor. The purpose of the meeting is to review warranties, bonds, and maintenance requirements and determine required repair or replacement of defective items.
- E. For the purpose of this paragraph, acceptance of the Work or a portion of the Work by the District, shall not extinguish any covenant or agreement on the part of the Contractor to be performed or fulfilled under this Contract which has not, in fact, been performed or fulfilled at the time of such acceptance. All covenants and agreements shall continue to be binding on the Contractor until they have been fulfilled.

- F. The District and the Contractor agree that warranty on the parts of the work possessed and used by the District in accordance with Paragraph 00700-3.04, District's Right to Use or Occupy, shall commence on the date that the District takes possession of such work and so notifies the Contractor in writing. The District and the Contractor further agree that such possession, and use of the work shall not be deemed as Substantial Completion or acceptance of any other part of the Work.

- G. If, after installation, the operation or use of the materials or equipment furnished under this Contract proves to be unsatisfactory to the Construction Manager or District, the District shall have the right to operate and use such materials or equipment until it can, without damage to the District, be taken out of service for correction or replacement. Such period of use of the defective materials or equipment pending correction or replacement shall in no way decrease the guarantee period required for the acceptable corrected or replaced items of materials or equipment.

- H. Nothing in this Section shall be construed to limit, relieve or release the Contractor's, subcontractor's and equipment supplier's liability to the District for damages sustained as the result of latent defects in the equipment furnished caused by the negligence of the supplier's agents, employees or subcontractors. Stated in another manner, the warranty contained in this Section shall not amount to nor shall it be deemed to be a waiver by the District of any rights or remedies (or time limits in which to enforce such rights or remedies) it may have against the supplier of the equipment to be furnished under these Specifications for defective workmanship or defective materials under the laws of this State pertaining to acts of negligence.

PART 2 PRODUCTS

Not Used.

PART 3 EXECUTION

Not Used.

WARRANTY FORM

Warranty For

District

(Project/Component)

We hereby guarantee the (Project/Component) that we have constructed for a period of one (1) year from (Date) the date of acceptance of the work/substantial completion by the Sausalito-Marin City Sanitary District.

The following are excluded from the provisions of this warranty:

We agree that if any of the equipment should fail due to any reason other than improper maintenance or improper operation, if any pipe or appurtenances should develop leakage, or if any settlement of fill or backfill occurs, or should any portion of the work fail to fulfill any of the requirements of the Specifications, we will, within ten days after written notice of such defects, commence to repair or replace the same together with any other work which may be damaged or displaced in so doing.

In the event of our failure to comply with the above with the above mentioned conditions within a reasonable time after being notified, or should the exigencies of the case require repairs or replacements to be made before we can be notified or respond to notification, we do hereby authorize the Sausalito-Marin City Sanitary District to proceed to have the defect repaired and made good at our expense, and we will pay the cost therefor upon demand.

The warranty provided herein shall not be in lieu of, but shall be in addition to any warranties or other obligations otherwise imposed by the Contract Documents and by law.

Contractor: _____

Signed: _____

Titled: _____

Date: _____

END OF SECTION

SECTION 01999

REFERENCE FORMS

The forms listed below and included in this Section are to assist in meeting the requirements of the Contract Documents. Contractor may submit equivalent forms for Owner's approval prior to use. If Owner does not approve substitute form, Contractor must use forms found in this Section. Owner will provide Contractor electronic files of prescribed forms upon request.

Form No.	Title of Form
1	CAD WAIVER
2	CONTRACTOR'S DAILY REPORT
3	CONTRACTOR'S APPLICATION AND CERTIFICATE FOR PAYMENT
4	SYSTEM OUTAGE REQUEST (SOR)
5	SUBMITTAL TRANSMITTAL
6	PROPOSED "OR EQUAL" SUBSTITUTION TRANSMITTAL
7	DAILY EXTRA WORK REPORT
8	REQUEST FOR INTERPRETATION (RFI)
9	WARRANTY FORM
10	CERTIFICATE OF SUBSTANTIAL COMPLETION
11	REQUEST FOR PROPOSAL (RFP)
12	FIELD DIRECTIVE
13	UNILATERAL CHANGE ORDER
14	FIELD ORDER
15	CONTRACTORS COST BREAKDOWN WORKSHEET
16	CONTRACT CHANGE ORDER
17	EQUIPMENT TEST REPORT
18	MANUFACTURER'S REPRESENTATIVE SERVICE REPORT
19	MANUFACTURER'S INSTALLATION CERTIFICATE FORM
20	MANUFACTURER'S INSTRUCTION CERTIFICATE FORM
21	PIPE TESTING FORM

**CAD WAIVER
Form 01999-1**

Date: _____

From: (Contractor and Address)

To: Name of Owner
Owner's Address

The undersigned agrees to the terms of this CAD Waiver as a condition for receiving the Plans of (Complete Name of Project) in electronic form on a CD-ROM.

(Full name of Contractor), hereafter referred to as Contractor, acknowledges that anomalies and errors can be introduced into CAD Files when they are transferred or used in an incompatible computer environment. Contractor further acknowledges that differences can exist between the data in CAD Files and the information contained in hard copy Plans (which are the drawings, sketches, and other graphic materials contained within the (Project).

Plans, issued to date to the (Contractor) printed from such CAD Files. Contractor's use of CAD Files will be solely at Contractor's risk. Contractor hereby releases the Owner, Design Engineer and Engineer as designated in the Contract Documents of the subject Project, from any damages or losses of any kind, including, but not limited to, damages or losses to property or persons (including death), economic losses, delays, Contract cost increases, and any consequential, special, indirect or incidental damages, resulting from the transfer or use of the CAD Files, except for damages or losses caused by the Owner's, Design Engineer's or Engineer's sole negligence or willful misconduct.

Contractor's use of the CAD Files is limited to the (Complete Name of Project). Contractor agrees that the CAD Files will not be used for any other purpose. Contractor agrees not to reproduce, distribute, disclose, modify, transfer, or assign the CAD Files.

The CAD Files represent instruments of professional service and shall remain the Owner's property. Owner shall be deemed the owner of the CAD Files, however, the intellectual property rights remain the Design Engineer's. The Design Engineer remains responsible for the design to the extent allowed by the Business and Professions Code. The Owner provides the CAD Files "as is" and makes no representations or warranties, express or implied, of merchantability, adequacy, completeness or sufficiency, or any results intended to be achieved as to its use.

Any discrepancy between the electronic files and the hard copy Plans shall be determined in favor of the hard copy Plans provided as per the Contract Documents.

CAD WAIVER (CONT'D)

Form No. 01999-1

Contractor agrees to defend, indemnify, and hold the Owner, Design Engineer and Engineer harmless from any claims, suits, or losses (including reasonable attorney's fees and all legal expenses) arising out of or in any way related to the Contractor's use of the CAD Files.

Contractor shall not transfer the CAD Files, any derivative of the CAD Files, or any copy of the CAD Files in any form to a third party without obtaining written consent of the Owner. If the Contractor fails to perform or observe any of the terms hereunder, the Owner may terminate Contractor's use of the CAD Files, among other remedies.

Should any legal proceeding be commenced between the parties to this Agreement seeking to enforce any of its provisions, the prevailing party in such a proceeding shall be entitled, in addition to such other relief as may be granted, to a reasonable sum for attorney's fees which shall be determined by the court or forum in such a proceeding or in a separate action brought for that purpose.

This Agreement shall be governed by the laws of the State of California.

The signatures below indicate acceptance to the terms stated above.

Contractor:

Sausalito-Marín City Sanitary District:

By: _____

By: _____

Name: _____

Name: _____

Title: _____

Title: _____

**Contractor's Daily Report
Form 01999-2**

PROJECT NAME: CONTRACT NO.:				DATE	REPORT NO
CONTRACTOR			OWNER		
PROJECT MANAGER		HOURS WORKED From: To:		WEATHER	
CONTRACTOR MANPOWER (BY LABOR CLASS)	NO.	TOTAL HOURS	SUBCONTRACTOR MANPOWER	NO.	TOTAL HOURS
CONTRACTOR EQUIPMENT	NO.	TOTAL HOURS	SUBCONTRACTOR EQUIPMENT	NO.	TOTAL HOURS
DESCRIPTION OF WORK PERFORMED TODAY (ATTACH ADDITIONAL SHEETS IF NECESSARY)					
REMARKS BY CONTRACTOR (Delays, Interruptions, Deviations, Extra Work Activities, Unusual Occurrences, etc.)					
MATERIALS/EQUIPMENT DELIVERED					
REPORT PREPARED BY			TITLE		DATE

Contractor's Application and Certificate For Payment

	Application Period:		Pay Request No.:	
To (Owner):	From (Contractor):		For the Period	
Project Name:	Address (Street, P.O. Box)		From:	
Owner's Contract No.:	City, State & Zip		To:	
			Contract Date:	

Change Order Summary

Approved Change Orders		
Number	Additions	Deductions
TOTALS	\$0.00	\$0.00

APPLICATION IS MADE FOR PAYMENT AS SHOWN BELOW. THE PRESENT STATUS OF THIS CONTRACT IS AS FOLLOWS:

A. ORIGINAL CONTRACT SUM.....	\$0.00
B. Net change by Change Orders.....	\$0.00
C. CURRENT CONTRACT PRICE.....	\$0.00
D. TOTAL COMPLETED AND STORED TO DATE.....	\$0.00
(Column F Progress Estimate)	
WITHHELD AMOUNTS	
E. Retainage - <u> </u> % of Work Completed.....	\$0.00
F. Liquidate Damages.....	\$0.00
G. Other.....	\$0.00
H. SUBTOTAL-WITHHELD.....	\$0.00
I. TOTAL REQUESTED THIS APPLICATION.....	\$0.00
J. BALANCE TO FINISH, PLUS WITHHELDS.....	\$0.00

CONTRACTOR'S CERTIFICATION

The undersigned Contractor certifies that: (1) all previous progress payments received from Owner on account of Work done under Contract have been applied on account to discharge Contractor's legitimate obligations incurred in connection with Work covered by prior Applications for Payment; (2) title of Work, materials and equipment incorporated in said Work of otherwise listed in or covered by this Application for Payment will pass to Owner at time of payment free and clear of all Liens, security interests and encumbrances (except such as are covered by a Bond acceptable to Owner indemnifying Owner against any such Lie Payment of encumbrances); and (3) all Work covered by this Application for Payment is in accordance with the Contract Documents and is not defective.

By: _____
 Title: _____ Date: _____

Payment of: \$ _____
 (Line I or other - attach explanation of other amount)

is recommended by: _____ (Date) _____
 (Engineer)

Payment of: \$ _____
 (Line I or other - attach explanation of other amount)

is approved by: _____ (Date) _____
 (Owner)

Progress Estimate

Contractor's Application

Project:					Application Number:				
Contractor:					Application Period:	From:		To:	
A		B	Work Completed		E	F		G	
Item			C	D		Total Completed and Stored to Data (C+D+E)	% (E) B	Balance to Finish (B-F)	
Specification Section No.	Description	Scheduled Value	From Previous Application (C+D)	This Period	Materials Presently Stored (not in C or D)				
						0	#DIV/0!	0	
		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	#DIV/0!	\$0.00	

SYSTEM OUTAGE REQUEST FORM
Form No. 01999-4

System to be Shutdown:

Submittal No.	
<input type="checkbox"/> 1st Submission	<input type="checkbox"/> Re-Submittal
Spec Section	
Dwg/Detail No.	

Date of Shutdown: _____ Beginning at _____ a.m. p.m.

Duration of Shutdown: _____ Critical Path Activity? yes no

Owner: Sausalito-Marin City Sanitary District	Routing	Date Sent	Date Received
Project:	Contractor/CM		
	CM/Design Engineer		
Contractor:	CM/Owner		
	Design Engineer/CM		
Regulatory Agency Notification Required? <input type="checkbox"/> yes <input type="checkbox"/> no	Owner/CM		
Is a Dry Run Required? <input type="checkbox"/> yes <input type="checkbox"/> no	CM/Design Engineer		
Confined Space Entry? <input type="checkbox"/> yes <input type="checkbox"/> no			
Combustible/Hazardous Gases Present? <input type="checkbox"/> yes <input type="checkbox"/> no			
Describe work to be performed including detailed sequence of events, safety plan, protection of existing facilities, equipment to be used and contingency plan. Use additional sheets as necessary.			

Will you require assistance from Owner's Operations? Note that all existing valves and controls shall be operated by Sausalito-Marín City Sanitary District operations staff only.

SYSTEM OUTAGE REQUEST FORM, (CONT'D)
Form No. 01999-4

Outage Contact Information	Name of Person on Call/Duty	Home Phone	Cell Phone and/or Pager
Contractor			
Construction Mgr.			
Owner's Operations			
Design Engineer			
Additional Contractor Comments: Certified by: _____ (Contractor's Signature)		CM/Owner /Design Engineer Review Action <input type="checkbox"/> SOR Acceptable with comments noted on attached. <input type="checkbox"/> SOR Not Acceptable with reasons noted on attached. Re-Submittal is required. _____ Date: _____ (Engineer's Signature)	

SUBMITTAL TRANSMITTAL
Form No. 01999-5

SUBMITTAL TRANSMITTAL

Submittal Description: _____ Submittal No: _____
Spec Section: _____

	Routing	Sent	Received
OWNER: SAUSALITO MARIN CITY SANITARY DISTRICT	Contractor/CM		
PROJECT:	CM/Engineer		
	Engineer/CM		
CONTRACTOR:	CM/Contractor		

We are sending you Attached Under separate cover via _____.
 Submittals for review and comment
 Product data for information only

Remarks: _____

Item	Copies	Date	Section No.	Description	Review action ^a	Reviewer initials	Review comments attached

^a **Note: NE = No exceptions noted; MCN = Make corrections noted; A&R = Amend and resubmit; R = Rejected**
Attach additional sheets if necessary.

Contractor

Certify either A or B:

- A. We have verified that the material or equipment contained in this submittal meets all the requirements, including coordination with all related work, specified (no exceptions).
- B. We have verified that the material or equipment contained in this submittal meets all the requirements specified except for the attached deviations.

<u>No.</u>	<u>Deviation</u>
_____	_____
_____	_____
_____	_____

Certified by: _____
Contractor's Signature

Corrections or comments made relative to submittals during this review do not relieve the Contractor from compliance with the requirements of the drawings and specifications. This submittal is only for review of general conformance with the design concept of the project and general compliance with the information given in the contract documents. The Contractor is responsible for confirming and correlating all quantities and dimensions, selecting fabrication processes and techniques of construction, coordinating his work with that of other trades, and performing the work in a safe and satisfactory manner.

For SMCS D: _____
Construction Manager

PROPOSED "OR EQUAL" SUBSTITUTION SUBMITTAL TRANSMITTAL
Form No. 01999-6

Proposed "Or Equal" Substitution Submittal Description:

Submittal No.	
() 1st Submission	() Re-Submittal
Spec Section	
Dwg/Detail No.	

Priority Level: () Low () Medium () High () **On Critical Path**

Owner:	Routing	Date Sent	Date Received
	Contractor/CM		
Project:	CM/Design Engineer		
	Design Engineer/CM		
Contractor:	CM/Contractor		

Proposed "Or Equal" Substitution Item or Service

- A. When the first specified item is followed by a second maker's name and "or equal," the Contractor may submit Proposed Equivalent items for the Engineer's review. Proposed "Or Equal" Substitution items that are in the Engineer's judgment equal to the first specified item in quality, utility, and appearance, will be Favorably Reviewed. Where a product description and first maker's name is followed by "or equal" with no second maker's name, it means the Engineer knows of no equivalent product and the Contractor may submit Proposed Equivalent products by other makers for review. Where the term "or equal" is omitted, it means that the named item is required to meet the Owner's needs; no products or makers other than those specified will be considered.

- B. This request shall include adequate technical information to fully describe the function and quality of the item. Submittals of Proposed "Or Equal" Substitution items that are not made within thirty (30) calendar days of the Notice to Proceed date will be rejected unless the Owner has agreed in writing to a later submittal date and the Contractor agrees to comply with all conditions of the Owner for the late submittal. If the Contractor's second attempt to obtain Favorable Review of a Proposed "Or Equal" Substitution item is unsuccessful, the Contractor shall submit the first specified item.

PROPOSED “OR EQUAL” SUBSTITUTION SUBMITTAL TRANSMITTAL (CONT’D)
Form No. 01999-6

- C. Inclusion of a second maker's name indicates the maker is acceptable but does not necessarily indicate the maker offers a standard product equal to the first specified item. Items by the second named maker are subject to the same conditions of review and compatibility as other Proposed “Or Equal” Substitution items. Inclusion of a maker's name and/or model number after a specification description is not a representation that the maker will furnish an item meeting the Contract requirements at bid time or at time of need. It is the Contractor's sole responsibility to furnish items meeting the Contract requirements.
- D. The Engineer's review of Proposed “Or Equal” Substitution items is based solely on information provided by the Contractor and on the Contractor's warranty that the proposed item is equal in quality, utility, function and appearance to the first specified item. Favorable Review of a Proposed “Or Equal” Substitution item has the same meaning and is subject to the same limitations that apply to the Favorable Review of Product Data and Shop Drawings described in the Contract Documents.
- E. Submit with proposal:
1. Description of item being proposed including the Manufacturer's model or product number.
 2. Manufacturer's representation that the proposed “or equal” substitution item or service is equal to or superior to specified item in all respects.
 3. Manufacturer's product data.
 4. Information about several recent similar installations, including project name, owner's name, address, telephone number, and name of knowledgeable person to contact for information on performance of the product.
 5. Whether a reduction in the Contract Price is being proposed. If so, provide a detailed cost breakdown substantiating the cost reduction. Consideration should be given to all extra costs and expenses necessary to make the proposed “or equal” substitution meet or exceed the all requirements found in the Contract Documents.
 6. Whether a reduction in the Contract Time is being proposed. If so, provide schedule analysis substantiating the reduction in Contract Time and assumptions made in the schedule analysis.
 7. Explain all known differences between the product specified and the Proposed “Or Equal” Substitution. Explanation to consider such items as:
 - a) Does the substitution affect dimensions shown on Drawings?

PROPOSED “OR EQUAL” SUBSTITUTION SUBMITTAL TRANSMITTAL (CONT’D)
Form No. 01999-6

- b) Are the manufacturer's guarantees and warranties on the proposed substitution items identical to those on the specified items? If there are differences, please specify each and every difference in detail.
- c) Does the proposed “or equal” substitution impact other contractors, trades or suppliers?
- d) Is the proposed “or equal” substitution compatible with all other interrelated equipment, materials and products?
- e) Any differences in Operations and Maintenance costs?
- f) Any differences in available factory authorized repair centers with regards to response times and geographic location?
- g) Will use of proposed “or equal” substitution be subject to any license fee or royalty?
- h) Are there any color or pattern differences? If so, provide color and pattern samples?

The undersigned hereby:

1. Certifies that he/she has thoroughly investigated the Proposed “Or Equal” Substitution item or service and has determined that the function/utility, appearance and quality of the Proposed “Or Equal” Substitution item or service are equivalent or superior to those of the specified item;
2. Certifies that the Proposed “Or Equal” Substitution item or service is compatible with all interrelated equipment, materials, products and services unless otherwise explained in specific detail in this submittal;
3. Agrees to coordinate installation and make all other changes that may be required for Work to be complete in all respects at no additional cost to the Owner;
4. Waives all claims for additional costs and Contract Time due to late ordering of the specified products or services caused by requests for “Or Equal” Substitutions that are subsequently rejected by the Engineer;
5. Represents and warrants that the Contractor is solely responsible for any extra cost or expense necessary to make the Proposed “Or Equal” Substitution item or service fully equivalent to and compatible with the Contract Documents and will meet or exceed the Engineer’s design intent;
6. Agrees to compensate the Owner for all additional redesign costs associated with the Proposed “Or Equal” Substitution item or service and the cost of the Engineer’s review of the Proposed “Or Equal” Substitution item or service;

PROPOSED "OR EQUAL" SUBSTITUTION SUBMITTAL TRANSMITTAL (CONT'D)
Form No. 01999-6

- 7. Waives all claims for additional costs and Contract Time which may subsequently become apparent; and
- 8. Agrees to comply with all additional requirements imposed by the Owner and Engineer should the Proposed "Or Equal" Substitution item or service is approved.

Submitted by:

Contractor

Name

Signature

Title

Date: _____

Daily Extra Work Report

Job Title:	Contract No.:	Field Order No.:	Sheet No. ____ of ____
Contractor:		Date Reported:	Date Performed
Description of Work:			

Equipment*			Hours	Hourly Rate	Delay Factor	Extended Amounts	Labor*		Hours	Hourly Rate	Extended Amounts
Model	Code	Description									
					1.00	\$0.00		OT			\$0.00
					1.00	\$0.00		Reg			\$0.00
					1.00	\$0.00		OT			\$0.00
					1.00	\$0.00		Reg			\$0.00
					1.00	\$0.00		OT			\$0.00
					1.00	\$0.00		Reg			\$0.00
					1.00	\$0.00		OT			\$0.00
					1.00	\$0.00		Reg			\$0.00
Total Cost of Equipment - Regular Hours						B.	\$0.00	Subtotal - Overtime Hours		OT	\$0.00
Material and/or Work done by Others**								Subtotal - Regular Hours		Reg	\$0.00
Description		No. Units	Unit Cost			Extended Amounts	Description	Ltr.	% Markup	Total Costs	
						\$0.00	Total Cost of labor (Regular + OT)	A.		\$0.00	
						\$0.00	Total Cost of Equipment	B.		\$0.00	
						\$0.00	Material and/or Work done by Others	C.		\$0.00	
						\$0.00	Markup on Labor (A.)		20%	\$0.00	
						\$0.00	Markup on Equipment (B.)		10%	\$0.00	
						\$0.00	Markup on Materials and Work (C.)		10%	\$0.00	
Total Cost of Materials and Work - Regular Hours						C.	\$0.00	Total This Report		\$0.00	

* Includes Equipment and Labor of Subcontractors and Owner-Operators.

** Section is used to report work for which labor rates as described in the specifications are unavailable.

Contractor's Authorized Field Representative

Owner's Field Representative

Receipt does not constitute acceptability for payment or acceptance of "Extra Work" status for work.

1. List Model, Code & Description of Equipment as it appears in the equipment rental rates booklet published by Caltrans.
2. List labor as it appears in the labor rate breakdown as described in the specifications.
3. Attach photocopies of applicable labor rate breakdown and equipment rental sheets.
4. List operator on same line as equipment.

REQUEST FOR INTERPRETATION
Form No. 01999-8

Area of Work in

RFI No.	
<input type="checkbox"/> 1st Submission	<input type="checkbox"/> Re-Submittal
Spec Section(s)	
Dwg/Detail No.'s	

Question: _____

RFI Generated by: Contractor CM Other _____

Priority Level: Low Medium High **On Critical Path**

Is there a Cost Impact associated with this RFI? yes no possibly

Is there a Time Impact associated with this RFI? yes no possibly

Owner:	Routing	Date Sent	Date Received
	Contractor/CM		
Project:	CM/Design Engineer		
	Design Engineer/CM		
Contractor:	CM/Contractor		
Question (s): (Attach sketches or additional sheets as needed)			
Submitted by: _____ Date: _____			

Response: (Attach sketches or additional sheets as needed)

Response by: _____ Date: _____

WARRANTY FORM
Form No. 01999-9

Warranty For:

(Project/Component) _____

(Location) _____

We hereby guarantee the (Total Project or Owner-Occupied Project Component) that we have constructed for a period of one (1) year (unless, pursuant to the Contract Documents, an extended warranty is to be provided in which case the duration of the extended warranty shall apply) from (Date) the date of acceptance of the work/substantial completion by the Sausalito-Marín City Sanitary District.

We agree that if any of the equipment should fail due to any reason other than improper maintenance or improper operation, if any pipe or appurtenances should develop leakage, or if any settlement of fill or backfill occurs, or should any portion of the work fail to fulfill any of the requirements of the Specifications, we will, within ten days after written notice of such defects, commence to repair or replace the same together with any other work which may be damaged or displaced in so doing.

In the event of our failure to comply with the above with the above mentioned conditions within a reasonable time after being notified, or should the exigencies of the case require repairs or replacements to be made before we can be notified or respond to notification, we do hereby authorize the Sausalito-Marín City Sanitary District to proceed to have the defect repaired and made good at our expense, and we will pay the cost therefore upon demand.

The warranty provided herein shall not be in lieu of, but shall be in addition to any warranties or other obligations otherwise imposed by the Contract Documents and by law.

Contractor: _____

CERTIFICATE OF SUBSTANTIAL COMPLETION
Form No. 01999-10

Owner: _____

Project Name: _____

Owner's Contract Number: _____

Contractor: _____

Contract for Construction of: _____

Project or Specified Part Shall Include: _____

Contract Date: _____

The Work performed under this Contract has been inspected by authorized representatives of the Owner, Contractor, Construction Manager and Engineer, and the Project (or specified part of the Project, as indicated above) is hereby accepted by the Owner and declared to be substantially completed on the above date.

- 1) Final completion of the Work shall be the date of such acceptance of the Work by the Owner.
- 2) Final completion shall mean full performance of the Contract requirements.

A list of all items remaining to be completed or corrected has been sent to the Contractor in the Owner's letter dated _____. All such work shall be completed or corrected to the satisfaction of the Owner prior to the release of the Contractor's retention and within 30 calendar days following the date of the Notice of Substantial Completion.

RECOMMENDED BY CONSTRUCTION
MANAGER

(Signature)

(Date)

CERTIFICATE OF SUBSTANTIAL COMPLETION (CONT'D)
Form No. 01999-10

RECOMMENDED BY ENGINEER

(Signature)

(Date)

The Owner accepts the Project or specified area of the Project as substantially completed and will assume full possession of the Project or specified area of the Project at (time and date). The responsibility for utilities, fuels and chemicals under the Contract Documents will be assumed by the OWNER after that date.

OWNER

(Signature)

(Date)

The Contractor hereby accepts the above Notice of Substantial Completion and agrees to complete and correct all of the items as outlined in the Owner's letter to the Contractor dated _____.

CONTRACTOR

(Signature)

(Date)

Request for Proposal

Form No. 01999-10

QUOTATION NO: _____

TO: _____ DATE: _____

FROM: _____

PROJECT: _____

KEYWORD DESCRIPTION: _____

DATE PROPOSAL REQUIRED: _____

The following modification to the Contract has been identified. Pursuant to Section 01250, Contract Modification Procedures, please provide a proposal for the alteration as described in Item 1. The proposal should include an itemized breakdown of contractor and subcontractor costs, including labor, materials, rentals, approved services, overhead, and profit. This request shall not be considered authorization to proceed with the work herein described. This is not a change order.

To be completed by Initiator of Request:

1. Scope of Work: (include list of attachments)

Scope of work has not changed.

2. Reason(s) for Modification:

3. Approval of Request:

Owner: _____ Date: _____

Construction Manager: _____ Date: _____

To be completed by Contractor:

4. Total cost of modification (attach detailed breakdown) \$ _____

5. Will a modification to the Contract Time be required? Yes No

If yes, please provide time impact analysis in accordance with Section 01324, Progress Schedules and Reports

6. Proposal is in effect until: (date) _____

Signed:

Contractor's Authorized Representative _____ Date _____

Date of Issuance: _____ Effective Date: _____

Project: _____

Owner: _____

Owner's Contract No.: _____

Contractor: _____

Attention:

You are hereby directed to promptly execute this Field Directive issued in accordance with Section [01250-2.2](#), **Field Directive**, for changes in the Work without changes in Contract Price or Contract Times. If you consider that a change in Contract Price or Contract Times is required, please notify the Engineer immediately and before proceeding with this Work. Any modifications, including a change to the Contract price or Contract requirements shall be covered by a formal Change Order executed by Owner and Contractor.

Reference: _____

(Specification Section(s))/RFI #

(Drawing(s) / Detail(s))

Description: _____

Refer to Drawing Sheets _____ Section or Detail _____

Refer to Specification Paragraphs _____

Will additional drawings be necessary? () ()
Yes No

Attachments: _____

Issued by: _____

Engineer

Receipt Acknowledged by (Contractor): _____

Date: _____

Copy to Owner

Unilateral Change Order
Form No. 01999-13

OWNER:		
PROJECT:		
CHANGE DIRECTIVE NO:		DATE:

When signed by the Owner, this document becomes effective immediately and the Contractor shall proceed with the change(s) described below. The Contractor is hereby directed to make the following change(s) to the Work of the Contract: *(Reference attachments by name and date)*

PROPOSED ADJUSTMENTS

1. The proposed basis of adjustment to the Contract Sum is:

- A Lump Sum adjustment of: _____
- A Unit Price adjustment of: _____ per _____
- Actual costs as documented and approved per the Contract.
- Other method as provided below:
(Insert terms of the basis of adjustment or reference attachment by name and date)
- Unilateral determination by Owner.
- NO CHANGE

2. The proposed adjustment to the Contract Time is:

- NO CHANGE
- An increase of _____ calendar days
- A decrease of _____ calendar days

Unilateral Change Order (Cont'd)
Form No. 01999-13

PREPARED BY:

(Print or Type Name of Engineer)

(Signature)

(Date)

WORK AUTHORIZED BY:

(Print or Type Name of Owner)

(Signature)

(Date)

DIRECTIVE ACCEPTED BY:

Signature by the Contractor indicates the Contractor's full and complete agreement with the proposed basis of adjustment in the Contract Sum and Time set forth in this Construction Change Directive. Change Directives accepted by the Contractor shall be incorporated into a Change Order without further adjustment.

(Print or Type Name of Contractor)

(Signature)

(Date)

Field Order
Form No. 01999-14

FIELD ORDER NO: _____ Date: _____

To Contractor: _____

Project No.: _____ Contract Days Changed Yes

Project Name: _____ No

Location: _____ To be determined

You are authorized to proceed with the following work:

Justification: _____

Cost Basis: \$ _____
Time and Material
Not to Exceed Estimate

Contractor to submit.

Recommended by Engineer DATE: _____

Approval By: _____ DATE: _____
Owner

Accepted By: _____ DATE: _____
Contractor

Field Work Orders will be converted to a Change Order within thirty (30) days of the Owner's approval. Maximum amount for any one Field Work Order shall conform to the Owner's policy guidelines. All costs incurred by Contractor resulting from this Field Order will be determined in accordance with [Section 01250-3.0](#), **COST DETERMINATION**.

Contractor must submit Daily Extra Work Reports to the Engineer no later than the working day following the performance of said work. See [Section 01250-2.4](#), Field Order-Force Account Work.

CONTRACTOR'S COST BREAKDOWN WORKSHEET

Form No. 01999-15

RFP # _____

DESCRIPTION	QTY	UNIT	UNIT MHRS	TOTAL MHRS	COM- POSITE MH RATE	UNIT LABOR COST	TOTAL LABOR COST	UNIT EQUIP. COST	TOTAL EQUIP COST	TOTAL L&E UNIT COST	UNIT EXPEND MATLS COST	TOTAL EXPENDABLES MATERIALS COST	UNIT P. MATL COST	TOTAL PERMANENT MATERIALS COST	UNIT SUBS/ SPECIAL SERVICES COST	SUBS/ SPECIAL SERVICES COST	TOTAL COST	
				0.0		0.00	\$0		\$0	\$0.00		\$0		\$0		\$0	-	
				0.0		0.00	\$0		\$0	\$0.00		\$0		\$0		\$0	-	
				0.0		0.00	\$0		\$0	\$0.00		\$0		\$0		\$0	-	
				0.0		0.00	\$0		\$0	\$0.00		\$0		\$0		\$0	-	
				0.0		0.00	\$0		\$0	\$0.00		\$0		\$0		\$0	-	
				0.0		0.00	\$0		\$0	\$0.00		\$0		\$0		\$0	-	
				0.0		0.00	\$0		\$0	\$0.00		\$0		\$0		\$0	-	
				0.0		0.00	\$0		\$0	\$0.00		\$0		\$0		\$0	-	
				0.0		0.00	\$0		\$0	\$0.00		\$0		\$0		\$0	-	
				0.0		0.00	\$0		\$0	\$0.00		\$0		\$0		\$0	-	
				0.0		0.00	\$0		\$0	\$0.00		\$0		\$0		\$0	-	
				0.0		0.00	\$0		\$0	\$0.00		\$0		\$0		\$0	-	
				0.0		0.00	\$0		\$0	\$0.00		\$0		\$0		\$0	-	
				0.0		0.00	\$0		\$0	\$0.00		\$0		\$0		\$0	-	
				0.0		0.00	\$0		\$0	\$0.00		\$0		\$0		\$0	-	
				0.0		0.00	\$0		\$0	\$0.00		\$0		\$0		\$0	-	
GRAND TOTALS				-			\$0		\$0			\$0		\$0		\$0	\$0	
																	<i>Math Check</i>	\$0
Direct Field Labor Mark-up @ 20%							\$0.00											
Equipment Mark-up @ 15%									\$0.00									
Material Mark-up @ 10%												\$0.00		\$0.00				
Subs/Special Services Mark-up @ 5%																	\$0.00	
Mark-up Subtotal																		\$0.00
Actual Costs plus Mark-up Subtotal																		\$0.00
Bonds/ Insurance (not to exceed 2 1/2%)																		\$0.00
TOTAL																		\$0.00

Contract Change Order
Form No. 01999-16

Project: (NAME OF PROJECT)

Change Order No. 0X
Date:

Owner: Sausalito-Marin City Sanitary District
Contractor: (CONTRACTOR)
XXXX

Phone: (415) 332-0244
Phone: (XXX) XXX-

The following change is hereby made to the contract:

Description of Change:

This negotiated change order, in the amount of \$0.00, is for... (Describe change/s)

Pricing Data: A negotiated settlement in the total amount of **\$0.00**.

Requested by: Mutually agreed upon by Contractor and Owner.

Contract Time: This change order does not constitute an extension of the contracted time.
(Revise Contract time as agreed to by Owner.)

The owner and the contractor hereby agree that this change order constitutes full and mutual accord and satisfaction for all time, all costs, and all impacts related to this revision. In accepting this change order, the contractor agrees that it represents a full and equitable adjustment to the contract, and further agrees to waive all rights to file claim with respect to any difficulties arising from, or as a result of, this change.

Original Contract:	\$0.00	Approved by:
Previous Additions:	\$0.00	_____
Previous Deductions:	\$0.00	Construction Manager – NAME, COMPANY
This Change Order:	\$0.00	_____
Contract to Date:	\$0.00	Owner – Kevin Rahman, SMCSO

		Contractor – NAME, COMPANY

EQUIPMENT TEST REPORT
Form No. 01999-17

NOTE: This example equipment test report is provided for the benefit of the Supplier and is not specific to any piece of equipment to be installed as a part of this Project. The example is furnished as a means of illustrating the level of detail required for the preparation of equipment test report forms for this Project.

Project: _____

Owner: _____

Contractor: _____

Supplier: _____

Equipment Name: _____

Equipment Number: _____

Specification Ref: _____

PRE-OPERATIONAL CHECKLIST

	Supplier		Engineer	
	Verified	Date	Verified	Date
Mechanical				
Lubrication				
Alignment				
Anchor bolts				
Pump/mechanical equipment vibration testing				
Seal water system operational				
Equipment rotates freely				
Safety guards				
Valves operational				
O&M manual information complete				
Supplier's installation certificate complete				
Electrical (Circuit ring-out and high-pot tests)				
Circuits:				
Power to MCC				
Control to HOA				

EQUIPMENT TEST REPORT (CONT'D)
Form No. 01999-17

	Supplier		Engineer	
	Verified	Date	Verified	Date
Indicators at MCC: Red (running) Green (power) Amber (auto)				
Indicators at local control panel				
Wiring labels complete				
Nameplates: MCC Control station Control panel				
Electrical equipment grounding verification				
Equipment bumped for rotation				
Piping Systems				
Cleaned and flushed: Suction Discharge				
Pressure tests				
Temporary piping screens in place				
Thrust restraint and pipe supports in place				
Instrumentation and Controls				
Flow meter _____ calibration Calibration Report No.				
Flow recorder _____ calibrated against transmitter				
VFD speed indicator calibrated against independent reference				
Discharge overpressure shutdown switch calibration				
Simulate discharge overpressure shutdown				
I/C equipment grounding verification				

EQUIPMENT TEST REPORT (CONT'D)
Form No. 01999-17

FUNCTIONAL TESTS

	Supplier		Engineer	
	Verified	Date	Verified	Date
Mechanical				
Motor operation temperature satisfactory				
Pump operating temperature satisfactory				
Unusual noise, <i>etc?</i>				
Pump operation: gpm/ psig				
Pump Measurement: Flow/Pressure Test gage number				
Alignment hot				
Doweled in				
Pump/mechanical equipment vibration testing				
Remarks:				
Electrical				
Local switch function: Runs in <i>HAND</i> No control power in <i>OFF</i> Timer control in <i>AUTO</i>				
Overpressure protection switch PS2502C functional in both <i>HAND</i> and <i>AUTO</i>				
Overpressure protection switch PS2502C set at 75 psig				
PLC 2500 set at 24 hr cycle, 25 min <i>ON</i>				
Motor amperage draw test				

EQUIPMENT TEST REPORT (CONT'D)
Form No. 01999-17

OPERATIONAL TEST

	Supplier		Engineer	
	Verified	Date	Verified	Date
48-hour continuous test: pump cycles as specified indicators functional controls functional pump maintains capacity overpressure protection remains functional hour meter functional				

RECOMMENDED FOR BENEFICIAL OCCUPANCY

 Engineer

 Date

ACCEPTED FOR BENEFICIAL OCCUPANCY

 Owner's Representative

 Date

MANUFACTURER'S REPRESENTATIVE SERVICE REPORT
Form No. 01999-18

Owner: _____ File No. _____

Project: _____ Date: _____

Project No. _____

- This form should be completed and returned by the manufacturer's representative prior to leaving the site.
- The representative is expected to follow the instructions to manufacturers' service representatives (Page 2) during the site visit.

Manufacturer: _____ MRSR No. _____

Supplier: _____ Contract/P.O. No. _____

Manufacturer's Representative _____
Company

Equipment/Material: _____

Work performed and tests made on equipment:

Factory errors corrected:

Field errors corrected:

The above equipment ___ is ___ is not ready to be placed in operation.

Remarks:

Arrival onsite _____ Department from Site _____
Date Time AM PM Date Time AM PM

Actual total duration onsite was _____ hours for period covered by this report.

Manufacturer's Representative: _____
Signature Date

Address: _____
Phone No.

Report Received By: _____
Signature Date

Distribution: _____

MANUFACTURER'S INSTALLATION CERTIFICATION FORM
Form 01999-19

Contract No: _____ Specification Section: _____

Equipment name: _____

Contractor: _____

Manufacturer of equipment item:

The undersigned manufacturer of the equipment item described above hereby certifies that he has checked the installation of the equipment and that the equipment, as specified in the Contract Documents, has been provided in accordance with the manufacturer's recommendations and that the trial operation of the equipment item has been satisfactory.

Comments: _____

Date

Manufacturer

Signature of
Authorized Representative

Date

Contractor

Signature of
Authorized Representative

PIPE TEST RECORD
Form No. 01999-21

Date: _____

Project Name:	Project No.:	Contractor:
Improvements		
Project - 2009		
Pipeline Size & Name:	Pipe Type:	Pipe Location/Description:
(SL), SN, IA, etc.)	(DI, PVC, Steel, Copper, etc.)	(Attach sketch if needed)
Section Tested:	First Test ____ Or Re-Test ____	Length of Pipe Tested: Ft.
From: _____ To: _____		

Test Specifications	Actual Test Results
Type of Test: _____	
Test Pressure: _____	Start pressure: _____ End Pressure: _____
Duration: _____	Start time: _____ Stop time: _____ Duration: _____
Allowable loss: _____	Actual loss: _____

Comments:

Test Passes	
Test Fails	

Tested By: _____
Contractor

Test Witnessed By: _____
Construction Inspector

****END OF SECTION****

**SECTION 031000
FORMWORK****PART 1 - GENERAL**

1.1 DESCRIPTION

- A. Section Includes: Provision of formwork for cast-in-place concrete and installation of embedded items.
- B. Related Sections:
 - 1. Section 032000 - Concrete Reinforcement
 - 2. Section 033000 - Cast-in-Place Concrete

1.2 REFERENCES

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.
- B. Published specification, standards, tests, or recommended methods of trade, industry, or governmental organizations apply to Work of this Section where cited by abbreviations noted below (latest editions apply).
 - 1. American Society for Testing and Materials: (ASTM).
 - 2. Federal Specifications: (FS).
 - 3. American Concrete Institute's "Recommended Practice for Concrete Formwork," (ACI 347).
 - 4. United States Voluntary Product Standard for Construction and Industrial Plywood, PS1-83.
 - 5. American Plywood Association's "Guide to Plywood Grades" (APA).
 - 6. West Coast Lumber Inspection Bureau's "Standard Grading Rules No. 16" (WCLIB).
 - 7. California Building Code (CBC), 2016 Edition.

1.3 QUALITY ASSURANCE

- A. Design Criteria: Formwork shall conform to ACI 347 unless specifically noted otherwise.
 - 1. Formwork:
 - a. Shall prevent leakage or washing out of cement mortar.
 - b. Shall resist spread, shifting, and settling.
 - c. Shall reproduce accurately required lines, grades, and surfaces within tolerances specified.
 - 2. Safety: The Contractor shall be responsible for adequate strength and safety of all formwork including falsework and shoring.
- B. Allowable Tolerances: Formwork shall produce concrete within tolerance limits recommended in ACI 347, unless otherwise noted.

1.4 SUBMITTALS

- A. Samples: Only as requested by the Engineer.

1.5 PRODUCT DELIVERY, STORAGE AND HANDLING

- A. Deliver and store packaged materials in original containers with seals unbroken and labels intact until time of use.

1.6 JOB CONDITIONS

- A. Sequencing Schedule:
 1. Ensure timely delivery of embedded items. Be responsible for cutting and patching necessitated by failure to place embedded items.
 2. Plan erection and removal to permit proper sequence of concrete placing without damage to concrete.

PART 2 - PRODUCTS

2.1 MATERIALS

- A. Forming Materials:
 1. Panel or board forms at the Contractor's option.
 - a. Panel Forms: Minimum 5/8-inch thick exterior grade plywood with sealed edges, PS 1 grade Plyform Class I and II B-B Exterior or HDO Exterior.
 - b. Board Forms: Shiplap or tongue and groove lined with PS 1 grade Plyform Class I and II Exterior 1/2-inch or HDO Exterior 1/2-inch or 3/16-inch thick fiberboard conforming to FS LLL-B-810a (1), type I.
 2. Chamfer Strips: Burke Concrete Accessories' PVC type CSF 1/2-inch, all exposed corners.
- B. Wood Framing: WCLIB standard grade or better, Douglas Fir.
- C. Form Ties and Spreaders: Metal type acting as spreaders, leaving no metal within one-inch of concrete face and no fractures, spalls, depressions or other surface disfigurements greater than 3/4-inch in diameter.
- D. Expansion Joint Filler:
 1. Fiber Type: Premolded asphalt-impregnated fiber ASTM D1751, 1/4-inch thick unless otherwise noted. Same as W. R. Meadows, Inc.'s "Sealtight Fiber Expansion Joint"; Grace Construction Materials "Serviced Fiber Expansion Joint Filler, Cod 1390"; National Expansion Joint Co.'s "Fiber Joint Filler No. 12"; Burke Concrete Accessories, Inc.'s "Burke Fiber Expansion Joint" or equivalent product substituted per Section 01630.
 2. Cork Type: Preformed cork, ASTM D1752, Type II, 1/4-inch size, unless otherwise noted. Same as W. R. Meadows, Inc.'s "Sealtight Cork Expansion Joint"; Sonneborn-Contech's "Sonoflex Cork"; Grace Construction Materials' "Serviced Standard Cork Expansion Joint Filler, Code 4323; or equivalent product substituted per Section 01630.

- E. Form Sealer: Same as Grace Construction Material's "Formfilm" or approved equivalent product substituted per Section 01630.
- F. Release Agent: Must not stain or otherwise adversely affect architectural concrete surfaces. Same as the Nox-Crete Co.'s "Nox-Crete Form Coating"; Industrial Synthetics Corp.'s "Synthex;" or equivalent product substituted per Division 1 specifications.

2.2 SOURCE QUALITY CONTROL

- A. Plywood shall bear APA grade-trademark.

PART 3 - EXECUTION

3.1 INSPECTION

- A. Examine areas where formwork will be constructed and verify that:
 - 1. Excavations are sufficient to permit placement, inspection and removal of forms.
 - 2. Excavations for earth forms have been neatly and accurately cut.
 - 3. Conditions are otherwise proper for formwork construction.
- B. Do not start work until, unsatisfactory conditions have been corrected.

3.2 PREPARATION

- A. Obtain necessary information for coordination of formwork with items to be embedded in concrete and other related work.

3.3 CONSTRUCTION

- A. General:
 - 1. Construct forms true to required lines, grades, dimensions, and surfaces.
 - 2. Frame openings where indicated on Architectural, Structural, Mechanical, Plumbing or Electrical drawings.
- B. Earth Forms:
 - 1. Provide forms for footings wherever concrete cannot be placed against solid earth excavation.
 - 2. Remove loose dirt and debris prior to concrete pours.
- C. Walls and Other Formed Elements:
 - 1. Erect outside forms for exposed exterior walls first and obtain the Engineer's approval before reinforcement is placed. Obtain Engineer's approval of the reinforcement before interior form is erected.
 - 2. Carefully align inside and outside forms before tightening ties.
 - 3. Plywood Forms: Ensure vertical joints are plumb and horizontal joints are level; arrange joints and ties-in geometrical pattern as approved by the Engineer.
 - 4. Form inside corners at exposed conditions with mitered boards or plywood so that no concrete is placed against form ends.
 - 5. After erection, seal all cracks, holes, slits, gaps, and apertures in forms so that they will withstand the pressure and will remain completely watertight.

6. Provide a means to seal the bottom of forms at construction joints such as foam tape or other gasket devices.
 7. Apply a coating of release agent prior to the erection of formwork. Follow approved manufacturer's recommendations.
- D. Slab Forms:
1. Establish levels and set screeds.
 2. Depress slabs where required to receive special floor finishes.
- E. Clean-outs and Openings: Provide on interior face of wall forms as required for effective removal of loose dirt, debris and waste material, for inspection of reinforcing and for introduction of vibrators where the Engineer deems necessary.
- F. Expansion Joints:
1. Provide in exterior concrete paving on grade at maximum 12-feet on center or as noted and at intersections with vertical surfaces, curbs, manholes or other penetrations through paving.
 2. Use fiber type, expansion joint fillers typically and depress ¼-inch unless otherwise noted.
 3. Use cork type expansion joint fillers at conditions with non-bituminous waterproofing, liquid waterproofing or sealant systems.
- G. Construction Joints:
1. Provide where shown on the drawings or as directed by the Engineer.
 2. Provide key indentations at all joints.
 3. Provide pour strips on inside face of forms at horizontal joints, but remove strips and thoroughly clean out reglets before placing subsequent portions of wall.
 4. Prevent formations of shoulders and ledges.
 5. Provide means for drawing forms into firm contact with concrete before placing additional concrete over previous pours where shrinking and warping has separated concrete from forms.
- H. Embedded Items:
1. Properly locate, unless locating is specified elsewhere, and place inserts and embedded items required by other trades prior to casting concrete.
- I. Shoring:
1. Adequately brace and maintain shoring to safely support vertical, lateral, and asymmetrical loads until completed structure has attained design strength.
 2. Distribute shoring loads over area where shoring is erected and protect against undermining or settlement.
 3. Provide means for making vertical adjustments to compensate for settlement either before or during placing of concrete.
 4. Construct shores for soffits of beams to permit removal of forms without removing shores.
 5. Reshoring will be permitted. Shores and reshores shall be designed by a Civil Engineer registered in the State of California and installed under this direction. This Civil Engineer shall be, employed by the Contractor.

3.4 REMOVAL

- A. Secure the Engineer's approval for time and sequence of removal.
- B. Form Removal: Forms shall be removed without damage to the concrete, and in no case shall they be removed prior to the concrete member attaining the specified strength.

MEMBER	STRENGTH	MINIMUM TIME*
Vertical surfaces of walls, columns, beams, girders	0.60 f'c	7 days
Beams, soffits, slab, girder	0.75 f'c	14 days

* Estimated curing time required to obtain desired strength. Results of the 7-day test cylinder break shall be presented to the Engineer to demonstrate compliance with above specified strength requirements prior to form removal. If a 7-day test cylinder break demonstrates strength that is less than that specified, the Contractor may elect to take additional cylinders at the time of next pour to demonstrate strength requirements. The Contractor shall bear the cost of taking and testing the additional samples.

- C. Forms:
 - 1. Remove forms carefully to avoid damaging corners and edges of exposed concrete.
 - 2. Reuse:
 - a. Forms may be reused provided they are straight, clean, free from nails, dirt, hardened concrete, or other injurious matter and edges and surfaces are in good condition.
 - b. Clean and repair any damage caused by placing, removal, or storage. Reuse of formwork with repairs or patches, which would result in, adverse effects to architectural concrete finish, will not be permitted.
 - c. Store formwork in manner to prevent damage or distortion.
 - d. Reseal as required to achieve concrete of specified quality.
- D. Shoring and Reshoring
 - 1. Two levels of shoring or one level of shores over one level of reshores shall be maintained below any newly cast level until it has attained design strength and is at least 28 days old.

END OF SECTION

**SECTION 032000
CONCRETE REINFORCEMENT**

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes: Provision of reinforcement for all concrete unless specifically noted otherwise.
- B. Related Sections:
 - 1. Section 031000 - Formwork
 - 2. Section 033000 - Cast-in-Place Concrete

1.3 REFERENCES

- A. Published specifications, standards, tests, or recommended methods of trade, industry, or governmental organizations apply to Work of this Section where cited by abbreviations noted below (latest editions apply).
 - 1. American Society for Testing and Materials (ASTM).
 - 2. American Concrete Institute's
 - a. "Manual of Standard Practice for Detailing Reinforced Concrete Structures" (ACI 315).
 - b. "Building Code Requirements for Reinforced Concrete" (ACI 318).
 - 3. Concrete Reinforcing Steel Institute (CRSI) and/or Western Concrete Reinforcing Steel Institute (WCRSI).
 - a. "Manual of Standard Practice."
 - b. "Recommended Practice for Placing Reinforcing Bars."
 - 4. American Welding Society's
 - a. "Mild Steel Covered Arc-Welding Electrodes" (AWS A5.1).
 - b. "Reinforcing Steel Welding Code: (AWS D1.4).
 - 5. California Building Code (CBC) 2016 Edition.

1.4 QUALITY ASSURANCE

- A. Welders' Qualifications: Welders shall be qualified in accordance with AWS D1.4.
- B. Reinforcing steel shall not be permitted to rust where there is danger of staining exposed surfaces, of adjacent concrete. The Contractor shall replace rust-stained concrete at his expense.
- C. Allowable Tolerances: Reinforcing steel shall be placed within tolerances permitted by ACI 318, Section 7.5.2 unless otherwise approved by the Engineer.
- D. The Owner's Testing Agency will:
 - 1. Collect mill test reports for reinforcement.

2. Provide inspection of welding, including prior fit-up, welding equipment, weld quality and welder certification in accordance with AWS D1.4 and ACI 318 Sections 3.5.2 and 12.14. Chemical analysis sufficient to determine carbon equivalent and minimum preheat temperature shall be performed when reinforcement does not conform to low-alloy steel requirements of ASTM A706.

1.5 SUBMITTALS

- A. Shop Drawings: Show bending and placing details, size and location of reinforcing steel. Include diagrammatic wall elevations at ¼-inch equals one foot scale, to clearly show position and erection marks of bars including marginal bars around openings with dowels, splices, etc.
- B. Mill Test reports for each heat or melt of steel.

1.6 PRODUCT DELIVERY, STORAGE AND HANDLING

- A. Deliver reinforcement and accessories to site not more than 48 hours before placement.
- B. Store in manner to prevent excessive rusting and fouling with grease, dirt, or other bond-weakening coatings.
- C. Take precautions to maintain identification after bundles are broken.

PART 2 - PRODUCTS

2.1 MATERIALS

- A. Bars: New billet steel, ASTM A615 Grade 60, ASTM A706, or ASTM 616, Grade 60, designation S1.
- B. Tie Wires and Spirals: ASTM A82.
- C. Welded Wire Fabric: ASTM A185.
- D. Welding Electrodes: Mild steel covered arc-welding types conforming to AWS A5.1.
- E. Bar Supports: As required for assembling and supporting reinforcement in place.
 1. Typical: CRSI Class B pregalvanized.
 2. Interior and Exterior Soffits and Other Exposed Conditions: CRSI Class C plastic-protected; or class E stainless steel wire, Type 430, and containing not less than 16-percent chromium.
- F. Threaded coupler: Lenton Standard coupler by ERICO or equivalent product substituted per Division 1 specifications. Coupler shall develop 125-percent of specified yield strength of reinforcement.

2.2 FABRICATION

- A. Shop-fabricate to comply with drawings.

- B. Conform with requirements of ACI 315 where specific details are not shown or where drawings and specifications are not more demanding.

PART 3 - EXECUTION

3.1 PLACEMENT

- A. General:
 - 1. Place bars as noted.
 - 2. All reinforcement shall be continuous. See drawings for lap splice schedule. Stagger splices where possible. Contact lap splices shall be securely wired together to maintain alignment.
 - 3. Ensure placement will permit concrete protection in conformance with CRSI or to extent shown.
 - 4. Support and fasten bars securely with spacers, chairs or ties to permit their being walked upon without displacement or movement both before and during placement of concrete. Wire-tie bar intersections.
 - 5. Do not bend bars around openings or sleeves. Wherever conduits, piping, inserts, sleeves, etc. interfere with placing of reinforcement, obtain the Engineer's approval of placing before concreting.
 - 6. Do not field bend bars unless expressly noted in the Contract Documents.
- B. Welding:
 - 1. Employ shielded metal-arc method and conform to AWS D1.4.
 - 2. Ensure equipment supplies proper current and voltage and is adjustable to suit arrangement and thickness of items welded.
- C. Prior to placing concrete, verify reinforcement has been bent, positioned, and secured in accordance with drawings; ensure removal of oil, grease, dirt, or other bond-weakening coatings; replace severely rust-pitted reinforcing bars.
- D. Quality Assurance:
 - 1. The Owner's Testing Agency will inspect placement of reinforcement and notify Engineer of any discrepancies in placement

END OF SECTION

**SECTION 033000
CAST-IN-PLACE CONCRETE**

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes: Provision of cast-in-place concrete unless specifically noted otherwise.
- B. Related Sections:
1. Section 032000 - Concrete Reinforcement.
 2. Section 055000 – Miscellaneous Metal.

1.3 REFERENCES

- A. Requirements of GENERAL CONDITIONS and DIVISION NO. 1 apply to all Work in this Section.
- B. Published specifications, standards, tests, or recommended methods of trade, industry, or governmental organizations apply to Work of this Section where cited by abbreviations noted below (latest editions apply).
1. American Society for Testing and Materials (ASTM).
 - a. ASTM Standard Specifications C 150 and C 595.
 - b. ASTM Standard Specification C 94.
 - c. ASTM Standard Specification C 685.
 2. American Concrete Institute's:
 - a. "Specification for Structural Concrete for Buildings" (ACI 301).
 - b. "Recommended Practice for Cold Weather Concreting" (ACI 306).
 - c. "Recommended Practice for Hot Weather Concreting" (ACI 305).
 - d. "Recommended Practice for Measuring, Mixing and Placing Concrete" (ACI 304).
 - e. "Building Code Requirements for Reinforced Concrete" (ACI 318).
 3. State of California, Business and Transportation Agency Division of Highways' "Materials Manual," (CMM).
 4. California Building Code (CBC) 2016 Edition.

1.4 QUALITY ASSURANCE

- A. The Contractor's Testing Laboratory Qualifications: The Contractor's Testing Laboratory shall be under direction of a Civil Engineer registered in the State of California, shall have operated successfully for four years prior to this work, and shall conform to requirements of ASTM E329.

- B. Requirements of ACI 301 shall govern work, materials and equipment related to this Section; specifications herein set minimum results required, and references to procedures are intended to establish minimal guides.
- C. The Contractor shall be responsible for quality of concrete in place and shall bear burden of proof that concrete meets minimum requirements.
- D. Placing of concrete by means of pumping will be an acceptable method of placement providing that the Contractor can demonstrate that:
 - 1. Specified concrete strengths will be met.
 - 2. Equipment has a record of satisfactory performance under similar conditions and using a similar mix.
 - 3. Trial batches have been made.

1.5 SUBMITTALS

- A. The Contractor shall submit:
 - 1. Certified copies of mix designs for each concrete class specified including compressive strength test reports.
 - 2. Certification that, materials meet requirements specified.
 - 3. Samples only as requested by the Engineer.
 - 4. Certification from vendor that samples originate from and are representative of each lot proposed for use.
- B. The Owner's Testing Agency will submit reports on tests and inspections performed to the Owner, the Engineer, the Contractor, and the city building department.
- C. Shop Drawings: Show construction joint locations and details.
- D. Schedule of placing for the Engineer's review before starting Work.

1.6 PRODUCT DELIVERY, STORAGE AND HANDLING

- A. Ensure storage facilities are weather tight and dry.
- B. Deliver and store packaged materials in original containers with seals unbroken and labels intact until time of use.
- C. Store bulk cement in bins capable of preventing exposure to moisture.
- D. Use sacked cement in chronological order of delivery. Store each shipment so that it may be readily distinguishable from other shipments.

PART 2 - PRODUCTS

2.1 CONCRETE CLASSES

CLASS	STRENGTH	AGGREGATE	WEIGHT	SLUMP	W/C
A	2,500	¾	145	4"	0.55
B	4,000	¾	145	4"	0.45

- A. Class: Identifies location and use as specified in the Structural Drawings.
- B. Strength: Compressive strength in psi after 28-days when tested in accordance with ASTM C39. All concrete shall develop compression strength specified in 28-days. To meet above requirements, mix shall be designed such that average compressive strength will exceed specified 28-day strength by an amount as specified by ACI 318.
- C. Aggregate: Maximum size in inches.
- D. Weight: Pounds, per cubic foot, air dry.
- E. Slump: In inches when tested in accordance with ASTM C143, ± 1".
- F. W/C: Maximum water to cement ratio allowed for a particular "class of concrete".

2.2 MATERIALS

- A. General Requirements:
 - 1. Cement and aggregates shall have proven history of successful use with one another. Sources of cement and aggregate shall remain unchanged throughout work unless the Engineer approves request for change made at least 10-days prior to anticipated date of casting.
 - 2. Ready-mixed concrete shall meet requirements of ASTM C94.
 - 3. Deviations in properties of materials tested by the Owner's Testing Agency shall be cause for their rejection pending additional test results and redesign of mix by the Contractor's Testing Laboratory.
 - 4. No frozen aggregates will be permitted.
- B. Cements:
 - 1. For Class A, B, and C Concrete: ASTM C150, Type II. Use one brand of cement throughout project unless otherwise acceptable to Engineer.
 - 2. Fly Ash: ASTM C618, Type F. Use 20% to 40% Fly Ash for "Slab-on-Grade".
- C. Aggregates:
 - 1. Coarse: ASTM C33. Coarse aggregate shall consist of a clean, hard, fine grained, sound crushed rock, or washed gravel or a combination of both. It shall be free from oil, organic matter or other deleterious substances and shall not contain more than two percent by weight of shale or cherty material. Any suitable individual grading of coarse aggregate may be used provided "Grading of Combined Aggregates" shown in Table below are obtained. "Cleanness value shall not be less than 75 when tested per MM Test Method, 227.

PERCENTAGE PASSING BY WEIGHT		
SIEVE SIZE	ONE AND ONE-HALF INCHES MAXIMUM	THREE-FOURTHS INCHES MAXIMUM
2-inch	---	---
12-inch	95-100	---
1-inch	75-90	---
¾-inch	55-77	90-100
3/8-inch	40-55	60-80
No. 4	30-40	40-60
No. 8	22-35	30-45
No. 16	16-30	20-35
No. 30	10-20	13-23
No. 50	2-8	5-15
No. 100	0-3	0-5

2. Fines: ASTM C33. Sand equivalent shall be not less than 75 when tested as per ASTM D2419.
 3. Provide aggregates from a single source for exposed concrete.
- D. Water: Clean and potable, free from impurities detrimental to concrete.
- E. Water-Reducing Admixture: ASTM C494, Type A. Same as Grace Construction Materials' "WRDA"; Master Builders "Pozzolith"; Sika Corp.'s "Plastocrete 161"; or equivalent product substituted per Division 1 specifications.
1. Air Entraining Admixture: ASTM C260, certified by manufacturer to be compatible with other products. Same as W.R. Grace's "Daravair", Master Builders' "Micro-Air", Sika Corp.'s "Sika Aer", or equivalent product substituted per Division 1 specifications.
 2. High-Range Water-Reducing Admixture (Super Plasticizer): ASTM C494, Type F or Type G. Same as W.R. Grace's "WRDA 19", Master Builders' "Rheobuild", Sika Corp.'s "Sikament", or equivalent product substituted per Division 1 specifications.
 3. Water Reducing, Accelerator Admixture: ASTM C494, Type E. Same as W.R. Grace's "Daraset", Master Builders' "Pozzutec 20", Euclid's "Accelguard 80", or equivalent product substituted per Division 1 specifications.

4. Water Reducing, Retarding Admixture: ASTM C494, Type D. Same as W.R. Grace's "Daratard-17", Master Builders' "Pozzoliith R", Sika's "Plastiment", or equivalent product substituted per Division 1 specifications.
 5. Fibrous Reinforcement: Engineered polypropylene fibers designed for secondary reinforcement of concrete slabs. Same as W.R. Grace's "Grace Fibers", Euclid's "Fiberstrand 100", Fibermesh's "Fibermesh", or equivalent product substituted per Division 1 specifications.
- F. Other Admixtures: Only as approved by the Engineer.
- G. Wax Sealer: Heavy penetrating type as manufactured by approved manufacturer of clear hardener.
- H. Abrasive Grains: Aluminum oxide type. Same as Sonneborn-Contech's "FRICTEX NS"; General Abrasive Co., Inc.'s "Fut-Sure"; The Exolon Co.'s "Exolon Anti-Slip"; or equivalent product substituted per Division 1 specifications.
- I. Non-Shrink Grout: Premixed high strength grout requiring only addition of water at the site. Same as Master Builder's "Masterflow 928 Grout"; Burke's "Non-Ferrous, Non-Shrink Grout", or equivalent product substituted per Division 1 specifications.
- J. Curing Materials:
1. Waterproof Paper: ASTM C171, Type 1, regular. Same as Sisalkraft Division of St. Regis Paper Co.'s "Orange Label"; or equivalent product substituted per Division 1 specifications.
 2. Sheet Plastic: Polyethylene, four mils thick, fungus-resistant.
 3. Curing Compound: ASTM C309. Same as Grace Construction Materials' "Horn Clear Seal"; Grimes Co.'s "Sealcrete"; Master Builders' "Masterseal W", or equivalent product substituted per Division 1 specifications.
- K. Concrete Sealer: Clear water-repellent treatment, blend of six resins containing no silicones or stearates, no darkening or change of color. Same as Sonneborn-Contech's "White Rox M-6-50-8"; Tamms Industries' "Chemstop" or equivalent product substituted per Division 1 specifications.
- L. Insulation Board: Extruded close cell polystyrene foam, channeled for drainage, with a minimum compressive strength of 60 psi at 0.1-inch deformation when tested in accordance with ASTM D1621-73, and meeting requirements of FS-HH-I-524b, Type II, Class B. Same as the Dow Chemical Co.'s "Styroform PD Brand" or equivalent product substituted per Division 1 specifications.
- M. Epoxy Adhesive: Two component materials suitable for anchoring rebar into dry or damp concrete. Same as Simpson Strong-Tie "SET-3G" adhesive, or equivalent product substituted per Division 1 specifications.

2.3 MIXES

- A. General Requirements:
1. The Contractor shall perform tests or assemble the necessary data, indicating conformance with specifications.

2. For each mix submit data showing that proposed mix will attain the required strength in accordance with requirements of CBC Section 1905.3 and ACI 318, Section 5.3.
 3. The Contractor shall instruct Laboratory to base mix design on use of materials tested and approved by the Owner's Testing Agency.
 4. Mix design shall include compression strength test reports per ACI 318, Section 5.3.1.
 5. Mix shall be designed, tested, and adjusted if necessary, in ample time before, first concrete is scheduled to be placed. Laboratory data and strength test results for revised mix design shall be submitted to Engineer, prior to using in project.
 6. Ensure mix designs will produce concrete to strengths specified and of uniform density without segregation.
 7. If mix yield exceeds 1-cubic yard, modify mix design to no more than one cubic yard without changing cement content.
 8. The Contractor's mix designs shall be subject to review by the Engineer and by the Owner's Testing Agency.
 9. Introduction of calcium chloride will not be permitted.
 10. Unspecified admixtures will not be permitted unless the Engineer reviews, the Contractor modifies mix designs as necessary, and modifications are accepted by the Owner's Testing Agency.
- B. Patching Mortar: Mix in proportions by volume of one part cement to two parts fine sand.
- C. Non-Shrink Grout: Follow approved, manufacturer's printed instructions, and recommendations.

2.4 MIXING

- A. Batching Plant Conditions:
1. Batch plant shall comply with ACI 318, Section 5.8, and be certified to comply with the requirements of the National Ready Mix Concrete Association.
 2. Ensure equipment and plant will afford accurate weighing, minimize segregation and will efficiently handle all materials to satisfaction of the Engineer and the Owner's Testing Agency.
 3. Replace at no additional expense, equipment the Engineer and the Owner's Testing Agency deem, inadequate or unsuitable.
 4. Use approved moisture meter capable of determining moisture content of sand.
- B. General Requirements:
1. Thoroughly clean concrete equipment before use for architectural concrete mixes to avoid contamination.
 2. Mix cement, fine and coarse aggregates, admixtures and water to exact proportions of mix designs.
 3. Measure fine and coarse aggregates separately according to approved method, which provides accurate control and easy checking.
 4. Adjust grading to improve workability; do not add water unless otherwise directed.
 5. Maintain proportions, values, or factors of approved mixes throughout work.

6. Mix concrete in transit mixers five minutes immediately prior to discharge in addition to mixing as called for by ACI 304 and ACI 318, Section 5.8.
- C. Admixtures: Use automatic metering dispenser to introduce admixture into mix. Dispenser shall be recommended and calibrated by admixture manufacturer.

2.5 SOURCE QUALITY CONTROL

- A. The, Owner's Testing Agency will:
1. Review mix designs, certificates of compliance, and samples of materials the Contractor proposes to use.
 2. Test and inspect materials, as necessary, in accordance with ACI 318.
 3. Take samples as required from the Contractor's designated sources.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine units of work to be cast and verify that:
1. Construction of formwork is complete.
 2. Required reinforcement, inserts, and embedded items are in place.
 3. Form ties at construction joints are tight.
 4. Concrete-receiving places are free of debris.
 5. Dampen subgrade or sand course for slabs-on-grade. Do not saturate.
 6. Depths of depressed slab conditions are correct for delayed finish noted and for its proper bonding to concrete.
 7. Conveying equipment is clean and properly operating.
 8. The Engineer has reviewed formwork and reinforcing steel and that preparations have been checked with the Project Inspector.
- B. Do not, begin casting before unsatisfactory conditions, have been corrected.

3.2 PREPARATION

- A. Ensure availability of sufficient labor, equipment and materials to place concrete correctly in accordance with scheduled casting.
- B. Protect finished surfaces adjacent to concrete-receiving places.
- C. Clean transportation and handling equipment at frequent intervals and flush thoroughly with water before each day's run. Do not discharge wash water into concrete form.
- D. Construction Joints: Clean and roughen all construction joint contact surfaces by removing all surface laitance and exposing sound mortar. Sandblasting and bush hammering are acceptable methods.

3.3 PLACING

- A. The Engineer and Testing Laboratory shall be notified at least 48 hours before placing concrete.

- B. Place concrete in accordance with CBC Section 1906.
- C. Place concrete in cycles as a continuous operation to permit proper and thorough integration and to complete scheduled placement. Place no concrete where sun, wind, heat, or facilities prevent proper finishing and curing.
- D. Convey, concrete as rapidly and directly as practicable, to preserve quality and to prevent separation from rehandling, and flowing, do not deposit concrete initially set. Cast concrete within ninety (90) minutes after adding water unless otherwise noted. Retempering of concrete, which has partially set will not be permitted.
- E. Take precautions to avoid damage to under-slab moisture barrier and displacement of reinforcement and formwork.
- F. Deposit concrete vertically in it's, final position. Avoid free falls in excess of six feet where reinforcement will cause segregation and in typical conditions unless the Engineer approves otherwise.
- G. Keep forms and reinforcement clean above pour line by removing clinging concrete with wire brush before casting next lift. Also remove leakage through forms.
- H. Interruption in casting longer than 60-minutes shall be cause for discontinuing casting for remainder of day. In this event, cut back concrete and provide construction joints as the Engineer directs; clean forms and reinforcement as necessary to receive concrete at a later time.
- I. Hot Weather Concreting: Conform to ACI 305 and following requirements when mean daily temperature rises above 75-degrees Fahrenheit.
 - 1. An upper temperature limit of, concrete mixes shall be established by the Contractor, for each class of concrete. Concrete temperature during placing shall not be so high as to cause difficulty from loss of slump, flash set, or cold joints, and shall not exceed 90 degrees Fahrenheit. Other project climatic conditions detrimental to concrete quality such as, relative humidity, wind velocity, and solar radiation shall also be considered.
 - 2. Trial batches of concrete for each mix design shall be made at the limiting mix temperature selected. In lieu of trial batches, compression strength test reports (20 minimum) at the limiting temperature for each proposed mix shall be submitted to the Owner's Testing Laboratory for review.
 - 3. Practices to maintain concrete below maximum limiting temperature shall be in accordance with ACI 305. Concrete ingredients may be cooled before mixing, or flake ice or well-crushed ice of a size that will melt completely during mixing may be substituted for part of the mixing water.
 - 4. Practices to avoid the potential problems of hot weather concreting shall be employed by the Contractor in accordance with ACI 305.
 - 5. When the temperature of the reinforcing steel or steel deck forms is greater than 120 degrees Fahrenheit, reinforcing and forms shall be sprayed with water just prior to placing the concrete.
- J. Cold Weather Concreting:

1. No placement of concrete will be allowed at temperatures below 20 degrees Fahrenheit or if mean daily temperature for curing period is anticipated to be below 20 degrees Fahrenheit.
 2. No concrete placement will be allowed on frozen subgrade.
 3. Conform to ACI 306 and following requirements when mean daily temperature falls below 40 degrees Fahrenheit.
 - a. Reinforcement, forms or ground to receive concrete shall be completely free from frost.
 - b. Concrete at time of placement for footings shall have temperature no lower than 50 degrees Fahrenheit, for all other concrete this minimum temperature at time of placement shall be 60 degrees Fahrenheit. Maximum temperature shall be 90 degrees Fahrenheit.
 - c. Concrete shall be maintained at temperature no lower than 50 degrees Fahrenheit for minimum 7-day period after placement by means of blanket insulation, heaters, or other methods as approved by the Engineer.
 - d. Use of calcium chloride or admixtures containing, calcium chloride as accelerators will not be permitted.
 - e. The Contractor shall keep a record of concrete surface temperature for first 7 days after each pour. This record shall be open to inspection by the Engineer.
- K. Consolidating:
1. Use vibrators for thorough consolidation of concrete.
 2. Provide vibrators for each location during simultaneous placing to ensure timely consolidation around reinforcement, embedded items and into corners of forms; ensure availability of spare vibrators in case of failures. Vibrate through full depth of freshly placed concrete.
 3. Do not place vibrators against reinforcement, attach to forms, or use to spread concrete.
 4. Exposed Concrete: Vibrate with rubber type heads and, in addition, spade along forms with flat strap or plate.
- L. Construction Joints:
1. Verify location and conformance with typical details; provide only where designated or approved by the Engineer.
 2. All horizontal and vertical construction joints to be thoroughly sandblasted to clean and roughen entire surface to minimum ¼-inch relief exposing clean coarse aggregate solidly embedded in mortar matrix.
 3. Just prior to depositing concrete, the surface of the construction joint shall be thoroughly wetted.
- M. Contraction (Control) Joints in Slabs-on-Grade:
1. Construct contraction joints in slabs-on-ground to form panels of patterns indicated on Shop Drawings. Use saw cuts 1/8" x ¾" or as otherwise indicated on the construction documents.
 2. Time saw cutting to allow sufficient curing of concrete to prevent raveled or broken edges.
 3. Contraction joints in unexposed floor slabs may be formed by saw cuts as soon as possible after slab finishing as may be safely done without dislodging aggregate.

4. If joint pattern not shown, provide joints not exceeding 12' in either direction and located to conform to bay spacing wherever possible (at column centerlines, half bays, third-bays).

N. Walls and Other Formed Elements:

1. Space points of deposit to eliminate need for lateral flow. Placing procedures of concrete in forms permitting escape of mortar, or flow of concrete itself, will not be permitted.
2. Level top surface upon stopping work.
3. Take special care to fill each part of the forms by depositing concrete directly as near final position as possible, and to force concrete under and around reinforcement, embedded items, without displacement.
4. After concrete has taken its initial set, care shall be exercised to avoid jarring forms or placing any strain on ends of projecting reinforcement.
5. Where backfill is placed against a wall, it shall be adequately shored until it has attained design strength.

3.4 CURING

A. General Requirements:

1. Take curing measures immediately, after casting and for measures other than application of curing compound extend for seven days. The Engineer may recommend longer periods based upon prevailing temperature, wind and relative humidity.
2. Avoid alternate wetting and drying and fluctuations of concrete temperature.
3. Protect fresh concrete from direct rays of sun, rain, freezing, drying winds, soiling, and damage.
4. Do not permit curing method to affect adversely finishes or treatments applied to finish concrete.

B. Curing Method, Typical: Obtain the Engineer's approval of alternate measures.

1. Keep forms and concrete surfaces moist during period forms are required to remain in place.
2. Apply curing compound per manufacturers' recommendations.

3.5 CLEANING, PATCHING AND DEFECTIVE WORK

A. Where concrete is under strength, out-of-line, level or plumb, or shows objectionable cracks, honeycombing, rock pockets, voids, spalling, exposed reinforcement, signs of freezing or is otherwise defective and in the Engineer's judgment, these defects impair proper strength or appearance of the work. The Engineer will require its removal and replacement at the Contractor's expense.

B. Immediately after stripping, and before concrete is thoroughly dry, patch minor defects, form-tie holes, honeycombed areas, etc., with patching mortar. Patch shall match finish of adjacent surface unless otherwise noted. Remove ledges and bulges.

C. Compact mortar into place and neatly file defective surfaces to produce level, true planes. After initial set, dress surfaces of patches, mechanically or manually, to obtain same texture as surrounding surfaces.

- D. Rock Pockets:
 - 1. Cut out to full solid surface and form key.
 - 2. Thoroughly wet before casting mortar.
 - 3. Where the Engineer deems rock pocket too large for satisfactory mortar patching as described, cut out defective section to solid surface, key and pack solid with concrete to produce firm bond and match adjacent surface.

- E. Cleaning
 - 1. Ensure removal of bituminous materials, form release agents, bond breakers, curing compounds if permitted and other materials employed in work of concreting which would otherwise prevent proper application of sealants, liquid waterproofing, and other delayed finishes and treatments.
 - 2. Where cleaning is required, take care, not to damage surrounding surfaces or, leave residue from cleaning agents.

3.6 PROTECTION

- A. Protect concrete from, injurious action of the elements and defacement of any nature during construction operations.

- B. Protect exposed corners of concrete from traffic or use, which will damage them in any way.

- C. Make provisions to keep all exposed concrete free from laitance caused by spillage or leaking forms or other contaminants. Do not allow laitances to penetrate, stain, or harden on surfaces, which have been textured.

3.7 FIELD QUALITY CONTROL

- A. The, Owner's Testing Agency will:
 - 1. Perform testing in accordance with ACI 318 and CBC Section 1903 and 1905.
 - 2. Review concrete mix designs.
 - 3. Inspect concrete and grout placement continuously.
 - 4. Test concrete to control slumps according to ASTM C143.
 - 5. Continuously monitor concrete temperature as it arrives on the site.
 - 6. Collect truck-mix tickets with load identification and maintain a daily record of placement. Trucks without a load ticket identifying the mix shall be rejected.
 - 7. Test concrete for required compressive strength:
 - a. Make and cure three specimen cylinders according to ASTM C31 for each 150 cubic yards, or fraction thereof, of each class poured at site each day.
 - b. Retain one cylinder for 7-day test and two for the 28-day test.
 - c. Number each cylinder 1A, 1B, 1C, 2A, 2B, 2C, etc.; date each set; and keep accurate record of pour each set represents.
 - d. Transport specimen cylinders from job to laboratory after cylinders have cured for 24 hours on site. Cylinders shall be covered and kept at air temperatures between 60 and 80 degrees Fahrenheit.
 - e. Test specimen cylinders at age 7-days and age 28-days for specified strength according to ASTM C39.
 - f. Base strength value on average of two cylinders taken for 28-day test.

8. Test and inspect materials, as necessary, in accordance with ACI 318, MM Test Method 227 (Coarse Aggregates) and MM Test Method 217 (Fine Aggregates), for compliance with requirements specified in this section.
- B. The Contractor shall:
1. Submit ticket for each batch of concrete delivered to job site. Ticket shall bear the following information:
 - a. Design mix number.
 - b. Signature, or initials of ready mix representative.
 - c. Time of batching.
 - d. Weight of cement, aggregates, water and admixtures in each batch with maximum aggregate size.
 - e. Total volume of concrete in each batch.
 - f. Notation to indicate equipment was checked for contaminants prior to batching.
 2. Pay the Owner's Testing Agency for taking core specimens of hardened structure and testing specimen according to ASTM C88 and C42 when laboratory tests of specimen cylinders show compressive strengths below specified minimum.

3.8 FINISH OF FORMED SURFACES

- A. Rough Form Finish: For formed concrete surfaces not exposed-to-view in the finish Work or by other construction. Concrete surface shall have texture imparted by form facing material used, with tie holes and defective areas repaired and patched and fins and other projections exceeding ¼-inch in height rubbed down or chipped off.
- B. Smooth Form Finish: For formed concrete surfaces exposed-to-view, or to be covered with a coating material applied directly to concrete, or a covering material applied directly to concrete, such as waterproofing, dampproofing, painting or other similar system. This is as-cast concrete surface obtained with selected form facing material, arranged orderly and symmetrically with a minimum of seams. Repair and patch defective areas with fins or other projections completely removed and smoothed.
- C. Architectural Concrete Finish: Integrally colored concrete, using specified color additive, smooth light sandblast surface.
- D. Related Unformed Surfaces: At tops of walls, horizontal offsets and similar unformed surfaces occurring adjacent to formed surfaces, strike-off smooth and finish with a texture matching adjacent formed surfaces. Continue final surface treatment of formed surfaces uniformly across adjacent unformed surfaces, unless otherwise indicated.
- E. Floor Flatness
 1. Slab-on-Grade: The overall floor flatness rating shall not be less than FF 50/FL 35 with a local minimum value of FF 25/ FL 20.

3.9 SLAB FINISHES

- A. Scratch Finish: Apply scratch finish to monolithic slab surfaces that are to receive concrete floor topping or mortar setting beds for tile, Portland cement terrazzo, and other bonded, applied cementitious finish flooring material, and as otherwise indicated.

1. After placing slabs, plane surface to tolerances for floor flatness (FF) of 20. Slope surfaces uniformly to drains where required. After leveling, roughen surface before final set, with stiff brushes, brooms or rakes.
- B. Float Finish: Apply float finish to monolithic slab surfaces to receive trowel finish and other finishes as hereinafter specified, and slab surfaces which are to be covered with membrane or elastic waterproofing, membrane or elastic roofing, or sand-bed terrazzo, and as otherwise indicated.
1. After screeding, consolidating, and leveling concrete slabs do, not work surface until ready for floating. Begin floating when surface water has disappeared or when concrete has stiffened sufficiently to permit operation of power-driven floats, or both. Consolidate surface with power-driven floats, or by hand floating if area is small or inaccessible to power units. Check and level surface plane to tolerances of FF 25. Cut down high spots and fill low spots. Uniformly slope surfaces to drains. Immediately after leveling, refloat surface to a uniform, smooth, granular texture.
- C. Trowel Finish: Apply trowel finish to monolithic slab surfaces to be exposed-to-view, and slab surfaces to be covered with resilient flooring, carpet, ceramic or quarry tile, paint, or other thin film finish coating system.
1. After floating, begin first trowel finish operation using a power-driven trowel. Begin final troweling when surface produces a ringing sound as trowel is moved over surface. Consolidate concrete surface by final hand troweling operation, free of trowel marks, uniform in texture and appearance, and with surface leveled to tolerances of FF35. Grind smooth surface defects, which would telegraph through applied floor covering system.
 2. Floors to receive traffic topping shall have steel trowel finish.
- D. Trowel and Fine Broom Finish: Where ceramic or quarry tile is to be installed with thin-set mortar, apply trowel finish as specified, then immediately follow with slightly scarifying surface by fine brooming.
- E. Non-Slip Broom Finish: Apply non-slip broom finish to exterior concrete platforms, steps and ramps, and elsewhere as indicated.
1. 1. Immediately after float finishing, slightly roughen concrete surface by brooming with fiber bristle broom perpendicular to main traffic route. Coordinate required final finish with Engineer before application.
- 3.10 CLEAN UP
- A. Perform Work under this Section to keep affected portions of building site neat, clean, and orderly. Remove, immediately upon completion of Work under this Section, surplus materials, rubbish, and equipment associated with or used in performance. Be aware that failure to perform clean-up operations within 24 hours of notice by Engineer will be considered adequate grounds for having work done by others at no added expense to the Owner.

END OF SECTION

**SECTION 055000
MISCELLANEOUS METAL**

PART 1 - GENERAL

1.1 SECTION INCLUDES

- A. All miscellaneous structural and non-structural metal items throughout the project as shown on the Contract documents.

1.2 REFERENCES

- A. Requirements of General Conditions and Division 01 specifications apply to all Work in this Section.
- B. Published specification, standards, tests, or recommended methods of trade, industry, or governmental organizations apply to Work of this Section where cited by abbreviations noted below (latest editions apply).
 - 1. American Society for Testing and Materials: (ASTM).
 - 2. Federal Specifications: (FS).
 - 3. American Institute of Steel Construction's "Manual of Steel Construction":
 - a. "Specification for Structural Steel Buildings" (AISC1).
 - b. "Code of Standard Practice for Steel Buildings and Bridges" (AISC2).
 - 4. American Welding Society's:
 - a. "Structural Welding Code" (AWS D1.1).
 - b. "Welding Symbols" (AWS A2.0).
 - 5. Steel Structures Painting Council's "Painting Manual":
 - a. Solvent Cleaning: (SSPC-SP 1).
 - b. Hand Tool Cleaning: (SSPC-SP 2).
 - c. Brushoff Blast Cleaning: (SSPC-SP-7).
 - 6. California Building Code (CBC), 2016 Edition.

1.3 QUALITY ASSURANCE

- A. Codes and Standards: The fabrication, priming and erection of structural steel members shall comply with the current governing edition of CBC, AISC 360, AISC 303, AWS D1.1, AWS D1.6, and RCSC Specifications except where more stringent requirements are shown or specified.
- B. Sampling, Testing, and Inspection:
 - 1. General
 - a. If the special inspector, through oversight or otherwise, has accepted material or work which is defective or contrary to specifications, this material or work, regardless of state of completion, may be rejected.
- C. If the special inspector, through oversight or otherwise, has accepted material or work which is defective or contrary to specifications, this material or work, regardless of state of completion, may be rejected.

1.4 SUBMITTALS

A. Shop Drawings

1. Shop drawings for steel fabrications shall show details of members, including connections, sizes, spacing of bolts and welds. They shall show the marking and position of each member, erection plans and the limits of paint applications.

B. Certificates of Compliance

1. Welding Material
 - a. The Contractor shall provide manufacturer's Certificates of Compliance for all electrodes, fluxes and shielding gasses to be used and certify that the filler metal meets the supplemental notch toughness requirements, as applicable.
2. When Mill Certificates cannot be provided or does not supply required supplemental certifications, the Contractor shall hire a professional testing laboratory to verify compliance of each type of material to be used and provide laboratory test reports. The cost of testing shall be paid for by the Contractor.

C. Laboratory Test Reports

1. Laboratory test reports shall show the name of testing agency, date of testing, types of tests performed and shall be signed by a principal of the testing agency who is a registered civil engineer in the State of California.
2. When required by other portions of these specifications, laboratory test reports shall be submitted for each type of steel for each heat to show compliance with appropriate ASTM Standards and these specifications.

D. Welding Procedure Specifications (WPS)

1. Welding procedure specifications for all prequalified joints shall be submitted per AWS D1.1, Clause 3 and reviewed prior to beginning fabrication. Non-prequalified joints shall be qualified per AWS requirements. WPS shall specify all applicable variables of AWS D1.1, power source information, and electrode manufacturer and trade name.

PART 2 - PRODUCTS

2.1 MATERIALS

- A. Plates, Angles, and Channels: ASTM A240, Type 304 or 316 Stainless Steel.
- B. Steel Pipe: Type 316, Schedule 40S.
- C. Standard Threaded Fasteners:
 1. Machine Bolts: ASTM F593, Type 316 Stainless Steel.
 2. Nuts: ASTM F594
- D. Welding Filler Metal: Arc-welding electrodes shall be E70 series electrodes for A36, A572 and A992 material. Electrodes shall be as recommended by their manufacturers for the positions and conditions of actual use.

2.2 FABRICATION

- A. Shop fabricate to greatest extent possible.
- B. Confirm layout prior to fabricating plates, members or other steel assemblies attached with anchors.
- C. Welding:
 - 1. The filler metal manufacturer's published recommendations shall be the basis for determining the allowable range of essential variables for a prequalified WPS. Unless noted otherwise on the plans, back-up bars for CJP welds shall be removed followed by backgouging and backwelding.
 - 2. Coatings and contaminates, including galvanizing, must be removed to 1" minimum clear from areas to be welded.

2.3 FINISH

- A. Galvanizing:
 - 1. Galvanize all non-stainless steel bolts and structural steel.
 - 2. Galvanizing of products fabricated from rolled, pressed and forged steel shapes, plates, bars and strip 1/8 inch thick or thicker, shall conform to ASTM A123.
 - 3. Galvanizing of fasteners shall conform to ASTM A153.

PART 3 - EXECUTION

3.1 FIELD QUALITY CONTROL

- A. The Contractor shall provide field quality control inspections for welding and bolting operations.

END OF SECTION

**SECTION 082200
FIBERGLASS REINFORCED PLASTIC (FRP) DOORS AND FRAMES**

PART 1 - GENERAL

1.1 DESCRIPTION

- A. Furnish all labor, materials, equipment and incidentals required and install FRP doors and frames as shown on the Drawings and as specified herein.
- B. Related Sections:
 - 1. Section 087100, Door Hardware

1.2 QUALITY ASSURANCE

- A. General Qualifications:
 - 1. Manufacturer Qualifications: A company that specializes in manufacturing FRP doors and frames with a minimum of 30 years experience.
 - 2. Quality Assurance: Obtain all FRP doors and FRP frames from a single manufacturer to ensure consistent quality.
 - 3. Quality Assurance: Hardware and accessories for all FRP doors and FRP frames shall exactly adhere to the Engineer's specification.
- B. Regulatory Requirements:
 - 1. Fire-rated door, panel and frame construction conforms to products tested under ASTM E152, UL10C & NFPA 252.
 - 2. Install doors, panels and frames conforming to NFPA 80 for fire-rated class, ANSI A117.1 specifications for handicap accessibility, ADA requirements, ANSI A250.4-2011 cycle swing in excess of 1,000,000 cycles with no failure of any design features of the door.
 - 3. Flame Spread: All rated FRP component parts, including the finish, shall have a flame spread classification of 25 or less per ASTM E84 and shall be self extinguishing per ASTM D635, unless operating conditions dictate otherwise.

1.3 SUBMITTALS

- A. Product data.
- B. Shop drawings: Show door and frame types; fabrication details, including integral blocking for hardware; hardware locations; and door locations, referenced to Engineer's door marks and hardware groups.
- C. Samples: Typical corners, minimum 6-inch long horizontal and vertical members, showing construction texture and finish color.
- D. Manufacturer's instructions: Submit manufacturer's installation instructions.

1.4 DELIVERY, STORAGE, AND HANDLING

- A. Deliver, store, protect, and handle products to and at project site in accordance with manufacturer's instructions.

- B. Mark each door and frame wrapping with type, size, and location for installation.
- C. Deliver items to site when facilities are available for handling, sorting and protecting items, when receiving areas are broom-cleaned, and when exterior openings are closed up and wet work is complete.
- D. Provide temporary protective wrapping for items during delivery, installation, and until final acceptance.
- E. Store at project site under cover.
 - 1. Prevent water damage.
 - 2. Avoid non-vented plastic or canvas shelters that create humidity chamber.
 - 3. Provide minimum 1/4 inch space between items to promote air circulation.
- F. When door wrappers become wet, remove immediately.

1.5 PROJECT CONDITIONS

- A. Environmental requirements: Provide manufacturer's recommended heat and humidity in area of installation and storage.

1.6 SEQUENCING AND SCHEDULING

- A. Ensure timely delivery of reviewed hardware schedule and hardware templates such that no delay occurs in the Work.

1.7 WARRANTY

- A. Warrant to repair or replace defective doors and frames in accordance with manufacturer's standard 5-year warranty.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Packaged plastic door assemblies: On of the following or equal:
 - 1. Chem-Pruf Door Company, Brownsville, TX.
 - 2. Corrim Company, Oshkosh, WI.

2.2 FRP DOORS

- A. Door Fabrication:
 - 1. Total door thickness to be a nominal 1-3/4 inches thick.
 - 2. Lock stiles on non-rated and rated active leaves shall be factory beveled 1/8" in 2".
 - 3. Provide doors with completely seamless construction on all six (6) surfaces.
- B. Face Sheets: Smooth, seamless FRP face sheets shall be manufactured using a corrosion resistant resin system with light stabilizing additives. The resin shall be reinforced with fiberglass, 50% average by weight for enhanced strength. Face sheets shall be a minimum of 0.125 inch thick fiberglass.

- C. Stiles and Rails: Stiles and rails shall be 1-1/2 inch square pultruded fiberglass tubes. Non-rated and 20 minute doors will have a full width horizontal 1-1/2 inch square pultruded fiberglass tube every 24 inches in height for internal reinforcement. A 1-1/2 inch square solid fiberglass block shall be used at all hardware reinforcements and corner intersections. A minimum of 1,000 pounds screw withdrawal force shall be required per screw. The bottom rail shall allow for 1-1/4 inches of height alterability without loss of the panel's integrity.
- D. Core material:
 - 1. Mineral Core: for 30 minute to 90 minute fire rated FRP doors.
- E. Hardware Preparations: Doors shall be reinforced and mortised for hardware with 1-1/2 inches by 1-1/2 inches of solid fiberglass to allow application of hinges and locks, in accordance with the hardware schedule, hardware manufacturer's instructions and templates.
 - 1. Reinforcement Blocking: Non-swelling polymer or firestop blocking will be used for all lockset, surface mounted hardware and thru-bolted hardware blocking.
 - 2. Pilot holes for full mortise butt hinges will be pre-drilled by factory.
 - 3. All hardware shall be attached / installed by using pilot hole and stainless steel fasteners.
- F. Door Accessories
 - 1. Louvers: Louvers shall be fabricated with pultruded FRP material of an inverted "V" design, and shall be subject to the same performance guarantee as the door panel. The louver opening will be fabricated with pultruded 1-1/2 inch square FRP tubes. Openings cut directly into the core material will not be allowed.
 - 2. Fasteners: Provide countersunk stainless steel fasteners as required for glazing openings and louvers.
 - 3. Astragals: Astragals for pairs of doors to be fabricated with FRP material of manufacturer's standard flat design.

2.3 FRP FRAMES

- A. Fabrication: FRP frames shall be rigid, neat in appearance, free from defects and the finish shall match the doors. Fabricate FRP doors and frames as shown on the drawings and in accordance with best shop practices. Field measurements shall be taken as required for coordinating with adjoining work.
 - 1. Provide frames for doors, transoms, side lites and borrowed lites, as required.
 - 2. All frames shall be 100% pultruded fiberglass with an average 50% glass content by weight which results in an industrial fiberglass frame as strong as a 14 gauge hollow metal frame.
 - 3. 30 -90 minute UL labeled FRP Frames: Standard one piece FRP profile with integral stop: 5-3/4" x 2" equal rabbet. Frames that must be grouted solid with mortar in the field to achieve label are not acceptable.
 - 4. Head and jamb members shall be standard 45 degree miter, providing a neatly mitered corner connection, fabricated for Knocked Down (KD) field assembly.
- B. Reinforcements and Braces / Supports
 - 1. Frames shall be reinforced and mortised for hardware in accordance with the hardware schedule, manufacturer's instructions and templates. Absolutely no metal reinforcements will be allowed in any part of the FRP frame configuration.

2. Corner Reinforcement: 4 inches x 4 inches x 5-3/8 inches x 1/4 inch thick pultruded fiberglass angle. Attached to head bar at factory using stainless steel screws.
3. Mortise Hinge Reinforcement: 3 inches x 7 inches x 9/16 inch (or 3/8 inch) thick FRP material attached to frame by means of bonding and stainless steel countersunk screws.
4. Closer Reinforcement: 1-1/2 inches x 19 inches x 3/16 inch thick FRP material attached to frame by means of bonding.
5. Strike Reinforcement: 1-1/2 inches x 9 inches x 3/4 inch thick FRP material attached to frame by means of bonding and stainless steel countersunk screws.
6. Anchoring Systems: Furnish at least three (3) stainless steel anchors in each jamb of frames up to 90 inches high and one (1) additional anchor for each 30 inches in height above 90 inches, in shapes, sizes and spacing shown or required for anchorage into adjoining wall construction.

2.4 FINISHES

- A. Finish: Smooth seamless finish with 25 mil thick color impregnated gel coat integrally molded into both door and frame.
- B. Finish color:
 1. Doors: To be selected by OWNER
 2. Frames: To be selected by OWNER.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine hardware schedules and coordinate hardware with doors and frames.
- B. Examine opening locations and verify the following:
 1. Correctness of dimensions, backing, or support conditions.
 2. Absence of defects that would adversely affect frame or door installation.

3.2 INSTALLATION

- A. Install door assemblies in accordance with manufacturer's instructions.
- B. Set frames accurately in position, plumb, aligned, and attached securely to structure.
- C. Set frames in position, plumbed, aligned, and securely braced until permanent expansion anchors are set.
- D. Anchor frames to previously placed concrete with countersunk machine screws through the frame into expansion devices spaced at minimum 30 inches on center.
- E. Install doors at openings. Ensure smooth swing and proper closure with frame.
- F. Install door hardware as specified in Section 087010.

3.3 ADJUSTING

- A. Make adjustments as required for correct, proper, and free function and smooth operation without binding of hardware, doors, and frames.

3.4 CLEANING

- A. Clean door assemblies prior to final acceptance with materials and methods as recommended by door manufacturer.

3.5 PROTECTION

- A. Protect door assemblies from damage to surface or profile.

END OF SECTION

**SECTION 083300
OVERHEAD COILING DOORS**

PART 1 - GENERAL

1.1 DESCRIPTION

- A. Section Includes: Manually operated overhead coiling doors, operators, controls and accessories.
- B. Related Sections:
 - 1. Section 055000 – Miscellaneous Metal

1.2 PERFORMANCE REQUIREMENTS

- A. Structural Performance:
 - 1. Wind Loads: Uniform pressure of 30 psf.

1.3 QUALITY ASSURANCE

- A. Installer Qualifications: Utilize an installer having demonstrated experience on projects of similar size and complexity, and trained and authorized by the door dealer to perform the work of this section.

1.4 SUBMITTALS

- A. General: Submit listed submittals in accordance with Conditions of the Contract and Division 1 Submittal Procedures Section.
- B. Product Data: Submit manufacturer's product data and installation instructions.
- C. Shop Drawings: Provide drawings indicating guide details, head and jamb conditions, clearances, anchorage, accessories, finish colors, patterns and textures, operator mounts and other related information.
- D. Quality Assurance Submittals: Submit the following:
 - 1. Certificates: Submit manufacturer's certificate that products meet or exceed specified requirements.
 - 2. Certificates: Submit installer qualifications.
- E. Closeout Submittals: Submit the following:
 - 1. Warranty documents available at www.raynor.com or your authorized Raynor dealer.

1.5 PRODUCT DELIVERY, STORAGE AND HANDLING

- A. General: Comply with Division 1 Product Requirements.
- B. Comply with manufacturer's ordering instructions and lead time requirements to avoid construction delays.

- C. Delivery: Deliver materials in manufacturer's original, unopened, undamaged containers with identification labels intact.
- D. Storage and Protection: Store materials protected from exposure to harmful environmental conditions and at temperature and humidity conditions recommended by the manufacturer

1.6 WARRANTY

- A. Project Warranty: Refer to Conditions of the Contract for project warranty provisions.
- B. Manufacturer's Warranty: Submit, for Owner's acceptance, manufacturer's standard warranty document executed by authorized company official. Manufacturer's warranty is in addition to, and not a limitation of, other rights Owner may have under contract documents.

PART 2 - PRODUCTS

2.1 MATERIALS

- A. Curtain:
 - 1. Slats: Constructed of interlocking, roll-formed, 2" curved slats.
 - a. Material:
 - 1) Aluminum
 - a) Finish: Mill Finish Clear anodized.
 - b. Gauge: Per manufacturer's standard, minimum 0.050" thick.
 - 2. Endlocks and Windlocks:
 - a. Stainless steel endlocks riveted to each end of alternate slats to prevent lateral movement and to limit slat deflection and bending stress.
 - 3. Bottom Bar:
 - a. Two roll formed aluminum angles which extend into guides, designed to reinforce curtain bottom.
 - b. Clear anodized aluminum finish.
- B. Guides:
 - 1. Guides shall be designed using structural angles with a minimum thickness of 3/16", minimum 1-1/4" slotted connections, and removable bellmouth curtain stops to allow for curtain maintenance without removal of guides. Bellmouth stops shall be flush with guide groove. Guides shall be fastened with minimum 3/8" stainless steel bolts at 24" o.c.
 - a. Material: ASTM 276 Stainless Steel 300 Series, Mill Finish #2B.
- C. Door Support Brackets and Mounting Plates:
 - 1. Steel plate not less than 1/4" thick. Provide ball bearings at rotating support points. Bolt plates to wall mounting angles with minimum 1/2" diameter fasteners. Plate supports counterbalance assembly and forms end enclosures.
 - a. Material: ASTM 240 Stainless Steel 300 Series, Mill Finish #2B.
- D. Counterbalance Assembly: Torsion
 - 1. Counterbalance assembly: Steel pipe barrel of a size capable of carrying a curtain load with a maximum deflection of 0.03" per foot of door width. Heat-

treated helical torsion springs encased in a steel pipe and designed to include an overload factor of 25% to ensure minimum effort to operate. Sealed and prelubricated high speed ball bearing at rotating support points. Torsion spring charge wheel for applying spring torque and for future adjustments.

- a. Material: A312 Stainless Steel 300 Series, Mill Finish
- b. Life Cycle: High Cycle springs designed to satisfy 10m through 400m life cycles.

E. Hood:

1. Formed to fit the contour of the end brackets with reinforced top and bottom edges. Provide support bracing for doors wider than 20 feet at every 10 feet to prevent excessive sag. Fastened to end brackets
2. Shape: Round
3. Material: Aluminum, clear anodized finish.
4. Fascia: Provide where areas behind door hood are open. Materials and finish same as hood.

F. Locking:

1. Slide locks: Provide padlockable slide locks for latching and locking door on exterior side of bottom bar at each jamb extending into slots in guides.

G. Weatherstripping:

1. Bottom Bar: Neoprene loop stragal.
2. Guides: Snap-on brush seal.
3. Hood: Neoprene baffle.
4. Lintel baffle

2.2 OPERATION:

- A. Opening/Closing: Manual push-up

2.3 MOUNTING:

- A. Interior face mounted on prepared opening.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Verify that dimensions are correct and project conditions are in accordance with manufacturer's installation instructions. Do not proceed with installation until unacceptable conditions have been corrected.

3.2 INSTALLATION

- A. Install units in accordance with manufacturer's instructions.
- B. Ensure that units are installed plumb and true, free of warp or twist, and within tolerances specified by manufacturer for smooth operation.

3.3 FIELD TESTING

- A. Test doors for regular operation.

3.4 DEMONSTRATION

- A. Instruct the Owner's personnel in correct operation and maintenance of units.

3.5 ADJUST AND CLEAN

- A. Clean units in accordance with manufacturer's instructions.
- B. Restore slight blemishes in finishes in accordance with manufacturer's instructions to match original finish. Remove and provide new units where repairs are not acceptable.

END OF SECTION

**SECTION 087100
DOOR HARDWARE**

PART 1 - GENERAL

1.1 DESCRIPTION

- A. Section Includes: Provide hardware for FRP doors
- B. Related Sections:
 - 1. Section 082200 – FRP Doors and Frames

1.2 REFERENCE STANDARDS:

- A. ANSI A156.1 – Butts and Hinges
- B. ANSI A156.2 – Bored Locks and Latches
- C. ANSI A156.3 – Exit Devices
- D. ANSI A156.4 – Door Controls – Door Closers
- E. ANSI A156.5 – Auxiliary Locks and Associated Products
- F. ANSI A156.6 – Architectural Door Trim
- G. ANSI A156.7 – Template Hinge Dimensions
- H. ANSI A156.8 – Door Controls – Overhead Holders
- I. ANSI A156.13 – Mortise Locks and Latches
- J. ANSI A156.15 – Closer Holder Release Devices
- K. ANSI A156.16 – Auxiliary Hardware
- L. ANSI A156.18 – Material and Finishes
- M. ANSI A156.26 – Continuous Hinges
- N. UL10C – Positive Pressure Fire Tests of Door Assemblies

1.3 SUBMITTALS

- A. Hardware Schedule: Submit a complete schedule of door hardware for review. Reference items clearly to groups specified, door-type designations shown, location, and other pertinent data. Verify suitability, function, thickness of members, or other factors affecting appropriate selection. List manufacturer's names or suitable abbreviation to facilitate reviewing, opposite each item scheduled.

- B. List only readily obtainable hardware that appears in current catalogs. Do not deliver hardware until schedule has been accepted by the Owner.
- C. After the hardware schedule is accepted by the Owner, provide one copy of the accepted hardware schedule to each trade whose work may be affected.
- D. Product Data: Submit catalog cuts and product data on each different type of hardware included in hardware schedule. Indicate locations and mounting heights of each type of hardware. Submit manufacturer's parts lists, templates, and installation instructions.
- E. Samples: Prior to delivery of any hardware to the site, submit one sample of each class or type of hardware to the Owner for final review if requested. Affix labels to fully identify manufacturer, class or type, and location on the Project. After review, accepted samples may be obtained from the Owner for installation on the Project, providing such samples are picked up within seven days of notification of acceptance.
- F. Operation and Maintenance Data: Submit operation and maintenance data. Include data on operating hardware, lubrication requirements, and inspection procedures related to preventative maintenance.

1.4 QUALITY ASSURANCE

- A. Regulatory Requirements:
 - 1. Fire Rated Doors: Comply with requirements of NFPA 80 and applicable codes for fire rated door hardware; provide hardware bearing Underwriters Laboratory (UL) labels.
- B. Hardware Supplier: Recognized builders' hardware supplier with minimum five years successful experience in scheduling and furnishing hardware.

1.5 PRODUCT DELIVERY, STORAGE AND HANDLING

- A. Arrange work and secure delivery of hardware so that Work will progress without delay or interruption.
- B. Delivery: Deliver hardware in manufacturer's original packages, marked for intended opening and with hardware schedule item number.
- C. Pack complete with necessary screws, bolts, keys, instructions, and installation templates if necessary for spotting mortise tools.

- D. Upon delivery, furnish complete list of hardware for checking, clearly marked to correspond with each package and hardware schedule item number. Review list for completeness and accuracy.
- E. Template Hardware: Supply templates to door and frame manufacturers for proper and accurate sizing and locations of hardware cutouts.

1.6 WARRANTY

- A. All items, except as noted below, shall be warranted in writing by the manufacturer against failure due to defective materials and workmanship for a minimum period of one (1) year commencing on the date of final completion and acceptance. In the event of product failure, promptly repair or replace item with no additional cost to the owner.
 1. Cylindrical locksets – Extra Heavy Duty: Ten (10) years
 2. Cylindrical locksets – Heavy Duty: Seven (7) years
 3. Exit Devices: Five (5) years
 4. Door closers: Ten (10) years

PART 2 - PRODUCTS

2.1 MATERIALS

- A. Stainless Steel: Use Type 316 Stainless Steel where available, Type 304 otherwise.
- B. Screws and Fasteners
 1. All required stainless steel screws shall be supplied as necessary for securing finish hardware in the appropriate manner. Thru-bolts shall be supplied for exit devices and door closers where required by code and the appropriate blocking or reinforcing is not present in the door to preclude their use.
- C. Hanging Devices
 1. Hinges
 - a. Stainless Steel Hinges shall conform to ANSI A156.1 and have the number of knuckles as specified, oil-impregnated bearings as specified with NRP (non-removable pin) feature, at all exterior reverse bevel doors. Unless otherwise scheduled, supply one (1) hinge for every 30" of door height. Hinges shall be a minimum of 4 1/2" high and 4" wide; heavy weight hinges (.180) shall be supplied at all doors where specified.
 - 1) Specified Manufacturer: McKinney
 - 2) Approved Substitutes: Bommer, Hager, Stanley
- D. Flush Bolts and Accessories
 1. All stainless steel manual and automatic flush bolts to be furnished as specified.
 - a. Specified Manufacturer: McKinney
 - b. Approved Substitutes: Quality, Rockwood, Trimco

E. Cylinders and Keying

1. Keying
 - a. All locks and cylinders shall be construction master-keyed. All locks and cylinders to be master-keyed or grandmaster-keyed as directed by the owner. The factory shall key all locks and cylinders. Furnish key amounts as requested by the Owner: Use stainless steel cylinders and key type SC1.
 - b. Master keys and all high-security or restricted keyway blanks shall be sealed in tamper-proof packaged boxes when shipped from the factory. The boxes shall be shrink wrapped and imprinted to ensure the integrity of the packaging.

F. Locking Devices

1. Cylindrical locksets– extra heavy duty
 - a. All locksets shall be ANSI 156.2 Series 4000, Grade 1 Certified. All locksets shall be tested to eight million cycles without noticeable lever sag and shall be able to withstand 3000 inch pounds of torque applied to the locked lever without gaining access. Locksets shall fit a standard 2 1/8" bore without the use of thru-bolts. Standard rose size shall be 2 3/4" diameter. Levers shall be made of solid material with no plastic fillers. Latchbolt head shall be one-piece stainless steel and must be encased within the lock body.
 - 1) Specified Manufacturer: Sargent 11 Line
 - 2) Approved Substitutes: NONE
2. Cylindrical locksets– heavy duty
 - a. All locksets shall be ANSI 156.2 Series 4000, Grade 1 Certified. Furnish with standard 2 3/4" backset. Lock housing shall be fabricated of steel zinc dichromate and stainless steel. Latchbolt shall be stainless steel with a minimum 1/2" throw. Locks shall be non-handed and fully field reversible.
 - 1) Specified Manufacturer: Sargent 10 Line
 - 2) Approved Substitutes: Best 9K Series, Corbin Russwin CL3300 Series, Schlage ND Series, Yale 5400LN Series
3. Lockset Strikes
 - a. Strikes shall be non-handed and available with curved lip, full lip or ASA type strikes as required. Provide strikes with lip-length required to accommodate jamb and/or trim detail and projection.

G. Exit Devices

1. Conventional devices – push rail
 - a. All exit devices shall be ANSI A156.3, Grade 1 Certified and shall be listed by Underwriters Laboratories and bear the UL label for life safety in full compliance with NFPA 80 and NFPA 101. Mounting rails shall be formed from a solid single piece of stainless steel no less than 0.072" thick. Push rails shall be constructed of 0.062" thick material. Lever trim shall be available in finishes and designs to match that of the specified locksets.
 - 1) Specified Manufacturer: Sargent 80 Series
 - 2) Approved Substitutes: Corbin Russwin ED4000/ED5000 Series, Von Duprin 98 Series, Yale 7100/7200 Series

H. Door Closers

1. Surface Mounted Closers – heavy duty

- a. All door closers shall be ANSI 156.4, Grade 1 Certified. All closers shall have aluminum alloy bodies, forged steel arms, and separate valves for adjusting backcheck, closing and latching cycles and adjustable spring to provide up to 50% increase in spring power. Closers shall be furnished with parallel arms mounting on all doors opening into corridors or other public spaces and shall be mounted to permit 180 degrees door swing wherever wall conditions permit. Closers shall not be installed on exterior or corridor side of doors; where possible install closers on door for optimum aesthetics.
 - 1) Specified Manufacturer: Norton 7500 Series
 - 2) Approved Substitutes: Corbin Russwin DC6000, Sargent 351 Series, Yale 4400 Series, LCN 4040

I. Door Trim and Protective Plates

1. Kick plates shall be .050 gauges and two (2) inches less full width of door, or as specified. Push plates, pull plates, door pulls and miscellaneous door trim shall be as shown in the hardware schedule.
 - a. Specified Manufacturer: McKinney
 - b. Approved Substitutes: Quality, Rockwood, Trimco

J. Door Stops and Holders

1. Wall Mounted Door Stops
 - a. Where a door is indicated on the plans to strike flush against a wall, wall bumpers shall be provided. Provide convex or concave design as indicated.
 - 1) Specified Manufacturers: McKinney
 - 2) Approved Substitutes: Quality, Rockwood, Trimco
2. Overhead Stops/Holders
 - a. Where specified, overhead stops/holders as shown in the hardware sets are to be provided. Track, slide, arm and jamb bracket shall be constructed of extruded bronze and shock absorber spring shall be of heavy tempered steel. Overhead stops shall be of non-handed design.
 - 1) Specified Manufacturers: Rixson 1/2/9/10 Series
 - 2) Approved Substitutes: Sargent 690/1530/590/1540 Series

K. Gasketing and Thresholds

1. Provide continuous weatherseal on exterior doors and smoke, light, or sound seals on interior doors where indicated or scheduled. Provide intumescent seals as required to meet UL10C Standard for Positive Pressure Fire Tests of Door Assemblies. Provide only those units where resilient or flexible seal strip is easily replaceable and readily available from stocks maintained by manufacturer.
 - a. Provide threshold units not less than 4" wide, formed to accommodate change in floor elevation where indicated, fabricated to accommodate door hardware and to fit door frames. All threshold units shall comply with the Americans with Disabilities Act (ADA).
 - 1) Specified Manufacturers: McKinney
 - 2) Approved Substitutes: Pemko, Reese, Zero

L. Silencers

1. Furnish rubber door silencers all hollow metal frames; two (2) per pair and three (3) per single door frame.

PART 3 - EXECUTION**3.1 PREPARATION**

- A. Hardware for fire door assemblies shall be installed in accordance with NFPA 80.
- 1. All modifications to fire doors and frame for mortised hardware shall be made by the respective door and frame manufacturers.

3.2 INSTALLATION

- A. Mounting Heights: Mount door hardware units at the following heights, unless specifically indicated on the drawings:
 - 1. Locate levers, key cylinders, t-turn pieces, touchbars and other operable portions of latching hardware between 30 inches to 44 inches above the finished floor, per CBC Section 1133B.2.5.1.
 - 2. Where new hardware is to be installed near existing doors/hardware scheduled to remain, match locations of existing hardware.
- B. Install each door hardware item to comply with manufacturer's written instructions. Install overhead surface closers for maximum degree of opening obtainable. Place on room side of corridor doors, stair side of stair doors, and secondary corridor side of doors between corridors. Where cutting and fitting are required to install door hardware onto or into surfaces that are later to be finished, coordinate removal, storage, and reinstallation of surface protective trim units. Do not install surface-mounted items until finishes have been completed on substrates involved.
- C. Do not install permanent key cylinders in locks until the time of preliminary acceptance by the Owner.

3.3 ADJUSTING AND CLEANING

- A. Final Adjustments: Check and readjust operating hardware items just before final inspection. Leave work in complete and proper operating condition. Remove and replace defective work, including doors or frames that are warped, bowed, or otherwise unacceptable.
- B. Prime-Coat Touchup: Immediately after erection, sand smooth rusted or damaged areas of prime coat and apply touchup of compatible air-drying primer.
- C. Remove and replace defective work, including doors or frames that are warped, bowed, or otherwise defective.

- D. Institute protective measures required throughout the remainder of the construction period to ensure that hollow metal doors and frames will be without damage or deterioration, at time of substantial completion.

END OF SECTION

**SECTION 230513
COMMON MOTOR REQUIREMENTS FOR HVAC EQUIPMENT**

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes general requirements for single-phase and polyphase, general-purpose, horizontal, small and medium, squirrel-cage induction motors for use on ac power systems up to 600 V and installed at equipment manufacturer's factory or shipped separately by equipment manufacturer for field installation.

1.3 COORDINATION

- A. Coordinate features of motors, installed units, and accessory devices to be compatible with the following:
 - 1. Motor controllers.
 - 2. Torque, speed, and horsepower requirements of the load.
 - 3. Ratings and characteristics of supply circuit and required control sequence.
 - 4. Ambient and environmental conditions of installation location.

PART 2 - PRODUCTS

2.1 GENERAL MOTOR REQUIREMENTS

- A. Comply with requirements in this Section except when stricter requirements are specified in HVAC equipment schedules or Sections.
- B. Comply with NEMA MG 1 unless otherwise indicated.
- C. Comply with IEEE 841 for severe-duty motors.

2.2 MOTOR CHARACTERISTICS

- A. Duty: Continuous duty at ambient temperature of 40 deg C and at altitude of 3300 feet above sea level.

- B. Capacity and Torque Characteristics: Sufficient to start, accelerate, and operate connected loads at designated speeds, at installed altitude and environment, with indicated operating sequence, and without exceeding nameplate ratings or considering service factor.

2.3 SINGLE-PHASE MOTORS

- A. Motors larger than 1/20 hp shall be one of the following, to suit starting torque and requirements of specific motor application:
 - 1. Permanent-split capacitor.
 - 2. Split phase.
 - 3. Capacitor start, inductor run.
 - 4. Capacitor start, capacitor run.
- B. Multispeed Motors: Variable-torque, permanent-split-capacitor type.
- C. Bearings: Prelubricated, antifriction ball bearings or sleeve bearings suitable for radial and thrust loading.
- D. Motors 1/20 HP and Smaller: Shaded-pole type.
- E. Thermal Protection: Internal protection to automatically open power supply circuit to motor when winding temperature exceeds a safe value calibrated to temperature rating of motor insulation. Thermal-protection device shall automatically reset when motor temperature returns to normal range.

PART 3 - EXECUTION (Not Applicable)

END OF SECTION

**SECTION 230719
RADIATOR PIPING INSULATION**

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section includes insulating the following piping systems:
 - 1. Radiator hot-water piping.
- B. Related Sections:
 - 1. Division 23 Hydronic Piping"

1.3 SUBMITTALS

- A. Product Data: For each type of product indicated. Include thermal conductivity, water-vapor permeance thickness, and jackets (both factory and field applied if any).
- B. Material Test Reports: From a qualified testing agency acceptable to authorities having jurisdiction indicating, interpreting, and certifying test results for compliance of insulation materials, sealers, attachments, cements, and jackets, with requirements indicated. Include dates of tests and test methods employed.

1.4 QUALITY ASSURANCE

- A. Surface-Burning Characteristics: For insulation and related materials, as determined by testing identical products according to ASTM E 84, by a testing and inspecting agency acceptable to authorities having jurisdiction. Factory label insulation and jacket materials and adhesive, mastic, tapes, and cement material containers, with appropriate markings of applicable testing agency.
 - 1. Insulation Installed Indoors: Flame-spread index of 25 or less, and smoke-developed index of 50 or less.

1.5 DELIVERY, STORAGE, AND HANDLING

- A. Packaging: Insulation material containers shall be marked by manufacturer with appropriate ASTM standard designation, type and grade, and maximum use temperature.

1.6 COORDINATION

- A. Coordinate sizes and locations of supports, hangers, and insulation shields specified in Division 23 Section "Hangers and Supports for HVAC Piping and Equipment."
- B. Coordinate clearance requirements with piping Installer for piping insulation application. Before preparing piping Shop Drawings, establish and maintain clearance requirements for installation of insulation and field-applied jackets and finishes and for space required for maintenance.
- C. Coordinate installation and testing of heat tracing.

1.7 SCHEDULING

- A. Schedule insulation application after pressure testing systems and, where required, after installing and testing heat tracing. Insulation application may begin on segments that have satisfactory test results.
- B. Complete installation and concealment of plastic materials as rapidly as possible in each area of construction.

PART 2 - PRODUCTS

2.1 INSULATION MATERIALS

- A. Comply with requirements in "Piping Insulation Schedule, General," "Indoor Piping Insulation Schedule," "Outdoor, Aboveground Piping Insulation Schedule," and "Outdoor, Underground Piping Insulation Schedule" articles for where insulating materials shall be applied.
- B. Products shall not contain asbestos, lead, mercury, or mercury compounds.
- C. Insulation materials for use on austenitic stainless steel shall be qualified as acceptable according to ASTM C 795.
- D. Mineral-Fiber, Preformed Pipe Insulation:
 - 1. Products: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to, the following:
 - a. Fibrex Insulations Inc.; Coreplus 1200.
 - b. Johns Manville; Micro-Lok.

- c. Knauf Insulation; 1000-Degree Pipe Insulation.
 - d. Manson Insulation Inc.; Alley-K.
 - e. Owens Corning; Fiberglas Pipe Insulation.
2. Type I, 850 deg F Materials: Mineral or glass fibers bonded with a thermosetting resin. Comply with ASTM C 547, Type I, Grade A, factory-applied ASJ-SSL. Factory-applied jacket requirements are specified in "Factory-Applied Jackets" Article.

2.2 INSULATING CEMENTS

- A. Mineral-Fiber Insulating Cement: Comply with ASTM C 195.
 1. Products: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to, the following:
 - a. Ramco Insulation, Inc.; Super-Stik.
- B. Mineral-Fiber, Hydraulic-Setting Insulating and Finishing Cement: Comply with ASTM C 449.
 1. Products: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to, the following:
 - a. Ramco Insulation, Inc.; Ramcote 1200 and Quik-Cote.

2.3 ADHESIVES

- A. Materials shall be compatible with insulation materials, jackets, and substrates and for bonding insulation to itself and to surfaces to be insulated unless otherwise indicated.
- B. Calcium Silicate Adhesive: Fibrous, sodium-silicate-based adhesive with a service temperature range of 50 to 800 deg F.
 1. Products: Subject to compliance with requirements, [provide the following] [provide one of the following] [available products that may be incorporated into the Work include, but are not limited to, the following]:
 - a. Childers Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; CP-97.
 - b. Eagle Bridges - Marathon Industries; 290.
 - c. Foster Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; 81-27.
 - d. Mon-Eco Industries, Inc.; 22-30.
 - e. Vimasco Corporation; 760.
- C. Cellular-Glass Adhesive: Two-component, thermosetting urethane adhesive containing no flammable solvents, with a service temperature range of minus 100 to plus 200 deg F.

1. Products: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to, the following:
 - a. Foster Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; 81-84.

D. Mineral-Fiber Adhesive: Comply with MIL-A-3316C, Class 2, Grade A.

1. Products: Subject to compliance with requirements, [provide the following] [provide one of the following] [available products that may be incorporated into the Work include, but are not limited to, the following]:
 - a. Childers Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; CP-127.
 - b. Eagle Bridges - Marathon Industries; 225.
 - c. Foster Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; 85-60/85-70.
 - d. Mon-Eco Industries, Inc.; 22-25.

E. ASJ Adhesive, and FSK Jacket Adhesive: Comply with MIL-A-3316C, Class 2, Grade A for bonding insulation jacket lap seams and joints.

1. Products: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to, the following:
 - a. Childers Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; CP-82.
 - b. Eagle Bridges - Marathon Industries; 225.
 - c. Foster Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; 85-50.
 - d. Mon-Eco Industries, Inc.; 22-25.

F. PVC Jacket Adhesive: Compatible with PVC jacket.

1. Products: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to, the following:
 - a. Dow Corning Corporation; 739, Dow Silicone.
 - b. Johns Manville; Zeston Perma-Weld, CEEL-TITE Solvent Welding Adhesive.
 - c. P.I.C. Plastics, Inc.; Welding Adhesive.
 - d. Speedline Corporation; Polyco VP Adhesive.

2.4 LAGGING ADHESIVES

- A. Description: Comply with MIL-A-3316C, Class I, Grade A and shall be compatible with insulation materials, jackets, and substrates.

1. For indoor applications, use lagging adhesives that have a VOC content of 50 g/L or less when calculated according to 40 CFR 59, Subpart D (EPA Method 24).
2. Products: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to, the following:
 - a. Childers Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; CP-50 AHV2.
 - b. Foster Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; 30-36.
 - c. Vimasco Corporation; 713 and 714.
3. Fire-resistant, water-based lagging adhesive and coating for use indoors to adhere fire-resistant lagging cloths over pipe insulation.
4. Service Temperature Range: 0 to plus 180 deg F.
5. Color: White.

2.5 SEALANTS

A. Joint Sealants:

1. Joint Sealants for Cellular-Glass, Phenolic, and Polyisocyanurate Products: Subject to compliance with requirements, [provide the following] [provide one of the following] [available products that may be incorporated into the Work include, but are not limited to, the following]:
 - a. Childers Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; CP-76.
 - b. Eagle Bridges - Marathon Industries; 405.
 - c. Foster Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; 30-45.
 - d. Mon-Eco Industries, Inc.; 44-05.
 - e. Pittsburgh Corning Corporation; Pittseal 444.
2. Joint Sealants for Polystyrene Products: Subject to compliance with requirements, [provide the following] [provide one of the following] [available products that may be incorporated into the Work include, but are not limited to, the following]:
 - a. Childers Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; CP-70.
 - b. Eagle Bridges - Marathon Industries; 405.
 - c. Foster Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; 30-45.
 - d. Mon-Eco Industries, Inc.; 44-05.
3. Materials shall be compatible with insulation materials, jackets, and substrates.
4. Permanently flexible, elastomeric sealant.
5. Service Temperature Range: Minus 100 to plus 300 deg F.
6. Color: White or gray.

B. FSK Jacket Flashing Sealants:

1. Products: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to, the following:
 - a. Childers Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; CP-76.
 - b. Eagle Bridges - Marathon Industries; 405.
 - c. Foster Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; 95-44.
 - d. Mon-Eco Industries, Inc.; 44-05.
2. Materials shall be compatible with insulation materials, jackets, and substrates.
3. Fire- and water-resistant, flexible, elastomeric sealant.
4. Service Temperature Range: Minus 40 to plus 250 deg F.
5. Color: Aluminum.

C. ASJ Flashing Sealants:

1. Products: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to, the following:
 - a. Childers Brand, Specialty Construction Brands, Inc., a business of H. B. Fuller Company; CP-76.
2. Materials shall be compatible with insulation materials, jackets, and substrates.
3. Fire- and water-resistant, flexible, elastomeric sealant.
4. Service Temperature Range: Minus 40 to plus 250 deg F.
5. Color: White.

2.6 FACTORY-APPLIED JACKETS

- A. Insulation system schedules indicate factory-applied jackets on various applications. When factory-applied jackets are indicated, comply with the following:

1. ASJ: White, kraft-paper, fiberglass-reinforced scrim with aluminum-foil backing; complying with ASTM C 1136, Type I.
2. ASJ-SSL: ASJ with self-sealing, pressure-sensitive, acrylic-based adhesive covered by a removable protective strip; complying with ASTM C 1136, Type I.
3. Vinyl Jacket: White vinyl with a permeance of 1.3 perms when tested according to ASTM E 96/E 96M, Procedure A, and complying with NFPA 90A and NFPA 90B.

2.7 FIELD-APPLIED JACKETS

- A. Field-applied jackets shall comply with ASTM C 921, Type I, unless otherwise indicated.
- B. FSK Jacket: Aluminum-foil-face, fiberglass-reinforced scrim with kraft-paper backing.

- C. PVC Jacket: High-impact-resistant, UV-resistant PVC complying with ASTM D 1784, Class 16354-C; thickness as scheduled; roll stock ready for shop or field cutting and forming. Thickness is indicated in field-applied jacket schedules.
1. Products: Subject to compliance with requirements, products that may be incorporated into the Work include, but are not limited to, the following:
 - a. Johns Manville; Zeston.
 - b. P.I.C. Plastics, Inc.; FG Series.
 - c. Proto Corporation; LoSmoke.
 - d. Speedline Corporation; SmokeSafe.
 2. Adhesive: As recommended by jacket material manufacturer.
 3. Color: White.
 4. Factory-fabricated fitting covers to match jacket if available; otherwise, field fabricate.
 - a. Shapes: 45- and 90-degree, short- and long-radius elbows, tees, valves, flanges, unions, reducers, end caps, soil-pipe hubs, traps, mechanical joints, and P-trap and supply covers for lavatories.

2.8 TAPES

- A. ASJ Tape: White vapor-retarder tape matching factory-applied jacket with acrylic adhesive, complying with ASTM C 1136.
1. Products: Subject to compliance with requirements, [provide the following] [provide one of the following] [available products that may be incorporated into the Work include, but are not limited to, the following]:
 - a. ABI, Ideal Tape Division; 428 AWF ASJ.
 - b. Avery Dennison Corporation, Specialty Tapes Division; Fasson 0836.
 - c. Compac Corporation; 104 and 105.
 - d. Venture Tape; 1540 CW Plus, 1542 CW Plus, and 1542 CW Plus/SQ.
 2. Width: 3 inches.
 3. Thickness: 11.5 mils.
 4. Adhesion: 90 ounces force/inch in width.
 5. Elongation: 2 percent.
 6. Tensile Strength: 40 lbf/inch in width.
 7. ASJ Tape Disks and Squares: Precut disks or squares of ASJ tape.
- B. FSK Tape: Foil-face, vapor-retarder tape matching factory-applied jacket with acrylic
1. Products: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to, the following:
 - a. ABI, Ideal Tape Division; 491 AWF FSK.
 - b. Avery Dennison Corporation, Specialty Tapes Division; Fasson 0827.
 - c. Compac Corporation; 110 and 111.

- d. Venture Tape; 1525 CW NT, 1528 CW, and 1528 CW/SQ.
 2. Width: 3 inches.
 3. Thickness: 6.5 mils.
 4. Adhesion: 90 ounces force/inch in width.
 5. Elongation: 2 percent.
 6. Tensile Strength: 40 lbf/inch in width.
 7. FSK Tape Disks and Squares: Precut disks or squares of FSK tape.
- C. PVC Tape: White vapor-retarder tape matching field-applied PVC jacket with acrylic adhesive; suitable for indoor and outdoor applications.
 1. Products: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to, the following:
 - a. ABI, Ideal Tape Division; 370 White PVC tape.
 - b. Compac Corporation; 130.
 - c. Venture Tape; 1506 CW NS.
 2. Width: 2 inches.
 3. Thickness: 6 mils.
 4. Adhesion: 64 ounces force/inch in width.
 5. Elongation: 500 percent.
 6. Tensile Strength: 18 lbf/inch in width.

2.9 SECUREMENTS

- A. Bands:
 1. Products: Subject to compliance with requirements, available products that may be incorporated into the Work include, but are not limited to, the following:
 - a. ITW Insulation Systems; Gerrard Strapping and Seals.
 - b. RPR Products, Inc.; Insul-Mate Strapping, Seals, and Springs.
 2. Stainless Steel: ASTM A 167 or ASTM A 240/A 240M, Type 304 or Type 316; 0.015 inch thick, 1/2 inch wide with wing seal or closed sea].
- B. Staples: Outward-clinching insulation staples, nominal 3/4-inch- wide, stainless steel or Monel.
- C. Wire: 0.062-inch soft-annealed, stainless steel.
 1. Manufacturers: Subject to compliance with requirements, [provide products by one of the following] [available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following]:
 - a. C & F Wire.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine substrates and conditions for compliance with requirements for installation tolerances and other conditions affecting performance of insulation application.
 - 1. Verify that systems to be insulated have been tested and are free of defects.
 - 2. Verify that surfaces to be insulated are clean and dry.
 - 3. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 PREPARATION

- A. Surface Preparation: Clean and prepare surfaces to be insulated. Before insulating, apply a corrosion coating to insulated surfaces as follows:
 - 1. Stainless Steel: Coat 300 series stainless steel with an epoxy primer 5 mils thick and an epoxy finish 5 mils thick if operating in a temperature range between 140 and 300 deg F. Consult coating manufacturer for appropriate coating materials and application methods for operating temperature range. OR
 - 2. Carbon Steel: Coat carbon steel operating at a service temperature between 32 and 300 deg F with an epoxy coating. Consult coating manufacturer for appropriate coating materials and application methods for operating temperature range.
- B. Coordinate insulation installation with the trade installing heat tracing. Comply with requirements for heat tracing that apply to insulation.
- C. Mix insulating cements with clean potable water; if insulating cements are to be in contact with stainless-steel surfaces, use demineralized water.

3.3 GENERAL INSTALLATION REQUIREMENTS

- A. Install insulation materials, accessories, and finishes with smooth, straight, and even surfaces; free of voids throughout the length of piping including fittings, valves, and specialties.
- B. Install insulation materials, forms, vapor barriers or retarders, jackets, and thicknesses required for each item of pipe system as specified in insulation system schedules.
- C. Install accessories compatible with insulation materials and suitable for the service. Install accessories that do not corrode, soften, or otherwise attack insulation or jacket in either wet or dry state.
- D. Install insulation with longitudinal seams at top and bottom of horizontal runs.
- E. Install multiple layers of insulation with longitudinal and end seams staggered.

- F. Do not weld brackets, clips, or other attachment devices to piping, fittings, and specialties.
- G. Keep insulation materials dry during application and finishing.
- H. Install insulation with tight longitudinal seams and end joints. Bond seams and joints with adhesive recommended by insulation material manufacturer.
- I. Install insulation with least number of joints practical.
- J. Where vapor barrier is indicated, seal joints, seams, and penetrations in insulation at hangers, supports, anchors, and other projections with vapor-barrier mastic.
 - 1. Install insulation continuously through hangers and around anchor attachments.
 - 2. For insulation application where vapor barriers are indicated, extend insulation on anchor legs from point of attachment to supported item to point of attachment to structure. Taper and seal ends at attachment to structure with vapor-barrier mastic.
 - 3. Install insert materials and install insulation to tightly join the insert. Seal insulation to insulation inserts with adhesive or sealing compound recommended by insulation material manufacturer.
 - 4. Cover inserts with jacket material matching adjacent pipe insulation. Install shields over jacket, arranged to protect jacket from tear or puncture by hanger, support, and shield.
- K. Apply adhesives, mastics, and sealants at manufacturer's recommended coverage rate and wet and dry film thicknesses.
- L. Install insulation with factory-applied jackets as follows:
 - 1. Draw jacket tight and smooth.
 - 2. Cover circumferential joints with 3-inch- wide strips, of same material as insulation jacket. Secure strips with adhesive and outward clinching staples along both edges of strip, spaced 4 inches o.c.
 - 3. Overlap jacket longitudinal seams at least 1-1/2 inches. Install insulation with longitudinal seams at bottom of pipe. Clean and dry surface to receive self-sealing lap. Staple laps with outward clinching staples along edge at 2 inches o.c.
 - a. For below-ambient services, apply vapor-barrier mastic over staples.
 - 4. Cover joints and seams with tape, according to insulation material manufacturer's written instructions, to maintain vapor seal.
 - 5. Where vapor barriers are indicated, apply vapor-barrier mastic on seams and joints and at ends adjacent to pipe flanges and fittings.
- M. Cut insulation in a manner to avoid compressing insulation more than 75 percent of its nominal thickness.
- N. Finish installation with systems at operating conditions. Repair joint separations and cracking due to thermal movement.

- O. Repair damaged insulation facings by applying same facing material over damaged areas. Extend patches at least 4 inches beyond damaged areas. Adhere, staple, and seal patches similar to butt joints.
- P. For above-ambient services, do not install insulation to the following:
 - 1. Vibration-control devices.
 - 2. Testing agency labels and stamps.
 - 3. Nameplates and data plates.
 - 4. Manholes.
 - 5. Handholes.
 - 6. Cleanouts.

3.4 GENERAL PIPE INSULATION INSTALLATION

- A. Requirements in this article generally apply to all insulation materials except where more specific requirements are specified in various pipe insulation material installation articles.
- B. Insulation Installation on Fittings and Flanges:
 - 1. Install insulation over fittings with continuous thermal and vapor-retarder integrity unless otherwise indicated.
 - 2. Insulate pipe elbows using preformed fitting insulation or mitered fittings made from same material and density as adjacent pipe insulation. Each piece shall be butted tightly against adjoining piece and bonded with adhesive. Fill joints, seams, voids, and irregular surfaces with insulating cement finished to a smooth, hard, and uniform contour that is uniform with adjoining pipe insulation.
 - 3. Insulate tee fittings with preformed fitting insulation or sectional pipe insulation of same material and thickness as used for adjacent pipe. Cut sectional pipe insulation to fit. Butt each section closely to the next and hold in place with tie wire. Bond pieces with adhesive.
 - 4. Insulate valves using preformed fitting insulation or sectional pipe insulation of same material, density, and thickness as used for adjacent pipe. Overlap adjoining pipe insulation by not less than two times the thickness of pipe insulation, or one pipe diameter, whichever is thicker. For valves, insulate up to and including the bonnets, valve stuffing-box studs, bolts, and nuts. Fill joints, seams, and irregular surfaces with insulating cement.
 - 5. Insulate strainers using preformed fitting insulation or sectional pipe insulation of same material, density, and thickness as used for adjacent pipe. Overlap adjoining pipe insulation by not less than two times the thickness of pipe insulation, or one pipe diameter, whichever is thicker. Fill joints, seams, and irregular surfaces with insulating cement. Insulate strainers so strainer basket flange or plug can be easily removed and replaced without damaging the insulation and jacket. Provide a removable reusable insulation cover. For below-ambient services, provide a design that maintains vapor barrier.
 - 6. Insulate unions using a section of oversized preformed pipe insulation. Overlap adjoining pipe insulation by not less than two times the thickness of pipe insulation, or one pipe diameter, whichever is thicker.

7. Cover segmented insulated surfaces with a layer of finishing cement and coat with a mastic. Install vapor-barrier mastic for below-ambient services and a breather mastic for above-ambient services. Reinforce the mastic with fabric-reinforcing mesh. Trowel the mastic to a smooth and well-shaped contour.
8. For services not specified to receive a field-applied jacket except for flexible elastomeric and polyolefin, install fitted PVC cover over elbows, tees, strainers, valves, flanges, and unions. Terminate ends with PVC end caps. Tape PVC covers to adjoining insulation facing using PVC tape.
9. Stencil or label the outside insulation jacket of each union with the word "union." Match size and color of pipe labels.

3.5 INSTALLATION OF CELLULAR-GLASS INSULATION

A. Insulation Installation on Straight Pipes and Tubes:

1. Secure each layer of insulation to pipe with wire or bands and tighten bands without deforming insulation materials.
2. Where vapor barriers are indicated, seal longitudinal seams, end joints, and protrusions with vapor-barrier mastic and joint sealant.
3. For insulation with factory-applied jackets on above-ambient services, secure laps with outward-clinched staples at 6 inches o.c.
4. For insulation with factory-applied jackets on below-ambient services, do not staple longitudinal tabs. Instead, secure tabs with additional adhesive as recommended by insulation material manufacturer and seal with vapor-barrier mastic and flashing sealant.

B. Insulation Installation on Pipe Fittings and Elbows:

1. Install preformed sections of same material as straight segments of pipe insulation when available. Secure according to manufacturer's written instructions.
2. When preformed sections of insulation are not available, install mitered sections of cellular-glass insulation. Secure insulation materials with wire or bands.

3.6 FIELD-APPLIED JACKET INSTALLATION

A. Where FSK jackets are indicated, install as follows:

1. Draw jacket material smooth and tight.
2. Install lap or joint strips with same material as jacket.
3. Secure jacket to insulation with manufacturer's recommended adhesive.
4. Install jacket with 1-1/2-inch laps at longitudinal seams and 3-inch-wide joint strips at end joints.
5. Seal openings, punctures, and breaks in vapor-retarder jackets and exposed insulation with vapor-barrier mastic.

B. Where PVC jackets are indicated, install with 1-inch overlap at longitudinal seams and end joints; for horizontal applications. Seal with manufacturer's recommended adhesive.

1. Apply two continuous beads of adhesive to seams and joints, one bead under lap and the finish bead along seam and joint edge.

3.7 INDOOR PIPING INSULATION SCHEDULE

A. Radiator-Hot-Water Supply and Return:

1. All Pipe Sizes: Insulation shall be the following:
 - a. Cellular Glass: 3 inches thick.

3.8 INDOOR, FIELD-APPLIED JACKET SCHEDULE

- A. Install jacket over insulation material. For insulation with factory-applied jacket, install the field-applied jacket over the factory-applied jacket.
- B. If more than one material is listed, selection from materials listed is Contractor's option.
- C. Piping, Exposed:
 1. PVC: 20 mils thick.

END OF SECTION

**SECTION 231113
FUEL-OIL PIPING****PART 1 - GENERAL**

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.
- B. Section 236900, Fuel Storage Tank
- C. Section 26080, Permanent Diesel Generators

1.2 SUMMARY

- A. This Section includes diesel-fuel-oil distribution systems and the following:
 - 1. Pipes, tubes, and fittings.
 - 2. Piping and tubing joining materials.
 - 3. Piping specialties.
 - 4. Valves.

1.3 DEFINITIONS

- A. AST: Aboveground storage tank.

1.4 PERFORMANCE REQUIREMENTS

- A. Maximum Operating-Pressure Ratings: 50-psig fuel-oil supply pressure at oil-fired appliances.

1.5 SUBMITTALS

- A. Product Data: For each type of product indicated. Include construction details, material descriptions, and dimensions of individual components and profiles. Also include, where applicable, rated capacities, operating characteristics, electrical characteristics, and furnished specialties and accessories.
 - 1. Piping specialties.
 - 2. Valves: Include pressure rating, capacity, settings, and electrical connection data of selected models.
 - 3. Fuel-oil storage tank accessories.
 - 4. Fuel-oil storage tank piping specialties.
- B. Shop Drawings: For facility fuel-oil piping layout. Include plans, piping layout and elevations, sections, and details for fabrication of pipe anchors, hangers, supports for multiple pipes, alignment guides, expansion joints and loops, and attachments of the same to building structure. Detail location of anchors, alignment guides, and expansion joints and loops.

1. Shop Drawing Scale: 1/4 inch per foot.
 2. Detail fabrication and assembly of anchors and seismic restraints.
 3. Detail fabrication and assembly of pipe anchors, hangers, supports for multiple pipes, and attachments of the same to building structure.
- C. Coordination Drawings: Plans and details, drawn to scale, on which fuel-oil piping is shown and coordinated with other installations, using input from installers of the items involved.
- D. Site Survey: Plans, drawn to scale, on which fuel-oil piping and tanks are shown and coordinated with other services and utilities.
- E. Qualification Data: For qualified professional engineer.
- F. Field quality-control reports.
- G. Operation and Maintenance Data: For fuel-oil equipment and accessories to include in emergency, operation, and maintenance manuals.
- H. Warranty: Sample of special warranty.

1.6 QUALITY ASSURANCE

- A. Steel Support Welding Qualifications: Qualify procedures and personnel according to AWS D1.1/D1.1M, "Structural Welding Code - Steel."
- B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, by a qualified testing agency, and marked for intended location and application.
- C. Comply with ASME B31.9, "Building Services Piping," for fuel-oil piping materials, installation, testing, and inspecting.
- D. Comply with requirements of the EPA and of state and local authorities having jurisdiction. Include recording of fuel-oil storage tanks and monitoring of tanks and piping.

1.7 DELIVERY, STORAGE, AND HANDLING

- A. Lift and support fuel-oil storage tanks only at designated lifting or supporting points, as shown on Shop Drawings. Do not move or lift tanks unless empty.
- B. Deliver pipes and tubes with factory-applied end caps. Maintain end caps through shipping, storage, and handling to prevent pipe end damage and to prevent entrance of dirt, debris, and moisture.
- C. Store pipes and tubes with protective PE coating to avoid damaging the coating and to protect from direct sunlight.
- D. Store PE pipes and valves protected from direct sunlight.

1.8 PROJECT CONDITIONS

- A. Interruption of Existing Fuel-Oil Service: Do not interrupt fuel-oil service to facilities occupied by Owner or others unless permitted under the following conditions and then only after arranging to provide temporary fuel-oil supply according to requirements indicated:
1. Notify Owner no fewer than 14 days in advance of proposed interruption of fuel-oil service.
 2. Do not proceed with interruption of fuel-oil service without Owner's written permission.

1.9 COORDINATION

- A. Coordinate sizes and locations of concrete bases with actual equipment provided.

1.10 WARRANTY

- A. Special Warranty: Manufacturer's standard form in which manufacturer agrees to repair or replace components of fuel-oil storage tanks and flexible, double-containment piping and related equipment that fail in materials or workmanship within specified warranty period.
1. Flexible, Double-Containment Piping and Related Equipment:
 - a. Failures due to defective materials or workmanship for materials installed together, including piping, dispenser sumps, entry boots, and sump mounting adapters.
 - b. Warranty Period: 10 years from date of Substantial Completion.

PART 2 - PRODUCTS

2.1 DOUBLE-CONTAINMENT PIPE AND FITTINGS

- A. Flexible, Double-Containment Piping: Comply with UL 971.
1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - a. DoubleTrac
 2. Pipe Materials: 316 Series Stainless Steel complying with ASTM A 240 for carrier pipe; Outer Jacket made of Nylon 12; Resistant to hydrocarbons, chemical and water exposure, UV Stabilized for above ground and marina use; Secondary Barrier made of EFEP, Permeation resistance.
 3. Primary Maximum Operating Pressure Rating: 125 psig, 1" pipe size.
 4. Secondary Maximum Operating Pressure Rating: 50 psig, 1" pipe size.

2.2 PIPING SPECIALTIES

A. Flexible Connectors: Comply with UL 567.

1. Metallic Connectors:

- a. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:

- 1) DoubleTrac
- 2) American Flexible Hose Co., Inc.
- 3) Flexicraft Industries.
- 4) FLEX-ING, Inc.
- 5) Hose Master, Inc.
- 6) Metraflex Company (The).
- 7) Proco Products, Inc.
- 8) Tru-Flex Metal Hose Corp.
- 9) Unaflex.

- b. Listed and labeled for aboveground and underground applications by an NRTL acceptable to authorities having jurisdiction.
- c. Stainless-steel bellows with woven, flexible, bronze or stainless-steel, wire-reinforcing protective jacket.
- d. Minimum Operating Pressure: 150 psig.
- e. End Connections: Socket, flanged, or threaded end to match connected piping.
- f. Maximum Length: 30 inches.
- g. Swivel end, 50-psig maximum operating pressure.
- h. Factory-furnished anode.

2. Nonmetallic Connectors:

- a. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:

- 1) DoubleTrac
- 2) American Flexible Hose Co., Inc.
- 3) Flexicraft Industries.
- 4) FLEX-ING, Inc.
- 5) Hose Master, Inc.
- 6) Metraflex Company (The).
- 7) Tru-Flex Metal Hose Corp.

- b. Listed and labeled for underground applications by an NRTL acceptable to authorities having jurisdiction.
- c. PTFE bellows with woven, flexible, bronze or stainless-steel, wire-reinforcing protective jacket.
- d. Minimum Operating Pressure: 150 psig.

- e. End Connections: Socket, flanged, or threaded end to match connected piping.
- f. Maximum Length: 30 inches.
- g. Swivel end, 50-psig maximum operating pressure.
- h. Factory-furnished anode.

B. Y-Pattern Strainers:

1. Body: ASTM A 126, Class B, cast iron with bolted cover and bottom drain connection.
2. End Connections: Threaded ends for NPS 2 and smaller; flanged ends for NPS 2-1/2 and larger.
3. Strainer Screen: 60-mesh startup strainer and perforated stainless-steel basket with 50 percent free area.
4. CWP Rating: 125 psig.

C. Manual Air Vents:

1. Body: Bronze.
2. Internal Parts: Nonferrous.
3. Operator: Screwdriver or thumbscrew.
4. Inlet Connection: NPS 1/2.
5. Discharge Connection: NPS 1/8.
6. CWP Rating: 150 psig.
7. Maximum Operating Temperature: 225 deg F.

2.3 JOINING MATERIALS

- A. Joint Compound and Tape: Suitable for fuel oil.

2.4 MANUAL FUEL-OIL SHUTOFF VALVES

- A. See valve schedule in Part 3 for where each valve type is applied in various services.

- B. General Requirements for Metallic Valves, NPS 2 and Smaller for Liquid Service: Comply with UL 842.

1. CWP Rating: 125 psig.
2. Threaded Ends: Comply with ASME B1.20.1.
3. Dryseal Threads on Flare Ends: Comply with ASME B1.20.3.
4. Tamperproof Feature: Locking feature for valves indicated in the valve schedule.
5. Service Mark: Initials "WOG" shall be permanently marked on valve body.

- C. Two-Piece, Full-Port, Bronze Ball Valves with Bronze Trim: MSS SP-110.

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - a. BrassCraft Manufacturing Company; a Masco company.
 - b. Conbraco Industries, Inc.; Apollo Div.

- c. Lyall, R. W. & Company, Inc.
 - d. McDonald, A. Y. Mfg. Co.
 - e. Perfection Corporation; A Subsidiary of American Meter Company.
2. Body: Bronze, complying with ASTM B 584.
 3. Ball: Chrome-plated bronze.
 4. Stem: Bronze; blowout proof.
 5. Seats: Reinforced TFE; blowout proof.
 6. Packing: Threaded-body packnut design with adjustable-stem packing.
 7. Ends: Threaded, flared, or socket as indicated in the valve schedule.
 8. CWP Rating: 600 psig.
 9. Service Mark: Initials "WOG" shall be permanently marked on valve body.

2.5 SPECIALTY VALVES

A. Pressure Relief Valves: Comply with UL 842.

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - a. Anderson Greenwood; Division of Tyco Flow Control.
 - b. Fulflo Specialties, Inc.
 - c. Webster Fuel Pumps & Valves; a division of Capital City Tool, Inc.
2. Listed and labeled for fuel-oil service by an NRTL acceptable to authorities having jurisdiction.
3. Body: Brass, bronze, or cast steel.
4. Springs: Stainless steel, interchangeable.
5. Seat and Seal: Nitrile rubber.
6. Orifice: Stainless steel, interchangeable.
7. Factory-Applied Finish: Baked enamel.
8. Maximum Inlet Pressure: 150 psig.
9. Relief Pressure Setting: 60 psig.

B. Oil Safety Valves: Comply with UL 842.

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - a. Anderson Greenwood; Division of Tyco Flow Control.
 - b. Suntec Industries Incorporated.
 - c. Webster Fuel Pumps & Valves; a division of Capital City Tool, Inc.
2. Listed and labeled for fuel-oil service by an NRTL acceptable to authorities having jurisdiction.
3. Body: Brass, bronze, or cast steel.
4. Springs: Stainless steel.
5. Seat and Diaphragm: Nitrile rubber.
6. Orifice: Stainless steel, interchangeable.

7. Factory-Applied Finish: Baked enamel.
8. Manual override port.
9. Maximum Inlet Pressure: 60 psig.
10. Maximum Outlet Pressure: 3 psig.

C. Emergency Shutoff Valves: Comply with UL 842.

1. Manufacturers: Subject to compliance with requirements, available manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
 - a. Ameron International; Fiberglass Pipe Group.
 - b. Conley Corporation.
 - c. EMCO Wheaton; a Gardner Denver Company.
 - d. Environ Products, Inc.
 - e. OPW.
2. Listed and labeled for fuel-oil service by an NRTL acceptable to authorities having jurisdiction.
3. Single poppet valve.
4. Body: ASTM A 126, cast iron.
5. Disk: FPM.
6. Poppet Spring: Stainless steel.
7. Stem: Plated brass.
8. O-Ring: FPM.
9. Packing Nut: PTFE-coated brass.
10. Fusible link to close valve at 165 deg F.
11. Thermal relief to vent line pressure buildup due to fire.
12. Air test port.
13. Maximum Operating Pressure: 0.5 psig.

2.6 LABELING AND IDENTIFYING

- A. Detectable Warning Tape: Acid- and alkali-resistant, PE film warning tape manufactured for marking and identifying underground utilities, a minimum of 6 inches wide and 4 mils thick, continuously inscribed with a description of utility, with metallic core encased in a protective jacket for corrosion protection, detectable by metal detector when tape is buried up to 30 inches deep; colored yellow.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine roughing-in for fuel-oil piping system to verify actual locations of piping connections before equipment installation.
- B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 PREPARATION

- A. Close equipment shutoff valves before turning off fuel oil to premises or piping section.
- B. Comply with NFPA 30 and NFPA 31 requirements for prevention of accidental ignition.

3.3 OUTDOOR PIPING INSTALLATION

- A. Install double-containment, fuel-oil pipe at a minimum slope of 1 percent downward toward fuel-oil storage tank sump.
- B. Install vent pipe at a minimum slope of 2 percent downward toward fuel-oil storage tank sump.
- C. Assemble and install entry boots for pipe penetrations through sump sidewalls for liquid-tight joints.
- D. Install metal pipes and tubes, fittings, valves, and flexible connectors at piping connections to AST.
- E. Install fittings for changes in direction in rigid pipe.
- F. Install system components with pressure rating equal to or greater than system operating pressure.
- G. Install pressure gage on suction and discharge from each pump.

3.4 INDOOR PIPING INSTALLATION

- A. Drawing plans, schematics, and diagrams indicate general location and arrangement of piping systems. Indicated locations and arrangements were used to size pipe and calculate friction loss, expansion, and other design considerations. Install piping as indicated unless deviations to layout are approved on Coordination Drawings.
- B. Arrange for pipe spaces, chases, slots, sleeves, and openings in building structure during progress of construction, to allow for mechanical installations.
- C. Install piping indicated to be exposed and piping in equipment rooms and service areas at right angles or parallel to building walls. Diagonal runs are prohibited unless specifically indicated otherwise.
- D. Install piping free of sags and bends.
- E. Install fittings for changes in direction and branch connections.
- F. Verify final equipment locations for roughing-in.
- G. Comply with requirements for equipment specifications in Division 22 and Division 23 Sections for roughing-in requirements.
- H. Connect branch piping from top or side of horizontal piping.

- I. Install unions in pipes NPS 2 and smaller at final connection to each piece of equipment and elsewhere as indicated. Unions are not required on flanged devices.
- J. Do not use fuel-oil piping as grounding electrode.
- K. Install Y-pattern strainer on inlet side of fuel-oil pump.
- L. Core drill for piping penetrations of walls and ceilings
- M. Install seals for piping penetrations of concrete walls.

3.5 VALVE INSTALLATION

- A. Install manual fuel-oil shutoff valves on branch connections to fuel-oil appliance.
- B. Install valves in accessible locations.
- C. Protect valves from physical damage.
- D. Install oil safety valves at inlet of each oil-fired appliance.
- E. Install pressure relief valves in distribution piping between the supply and return lines.
- F. Install one-piece, bronze ball valve with hose end connection at low points in fuel-oil piping.
- G. Install manual air vents at high points in fuel-oil piping.

3.6 PIPING JOINT CONSTRUCTION

- A. Remove scale, slag, dirt, and debris from inside and outside of pipe and fittings before assembly.
- B. Threaded Joints: Thread pipe with tapered pipe threads according to ASME B1.20.1. Cut threads full and clean using sharp dies. Ream threaded pipe ends to remove burrs and restore full ID. Join pipe fittings and valves as follows:
 - 1. Apply appropriate tape or thread compound to external pipe threads unless dry seal threading is specified.
 - 2. Damaged Threads: Do not use pipe or pipe fittings with threads that are corroded or damaged. Do not use pipe sections that have cracked or open welds.

3.7 FUEL-OIL AST INSTALLATION

- A. Connect piping and vent fittings.
- B. Install ground connections.
- C. Install tank leak-detection and monitoring devices.
- D. Fill storage tanks with fuel oil.

3.8 HANGER AND SUPPORT INSTALLATION

- A. Install hangers for horizontal steel piping with the following maximum spacing and minimum rod sizes:
 - 1. NPS 1-1/4 and Smaller: Maximum span, 84 inches; minimum rod size, 3/8 inch.
- B. Install hangers for horizontal, drawn-temper copper tubing with the following maximum spacing and minimum rod sizes:
 - 1. NPS 3/4 and Smaller: Maximum span, 60 inches; minimum rod size, 3/8 inch.
 - 2. NPS 1: Maximum span, 72 inches; minimum rod size, 3/8 inch.
- C. Support vertical copper tube at each floor and at spacing not greater than 10 feet.

3.9 FUEL-OIL PUMP INSTALLATION

- A. Submersible Pumps:
 - 1. Suspend pumps from supply piping and anchored to bottom of tank.
- B. Transfer Pumps:
 - 1. Install pumps with access space for periodic maintenance including removal of motors, impellers, and accessories.
 - 2. Set pumps on and anchor to concrete base.
- C. Install two-piece, full-port ball valves at suction and discharge of pumps.
- D. Install mechanical leak-detector valves at pump discharge.
- E. Install suction piping with minimum fittings and change of direction.
- F. Install vacuum and pressure gage, upstream and downstream respectively, at each pump to measure the differential pressure across the pump. Pressure gages are specified in Division 23 Section "Meters and Gages for HVAC Piping."

3.10 FUEL MAINTENANCE SYSTEM INSTALLATION

- A. Install suction line, with foot valve, at one end of storage tank, 1 inch from the bottom of tank.
- B. Install return line at the opposite end of storage tank from suction line.

3.11 CONNECTIONS

- A. Install piping adjacent to equipment to allow service and maintenance.
- B. Install unions, in piping NPS 2 and smaller, adjacent to each valve and at final connection to each piece of equipment having threaded pipe connection.

- C. Connect piping to equipment with ball valve and union. Install union between valve and equipment.
- D. Install flexible piping connectors at final connection to burners or oil-fired appliances that must be moved for maintenance access.

3.12 LABELING AND IDENTIFYING

- A. Nameplates, pipe identification, and signs are specified in Division 23 Section "Identification for HVAC Piping and Equipment."
- B. Equipment Nameplates and Signs: Install engraved plastic-laminate equipment nameplates and signs on or near each service regulator, service meter, and earthquake valve.
 - 1. Text: In addition to identifying unit, distinguish between multiple units, inform operator of operational requirements, indicate safety and emergency precautions, and warn of hazards and improper operations.

3.13 FIELD QUALITY CONTROL

- A. Manufacturer's Field Service: Engage a factory-authorized service representative to inspect, test, and adjust components, assemblies, and equipment installations, including connections.
- B. Tests and Inspections:
 - 1. Piping: Minimum hydrostatic or pneumatic test-pressures measured at highest point in system:
 - a. Fuel-Oil, Double-Containment Piping:
 - 1) Carrier Pipe: Minimum 5 psig for minimum **30** minutes.
 - 2) Containment Conduit: Minimum 5 psig for minimum minutes.
 - b. Suction Piping: Minimum 20-in. Hg for minimum **30** minutes.
 - c. Isolate storage tanks if test pressure in piping will cause pressure in storage tanks to exceed 10 psig.
 - 2. Inspect and test fuel-oil piping according to NFPA 31, "Tests of Piping" Paragraph; and according to requirements of authorities having jurisdiction.
 - 3. Test liquid-level gage for accuracy by manually measuring fuel-oil levels at not less than [three] [four] [five] <Insert number or describe procedure> different depths while filling tank and checking against gage indication.
 - 4. Test leak-detection and monitoring system for accuracy by manually operating sensors and checking against alarm panel indication.
 - 5. Start fuel-oil transfer pumps to verify for proper operation of pump and check for leaks.
 - 6. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.
 - 7. Bleed air from fuel-oil piping using manual air vents.

- C. Fuel-oil piping and equipment will be considered defective if it does not pass tests and inspections.
- D. Prepare test and inspection reports.

3.14 DEMONSTRATION

- A. Engage a factory-authorized service representative to train Owner's maintenance personnel to adjust, operate, and maintain [liquid-level gage systems] [leak-detection and monitoring systems] [fuel-oil pumps] <Insert other>.

3.15 ABOVEGROUND MANUAL FUEL-OIL SHUTOFF VALVE SCHEDULE

- A. Distribution piping valves for pipe NPS 2 and smaller shall be the following:
 - 1. Two-piece, full-port, bronze ball valves with bronze trim.

END OF SECTION

**SECTION 232113
HYDRONIC PIPING****PART 1 - GENERAL**

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. This Section includes pipe and fitting materials, joining methods, special-duty valves, and specialties for the following:
 - 1. Radiator Hot-water piping.
- B. Related Sections include the following:
 - 1. Division 23 Section "Piping Insulation".

1.3 PERFORMANCE REQUIREMENTS

- A. Hydronic piping components and installation shall be capable of withstanding the following minimum working pressure and temperature:
 - 1. Hot-Water Heating Piping: at 250 deg F

1.4 SUBMITTALS

- A. Product Data: For each type of the following:
 - 1. Hydronic specialties.
- B. Welding certificates.
- C. Qualification Data: For Installer.
- D. Field quality-control test reports.
- E. Operation and Maintenance Data: For air control devices, hydronic specialties, and special-duty valves to include in emergency, operation, and maintenance manuals.
- F. Water Analysis: Submit a copy of the water analysis to illustrate water quality available at Project site.

1.5 QUALITY ASSURANCE

A. Installer Qualifications:

1. Installers of Pressure-Sealed Joints: Installers shall be certified by the pressure-seal joint manufacturer as having been trained and qualified to join piping with pressure-seal pipe couplings and fittings.

B. Steel Support Welding: Qualify processes and operators according to AWS D1.1/D1.1M, "Structural Welding Code - Steel."

C. Welding: Qualify processes and operators according to ASME Boiler and Pressure Vessel Code: Section IX.

1. Comply with provisions in ASME B31 Series, "Code for Pressure Piping."
2. Certify that each welder has passed AWS qualification tests for welding processes involved and that certification is current.

D. ASME Compliance: Comply with ASME B31.9, "Building Services Piping," for materials, products, and installation. Safety valves and pressure vessels shall bear the appropriate ASME label. Fabricate and stamp air separators and expansion tanks to comply with ASME Boiler and Pressure Vessel Code: Section VIII, Division 01.

PART 2 - PRODUCTS

2.1 STEEL PIPE AND FITTINGS

A. Steel Pipe: ASTM A 53/A 53M, black steel with plain ends; type, grade, and wall thickness as indicated in Part 3 "Piping Applications" Article.

B. Wrought-Steel Fittings: ASTM A 234/A 234M, wall thickness to match adjoining pipe.

C. Steel Pipe Nipples: ASTM A 733, made of same materials and wall thicknesses as pipe in which they are installed.

2.2 JOINING MATERIALS

A. Pipe-Flange Gasket Materials: Suitable for chemical and thermal conditions of piping system contents.

1. ASME B16.21, nonmetallic, flat, asbestos free, 1/8-inch maximum thickness unless thickness or specific material is indicated.

- a. Full-Face Type: For flat-face, Class 125, cast-iron and cast-bronze flanges.

- b. Narrow-Face Type: For raised-face, Class 250, cast-iron and steel flanges.

B. Flange Bolts and Nuts: ASME B18.2.1, carbon steel, unless otherwise indicated.

2.3 AIR CONTROL DEVICES

- A. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
- B. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. Amtrol, Inc.
 - 2. Armstrong Pumps, Inc.
 - 3. Bell & Gossett Domestic Pump; a division of ITT Industries.
 - 4. Taco.
- C. Manual Air Vents:
 - 1. Body: Bronze.
 - 2. Internal Parts: Nonferrous.
 - 3. Operator: Screwdriver or thumbscrew.
 - 4. Inlet Connection: NPS 1/2.
 - 5. Discharge Connection: NPS 1/8.
 - 6. CWP Rating: 150 psig.
 - 7. Maximum Operating Temperature: 225 deg F.

2.4 HYDRONIC PIPING SPECIALTIES

- A. Y-Pattern Strainers:
 - 1. Body: ASTM A 126, Class B, cast iron with bolted cover and bottom drain connection.
 - 2. End Connections: Threaded ends for NPS 2 and smaller; flanged ends for NPS 2-1/2 and larger.
 - 3. Strainer Screen: **40**-mesh startup strainer and perforated stainless-steel basket with 50 percent free area.
 - 4. CWP Rating: 125 psig.
- B. Stainless-Steel Bellow, Flexible Connectors:
 - 1. Body: Stainless-steel bellows with woven, flexible, bronze, wire-reinforcing protective jacket.
 - 2. End Connections: Threaded or flanged to match equipment connected.
 - 3. Performance: Capable of 3/4-inch misalignment.
 - 4. CWP Rating: 150 psig.
 - 5. Maximum Operating Temperature: 250 deg F.

PART 3 - EXECUTION

3.1 PIPING APPLICATIONS

- A. Hot-water heating piping, aboveground, NPS 2-1/2 and larger shall be the following:
 - 1. Schedule **40** steel pipe, wrought-steel fittings and wrought-cast or forged-steel flanges and flange fittings, and welded and flanged joints.
- B. Blowdown-Drain Piping: Same materials and joining methods as for piping specified for the service in which blowdown drain is installed.
- C. Air-Vent Piping:
 - 1. Inlet: Same as service where installed with metal-to-plastic transition fittings for plastic piping systems according to the piping manufacturer's written instructions.
 - 2. Outlet: Type **K**, annealed-temper copper tubing with soldered or flared joints.
- D. Safety-Valve-Inlet and -Outlet Piping for Hot-Water Piping: Same materials and joining methods as for piping specified for the service in which safety valve is installed with metal-to-plastic transition fittings for plastic piping systems according to the piping manufacturer's written instructions.

3.2 PIPING INSTALLATIONS

- A. Drawing plans, schematics, and diagrams indicate general location and arrangement of piping systems. Indicate piping locations and arrangements if such were used to size pipe and calculate friction loss, expansion, pump sizing, and other design considerations. Install piping as indicated unless deviations to layout are approved on Coordination Drawings.
- B. Install piping in concealed locations, unless otherwise indicated and except in equipment rooms and service areas.
- C. Install piping indicated to be exposed and piping in equipment rooms and service areas at right angles or parallel to building walls. Diagonal runs are prohibited unless specifically indicated otherwise.
- D. Install piping free of sags and bends.
- E. Install fittings for changes in direction and branch connections.
- F. Install piping to allow application of insulation.
- G. Select system components with pressure rating equal to or greater than system operating pressure.
- H. Install groups of pipes parallel to each other, spaced to permit applying insulation and servicing of valves.

- I. Install drains, consisting of a tee fitting, NPS 3/4 ball valve, and short NPS 3/4 threaded nipple with cap, at low points in piping system mains and elsewhere as required for system drainage.
- J. Install valves according to Division 23 Section "General-Duty Valves for HVAC Piping."
- K. Install flanges in piping, NPS 2-1/2 and larger, at final connections of equipment and elsewhere as indicated.

3.3 HANGERS AND SUPPORTS

- A. Hanger, support, and anchor devices are specified in Division 23 Section "Hangers and Supports for HVAC Piping and Equipment." Comply with the following requirements for maximum spacing of supports.
- B. Seismic restraints are specified in Division 23 Section "Vibration and Seismic Controls for HVAC Piping and Equipment."
- C. Install the following pipe attachments:
 - 1. Adjustable steel clevis hangers for individual horizontal piping less than 20 feet long.
 - 2. Spring hangers to support vertical runs.
- D. Install hangers for steel piping with the following maximum spacing and minimum rod sizes:
 - 1. NPS 3/4: Maximum span, 7 feet; minimum rod size, 1/4 inch.
 - 2. NPS 1: Maximum span, 7 feet; minimum rod size, 1/4 inch.
 - 3. NPS 1-1/2: Maximum span, 9 feet; minimum rod size, 3/8 inch.
 - 4. NPS 2: Maximum span, 10 feet; minimum rod size, 3/8 inch.
 - 5. NPS 2-1/2: Maximum span, 11 feet; minimum rod size, 3/8 inch.
 - 6. NPS 3: Maximum span, 12 feet; minimum rod size, 3/8 inch.

3.4 PIPE JOINT CONSTRUCTION

- A. Join pipe and fittings according to the following requirements and Division 23 Sections specifying piping systems.
- B. Bevel plain ends of steel pipe.
- C. Remove scale, slag, dirt, and debris from inside and outside of pipe and fittings before assembly.
- D. Threaded Joints: Thread pipe with tapered pipe threads according to ASME B1.20.1. Cut threads full and clean using sharp dies. Ream threaded pipe ends to remove burrs and restore full ID. Join pipe fittings and valves as follows:
 - 1. Apply appropriate tape or thread compound to external pipe threads unless dry seal threading is specified.

2. Damaged Threads: Do not use pipe or pipe fittings with threads that are corroded or damaged. Do not use pipe sections that have cracked or open welds.
- E. Welded Joints: Construct joints according to AWS D10.12/D10.12M, using qualified processes and welding operators according to Part 1 "Quality Assurance" Article.
 - F. Flanged Joints: Select appropriate gasket material, size, type, and thickness for service application. Install gasket concentrically positioned. Use suitable lubricants on bolt threads.

3.5 HYDRONIC SPECIALTIES INSTALLATION

- A. Install expansion tanks in line with piping. Vent and purge air from hydronic system, and ensure tank is properly charged with air to suit system Project requirements.

3.6 FIELD QUALITY CONTROL

- A. Prepare hydronic piping according to ASME B31.9 and as follows:
 1. Leave joints, including welds, uninsulated and exposed for examination during test.
 2. Provide temporary restraints for expansion joints that cannot sustain reactions due to test pressure. If temporary restraints are impractical, isolate expansion joints from testing.
 3. Flush hydronic piping systems with clean water; then remove and clean or replace strainer screens.
 4. Isolate equipment from piping. If a valve is used to isolate equipment, its closure shall be capable of sealing against test pressure without damage to valve. Install blinds in flanged joints to isolate equipment.
 5. Install safety valve, set at a pressure no more than one-third higher than test pressure, to protect against damage by expanding liquid or other source of overpressure during test.
- B. Perform the following tests on hydronic piping:
 1. Use ambient temperature water as a testing medium unless there is risk of damage due to freezing. Another liquid that is safe for workers and compatible with piping may be used.
 2. While filling system, use vents installed at high points of system to release air. Use drains installed at low points for complete draining of test liquid.
 3. Isolate expansion tanks and determine that hydronic system is full of water.
 4. Subject piping system to hydrostatic test pressure that is not less than 1.5 times the system's working pressure. Test pressure shall not exceed maximum pressure for any vessel, pump, valve, or other component in system under test. Verify that stress due to pressure at bottom of vertical runs does not exceed 90 percent of specified minimum yield strength or 1.7 times "SE" value in Appendix A in ASME B31.9, "Building Services Piping."

5. After hydrostatic test pressure has been applied for at least 10 minutes, examine piping, joints, and connections for leakage. Eliminate leaks by tightening, repairing, or replacing components, and repeat hydrostatic test until there are no leaks.
 6. Prepare written report of testing.
- C. Perform the following before operating the system:
1. Open manual valves fully.
 2. Inspect pumps for proper rotation.
 3. Set makeup pressure-reducing valves for required system pressure.
 4. Inspect air vents at high points of system and determine if all are installed and operating freely (automatic type), or bleed air completely (manual type).

END OF SECTION

**SECTION 233113
METAL DUCTS****PART 1 - GENERAL**

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Section Includes:
 - 1. Single-wall rectangular ducts and fittings; shroud.

1.3 SUBMITTALS

- A. Product Data: For each type of the following products:
 - 1. Sheet metal.

1.4 QUALITY ASSURANCE

- A. ASHRAE Compliance: Applicable requirements in ASHRAE 62.1, Section 5 - "Systems and Equipment" and Section 7 - "Construction and System Start-Up."

PART 2 - PRODUCTS

2.1 SINGLE-WALL RECTANGULAR DUCTS AND FITTINGS, SHROUDS

- A. General Fabrication Requirements: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" based on indicated static-pressure class unless otherwise indicated.
- B. Transverse Joints: Select joint types and fabricate according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 2-1, "Rectangular Duct/Transverse Joints," for static-pressure class, applicable sealing requirements, materials involved, duct-support intervals, and other provisions in SMACNA's "HVAC Duct Construction Standards - Metal and Flexible."
- C. Longitudinal Seams: Select seam types and fabricate according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Figure 2-2, "Rectangular Duct/Longitudinal Seams," for static-pressure class, applicable sealing requirements,

materials involved, duct-support intervals, and other provisions in SMACNA's "HVAC Duct Construction Standards - Metal and Flexible."

- D. Elbows, Transitions, Offsets, Branch Connections, and Other Duct Construction: Select types and fabricate according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Chapter 4, "Fittings and Other Construction," for static-pressure class, applicable sealing requirements, materials involved, duct-support intervals, and other provisions in SMACNA's "HVAC Duct Construction Standards - Metal and Flexible."

2.2 SHEET METAL MATERIALS

- A. General Material Requirements: Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" for acceptable materials, material thicknesses, and duct construction methods unless otherwise indicated. Sheet metal materials shall be free of pitting, seam marks, roller marks, stains, discolorations, and other imperfections.
- B. PVC-Coated, Galvanized Sheet Steel: Comply with ASTM A 653/A 653M.
 - 1. Galvanized Coating Designation: G60.
 - 2. Minimum Thickness for Factory-Applied PVC Coating: 4 mils thick **on sheet metal surface of ducts and fittings exposed to corrosive conditions, and minimum 1 mil thick on opposite surface**. All ductwork interior and exterior is considered to be in an exposed corrosive condition.
 - 3. Coating Materials: Acceptable to authorities having jurisdiction for use on ducts listed and labeled by an NRTL for compliance with UL 181, Class 1.
- C. Reinforcement Shapes and Plates: ASTM A 36/A 36M, steel plates, shapes, and bars; black and galvanized.
- D. Tie Rods: Galvanized steel, 1/4-inch minimum diameter for lengths 36 inches or less; 3/8-inch minimum diameter for lengths longer than 36 inches.

PART 3 - EXECUTION

3.1 DUCT INSTALLATION

- A. Drawing plans, schematics, and diagrams indicate general location and arrangement of duct system. Indicated duct locations, configurations, and arrangements were used to size ducts and calculate friction loss for air-handling equipment sizing and for other design considerations. Install duct systems as indicated unless deviations to layout are approved on Shop Drawings and Coordination Drawings.
- B. Install ducts according to SMACNA's "HVAC Duct Construction Standards - Metal and Flexible" unless otherwise indicated.
- C. Install ducts with fewest possible joints.

- D. Install factory- or shop-fabricated fittings for changes in direction, size, and shape.
- E. Unless otherwise indicated, install ducts vertically and horizontally, and parallel and perpendicular to building lines.
- F. Install ducts close to walls, overhead construction, columns, and other structural and permanent enclosure elements of building.
- G. Install ducts with a clearance of 1 inch, plus allowance for insulation thickness.

3.2 INSTALLATION OF EXPOSED DUCTWORK

- A. Trim duct sealants flush with metal. Create a smooth and uniform exposed bead. Do not use two-part tape sealing system. Exterior ducts shall not have lips (or dams) that prohibit water drainage at outlet.
- B. Maintain consistency, symmetry, and uniformity in the arrangement and fabrication of fittings, hangers and supports, duct accessories, and air outlets.
- C. Repair or replace damaged sections and finished work that does not comply with these requirements.

3.3 HANGER AND SUPPORT INSTALLATION

- A. Comply with SMACNA's "HVAC Duct Construction Standards - Metal and Flexible," Chapter 5, "Hangers and Supports."
- B. Building Attachments: Concrete inserts, powder-actuated fasteners, or structural-steel fasteners appropriate for construction materials to which hangers are being attached.
 - 1. Where practical, install concrete inserts before placing concrete.
 - 2. Install powder-actuated concrete fasteners after concrete is placed and completely cured.
 - 3. Use powder-actuated concrete fasteners for standard-weight aggregate concretes.
 - 4. Do not use powder-actuated concrete fasteners for lightweight-aggregate concretes.

3.4 DUCT SCHEDULE

- A. Exhaust Ducts:
 - 1. Ducts Connected to Fans Exhausting (ASHRAE 62.1, Class 1 and 2) Air:
 - a. Pressure Class: Negative 1-inch wg.
 - b. Minimum SMACNA Seal Class: A.

END OF SECTION

**SECTION 236900
FUEL STORAGE TANK**

PART 1 GENERAL

1.1 THE REQUIREMENT

- A. General: Provide a packaged design diesel fuel oil storage tank for supply of fuel to an engine generator set. Fuel oil storage tank shall be complete in all respects in order to provide the engine with a reliable source of fuel. This Section includes providing and installing one (1) 2,000 gallon, above ground fuel storage tank system, including secondary containment, piping transition connections, fill ports with overfill prevention valves, spill containment basins, connectors, valves, tank appurtenances, tank hold down anchorage, leak detection.
- B. Provide complete working installations with all equipment for the proper operating condition. Documents do not necessarily show or list every item to be provided. When an item is not shown or not listed, and is clearly necessary for proper operation of equipment, provide an item which will allow the system to function properly at no increase in cost to the District.
- C. This project provides for the storage and use of combustible fuels, and Contractor shall take all necessary precaution for safety of handling and installing this Project. Contractor warrants that he/she is experienced on similar installations and operations.

1.2 STANDARDS

- A. NFPA 30, 99 and 329
- B. UL 58, 142, 971, 1316, 1746 and 2085
- C. OSHA, Construction, 29 CFR 1926.652
- D. California Electric Code 2010 edition
- E. California Fire Code 2010 edition
- F. National Electric Code
- G. California Building Code 2010 edition
- H. State of California Water Resources Control Board, AST Regulations, Health and Safety Code
- I. Petroleum Equipment Institute (PEI) RP100-2000
- J. The provisions of this Section shall apply to all equipment specified and where referred to, except where otherwise specified or shown.
 - 1. The system shall be for use with fuel oil as described by NFPA321, "Basic

Classification of Flammable and Combustible Liquids". As defined by this standard, the fuel supply system shall be for use with "combustible liquids", those having a flash point at or above 100°F and further defined as class II or class III liquids. In no case shall a liquid defined as "flammable", or as "class I" or as having a flash point less than 100°F be used. In every case, the system shall not be used or applied at a temperature in excess of the flash point of the contents.

Electrical equipment used in the system shall be in accordance with NFPA30, section 5-7, wherein it states "For areas where class II or class III liquids only are stored or handled at a temperature below their flash points, the electrical equipment may be installed in accordance with provisions of NFPA70, National Electric Code, for ordinary locations..."

2. The system shall be designed and installed in accordance with applicable sections of NFPA30, NFPA31, NFPA37, UL80 and UL142. The tank shall bear the label of Underwriters Laboratories standard 142 and UL508.

1.3 CONTRACTOR SUBMITTALS

- A. General: CONTRACTOR shall submit administrative, shop drawings, samples, quality control, and contract closeout submittals of all equipment furnished this Section and in referenced Sections.
- B. Shop Drawings and Samples: In addition to the requirements of Section 013000 – Submittals, CONTRACTOR shall submit the following:
 1. Complete structural calculations showing the governing stresses in all members and connections, and detailed shop drawings. Preliminary drawings shall be stamped by cover MANUFACTURER's PE. Final Drawings and calculations shall bear the stamp of California PE.
- C. O & M Manuals: The CONTRACTOR shall provide operators and maintenance data for all equipment furnished for the project in accordance with Section 017300 - Operation and Maintenance Data.
- D. Tools: Special tools necessary for maintenance and repair of the equipment shall be furnished as a part of the WORK hereunder; such tools shall be suitably stored in metal tool boxes, and identified with the equipment number by means of stainless steel or solid plastic name tags attached to the box.

1.4 QUALITY ASSURANCE

- A. CONTRACTOR shall submit the following:
 1. MANUFACTURER's Certificate of Proper Installation
 2. Functional Test Certification
 3. Factory performance test reports
 4. Special shipping, storage and protection, and handling instructions

5. MANUFACTURER's printed installation instructions
6. List of suggested spare parts to maintain the equipment in service for a period of 5 years. Include a list of special tools required for checking, testing, parts replacement, and maintenance.
7. List of special tools, materials, and supplies furnished with equipment for use prior to and during startup.

B. Warranty

1. A written suppliers warranty shall be provided for the equipment specified in this section as required in Section 017400 - Warranty.

1.5 MANUFACTURERS SERVICE REPRESENTATIVE

- A. Manufacturer's Representative. In accordance with the requirements of Section 017300 - Operation and Maintenance Data, a Manufacturer's Representative shall be present at the site or classroom designated by the District to provide the services and minimum person-days listed below, travel time excluded.

1. 1 person-days for installation assistance and inspection,
2. 1 person-days for functional and performance testing
3. 0.5 person-days for pre-startup training of District's personnel.

PART 2 PRODUCTS

2.1 ABOVE GROUND FUEL TANK AND APPURTENANCES:

- A. 2,000 gallon UL 2085 listed above ground cylinder tank with standard fitting. Tank dimensions shall not exceed 6' wide by 10' long by 6' tall.
- B. All welded steel atmospheric tank of rectangular construction built in accordance with codes and standards noted above for indoor use with fuel oil.
- C. Pipe thread connections shall be provided for:
 1. Fuel oil supply, 0.75 inch
 2. Return oil supply, 1.0 inch
 3. Vent, 2.0 inch
 4. Emergency vent, 4.0 inch
 5. Drain, 0.75 inch, with fire rated drain valve
 6. An inspection port in the top shall be provided

- 7. Vent sizes shall be as required by local codes and by UL 142 ,NFPA 31 and NFPA37 specification
- D. The tank shall be equipped with a welded steel channel base suitable for bolt attachment to a concrete pad
- E. The tank shall have interior corrosion protection.
- F. The exterior of the day tank shall receive a heavy duty industrial anti-corrosion coating and be finish painted
- G. The tank shall be steel double-wall construction bearing the UL 142 label and having a containment rating of 150% of the primary day tank. The containment shall be equipped with a leak detector that shall activate the "rupture" alarm described below. A drain with fire rated ball valve is to be supplied. The containment shall be equipped with a separate e-vent as required by UL 142.
- H. Provide a manual hand pump: Provide a manual hand pump integral to the storage tank for priming applications. The hand pump shall include manual bypass valves, check valve and be rated for 20-gallons per 100 strokes.
- I. Fuel tank level and leak detection system.

The tank shall be equipped with a complete tank level and leak detection system with a controller and leak detector with alarm contacts for remote indication and alarm. The system shall be manufactured by Franklin Fueling System Model TS-1001, Veeder-Root Model TLS-350J with a 4-20mADC signal for level remote indication, leak alarm dry contact and low, high level alarm contacts.

2.2 STEEL PIPE

- A. All above ground piping shall be black carbon steel, seamless or electric resistance welded, ASTM A53, Grade B, Schedule 40. Fittings for 2 inch and smaller pipe diameters shall be welded 3000 pound, malleable black iron. Where welding is not possible, threaded pipe will be allowed. The threaded fittings shall be 150 pound, malleable iron, black ASTM A197 or ASTM A47, dimensions conforming to ANSI B16.3; unions shall be 300 pound malleable iron, black ASTM A197 or ASTM A47, dimensions conforming to ANSI B16.3, brass to iron seat.

2.3 ALTERNATIVE EQUIPMENT:

- A. Should the Contractor choose to use approved equal equipment, a full equipment list together with shop drawings, and connection and installation details with equipment labeled on the drawing shall be provided at the time of bid, in order for the District to evaluate the proper equivalent of the alternative equipment. All alternative equipment shall be new, delivered from the manufacturer, and in working order.

2.4 MANUFACTURERS

- A. MANUFACTURER's Experience: The materials and equipment covered by this

specification are intended to be standard materials and equipment of demonstrated successful performance and supplied by a manufacturer who has been actively engaged in the supply of similarly sized diesel fuel oil storage tanks for a minimum of 5 years. The MANUFACTURER shall have furnished at least 50 diesel fuel oil storage tank of the type and size specified herein which have been in successful operation for at least 3 years. Equipment shall be designed and constructed in accordance with the highest standards of the industry and shall be installed in accordance with the MANUFACTURER's recommendations and the Contract Documents.

- B.
- C. Unit Responsibility: The fuel storage tank manufacturer shall be responsible for all equipment provided under this section.
- D. Manufacturers or Equal:
 - 1. Convault
 - 2. Containment Solutions
 - 3. Tramont

PART 3 EXECUTION

3.1 INSTALLATION

- A. General: The storage tank shall be installed as shown on the Contract Documents, on a housekeeping pad. Anchor tank to the pad.

3.2 TANK TESTING

- A. The day tank shall be supplied with manufacturers test certificates as below
 - 1. Tank test: pressure test, leak proof test and structural integrity/appearance test. The primary steel tank shall be pressure tested at 5 psig for 24 to 48 hours
 - 2. Level controller: operational test with liquid of level sensors, level indicator, level control, alarms, backup devices
 - 3. Pump: vacuum test, flow test, pressure test, leak proof test, ampere/voltage test, load test, overload test.

3.3 SIGNS, LABELS, AND IDENTIFICATION

- A. Contractor shall install and place all required signing on the tank, in accordance with the manufacturer's recommendations and regulatory requirements. Provide the list of signing labels and identification to the District or the District's representative prior to purchase, fabrication or installation.

END OF SECTION

**SECTION 250000
INSTRUMENT AND CONTROL SYSTEM**

PART 1 GENERAL

1.1 SYSTEM DESCRIPTION

- A. This Section gives general requirements for the instrument and control system (I&CS) which includes primary elements and transmitters, analog display and control elements, discrete display and control elements, control panels, and associated devices.

1.2 RELATED SECTION

- A. Section 254700 PROGRAMMABLE LOGIC CONTROLLER SYSTEM
B. Division 26 Electrical sections

1.3 SUBMITTALS

- A. Shop Drawings

Shop drawing submittals shall be neatly arranged in 3-ring binders which may have pockets for full-size drawings folded and CD. Tabs shall be used to separate individual items in the submittal. Loose submittals without a binder shall be rejected without review. Deviations if any from the specifications shall be summarized and tabulated on a separate document pages titled "Notes to Reviewer". Shop drawings that do not follow the required format shall be rejected without being reviewed.

1. Bill of Materials: List of required I&CS equipment.
 - a. Group equipment items by common enclosure, and equipment type.
 - b. Data Included:
 - 1) Equipment tag number.
 - 2) Description.
 - 3) Manufacturer, complete model number and all options not defined by model number.
 - 4) Quantity supplied.
2. Catalog Cuts: I&CS Components, Electrical Devices, and Mechanical Devices:
 - a. Catalog information.
 - b. Descriptive literature.
 - c. External power and signal connections.
 - d. Scaled drawings showing exterior dimensions and locations of all electrical and mechanical interfaces.
3. Component Data Sheets: Data sheets for all I&CS components.
 - a. Format and Level of Detail in accordance with ISA-S20.

- b. Specific features and configuration data for each component:
 - 1) Location or service.
 - 2) Manufacturer and complete model number.
 - 3) Size and scale range.
 - 4) Set points.
 - 5) Materials of construction.
 - 6) Options included.
 - c. Name, address, and telephone number of manufacturer's local office, representative, distributor, or service facility.
4. Panel Construction Drawings:
- a. Scale Drawings: Show location of panel mounted devices, doors, louvers, and subpanels.
 - b. Panel Legend: List front of panel devices by tag numbers, nameplate inscriptions, service legends, and annunciator inscriptions.
 - c. Bill of Materials: List devices mounted within panels that are not listed in panel legend. Include tag number, description, manufacturer, and model number.
 - d. Construction Details: NEMA rating, materials, material thickness, structural stiffeners and brackets, lifting lugs, mounting brackets and tabs, door hinges and latches, and welding and other connection callouts and details.
 - e. Construction Notes: Finishes, wire color schemes, wire ratings, and wire numbering and labeling scheme.
5. Interconnection Diagrams: For discrete control and power circuits.
- a. Diagram Type: Ladder diagrams in a format similar to those shown on Drawings. Include devices requiring electrical connections. Show unique rung numbers on left side of each rung where applicable.
 - b. Item Identification: Identify each item with attributes listed.
 - 1) Wires: Wire number and color. Cable number if part of multiconductor cable.
 - 2) Terminals: Location (enclosure number, terminal junction box number, or MMC number), terminal strip number, and terminal block number.
 - 3) Discrete Components:
 - a) Tag number, terminal numbers, and location ("FIELD", enclosure number, or MCC number).

- b) Switching action (open or close on rising or falling process variable), set point value and units, and process variable description.
 - 4) Relay Coils:
 - a) Tag number and its function.
 - b) On right side of rung where coil is located, list contact location by ladder number and sheet number. Underline normally closed contacts.
 - 5) Relay Contacts: Coil tag number, function, and coil location (ladder number and sheet number).
 - a) Show each circuit individually. No "typical" diagrams will be allowed.
 - b) Ground wires and connections.
 - c) Circuit Names: Show names corresponding to Circuit and Raceway Schedule for circuits entering and leaving a panel. Refer to Division 26, ELECTRICAL.
- 6. Loop Diagrams: Individual wiring diagram for each analog or pulse frequency loop.
 - a. Loop diagrams similar to ISA format or one preferred by DISTRICT.
 - b. Conform to the minimum requirements of ISA S5.4.
 - c. Under paragraph 5.3 of ISA S5.4, include the information listed under subparagraphs 2, 5, 6 and 9.
 - d. Drawing Size: Individual 11-inch by 17-inch sheet for three loops maximum. Loop drawings smaller than 11" x 17" will be rejected without review.
 - e. Divide each loop diagram into areas for field panel, field, terminal junction boxes, control panel and PLC.
 - f. Show:
 - 1) Terminal numbers, location of DC power supply, and location of common dropping resistors.
 - 2) Switching contacts in analog loops and output contacts of analog devices. Reference specific control diagrams where functions of these contacts are shown.
 - 3) Tabular summary on each diagram:
 - a) Transmitting Instruments: Output capability.
 - b) Receiving Instruments: Input impedance.
 - 4) Circuit and raceway schedule.

7. Panel Power Requirements and Heat Dissipation: For control panels tabulate and summarize:
 - a. Required voltages, currents, and phases(s).
 - b. Maximum heat dissipations Btu per hour.
 - c. All calculations.
 8. Termination Wiring Diagrams:
 - a. Diagrams, device designations, and symbols in accordance with NEMA ICS 250.
 - b. Show:
 - 1) Electrical connections between equipment, consoles, panels, terminal junction boxes, and field mounted components.
 - 2) Component and panel terminal identification numbers, and external wire and cable numbers.
 - 3) Circuit names matching Circuit and Raceway Schedules.
 - 4) Intermediate terminations between field elements and panels for, but not limited to terminal junction boxes and pull boxes.
 9. Installation Details: Provide installation details and bill of materials required for the proper installation of AS Components.
 10. Spares, expendables, and test equipment.
- B. Samples: Color schedule with color samples for control panels.
- C. Quality Control Submittals:
1. Testing Related Submittals: In accordance with this Section
 2. O&M Manuals:
 - a. Refer to paragraph Shop Drawings for the following items:
 - 1) Bill of Materials.
 - 2) Catalog Cuts.
 - 3) Component Data Sheets.
 - 4) Interconnection Diagrams, one reproducible copy.
 - 5) Loop Diagrams, one reproducible copy.
 - 6) Termination Wiring Diagrams, one reproducible copy.
 - b. Device O&M manuals for I&CS components, electrical devices, and mechanical devices shall include:
 - 1) Operations procedures.

- 2) Installation requirements and procedures.
- 3) Maintenance requirements and procedures.
- 4) Troubleshooting procedures.
- 5) Calibration procedures.
- 6) Internal schematic and wiring diagrams.
- 7) ORT Component and Calibration Sheets.
- 8) List of required test equipment.

c. List of spares and expendables required and recommended.

1.4 DELIVERY, STORAGE, AND HANDLING

A. In accordance with this Section.

1.5 ENVIRONMENTAL REQUIREMENTS

A. Standard Environmental Requirements: Unless otherwise noted, design AS equipment for continuous operation in these environments:

1. Inside (pump station building):

- a. Temperature: 20 to 115 Deg. F.
- b. Relative humidity: 10 to 95% non- condensing.
- c. NEC classification: Non-hazardous.

2. Outside:

- a. Temperature: -32 to 120 Deg. F.
- b. Rain
- c. NEC classification: Non-hazardous except in enclosed wetwell where it is classified as Class 1 Div I hazardous location.

B. Furnish and provide I&CS components and panels for continuous operation in its operating environments as shown and located on the Drawings. All equipment shall be suitable and designed for installation as per California Building Code regarding seismic requirements and compliance.

1.6 SEQUENCING AND SCHEDULING

A. In accordance with the DISTRICT general construction schedule.

1.7 MAINTENANCE

A. Spares Parts:

Provide the following spare parts with no additional cost to the DISTRICT. See Section 254700 for additional spare parts for PLC system.

Description	Percent of Each Type and Size Used	No Less Than
Fuses	20	5
Indicating light bulbs	20	10
Relays	2	2
Terminal Blocks	10	5
Hand Switches	2	2

B. Expendables: None

1.8 WARRANTY

A. The Contractor shall provide a written warranty covering the performance, workmanship, and installation of all equipment furnished under this Section for a period of two (2) years. The Contractor shall assume responsibility for all costs incurred in achieving satisfactory performance during the warranty period. Warranties shall be in accordance with these specifications.

PART 2 PRODUCTS

2.1 TEST EQUIPMENT AND TOOLS

Contractor shall provide the following tool to the DISTRICT for no additional cost.

Equipment	Quantity	Manufacturer and Model number
Termination kit	1	Jensen Tools Model JTK-23B210

2.2 I&CS COMPONENTS

A. Components for Each Loop: Components for each loop shall be listed in ISA Data Sheets. Furnish all equipment that is necessary to achieve required loop performance.

2.3 NAMEPLATES AND TAGS

A. Panel Nameplates: Enclosure identification located on the enclosure face.

1. Location and Inscription: As shown on panel Drawing.
2. Materials: 16-gauge, Type 316, stainless steel, stamped, mounted with stainless steel screws.
3. Letters: 1/2-inch, unless otherwise noted.

- B. Component Nameplates-Panel Face: Component identification located on panel face under or near component.
 - 1. Location and Inscription: As shown on panel Drawing.
 - 2. Materials: Adhesive backed laminated plastic.
 - 3. Letters: 3/16-inch white on black background, unless otherwise noted.
- C. Component Nameplates-Back of Panel: Component identification located on or near component inside of enclosure.
 - 1. Inscription: Component tag number.
 - 2. Materials: Adhesive back, laminated plastic.
 - 3. Letters: 3/16-inch white on black background, unless otherwise noted.
- D. Service Legends: Component identification nameplate located on face of component.
 - 1. Inscription: As shown on panel Drawing.
 - 2. Materials: Adhesive backed laminated plastic.
 - 3. Letters: 3/16-inch white on black background, unless otherwise noted.
- E. Nametags: Component identification for field devices.
 - 1. Inscription: Component tag number.
 - 2. Materials: 16-gauge, 316 stainless steel.
 - 3. Letters: 1/4-inch imposed.
 - 4. Mounting: Affix to component/field instruments with 16- or 18-gauge stainless steel wire or stainless steel screws.

2.4 PANEL FABRICATION

- A. General:
 - 1. Panels with external dimensions and instruments arrangement as shown on Drawings except as modified in Engineer approved shop drawings.
 - 2. Panel Construction and Interior Wiring: In accordance with the National Electrical Code (NEC), state and local codes, and applicable sections of NEMA, ANSI, UL, and ICECA.
 - 3. Fabricate panels, install instruments, wire, and plumb, all at the ICS subcontractor's factory.

4. All panels shall be UL listed and shall bear UL label stating "LISTED ENCLOSED INDUSTRIAL CONTROL PANELS". (UL508)
 5. Electrical Work: In accordance with the applicable requirements of Division 26, ELECTRICAL.
- B. Temperature Control:
1. Smaller Panels (that are not freestanding): Size to adequately dissipate heat from equipment mounted inside panel or in panel face.
- C. Panel Construction:
1. Materials: Sheet steel unless otherwise shown on Drawings with minimum thickness of 10-gauge. For NEMA 4X, 309 stainless steel shall be used.
 2. Panel Fronts:
 - a. Fabricated from a single piece of sheet steel unless otherwise shown on Drawings.
 - b. No seams or bolt heads visible when viewed from front.
 - c. Panel Cutouts: Smoothly finished with rounded edges.
 - d. Stiffeners: Steel angle or plate stiffeners or both on back of panel face to prevent panel deflection under instrument loading or operation.
 3. Internal Framework:
 - a. Provide structural steel for instrument support and panel bracing.
 - b. Permit panel lifting without racking or distortion.
 4. Lifting rings to allow simple, safe rigging and lifting of panel during installation.
 5. Adjacent Panels: Securely bolted together so front faces are parallel.
 6. Doors:
 - a. Full height, fully gasketed access doors where shown on Drawings.
 - b. Key lockable.
 - c. Latches: Three-point, Southco Type 44 or equal.
 - d. Handles: "D" ring, foldable type.
 - e. Hinges: Full length, continuous, piano type, steel hinges with stainless steel pins.
 - f. Rear Access Doors: Extend no further than 24 inches beyond panel when opened to 90-degree position.
 - g. Front and Side Access Doors: As shown on Drawings.
 - h. Provide a latch to hold door at full open position.
- D. Non-Freestanding Panel Construction:

1. Based on environmental design requirements required and referenced in Article ENVIRONMENTAL REQUIREMENTS, provide the following:
 - a. For panels located inside:
 - 1) Enclosure Type: NEMA 12.
 - 2) Materials: Steel.
 - b. For all other panels:
 - 1) Enclosure Type: NEMA 4X.
 - 2) Materials: ABX.
 2. Doors:
 - a. Oil resistant gasket sealed with continuous hinge.
 - b. Fiberglass lockable quick release latches.
 3. Manufacturers:
 - a. Hoffman or equal.
- F. Control Panel Electrical and control components:
- Control panel shall be NEMA 3RX stainless steel for outdoor installation and shall be NEMA 12 for indoor locations. Panels shall have the following features:
1. Power Distribution, motor starter within panels:
 - a. Feeder Circuits and motor starters:
 - 1) One or more 120V ac, 60-Hz feeder circuits as shown on Drawings.
 - 2) Make provisions for feeder circuit and starter conduit entry.
 - 3) Furnish terminal board for termination of wires.
 - b. Power Panel: Furnish main circuit breaker and a circuit breaker on each individual branch circuit distributed from power panel.
 - 1) Locate to provide clear view of and access to breakers when door is open.
 - 2) Breaker sizes: Coordinate such that fault in branch circuit will blow only branch fuse but not trip the main breaker.
 - 3) Breaker and motor starter manufacturers and products:
 - a) Refer to Division 26, ELECTRICAL.
 2. Signal Distribution:
 - a. Within Panels: 4 to 20 mA dc signals (may be distributed as 1 to 5V dc with a precision 250 OHM resistor).

- b. Outside Panels: Isolated 4 to 20 mA dc only.
 - c. All signal wiring shall be twisted, shielded pairs, minimum 18 AWG.
3. Signal Switching:
- a. Use dry circuit type relays or switches.
 - b. No interruption of 4 to 20 mA loops during switching.
 - c. Switching Transients in Associated Signal Circuit:
 - 1) 4 to 20 mA dc Signals: 0.2 mA, maximum.
 - 2) 1 to 5V dc Signals: 0.05V, maximum.
4. Relays:
- a. General:
 - 1) Relay Mounting: Plug-in type socket.
 - 2) Relay Enclosure: Furnish dust cover.
 - 3) Socket Type: Screw terminal interface with wiring.
 - 4) Socket Mounting: Rail.
 - 5) Provide holddown clips.
 - b. Signal Switching Relay:
 - 1) Type: Dry circuit.
 - 2) Contact Arrangement: 4 Form C contacts.
 - 3) Contact Rating: 0 to 5 amps at 28V dc or 120V ac.
 - 4) Contact Material: Gold or silver.
 - 5) Coil Voltage: As noted or shown.
 - 6) Coil Power: 0.9 watts (dc), 1.2VA (ac).
 - 7) Expected Mechanical Life: 10,000,000 operations.
 - 8) Expected Electrical Life at Rated Load: 100,000 operations.
 - 9) Indication Type: LED indicator lamp.
 - 10) Seal Type: Hermetically sealed case.
 - 11) Manufacturer and Product: Potter and Brumfield; Series KH/KHA, Idec equivalent, or equal.
 - c. Control Circuit Switching Relay, Nonlatching:
 - 1) Type: Compact general purpose plug-in.
 - 2) Contact Arrangement: 3 Form C contacts.
 - 3) Contact Rating: 10A at 28V dc or 240V ac.
 - 4) Contact Material: Silver cadmium oxide alloy.
 - 5) Coil Voltage: As noted or shown.
 - 6) Coil Power: 1.8 watts (dc), 2.7VA (ac).
 - 7) Expected Mechanical Life: 10,000,000 operations.
 - 8) Expected Electrical Life at Rated Load: 100,000 operations.

- 9) Indication Type: Neon or LED indicator lamp.
 - 10) Push to test button.
 - 11) Manufacturer and Product: Potter and Brumfield; Series KUP, Idec equivalent, or equal.
- d. Control Circuit Switching Relay, Latching:
- 1) Type: Dual coil mechanical latching relay.
 - 2) Contact Arrangement: 2 Form C contacts.
 - 3) Contact Rating: 10A at 28V dc or 120V ac.
 - 4) Contact Material: Silver cadmium oxide alloy.
 - 5) Coil Voltage: As noted or shown.
 - 6) Coil Power: 2.7 watts (dc), 5.3VA (ac).
 - 7) Expected Mechanical Life: 500,000 operations.
 - 8) Expected Electrical Life at Rated Load: 50,000 operations.
 - 9) Manufacturer and Product: Potter and Brumfield; Series KB/KBP, Idec equivalent, or equal.
- e. Submersible pumps thermal and leak detection relays:
Refer to Section 11312G- Submersible Pumps for detailed requirements.
Relays shall be manufactured by Flygt Model CAS II, Goulds Pumps equivalent, or equal.
- f. Signal conditioners:
Signal conditioners shall be manufactured by AGM Electronics, Phoenix Contact, or equal. They shall have the following characteristics:
- 1) Din rail mounted
 - 2) 24VDC power input or two wire as required by design loop drawings.
 - 3) 4-20mADC input with two identical 4-20mADC outputs or
 - 4) Pulse input with 4-20mADC output or
 - 5) 4-20mADC input with isolated 4-20mADC output
- g. Intrinsically safe relays:
These relays shall be suitable for use with 24VDC power input with pilot devices such as float switches located in the classified hazardous locations as defined by NEC Article 500. Each relay shall have two double-pole double throw contacts, each rated for 5 amperes at 240V. These relays shall be manufactured by Consolidated Electric, Gem Sensors, R. Stahl, Inc, or equal.
5. Centralized Power Supplies:
- a. Furnish as required where shown on the drawings to power instruments requiring external dc power, including two-wire transmitters and dc relays.
 - b. Convert 120V ac, 60-Hz power to dc power of appropriate voltage(s) with sufficient voltage regulation and ripple control to assure that instruments being supplied can operate within their required tolerances.

- c. Furnish redundant dc power supplies connected in such a way that DC output power is uninterrupted when one power supply is removed or is not delivering the correct output voltage.
 - d. Provide a contact closure for alarm in case of dc power supply failure.
 - e. Provide a voltmeter for each power supply.
 - f. Provide output over voltage and over current protective devices to:
 - 1) Protect instruments from damage due to power supply failure.
 - 2) Protect power supply from damage due to external failure.
 - g. Enclosures: NEMA 1.
 - h. Mount such that dissipated heat does not adversely affect other components.
Fuses: For each dc supply line to each individual two-wire transmitter.
 - 1) Type: Indicating.
 - 2) Mount so fuses can be easily seen and replaced.
 - i. Manufacturer and Products: Acopian Redundant Power Packages, Sola equivalent, or equal.
6. Standard Pushbutton Colors and Inscriptions:
- a. Use following color code and inscriptions for pushbuttons unless otherwise noted:

Tag Function	Inscription(s)	Color
OO	ON OFF	Red Green
OC	OPEN CLOSE	Red Green
OCA	OPEN CLOSE AUTO	Red Green White
OOA	ON OFF AUTO	Red Green White
MA	MANUAL AUTO	Yellow White
SS	START STOP	Red Green
RESET	RESET	Red
EMERGENCY STOP	EMERGENCY STOP	Red
LOCAL	LOCAL	White

- b. Unused or Noninscribed Buttons: Black.
- c. Lettering Color:
 - 1) Black on white and yellow buttons.
 - 2) White on black, red and green buttons.

7. Standard Light Colors and Inscriptions:

- a. Use following color code and inscriptions for service legends and lens colors for indicating lights, unless otherwise noted in Instrument List:

Tag Function	Inscription(s)	Color
ON	ON	Red
OFF	OFF	Green
OPEN	OPEN	Red
CLOSED	CLOSED	Green
LOW	LOW	Green
FAIL	FAIL	Red
HIGH	HIGH	Red
AUTO	AUTO	White
MANUAL	MANUAL	Yellow
LOCAL	LOCAL	White
REMOTE	REMOTE	Yellow

- b. Lettering Color:
 - 1) Black on white lenses.
 - 2) White on red and green lenses.

2.5 SOURCE QUALITY CONTROL

- A. Factory Demonstration Tests: Demonstrate to Engineer that I&CS panel and assemblies included in these tests for conformance to related submittals and requirements specified.

2.6 REQUIRED INSTRUMENTS FURNISHED AND INSTALLED BY CONTRACTOR

2.6.1 PRESSURE INSTRUMENTS

- A. Pressure Switches and gauges:

- 1. General:
 - a. Function: Monitor differential pressure, and provide contact closure(s) when differential pressure is at the noted set point.
 - b. Type: Diaphragm actuated.
- 2. Performance:

- a. Set Point: As noted.
 - 1) Adjustable over the full range.
 - 2) Set as noted.
 - b. Range: The noted set point shall fall between 30 and 70 percent of the range.
 - c. Set Point Repeatability: Plus or minus 1/2 percent of range span.
3. Features:
- a. Diaphragm Materials: Buna-N, unless otherwise noted.
 - b. Pressure Connection: Nickel-plated brass, unless otherwise noted.
4. Enclosure: NEMA 4X, unless otherwise noted.
5. Signal Interface:
- a. Contact Type: SPDT, snap action switch, rated for 5 amps at 120V ac.
6. Manufacturers:
- a. Ashcroft, B Series.
 - b. United Electric, Series 300
 - c. Mercoid, Series DP
- B. Pressure Transmitter
1. General: Furnish and install transmitter where shown on the drawings.
 - a. Measure pressure by detecting force on an integral capacitance diaphragm capsule.
 2. Performance:
 - a. Range: 0 to 125 psi, 0 to 10 psi respectively.
 - b. Provide zero elevation and adjustment.
 - c. Span shall be adjustable over 100 to 1 or greater range.
 - d. Adjustable damping shall be provided.
 - e. Wetted parts shall be 316SS unless otherwise specified.
 - f. Accuracy shall be $\pm 0.25\%$ of span.
 - g. Transmitter housing shall be rated explosion proof, NEMA 7.
 - h. Integral LCD meter with the transmitter housing.
 - i. HART protocol
 3. Signal Interface:
 - a. Transmitter output: 4-20mA current regulated to drive any load between 0-750 ohms at 24V DC.

- b. Transmitter: Two wire type (loop powered)
4. Manufacturers:
- a. Rosemount 2051C
 - b. Foxboro, ABB
 - c. Equal

2.6.2 FLOW INDICATING TRANSMITTERS

A. Magnetic flow meters

1. General:
- a. Measure flow by flange type low frequency electromagnetic element with DC pulse signal proportional to and linear with liquid flow rate.
2. Performance:
- a. Range: 0 to 3700 gpm, 0 to 560 gpm respectively
 - b. Sensor: Minimum two bullet-nosed, self-cleaning electrodes
 - c. Meter housing hardware: NEMA 6 Submergence, epoxy painted
 - d. Sensor electrode: 316 Stainless Steel, Polyurethane liner, grounding rings
 - e. Accuracy: 0.25% of full rate
 - f. Electronic transmitter with 4-20maDC output, 3-line, 16-character LCD Display and pulse/frequency for totalization signals. NEMA 4X enclosure, 120VAC input, HART protocol,
 - g. Environment: 20 to 140 degrees F, humidity 90% non-condensing
 - h. Hand-held calibrator/programmer (shall be provided at no additional cost)
3. Manufacturers:
- a. ABB Magmaster to match District's Standards.

2.6.3 LEVEL MEASUREMENT

Furnish and install level transmitter where shown on the drawings.

A. Level transmitter (Ultrasonic type)

1. Continuous level measurement by soundwave
2. Characteristics:
- a. Ultrasonic probe/sensor with 50 feet cable length
 - b. Remote level transmitter NEMA 4X with 4-20mADC output
 - c. Power input 120VAC
 - d. Local level transmitter, keypad type with engineering unit.
 - e. HART protocol

- f. Ultrasonic level sensor with narrow beam
- f. Provided with required mounting hardware, brackets, and cables.

3. Manufacturer:

- a. Siemens Milltronics HydroRanger with Echomax XPS-15 sensor to match District's Standards.

B. Level switches (float type)

There are eight float switches required for this project, furnished and installed by the Contractor. Conduit from floats to control panel shall be 2" in diameter minimum.

Floats shall be non-mercury type switch completely sealed with water resistant, stainless steel ball with PVC outer jacket, suspended with submarine type cable. Contact shall be rated for 5 amps, 120VAC, complete with stainless steel mounting hardware such as supports. Floats shall be manufactured by Siemens Model B100 9G-EF with stainless steel mounting clips to match the District's standards.

Installation of these floats shall be as shown on the drawings.

2.6.4 GAS DETECTION SYSTEM

Furnish and install gas analyzer where shown on the drawings.

A. Gas Transmitter and Gas Sensors

- 1. Continuous gas monitor by Chemical Reaction
- 2. Characteristics:
 - a. Ultrasonic probe/sensor with max 30 feet length
 - b. Remote level transmitter NEMA 4X with 4-20mADC output
 - c. Power input 120VAC
 - d. Local level sensor solid state type suitable for CH₄ Methane and H₂S Hydrogen Sulfide
 - e. HART protocol
 - f. Provided with required mounting hardware, brackets, and cables.

3. Manufacturers:

- a. MSA Ultima XIR series and MSA Ultimate MOS-5 series, or equal

PART 3 EXECUTION

3.1 GENERAL

- A. Floor plan layout for I&CS components are diagrammatic and not intended to specifically define element locations or piping and tubing run lengths. Base materials and installations on field measurements.

B. Coordinate Work with Division 11, EQUIPMENT and Division 23, MECHANICAL.

C. Wiring: Refer to this Section and Division 26, ELECTRICAL.

3.2 FIELD QUALITY CONTROL

A. Perform functional tests to assure all devices are functional individually prior to system testing.

B. Perform final acceptance testing at the presence of the DISTRICT's representative, the Engineer.

3.3 TRAINING

A. Operation: For DISTRICT's operations personnel on operation of I&CS.

1. Training Session Duration: 1 instructor day.

2. Number of Training Sessions: 2

3. Location: Project site.

4. Content: Conduct training on loop-by-loop basis.

a. Loop Functions: Understanding of loop functions including interlocks for each loop.

b. Loop Operation: For example, adjusting process variable set points, AUTO/MANUAL control transfer, AUTO and MANUAL control, annunciator acknowledgement and resetting.

c. Interfaces with ICS Subsystems.

B. Maintenance Training:

1. Training Session Duration: 1 instructor day.

2. Number of Training Sessions: 2

3. Location: Project site.

4. Content: Provide training for each type of component and function provided.

a. Loop Functions: Understanding details of each loop and how they function.

b. Component calibration.

c. Adjustments: For example, device setup parameters, controller tuning constants, current switch trip points, and similar items.

d. Troubleshooting and diagnosis for and components.

e. Replacing lamps, chart paper, fuses.

f. AS components removal and replacement.

g. Periodic maintenance.

END OF SECTION

SECTION 254700
SCADA - PROGRAMMABLE LOGIC CONTROLLER SYSTEM

PART 1 – GENERAL

1.1 DESCRIPTION

A. Scope:

1. General:

- a. The Contractor shall furnish, install and wire all SCADA system hardware required for this project. The SCADA system control panel shall be manufactured by Calcon Systems Inc to match existing system installation. However, the PLC and local HMI software programming requirements provided in the Contract Documents is for reference purposes only as it will be provided and performed by a third party (Calcon) hired by the District. The Contractor however shall be required to provide all labor and materials to wire and connect all new signals to the new PLC systems as specified and as shown on the drawings.
- b. The existing PLC cabinet shall remain functional during construction, and shall be disconnected and returned to the District after successful switch-over to the new system.

2. Control System Responsibilities:

- a. The Contractor shall be responsible for all control systems work described in these specifications and drawings, including PLCs, network/communication equipment, except for programming and configuration services explicitly specified to be provided by the District. The Contractor shall be responsible for all submittals, purchasing, assembly, packing, shipping, installation, set up, startup, specified testing, and as-built drawings for all control system equipment and purchased standard software.
- b. The Contractor or subcontractor shall test the PLC/I/O system as specified herein to verify proper performance independent of the use of the PLC software provided by the District.
- c. The Contractor shall provide the communications network as specified herein and indicated on the Drawings and other sections of the specification.
- d. Perform testing and training as described in this section.

3. Definitions:

- a. Where a term is used in this section relating to instrumentation, and the meaning is not defined therein or elsewhere in the Contract Documents, the meaning of the term shall be as defined in ISA S51.1 Process Instrumentation Terminology, or if not contained in ISA 51.1, as defined in listed reference standards under "References".
- b. CEET - Complete End to End Testing.
- c. Control Circuit: Any logical circuit (operating usually at 120VAC or less or DC) whose principal purpose is the conveyance of control and interlock/protection information and not the conveyance of energy for the operation of an electrically powered device.

- d. HMI: Human Machine Interface.
 - e. LAN: Local Area Network e.g. Ethernet is a local area network based on IEEE standard 802.3 with TCP/IP protocol.
 - f. NAT - Network Acceptance Testing.
 - g. Panel: An instrument support system which may be either a flat surface, a partial enclosure, or a complete enclosure for instruments and other devices used in process control systems. Unless otherwise specified or clearly indicated by the context, the term "panel" in these Contract Documents shall be interpreted as a general term, which includes flat panels, enclosures, cabinets and consoles.
 - h. PLC: Programmable Logic Controller.
 - i. Power Circuit: Any circuit operating at 120 VAC or more, whose principal purpose is the conveyance of power for motors, lighting and other power devices.
- B. Test:
- 1. General:
 - a. Any testing or startup activity that requires the participation of the District shall be scheduled by the Contractor through written notification to the District. Contractor shall provide regularly updated testing and startup schedules to the District. In addition to any other form of notification, Contractor shall notify the District by email, or other mutually agreed upon means, five working days immediately prior to any testing or other activity requiring the participation of the District. Failure to properly notify District shall result in rescheduling of test activity, solely at Contractor expense and without an extension to the project completion schedule.
 - 2. Operational Readiness Tests (ORT):
 - a. General: Prior to startup and the Functional Acceptance Test, the entire system shall be certified (inspected, tested and documented) that it is ready for operation.
 - b. Basic End-to-End Testing (BEET):
 - i. For each and every analog and discrete circuit, perform Basic End-to-End Testing (BEET). This includes, but is not limited to, all local control panels, MCCs, VFDs, and hardwired circuits in control panels.
 - ii. For each input, activate the signal at the primary field device, either by creating necessary process and equipment conditions or by disconnecting the wires at the field device and simulating the input signal. At the PLC, using the PLC programming software, verify proper receipt of the signal.
 - iii. For each output signal from the PLC, activate the PLC output signal using the PLC programming software, and verify proper receipt of the signal at the controlled device in the field, either by observing equipment operation or by disconnecting the wires at the equipment and verifying proper receipt of the signal.
 - iv. Verify proper signal receipt at all intermediate devices, such as indicators, signal trip and relay modules, etc.

- v. The Loop/Component Inspections and Tests shall be implemented using District-approved forms and checklists.
- vi. Each loop shall have a Loop Status Report to organize and track its inspection, adjustment and calibration. These reports shall include the following information and check off items with spaces for sign off by the system supplier:
 - a). Project Name.
 - b). Loop Number.
 - c). Tag Number for each component.
 - d). Check offs/signoffs for each component.
 - e). Tag/identification.
 - f). Installation.
 - g). Termination - wiring.
 - h). Termination - tubing.
 - i). Calibration/adjustment.
 - j). Signoffs/signoffs for the loop.
 - k). Panel interface terminations.
 - l). I/O interface terminations.
 - m). I/O signal operation.
 - n). Inputs/outputs operational: received/sent, processed, adjusted.
 - o). Total loop operation.
 - p). Space for comments.
 - q). Each active Analog Subsystem element and each I/O module shall have a Component Calibration Sheet. These sheets shall have the following information, spaces for data entry and a space for sign off by the system supplier:
 - 1). Project Name.
 - 2). Loop Number.
 - 3). Component Tag Number of I/O Module Number.
 - 4). Component Code Number Analog System.
 - 5). Manufacturer (for Analog system element).
 - 6). Model Number/Serial Number (for Analog system).
 - 7). Summary of Functional Requirements. For example:
 - (a). For Indicators and Recorders: Scale and chart ranges
 - (b). For Transmitters/Converters: Scale and chart ranges
 - (c). For Computing Elements: Function
 - (d). For Controllers: Action (direct/reverse) control modes (PID)

- (e). For Switching Elements: Unit range, differential (FIXED/ADJUSTABLE), reset (AUTO/MANUAL)
 - (f). For I/O Modules: Input or output
 - r). Calibrations; for example:
 - 1). For Analog Devices: Required and actual inputs and outputs at 0, 25, 50, 75 and 100 percent of span, rising and falling.
 - 2). For Discrete Devices: Required and actual trip points and reset points.
 - 3). For Controllers: Mode settings (PID).
 - 4). For I/O Modules: Required and actual inputs or outputs for 0, 10, 25, 50, 75 and 100 percent of span, rising and falling; 9 points calibration (5 points calibration will be rejected).
 - 5). Space for comments.
 - 6). Space for sign off by the ISS.
 - 7). The ISS shall maintain the Loop Status Reports and Components Calibration sheets at the job site and make them available to the Construction Administrator/District at any time.
 - s). These inspections and tests do not require witnessing. However, the Construction Administrator will review and initial all Loop Status Sheets and Component Calibration Sheets and spot-check their entries periodically and upon completion of the Operational Readiness Tests. Any deficiencies found shall be corrected.
- c. Complete End-to-End Acceptance Tests (CEET):
 - i. For each PLC, CEET testing shall not commence until all Loop Acceptance Tests for the PLC have been satisfactorily completed, and all test results (including all forms signed off) have been submitted for review and accepted. For each and every analog and discrete circuit, perform an end-to-end test between the field device and the HMI computer operator station, and including all intermediate readouts. Also test each signal circuit transmitted over digital networks (i.e. ETHERNET, RS-422 links, etc.).
 - ii. In general, the end-to-end testing is a two or more person test. The Contractor shall provide personnel to operate equipment or simulate signals that are to be verified as part of this test.
 - iii. Check each loop from the field element to the respective computer control display. Include all intermediate field instruments, control devices, panels, indicators and other devices in the loop to ensure proper operation and linkage to computer control station displays.
 - iv. Analog signals shall be tested at 0, 50, and 100 percent of scale to verify the proper receipt on computer control displays.
 - v. Discrete input circuits shall be tested to verify proper state when the field device is switched between states. Discrete output circuits shall be tested to verify equipment responds properly (start, stop, etc.).
 - vi. Contractor shall provide adequate time in the Construction Schedule for CEET testing.

3. FUNCTIONAL ACCEPTANCE TEST (FAT)

- a. Prior to startup and the Functional Acceptance Test (FAT), the entire installed instrument and control system shall be certified that it is ready for operation. All preliminary testing, inspection, and calibration shall be complete as defined in the Operational Readiness Tests.
- b. Once the facility has been started up and is operating, a witnessed FAT shall be performed on the complete system to demonstrate that it is operating and in compliance with these Specifications. Each specified function shall be demonstrated on a paragraph-by-paragraph, loop-by-loop, and site-by-site basis.
- c. Strategy Field Testing (SFT):
 - i. This test shall be performed by the Integrator with the assistance and cooperation of the Contractor. The Contractor shall provide a qualified start-up and testing representative on-site, assisting and participating in the testing full-time, for the duration of the SFT.
 - ii. The Contractor shall include in the Construction Schedule at least two days of SFT testing work for each of the control strategies and shall provide staff for these periods, as required above for this work.
 - iii. For each control strategy and for each electrical schematic diagram, demonstrate the proper operation of all hardware and software logic and control functions. Perform a step by step test of each function described in each control strategy.
 - iv. Perform separate tests on each individual piece of equipment, and for each control loop.
 - v. Perform the proper operation of each discrete control loop to ensure the proper operation of motors, hand switches, interlocks, solenoid valves, other auxiliary devices, status lights, computer control operator interfaces, and alarms.
 - vi. Updated versions of the documentation specified to be provided for during the Factory Tests shall be made available at the job site both before and during the tests. In addition, one copy of all O&M Manuals shall be made available at the job site both before and during testing.
 - vii. The system shall operate for a continuous 96 hours without failure before this test will be considered successful.

1.2 QUALITY ASSURANCE

A. References:

1. Work specified in this Section shall follow the applicable code and standards: EIA, ANSI, IEEE, ISA and NFPA.
2. Where provisions of the pertinent codes and regulations, requirements of the referenced standards, or these specifications conflict, the more stringent of the requirements shall govern.

1.3 SUBMITTALS

A. General:

1. Make all submittals and resubmittals in strict accordance with the provisions of Division 1, Section 1A-7 - Submittals, Manuals, Operating Instructions, and Affidavits.
2. Make all submittals listed in Part 1.03-B, and any others required to fully describe what is to be furnished and/or installed under this Section.

B. Required Submittals:

1. Drawing index and detail part list.
2. System configuration diagram.
3. Hardware list and cutsheets.
4. Bill of materials.
5. Test plan.

PART 2 – PRODUCTS

2.1 MANUFACTURER AND SUPPLIER

- A. The PLC shall be manufactured by Automation Direct DL4 Series to match the District SCADA Standards. No other manufacturers are acceptable. The PLC and its auxiliaries shall be integrated in an empty section of a MCC lineup. This integration shall be done and coordinated by Calcon. Contractor shall provide complete wiring from the field to the I/O cards' terminals as detailed on the drawings. The PLC and its auxiliaries shall be as shown on the Drawings and as specified in this section:
- B. Provide and install the following hardware to the PLC as shown on the drawings and as described in this section:
 1. Two 8-point Analog Input card model no. F2-08AD-1
 2. Four 16-point Digital Input cards model no. D2-16ND3-2
 3. One 8-point Analog Output card model no. F2-08D4D2-1
 4. One 8-point Digital Output card model no. F2-08TRS
 5. Main CPU model no. D2-260
 6. Power Supply Base model D2-09B-1
 7. ETHERNET communication card model no. H2-ECOM
 8. Two 12-slot racks
 9. HMI Model EZ-S6C-KS
 10. Radio Satel West Model Satlline-YM6803

11. Industrial 6-port ETHERNET switch, din rail mounted, 24VDC made by Moxa, Phoenix Contacts, or equal.
 12. UPS 500VA, 120VAC input with 120VAC output, 20 minute battery full load capacity manufactured by Best, Direct Automation, or Eaton.
 13. Miscellaneous accessories such as interposing 24VDC relays, DC Power supplies model PS24-150D, fuses, terminal strips, contact blocks, pulse converters, line surge protector, panel's security switch, signal isolators, wire ducts, convenience outlet, NEMA 12 main enclosure, UL-508A label with Short Circuit Current Rating of 22kA minimum, FLA.
- C. Loose spare parts for no additional costs:
1. One 8-point Analog Input card model no. F2-08AD-1
 2. Two 16-point Digital Input cards model no. D2-16ND3-2
 3. One 8-point Digital output card model no. F2-08TRS

PART 3 – EXECUTION

3.1 ACCEPTANCE TESTING

- A. Perform final acceptance testing with the presence of the District and the District's representative. Demonstrate all correct signal wirings and control logics to the District's satisfaction. (The District will furnish PLC/HMI software). Retest shall be performed at no cost to the District if the first test is not satisfactory.

3.2 TRAINING

- A. Provide two 4-hour training sessions for 3 plant personnel at the site. The training shall include classroom and hands-on practice of the system furnished.
- B. Perform factory tests in the District's presence. Notify the District one week prior to actual scheduled factory test. See Part 1 for additional requirements.

3.3 PLC/HMI SOFTWARE TESTING ASSISTANCE

- A. The PLC/HMI application software is provided by a third party (Calcon) hired by the District. However, the Contractor shall provide assistance to this third party when they perform software testing in the field during final pump station startup operations. Provide field staff for at least two weeks to assist this effort. See Part 1 for additional requirements.

3.4 CONTROL STRATEGIES

SEE SECTION 254750 FOR REFERENCES

END OF SECTION

Item No.	Equipment Description	Equipt. No.	Function Name	Loop No.	Signal Function	Type	DWG No.	Comments
EXISTING PLC-MAIN STREET PUMP STATION								
	Generator	MSPS-GEN	YL		Run	DI		
	Generator	MSPS-GEN	YA		Fail	DI		
EXISTING PLC-GEN								
	Generator	MP-GEN	YL		Run	DI		
	Generator	MP-GEN	YA		Fail	DI		
NEW PLC-PRINCESS PUMP STATION								
	Submersible Pump No. 1	PPS-PMP1	YL-A		VFD Mode	DI	E-8	
	Submersible Pump No. 1	PPS-PMP1	YL-B		In Rem Mode	DI	E-8	
	Submersible Pump No. 1	PPS-PMP1	YL-C		Run	DI	E-8	
	Submersible Pump No. 1	PPS-PMP1	YA-A		VFD Fail	DI	E-8	
	Submersible Pump No. 1	PPS-PMP1	TAH		Hi Temp Alarm	DI	E-8	
	Submersible Pump No. 1	PPS-PMP1	MAH		Seal Leak Alarm	DI	E-8	
	Submersible Pump No. 1	PPS-PMP1	HS		VFD Start/Stop Cmd	DO	E-8	
	Submersible Pump No. 1	PPS-PMP1	SI		Speed Indication	AI	E-8	
	Submersible Pump No. 1	PPS-PMP1	SIC		Speed Command	AO	E-8	
	Submersible Pump No. 1	PPS-PMP1	YL-D		Bypass Mode	DI	E-8	
	Submersible Pump No. 1	PPS-PMP1	YL-E		Bypass in Auto Mode	DI	E-8	
	Submersible Pump No. 1	PPS-PMP1	YL-F		Bypass Run	DI	E-8	
	Submersible Pump No. 1	PPS-PMP1	YA-B		Bypass Fail	DI	E-8	
	Submersible Pump No. 1	PPS-PMP1	HS		Bypass Start/Stop Cmd	DO	E-8	
	Submersible Pump No. 2	PPS-PMP2	YL-A		VFD Mode	DI	E-8	
	Submersible Pump No. 2	PPS-PMP2	YL-B		In Rem Mode	DI	E-8	
	Submersible Pump No. 2	PPS-PMP2	YL-C		Run	DI	E-8	
	Submersible Pump No. 2	PPS-PMP2	YA-A		VFD Fail	DI	E-8	
	Submersible Pump No. 2	PPS-PMP2	TAH		Hi Temp Alarm	DI	E-8	
	Submersible Pump No. 2	PPS-PMP2	MAH		Seal Leak Alarm	DI	E-8	
	Submersible Pump No. 2	PPS-PMP2	HS		VFD Start/Stop Cmd	DO	E-8	
	Submersible Pump No. 2	PPS-PMP2	SI		Speed Indication	AI	E-8	
	Submersible Pump No. 2	PPS-PMP2	SIC		Speed Command	AO	E-8	
	Submersible Pump No. 2	PPS-PMP2	YL-D		Bypass Mode	DI	E-8	
	Submersible Pump No. 2	PPS-PMP2	YL-E		Bypass in Auto Mode	DI	E-8	
	Submersible Pump No. 2	PPS-PMP2	YL-F		Bypass Run	DI	E-8	
	Submersible Pump No. 2	PPS-PMP2	YA-B		Bypass Fail	DI	E-8	
	Submersible Pump No. 2	PPS-PMP2	HS		Bypass Start/Stop Cmd	DO	E-8	
	SCADA Panel PPS	LCP	ZA		Intrusion Alarm	DI	E-9	
	Normal Power Failure	LCP	YA		Power Fail Alarm	DI	E-9	
	UPS Power Failure	UPS	YA		UPS Fail Alarm	DI	E-9	
	PLC Failure		YA		PLC Fail Alarm	DO	E-10	

SECTION 254750**CONTROL STRATEGIES****PART 1 GENERAL**

1.1 DESCRIPTION

A. WORK SCOPE

This Section specifies requirements regarding software configuration for programmable logic controllers (PLCs), Local HMI display and SCADA System. All software programming and configuration in this project shall be performed by third party hired by the District.

1.2 RELATED SECTIONS

- A. Section 254000 – Instrument and Control System.
- B. Section 254700 – Programmable Logic Controller System.

PART 2 PRODUCTS (NOT USED)**PART 3 EXECUTION**

3.1 GENERAL

The facilities included in the project are designed with a programmable logic controller (PLC) based control system which provides the control and monitoring functions of the pumping equipment and associated auxiliary equipment. In general, the PLC will contain control logic to execute the required control functions based on process parameters such as level in the wet well. Exact system process parameter set points shall be as determined and directed by the Owner during final start up. In addition, the control system shall be programmed to provide all alarm and monitoring functions such as equipment run, fail, overload, open, close, process parameter values (e.g. low-low and high-high set points), and software generated failure-to-start, failure-to-open, and failure-to-close. Each alarm and monitoring function of each piece of equipment shall be duplicated at the HMI and in the SCADA communication network.

In addition to the functions described in the loop specifications, at each programmed layer provide the following general functions as they apply to the signals available to that layer.

1. Monitor and Display Discrete Inputs. Receive inputs, display, and alarm where applicable. Provide alarm logging.

2. Display and Allow Tuning of Set Points. Display set points, allow them to be changed and where password protection functions are available, and implement them to restrict access to set points.
3. Monitor and Display Analog and Pulse-Frequency Inputs. Receive inputs and display values. Provide configurable low and high alarm set points for each signal. Trend each input for a configuration interval in a first-in/first-out arrangement. Provide resettable and non-resettable flow, run-time, and power consumption totalizers for each applicable input.
4. Elapsed Time. Provide resettable and non-resettable elapsed time integrators for pump run-time. For the resettable variable, provide a configurable set point unique to each pump at which an alarm will occur, triggering the need for service.
5. Loss of Input. Hold the last known value or alarm.
6. Attempt to Command Only Controllable Equipment. Monitor equipment status where available (such as LOCAL/REMOTE selection), and attempt to control equipment which is available to the PLC and which has not failed.
7. Pump Fail-to-Start/Fail-to-Stop. Upon issuance of a START or STOP command, if within a configuration interval the pump does not reach the command position, an alarm shall be issued. Provide a configurable interval on a pump-by-pump basis. Lock out the gate until a RESET command is issued.
8. Equipment Fail. On receipt of a FAIL or similar discrete input, or when the item of equipment fails to attain the commanded state within a configurable interval, an alarm shall be issued. Provide a configurable interval for each item of equipment. Lock-out the item of equipment until a RESET command is issued.
9. Loss of Communication. Alarm on loss of communications from the SCADA host to site PLCs and/or from the site PLCs to the SCADA host.

3.2 CONTROL STRATEGIES

CS-101: PRINCESS PUMP STATION CONTROL STRATEGIES

A. Princess Submersible Sewage Pumps:

1. References:
 - a. Process Area: Princess Pump Station.
 - b. Electrical Drawings: E-7
 - c. Control Schematic Drawings: E-8
 - d. Application: Existing submersible pumps.
 - e. PLC: New SCADA control panel located in new electrical gear.

2. Mechanical Equipment:

<u>Tag Number</u>	<u>Equipment Name</u>
PMP-001	Pump #1
PMP-002	Pump #2

3. General Description:

- a. The Princess Pump Station wet well contains two existing submersible non-clog pumps. Pumps #1 and #2 are VFD-driven and have a constant speed bypass backup starters.
- b. Water level in the wet well is continuously monitored by a new ultrasonic level transmitter. Back-up monitoring and control is provided by new float switches.
- c. Pumps #1 and #2 discharge through separate discharge valves located in the valve vault outside the pump station into a common 14-inch diameter pipeline.
- d. Princess Pump Station shall operate as follows:
 - 1) Pump #1 or Pump #2 pumps raw sewage entering its wet well. They operate in a lead/lag configuration. The duty/lead pump is controlled to maintain a single level set point in the wet well so that the discharge flow rate equals the influent flow rate. When flows entering the pump station rise above the turn-down capacity of the lead pump, causing the water level in the pump station to rise, the PLC shall start the lag pump, and both shall share same speed to maintain the set point. When level drops below the set point, the lag pump shall reduce the speed and shall shut down. If the level continues to lower, the lead pump shall reduce its speed and eventually shut down.
 - 2) If the VFDs fail or out of service, the pumps shall operate in identical manner by the PLC except no speed commands shall be needed.

4. Field Controls and Instrumentation:
 - a. Instruments and Protective Devices:
 - 1) Wet Well:
 - a) Level indicating transmitter (LIT-100).
 - b) Low-Low level float switch. (LS-105E) (Initial setpoint El. -6.00 ft).
 - c) Lead pump start level float switch (LS-105F). (Initial setpoint El. -5.00 ft).
 - d) Lag pump start level float switch (LS-105G). (Initial setpoint El. 3.00 ft).
 - e) High-High level float switch (LS-105H). (Initial setpoint El. 4.00 ft).
 - 2) Submersible Pumps:
 - a) Motor winding temperature switch.
 - b) Motor moisture detection sensor.
 - b. Control Devices: See details on the drawings.
 - c. Indicators and Alarms:
 - 1) Pump station wet well:
 - a) Level indicating transmitter (LIT-100).
5. MCC Controls for Pumps #1 and #2:
 - a. Control Devices:
 - 1) HAND-OFF-PLC selector switch.
 - 2) START push button.
 - 3) STOP pushbutton.
 - 4) Alarm RESET pushbutton.
 - 5) LOW-LOW LEVEL BYPASS selector switch.
 - 6) PLC/FLOAT selector switch (common switch for Pumps #1 and #2 located in an auxiliary control panel in the MCC).
 - b. Indicators and Alarms:
 - 1) RUN indication.

- 2) MOTOR OVERLOAD alarm.
 - 3) MOTOR HIGH TEMPERATURE alarm.
 - 4) MOISTURE DETECTION alarm.
 - 5) PUMPS LOW-LOW WET WELL LEVEL alarm.
- c. Hardwired Interlocks (applicable to both HAND and AUTO modes):
- 1) Motor Overload: Shut down and latch out the pump and generate MOTOR OVERLOAD alarm.
 - 2) Motor High Temperature: Shut down and latch out the pump and generate MOTOR HIGH TEMPERATURE alarm.
 - 3) Moisture Detection: Shut down and latch out the pump and generate MOISTURE DETECTION alarm.
 - 4) Pumps Low-Low Wet Well Level (LSLL-105E): Shut down the pump and generate a LOW-LOW WET WELL LEVEL alarm.
 - 5) Low-Low Wet Well Level Bypass: Activating the Low-Low Level Bypass Selector Switch deactivates the Low-Low Wet Well Level hardwired interlock and allows the water level to below lowered below the low-low wet well level set point in HAND mode.
 - 6) When a pump is "latched out", it shall not start until the RESET pushbutton is pressed.
- d. Hardwired Controls in AUTO Mode:
- 1) Automatic control of the pumps is activated by the placing the HAND-OFF-PLC switches in the MCC in PLC.
 - a) Placing the PLC control/FLOAT control selector switch in FLOAT control activates hardwired controls as detailed in this section.
 - b) Placing the PLC control/FLOAT control selector switch in PLC activates automatic PLC control as detailed under PLC/SCADA Functions.
 - 2) Float Mode: Placing the PLC control /FLOAT control switch in the FLOAT control position activates hardwired controls that control pump operation using signals from the float switches in the wet well as follows:
 - a) A Low Level float (LS-105F) starts Lead pump .The pump starts and continues to operate at full speed.
 - b) A High Level float (LS-105G), starts Lag pump and continues to operate at full speed.
 - c) A Low Low Level float (LS-105E) stops Pump #1 and Pump #2.

- 3) PLC Failure: If the PLC fails when the PLC control/FLOAT control switch is in PLC control position, hardwired controls will control pump operation using signals from the float switches in the wet well as follows:
 - a) A High Level float (LS-105G) starts Pump #1. The pump starts and continues to operate at full speed.
 - b) Following a time delay (0 to 300 seconds, default 300 seconds), Pump #2 starts and continues to operate at full speed.
 - c) A Low Low Level float (LS-105E) stops Pump #1 and Pump #2.
6. PLC/ Functions:
 - a. Automatic Controls:
 - 1) PLC control of the pumps is activated by the placing the HAND-OFF-AUTO switch at the VFD panel in AUTO and placing the PLC/FLOAT selector switch in PLC.
 - 2) Selection of Remote Manual or Remote Automatic modes can be made at the local HMI.
 - 3) Remote Manual Mode:
 - a) START-STOP control for each pump.
 - b) Manual SPEED controls for Pumps #3 and #4.
 - 4) Remote Automatic Mode:
 - a) In remote automatic mode, the PLC will normally control pump operation based on the level measured the level transmitter. The PLC can also control the pump station using levels measured by the float switches. The logic for both these modes is outlined below.
 - b) Provide a LEAD/LAG pump software selector to select order of pump operation for the small pumps (Pumps #1 and #2) (default order: PMP-001/PMP-002).
 - b. Screen Indicators and Alarms at SCADA:
 - 1) Princess Pump Station:
 - a) Level indication.
 - b) Level setpoint indication for every adjustable setpoint.
 - c) LOW-LOW WET WELL LEVEL alarm (LS-105E level float switch activated).

- d) LOW WET WELL LEVEL indication (LS-105F level float switch activated).
 - e) HIGH WET WELL LEVEL indication (LS-105G level float switch activated)
 - f) HIGH-HIGH WET WELL LEVEL indication (LS-105H level float switch activated).
 - g) PLC CONTROL MODE indication.
 - h) FLOAT CONTROL MODE indication.
- 2) Each Pump (Pumps #1 and #2):
- a) RUN indication.
 - b) AUTO indication.
 - c) LEAD/LAG designation.
 - d) MOTOR OVERLOAD alarm.
 - e) MOTOR HIGH TEMPERATURE alarm.
 - f) MOISTURE DETECTION alarm.
- c. Software Interlocks: As per constraints and descriptions above in this section.
- d. Trend Variables at SCADA:
- 1) Princess Pump Station
 - a) Wet well level indication.
 - 2) Each Princess Pump (Pumps #1 and #2):
 - a) Run time indication.

CS-103: PHONE DIALER

1. Reference: Drawing E-3
2. Summary Description:

From this 4-channel phone dialer, only two channels are use for:

- a. Combined/common alarm for PLC failure/intrusion/Utility Power failure
- b. Princess Wet well hi hi level Alarm

**SECTION 260000
REQUIREMENTS FOR ELECTRICAL WORK**

PART 1 GENERAL

1.1 DESCRIPTION

- A. This section specifies electrical work including labor, electrical material, equipment, and installation and testing requirements for complete operating system. Electrical work shall also include demolition and or relocation and modifications of existing electrical equipment as shown and specified in these specifications. All equipment requiring power and or control shall include equipment furnished under this section and other sections of the specifications. The electrical drawings included in this project document are diagrammatic only and functional in nature and do not specify exact locations of equipment or equipment terminations. The scope of this project also includes all required work for a temporary 208V and 480V three-phase power to continue service to the existing pump stations during construction of the new pump station with new 480V three-phase electric service.
- B. Besides required work at the Main WWTP and Main Street Pump Station, the Contractor shall design and build a new permanent standby power system at the following existing locations:
- a. Locust Street Pump Station
 - b. Marin City Pump Station
 - c. Gate 5 Pump Station
 - d. Anchor Street Pump Station
 - e. Spinnaker Drive Pump Station

Design work for the above sewer pump stations shall be performed by a California registered electrical engineer who has a minimum of ten years experience in design and construction of wastewater or water facilities. Submit qualifications of the design engineer for review and approval prior to performing any work.

1.2 QUALITY ASSURANCE

- A. References: This section contains references to the following documents. They are a part of this section as specified and modified. In case of conflict between the requirements of this section and those of the listed documents, the requirements of this section shall prevail.
- B. Unless otherwise specified, references to documents shall mean the documents in effect at the time of Advertisement for Bids or Invitation to Bid (or on the effective date of the Agreement if there were no Bids). If referenced documents have been discontinued by the issuing organization, references to those documents shall mean the replacement documents issued or otherwise identified by that organization or, if there are no replacement documents, the last version of the document before it was discontinued. Where document dates are given in the following listing, references to those documents shall mean the specific document version associated with that date, whether or not the document has been superseded by a version with a later date, discontinued or replaced.

Reference	Title
ANSI A58.1	Minimum Design Loads for Building and Other Structures
IEEE 81	Measuring Earth Resistivity, Ground Impedance, and Earth Surface Potentials of a Ground System
IEEE C57.12.01	General Requirements for Dry-Type Distribution and Power Transformers
NEMA 250	Enclosures for Electrical Equipment (1000 Volt Maximum)
NEMA ICS 1	General Standards for Industrial Controls and Systems
NEMA ICS 2	Industrial Control Devices, Controllers, and Assemblies
NEMA ICS 6	Enclosures for Industrial Controls and Systems
NEMA ST20	Dry-Type Transformers for General Application
NEMA WD 1	General Requirements for Wiring Devices
NFPA 70	National Electrical Code (NEC)
CBC	California Building Code
UL 50	Enclosures for Electrical Equipment
UL 62	Flexible Cords and Cables
UL 67	Panelboards
UL 489	Molded-Case Circuit Breakers and Circuit Breaker Enclosures
UL 506	Specialty Transformers
UI 508A	Standard for Industrial Control Panel

- C. Identification of Listed Products: Electrical equipment and materials shall be listed by an independent testing laboratory for the purpose for which they are to be used. Three such organizations are Underwriters Laboratories, Inc. (UL), Canadian Standards Association (CSA), and Electrical Testing Laboratories (ETL). The independent testing laboratory shall be acceptable to the inspection authority having jurisdiction.

1.3 SUBMITTALS

- A. The following specific submittal requirements for the switchgear, switchboard, motors, transformers, power distribution panels and starters or VFD's shall be followed:
 - 1. Contractor shall formally place an order with equipment manufacturer within thirty days of the award of the contract. The order shall include all relevant drawings and specs including any addendum items associated with the equipment.

2. Contractor shall submit shop drawings to the District as soon as possible or within 6 weeks of the order being placed for long lead items such as standby generator.
 3. Contractor shall forward review comments to equipment manufacturer within two days after receipt of the comments by the District.
 4. Contractor shall provide the release for manufacturing to equipment manufacturer within 3 business days of receiving a favorably reviewed submittal and shall provide the District with the shipping date.
 5. Prior to shipment, a factory test of the equipment witnessed by the District shall occur.
- C. The following information shall be provided for all electrical equipment and materials in accordance with Section 013000:
1. Verification of fault withstands and interrupting ratings of all applicable power equipment and devices.
 2. Interconnection diagrams -The Contractor shall prepare interconnection diagrams depicting all cable requirements together with their actual terminations as specified below.

Interconnection diagrams shall be drawn to reflect actual, physical relationship between equipment and or components as shown on the drawings. The interconnection diagram shall indicate wiring between panels, terminal boxes, remotely mounted devices, and motor starters. The diagrams shall interface with the manufacturer's internal connection diagrams for panels. The diagrams shall indicate the terminations to terminal blocks of field devices at each end of the cable, the number of conductors in the cable, the size of wire, and the number of spare conductors. For each termination, the diagrams shall indicate the terminal number, wire color, and wire number as it appears on the wire marker. All terminal blocks, including spares, shall be indicated on the diagrams. Interconnection diagrams shall be provided for review and approval prior to installation of equipment.
 3. Catalog-cuts including technical specifications, application information, ratings, make and model number, and other information required to verify the equipment and/or material meets the requirements of this specification.
 4. A copy of this specification section with addenda updates, and all referenced sections with addenda updates, with each paragraph check marked to show specification compliance or marked to show deviations.

1.4 PROJECT/SITE CONDITIONS

- A. General: Unless otherwise specified, equipment and material shall be sized and rated for an ambient temperature of 40 degrees C at an elevation ranging from sea level to 100 feet without exceeding the manufacturer's stated ratings. All outdoor locations shall be

considered as corrosive areas. Enclosures for outdoors, unless otherwise noted, shall be NEMA 4X, 316 stainless steel.

- B. Seismic: All electrical equipment and supports shall be braced in accordance with latest California Building Code.
- C. Classified Hazardous locations: The sewage wet well shall be Class 1 Division I hazardous location as defined by current NEC. The flow metering vault shall be Class 1 Division 2 hazardous location as defined by current NEC. All electrical and or devices located in such locations shall be classified and suitable for use in such classified locations. All conduits entering or leaving the hazardous locations shall be provided with "eys" seals per NEC.

1.5 ELECTRIC SERVICE

Electric service for this facility is new and provided by Pacific Gas and Electric Company. A new service request and application have been submitted to PG&E. Contractor, however, shall be responsible for coordinating this work with PG&E and the DISTRICT to ensure a timely installation of the service. Contractor shall be responsible for trenching, furnishing and installation of underground service conduits, transformer's concrete pad, bollards, grounding as detailed on the drawings. Coordination with the District's staff and with PG&E is required. If there is conflict between design drawings and PG&E drawings, PG&E drawings shall govern.

The Contractor shall also be responsible for providing temporary 240V three-phase power to maintain existing pump station operations. This can be accomplished by either diesel driven pump or rental, portable generator. (The Contractor shall not be allowed to utilize existing standby generator unit during switchover because of limited allowed running hours from the Air Board). After successful switch-over to new pump station electric service, all temporary service equipment and existing unused electric equipment shall be disconnected and removed by the Contractor. Return existing get set to the District.

Refer to Section 011400- Work Restrictions for general sequence of work and project constraints. General electrical sequence of work is part of the general sequence and is as shown on the drawings.

PART 2 PRODUCTS

2.1 GENERAL

Equipment and materials shall be new and free from defects. All equipment of the same or similar type shall be of the same manufacturer throughout the work. Standard production materials shall be used wherever possible.

2.2 RACEWAY, FITTINGS, AND SUPPORTS

- A. General: Conduit shall be provided for power, control, instrumentation, grounding, lighting, receptacles, and signaling systems.

B. Raceway:

1. All exposed conduit, indoor and outdoor, shall be threaded, PVC-coated galvanized, rigid steel conduit. All conduit accessories such as couplings, elbows, junction boxes etc. shall also be PVC-coated galvanized steel. Minimum size of conduit shall be 3/4 inch. Bushings shall be galvanized, malleable iron with insulating collars. Grounding bushings shall be locking type with a feed through compression lug. Unions shall be galvanized, ferrous alloy type. Threadless fittings are not acceptable. Running threads shall not be used in lieu of conduit nipples. Threaded hubs shall be used to terminate conduits entering boxes. PVC-coated RGS conduit shall be manufactured by Robroy Industries, Perma-Cote Industries, or equal.

If required, for conduit installed internally to the MCC auxiliary enclosure, conduit and accessories may be RGS as manufactured by Allied Tube and Conduit, Appleton, PWC Inc. or equal.

2. Liquidtight, flexible steel conduit shall be formed from spirally wound, galvanized steel strip with successive convolutions securely interlocked and jacketed with liquidtight, plastic cover. Minimum size shall be 3/4 inch. Fittings for liquidtight conduit shall have cadmium-plated, malleable iron body and gland nut with cast-in lug, brass grounding ferrule threaded to engage conduit spiral and O-ring seals around the conduit and box connection and insulated throat. Forty-five and 90-degree fittings shall be used where applicable.
3. Embedded or encased underground conduit shall be Schedule 40, high impact, polyvinyl chloride (PVC). Minimum size shall be 1 inch.
4. Fittings for PVC conduit shall be solvent welded type.

C. Boxes:

1. Boxes for use outdoors and in process areas shall be hot-dip, galvanized cast ferrous alloy type FD with integrally cast-threaded hubs for conduit entry. Boxes larger than FD boxes shall be welded steel and hot-dip galvanized after fabrication. Use PVC-coated boxes where PVC-coated RGS conduits are used.
2. Boxes installed in areas where electrical metallic tubing is specified shall be standard UL approved electrogalvanized sheet steel, 4-inch square or octagon minimum trade size.
3. Conduit bodies shall be ferrous alloy type with screw taps for fastening covers. Gaskets shall be made of neoprene.
4. Boxes for hazardous locations as indicated on the drawings shall be suitable for Class 1 Div I hazardous location. They shall be corrosive resistant, high tensile strength malleable iron and with threaded aluminum cover. They shall be manufactured by Crouse-Hinds, Appleton Electric, or equal.

- D. Raceway Supports: Stainless steel framing channels shall be used to support groups of conduit. Individual conduit supports shall be one-hole stainless steel malleable iron pipe straps with stainless steel clamp backs and nesting backs where required. Ceiling hangers shall be adjustable, stainless steel rod hangers. Straps or plumbers tape are not acceptable. Hanger rods shall be 1/2-inch all thread stainless steel rod.
- E. Concrete Pull Boxes: Provide and install pre-cast concrete pull boxes in locations indicated on the Drawings and as required by NEC. Pull boxes shall be:
 - 1. Designed for heavy traffic conditions, with pull box and cover designed for heavy traffic bridge loading H-20
 - 2. Minimum 2 feet by 3 feet by 3 feet depth with 3/4 inch diameter pulling irons located at each end. Concrete material shall be reinforced Class A.
 - 3. Provided with engraved "Electrical" or "Instrumentation" or "Telephone" as applicable on the top side of the covers.
- F. Utility Pull Boxes: Provide and install utility pull boxes, precast, of the type approved by PG&E and as detailed on the drawings. Shop drawings shall be submitted for review and approval.

2.3 CONDUCTORS, WIRE, AND CABLE

- A. General: Conductors, wires, and cable shall be provided for power, control, lighting, receptacles, instrumentation, grounding and signal circuits. The quantity and size of conductors shall be as specified.
- B. Power and Control Conductors: Power and control conductors shall be single conductor, stranded, annealed copper with 600-volt THWN/THHN polyvinyl chloride (PVC) insulation, Okonite, Okoseal-N; CABLEC; or equal.
- C. Lighting and Receptacle Circuit Conductors: Conductors for lighting and receptacle circuits shall be single conductor, annealed copper with 600-volt THWN/THHN PVC insulation. Conductor sizes No. 10 AWG and larger shall be stranded. No. 12 AWG shall be solid conductor. Minimum conductor size shall be No. 12 AWG. Conductors shall be Okonite, Okoseal-N; CABLEC; or equal.
- D. Grounding Conductors: Grounding conductors shall be as specified in paragraph 260000-2.7 A.
- E. Instrumentation and Signal Cable: Cable for instrumentation and signal circuit shall be twisted shielded pair or triad as specified, No. 16 AWG 7-strand copper with 600-volt PVC insulation, 100 percent aluminum-Mylar tape shield, No. 18 AWG tinned copper drain wire and overall PVC jacket, Okonite, Okoseal-N type P-OS, CABLEC, or equal.
- F. Splicing and Terminating Materials:
 - 1. Connectors: Connectors for stranded conductors shall be tool applied tin-plated copper, compression type of the correct size and UL approved for the application.

Connectors for wire sizes No. 10 AWG and smaller shall be nylon self-insulated, ring tongue or locking-spade terminals. Connectors for wire sizes No. 8 AWG and larger shall be one-hole lugs up to size No. 3/0 AWG and two-hole or four-hole for size 4/0 AWG and larger. Mechanical clamp, dimple, or screw-type connectors are not acceptable.

480-volt motor terminations shall be made using bolt connected lugged connectors and factory engineered kits consisting of heat shrinkable, polymeric insulating material with high dielectric strength mastic sealant.

Termination of solenoid valves, 120-volt motors, and other devices furnished with pigtail leads shall be made using self-insulating, tubular compression connectors.

2. Terminal Blocks: Terminal blocks shall be provided for external control and power wires size 10 AWG and smaller. Terminal blocks shall be 600 volts, heavy-duty, rated 20 amperes for control and 30 amperes for power.
- G. Wire Markers: Wire markers shall be yellow or white shrink tubing, Thermofit Marker System (TMS) by Raychem Co., Thomas and Betts equivalent, or equal for conductors No. 10 AWG and smaller and locking tab cable markers, W.H. Brady Co., Thomas and Betts, or equal, for conductors No. 8 and larger. Letters and numbers identifying each conductor shall be machine printed in permanent black ink.

2.4 WIRING DEVICES

- A. General: Receptacles, plugs, switches, and appurtenances shall be provided as specified on the drawings. Wiring devices shall be UL approved for the current and voltage specified and shall comply with NEMA WD-1. Receptacles and switches shall be premium, specification grade. GFI type in weather proof cover/box shall be provided for all outdoor receptacles.
- B. Receptacles: Receptacles shall be 20A, 120V, and grounding type. Receptacles for use outdoors and in wet process areas shall be corrosion resistant, GFI type, marine duty with polycarbonate weatherproof lift covers, Hubbell 53CM62/ 53CM21, General Electric, or equal.
- C. Switches: Switches for use outdoors and in wet process areas shall be 20 amp, press-switch type with weatherproof, corrosion-resistant neoprene plate, Hubbell, Arrow-Hart, or equal. Switches located in classified hazardous locations shall be suitable for installation in such locations. They shall be made by Killard Hazloc Series, Appleton, Crouse-Hinds, or equal.

2.5 INDIVIDUAL MOTOR STARTERS

- A. General: Unless otherwise specified, individual motor starters shall be combination type with molded case motor circuit protector, 3-pole, 600-volt AC contactor, and three overload relays, NEMA size 1 minimum. Each motor starter shall be individually enclosed. Starters shall be manufactured by Cutler-Hammer, Allen-Bradley, General Electric, or equal.

- B. Enclosures: The door of the motor starter enclosure shall be interlocked with an externally operated disconnect handle, lockable in the open position. Enclosures shall be NEMA 12 for dry indoor areas and NEMA 4X for outdoor and wet process areas.
- C. Motor Branch Circuit Protection: Molded case motor circuit protectors shall be magnetic only with trip settings adjustable over a range of 700 to 1300 percent of the full load current of the motor served. The motor circuit protector, in conjunction with the starter, shall be rated to interrupt 42,000 amperes (symmetrical) unless otherwise specified.
- D. Overload Relay: Overload relays shall be bimetallic type with separate heaters for each of the three poles. Reset shall be accomplished with a reset pushbutton located on the unit door exterior. Trip setting shall be adjustable from 85 to 115 percent of the motor full load rating.
- E. Control Circuits: Control power transformers, fuses, and control devices shall be provided as specified. Two control transformer primary fuses, rated to interrupt 200,000 amperes at 600 volts, shall be provided on all motor starters. Each motor starter shall be provided with one control circuit secondary fuse rated to interrupt 10,000 amperes at 250 volts and sized at 125 percent of the control circuit full load current. Control circuit shall be 120 V with grounded leg.
- F. Wiring: Conductors shall be switchboard type and rated 90 degrees C above ambient temperature. Conductor shall be identified with tag numbers as specified.
- G. Manual Starters: Manual starters shall be provided as indicated on the drawings. Manual starters shall consist of a horsepower rated quick-make, quick-break toggle mechanism together with one or two overloads as specified. Voltage shall be as specified. Indoor and dry location shall be NEMA 12. For outdoor and wet process area, they shall be NEMA 4X corrosive resistant. For classified hazardous locations, they shall be rated for such locations and shall be manufactured by Square D, General Electric, or equal.

2.6 ELECTRICAL CONTROL DEVICES

- A. Control Devices:
 - 1. PUSHBUTTONS: Pushbuttons shall be flush head, 30mm diameter, heavy-duty, industrial, 600 volts, 10 amperes, continuous with NEMA rating to match enclosure types. Pushbutton operators shall be red for stop functions and black for all other functions. Escutcheon plates shall be as specified on the drawings. For field mounted pushbuttons, each stop pushbutton shall be provided with a galvanized steel padlockable mechanism. For hazardous locations, the control stations shall be rated as explosion proof suitable for such locations. They shall be Killark Hazloc Series, Appleton, Crouse-Hinds or equal.
 - 2. Selector Switches: Selector switches shall be heavy-duty, 30mm diameter, industrial type, with NEMA rating to match enclosure type, rated 600-volt, 10-ampere, continuous. Switches shall be provided with contact blocks and number of positions as required performing the specified operations. The escutcheon legend shall be as specified on the drawings.

3. Indicating Lights: Indicating lights shall be 30mm diameter, industrial, heavy-duty, push-to-test, transformer type with LED lamps. Indicating lights shall be NEMA rated to match enclosure type. Color of lens shall be as specified.
4. Control Stations: Unless otherwise specified, control stations shall be NEMA 12 or 13 for dry, indoor locations and NEMA 4X for process areas and outdoor locations, Allen Bradley Bulletin 800H, Crouse-Hinds NCS series, or equal.
5. Security (limit switches). Security switches shall be heavy duty, industrial type with adjustable arm, lever made of stainless steel material. Each switch shall have one normally open and one normally closed contact rated for at least 5A at 120VAC. The switches shall be NEMA 4X, and shall be as manufactured by General Electric, Square D, or equal.

B. Control Relays:

1. Load-Switching Control Relays: Control relays used for switching loads (solenoids, actuators, contactors, motor starter coils, etc.) shall be heavy-duty, machine tool type. Relays that have contacts used for remote interlocking or for which the switching load is not shown shall also be heavy-duty machine tool type.

Contacts shall, as a minimum, be 4-pole and be field interchangeable to either normally open or normally closed. Relay shall be capable of accepting a 4-pole adder.

AC relays shall have NEMA A600 contact ratings and electrical clearances for up to 600 volts. DC relays shall have NEMA P300 contact ratings and electrical clearances of up to 250 volts.

Relays shall be Allen Bradley Bulletin-700, Square D Class 8501, or equal.

2. Logic Level Switching Control Relays: Control relays used for switching solid-state logic and signal circuits shall be Potter Brumfield series KUP, Schrack Series RA, or equal. Relays shall have a minimum of three SPDT, gold-flashed, fine silver contacts rated 3 amps resistive at 120 volts AC or 28 volts DC. Relay shall be plug-in type with heavy-duty, barrier-protected screw terminal sockets and clear polycarbonate dust cover with clip fastener. AC models shall have neon lamp indicator wired in parallel with coil.
3. Timing Relays: Solid state timing relays shall be pulse-count type using a high frequency RC oscillator and integrated circuit counter for timing. Electrolytic capacitors shall not be used in the timing circuits. Time delays from 0.1 seconds to 48 hours shall be available with each timer model adjustable over a 20:1 range. On-delay, off-delay, and single-shot timing models shall be available. Timer shall reset in 0.03 seconds or less. Timer accuracy shall be plus or minus 2.0 percent under normal conditions. The timing relay shall have two NEMA Form-C timed contacts. Solid state timing relays shall be Agastat, STA series, Eagle Signals equivalent, or equal.

4. Intrinsically Safe Relays: These relays shall be suitable for use with 24VDC power source with pilot devices located in hazardous classified locations as defined by NEC Article 500. The relays shall be manufactured by:
 - a. R.K Electronics
 - b. Gem Sensors Divison of IMO, Inc
 - c. R. Stalh, Inc.
 - d. Idec
 - e. Equal

Provide each relay with two DPDT contacts each rated for at least 5-amp.

All float switches located in Class 1 Div I hazardous location shall be provided with intrinsically safe relays located in control panel or where specifically shown on the drawings .

2.7 GROUNDING MATERIAL

- A. Cable: Grounding cable shall be concentric stranded, annealed bare copper. Cable size shall be as specified, and shall not be smaller than #4/0 AWG.
- B. Ground Rods: Ground rods shall be copper-covered steel, 3/4-inch diameter, and 10 feet long. Rods shall have threaded type, removable caps so that extension rods of the same diameter and length may be added where necessary. The rods shall be manufactured by ERICO, Galvan Industries, Inc. or equal.
- C. Compression Connectors: Compression connectors shall be cast copper as manufactured by Thomas and Betts, OZ. Gedney, or equal.
- D. Bolted Connectors: Bolted connectors shall be Burndy, O.Z. Gedney, or equal.
- E. Equipment Grounding: Install a green insulated equipment grounding conductor with each feeder and branch circuit from the power source grounding means to the load equipment or device.

2.8 LIGHTING FIXTURES:

- A. General: Unless otherwise specified, lighting materials, including fixtures, accessories and hardware, shall conform with the requirements specified on the applicable LIGHTSPECS in paragraph 260000-3.08 B. Lighting fixtures shall be provided where specified.

Where recessed fixtures are required, the fixture shall be provided with mounting hardware for the ceiling system specified. Catalog numbers given on the applicable LIGHTSPECS shall not be used for selection of mounting hardware, but only as a reference to the type of fixture required. A concealed latch and hinge mechanism shall be provided to permit access to the lamps and ballasts and for removal and replacement of the diffuser without removing the fixture from ceiling panels. Fixtures recessed in concrete shall have protective coating of bituminous paint.

Fixtures shall be aligned and directed to illuminate an area as specified. Fixtures shall be directly and rigidly mounted on their supporting structures. Unless otherwise specified, conduit system shall not be used to support fixtures. Where brackets or supports for lighting fixtures are welded to steel members, the welded area shall be treated with rust-resistant primer and finish paint.

B. Ballasts:

1. **Fluorescent Magnetic:** Ballasts for fluorescent lamps shall be nonleaking, thermosetting, compound filled, rated for 120-volt service unless otherwise specified. Ballasts shall be Class P, protected type, high power factor and shall carry the Certified Ballast Manufacturer's (CBM) and UL labels. Ballasts for fixtures located in covered, unheated areas and open areas shall be low temperature type. Electronic ballasts are also acceptable. They shall be low harmonic type and shall also have CBM and UL labels.
2. **Mercury Vapor and Metal Halide:** Ballasts for mercury vapor and metal halide lamps shall be autotransformer regulator high power factor type with a sound rating of "B" maximum. Starting current shall not exceed normal running current.
3. **High Pressure Sodium:** Ballasts for high pressure sodium lamps shall be autotransformer high power factor type. Starting current shall not exceed normal running current.

C. Lamps:

1. **Acceptable Products:** Lamps shall be General Electric, North American Philips (Norelco), Sylvania, Venture Lighting International, or equal.
2. **General:** Lamps shall be as specified on the applicable LIGHTSPECS.

Unless otherwise specified, fluorescent lamps shall be warm white, and mercury vapor lamps shall be phosphor-coated, deluxe white.

Unless otherwise specified, metal halide and high-pressure sodium lamp envelopes shall be clear.

Incandescent lamps shall be rated 130 volts AC. Unless otherwise specified, lamp shape shall be standard A or PS and envelope shall be frosted inside.

- D. Photoelectric Cell Units:** Where shown and required on the drawings, photoelectric cell units shall consist of a cadmium sulfide cell housed in a plug receptacle assembly. The plug receptacle assembly shall be three-prong polarized locking type. Assembly shall be suitable for outdoor mounting and shall be rated for 1800 VA at 120 volts maximum capacity.
- E. Lighting Fixture Specifications Sheets (Lightspec):** Lighting fixtures, including their related accessories, options, and hardware are specified on individual specification sheets (LIGHTSPECS) located at the end of this section. Each LIGHTSPEC and lighting fixture type shown on the drawings is identified with a unique acronym of three or four digits, as follows:

1. Lamp Sources: The first digit of the fixture type indicates the basic or predominant lamp source from which the light is produced, as follows:

A	=	Atomic (self-energized)
F	=	Fluorescent
H	=	Mercury vapor (Hg)
I	=	Incandescent
LED	=	Light Emitting Diode
HPS	=	High pressure sodium
M	=	Metal halide
N	=	Neon
X	=	Xenon

2. Family Groups: The second digit of the fixture type indicates a "family" of fixtures which share the same primary physical characteristics, such as appearance, construction or function. The family general requirements apply to all members of the family. The third digit of a four-digit fixture type, if used, distinguishes individual family members which have differing secondary features, options or multiple characteristics. All family members shall be produced by the same manufacturer and have matching characteristics. Family groups are as follows:

A	=	Area light
B	=	Bare lampholder or striplight
C	=	Corrosion-resistant
D	=	Downlight
E	=	Emergency
F	=	Floodlight
G	=	General, diffuse
H	=	Hazardous location
I	=	Industrial
L	=	Louver lens
M	=	Metal sided
N	=	Sign
O	=	Pool, or fountain, underwater
P	=	Patterned plastic lens
R	=	Roadway/parking lot
S	=	Steplight
T	=	Track-mounted
U	=	Under-cabinet
V	=	Vaportight
W	=	Wraparound lens
X	=	Exit sign

Lighting fixtures specified in the LIGHTSPECS are alphabetically arranged by lamp source and function.

3. Mounting Arrangement: The last digit of the fixture type distinguishes family members which have differing mounting arrangements, as follows:

B	=	Bollard
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C	=	Cove
G	=	Ground
H	=	Handrail or pipe batten
L	=	poLe
M	=	seMi-recessed
P	=	Pendant
R	=	Recessed
S	=	Surface
V	=	Valance
W	=	Wall

F. Example Catalog Numbers: The manufacturer's catalog numbers listed are examples of the basic model, or series, and the overall quality required. While the referenced catalog numbers attempt to be as definitive as available literature permits, such items as voltage, mounting style, modifications, and other special features may not be included. The Contractor, supplier, and manufacturer shall verify and provide all of the specified requirements.

G. Lighting Fixture Spec. Sheet:

Family Group: F – Fluorescent
 Group Description: Industrial fluorescent fully enclosed and gasketed. Outdoor use, nominally 8-inch wide by 4 feet long. Ceiling mounted.
 Family Members: F 1 Fixtures
 Construction: Housing: Corrosive resistance, one piece, polycarbonated, gasketed, lens is securely clamped to fixture housing.
Finish: White color finish
 Lighting: Distribution: General downlight.
Reflector: Polycarbonate Lens
Shielding: None.
 Lamps: Energy saving T8, two lamps
 Electrical: Input voltage: 120
Ballasts: Ultrapremium grade, magnetic or electronic, low harmonic type.
 Mounting: Mounting: On ceiling
Outlet boxes: PVC-coated
 Acceptable Products: Appleton Electric FR Series, Holophane ES Series, or equal.

Family Members: F 2 Fixtures
 Construction: Housing: Industrial strip light
Finish: Gray color finish
 Lighting: Distribution: General downlight.
Reflector: None
Shielding: None.
 Lamps: Energy saving T8, one lamp
 Electrical: Input voltage: 120

	<u>Ballasts</u> : Ultraspremium grade, magnetic or electronic, low harmonic type.
Mounting:	<u>Mounting</u> : On ceiling <u>Outlet boxes</u> : Cast metal with threaded conduit entries.
Acceptable Products:	Holophane SN Series, Lithonia, or equal.
Electrical:	<u>Input voltage</u> : 120V <u>Ballasts</u> : High power factor, copper windings
Family Group:	I - Incandescent
Group Description:	Wall mounted or Stanchion Mounted, Incandescent Type
Family Members:	I-1 Not used
Family Members:	I-2
Construction:	<u>Fixture Housing</u> : Enclosed and fully gasketed suitable for outdoor installation with heavy duty guard, glass globe and external reflector.
Mounting:	Stanchion with angle
Lighting:	Down light
Lamps:	Incandescent 150W lamp
Electrical:	Input Voltage: 120VAC
Acceptable Products:	Appleton StyleMaster Series, Hubbell NV2 Series, or equal.
Family Members:	I-3
Construction:	<u>Fixture Housing</u> : Enclosed and gasketed suitable for Class 1 Div I hazardous location. Heavy duty wire guard, cast iron, corrosive resistant housing.
Mounting:	Wall mounted
Lighting:	Side distribution
Lamps:	Incandescent 150W lamp
Electrical:	

2.9 DISTRIBUTION EQUIPMENT

- A. Panelboards: Panelboards shall be circuit breaker, dead front type with bus bar construction. Panelboards shall be composed of individually mounted circuit breakers designed to be removed without disturbing other breakers.

Bus shall be tin-plated copper and shall have a current rating as shown on the panel schedules sized in accordance with UL 67. Panel fault withstand rating shall be equal to the interrupting rating of the smallest circuit breaker in the panel.

Panelboards shall be provided with a separate ground bus and a full capacity neutral bus. Neutral bus shall be mounted on insulated standoffs with removable link connector to ground bus. Provide an inside pocket to hold circuit directory. Panelboards shall be Cutler-Hammer, Allen-Bradley, or equal.

For outdoor locations, provide lighting panels with NEMA 4X enclosures with padlockable provisions.

- B. Circuit Breakers: Circuit breakers shall be molded-case type provided for the current ratings and pole configurations specified on the panelboard schedule. Circuit breakers rated 120/208 volts and 120/240 volts AC shall have a minimum interrupting current rating of 22K amperes (symmetrical) at 240 volts AC. Circuit breakers rated 277/480 volts AC shall have a minimum interrupting current rating of 42K amperes (symmetrical) at 480 volts.

Circuit breakers shall be bolt-on type.

Circuit breakers shall be listed in accordance with UL-489 for the service specified. Breakers rated 200A or higher shall be provided with solid-state trip unit with multi-functions.

Load terminals of circuit breakers shall be solderless connectors.

Circuit breakers and panelboards shall be products of the same manufacturer.

- C. Dry-type Transformers: Transformers shall conform to IEEE-C57.12.01 and UL 506. The voltage, frequency, number of phases, and KVA rating shall be as specified. Transformers shall have "Energy Star" label as required by latest California Energy Regulations Title 24. They shall be manufactured by Cutler-Hammer, Square D, General Electric, or equal.

Transformers 15 KVA and above shall have a Class 220 insulation system in accordance with NEMA ST20. Transformers 25 KVA and greater shall be designed not to exceed 80 degrees C temperature rise.

Transformer coils shall be copper windings. Aluminum windings shall not be acceptable. Transformer coils 15 KVA and above shall be impregnated with varnish. Transformer coils 10 KVA and below shall be encapsulated.

Transformers shall have electrically isolated primary and secondary windings. Primary and secondary winding configurations shall be as specified. Provisions shall be made to permit separate grounding of the neutral conductor and the enclosure. Single-phase transformers shall be the four winding type.

Transformers 15 KVA and above shall be provided with two 2-1/2 percent full capacity taps above normal voltage and four 2-1/2 percent full capacity taps below rated voltage on the primary winding.

Terminal compartments shall be sized to permit termination of cables specified. Terminal connections shall be made in the bottom third of the enclosure. The terminals shall be copper and sized for the cable specified.

Transformers rated 15 KVA and smaller shall be provided with weatherproof, non-ventilated enclosures.

Indoor transformers rated greater than 15 KVA shall be provided with drip-proof, ventilated enclosures. Outdoor transformers shall have weatherproof enclosures.

2.10 PORTABLE GENERATOR CAMLOK TERMINATION PANEL

Portable generator receptacle shall be rated 600A 480V 3-pole in male configuration as detailed on the drawings.

2.11 SURGE SUPPRESSION SYSTEM

Provide and install an UL 1449 listed surge suppression system of latest MOV type technology for each of the main service switchboard or switchgear. The suppressor shall be of voltage type with thermal fusing. The suppressor shall be capable of withstanding 100,000 amperes per phase and shall be equipped with LED indicator, dry contact for remote alarm and local audible alarm. It shall be Square D SurgeLogic XW series, Cutler-Hammer, or General Electric, or equal system suitable for use with 480/277V three-phase four-wire service. A main service MCC shall also be provided with surge suppression system.

2.12 NAMEPLATES

Nameplates shall be made from laminated phenolic plastic. The nominal size of the nameplates shall be 3/4 inch high by 2 inches long. Nameplates shall have black backgrounds with 1/4-inch white letters. If abbreviations are required because of space limitations, abbreviations shall be submitted to the Construction Manager for approval prior to manufacture. Nameplates shall be fastened using self-tapping stainless steel screws. The use of adhesives will not be permitted on the outside of enclosures.

2.13 AUTOMATIC TELEPHONE DIALER

Phone dialer shall have the following characteristics:

- a. 8-channels
- b. 120VAC power input and built-in battery backup
- c. NEMA 4X enclosure with programming keypad
- d. Sensaphone Model 1800 to match the District's Standards

2.14 LIMIT SWITCHES

Limit switches shall be NEMA 4X, corrosive resistant, rated suitable for monitoring door's opening to trigger two DPDT 5A rated contacts. They shall be heavy duty, industrial type made by Allen-Bradley 800 series, Square D or equal.

2.15 MOTOR CONTROL CENTER

See electrical section 269200 600V Motor Control Center.

2.16 SUMP TERMINATION PANEL

Sump termination panel shall be designed to prevent corrosive and combustible gas from entering motor control centers or motor controllers where arcing devices such as starters and contactors, relays are located.

The sump termination panel shall have the following characteristics:

- a. Manufactured as a standard line of products with applicable UL listing.
- b. Enclosure shall be NEMA 4X 316 stainless steel, three-point latch, padlockable handles.
- c. Use of dual seals between conduit entries and protected area.
- d. Enclosure to have side-by-side configuration with louvers.
- e. Power terminals shall be rated for 600V 42kA minimum for all 480V power cable terminations, and with barriers.
- f. Provide Roxtec flange-style sealing plates between compartments.
- g. Side hinges, full length, continuous welded seams.
- h. Manufactured by Tesco. No known equivalent products exist.

2.17 MOTOR PROTECTION RELAYS

Motor protection relays shall have following characteristics:

- a. Suitable for protection of 3 phase 480V motor against underload, overload, phase loss, phase imbalance, voltage imbalance, current imbalance, ground fault and motor stall.
- b. Power input: 120VAC with LED or LCD displays
- c. Output: one NO and one NC relay contact
- d. Mounting DIN rail type or back panel mounted
- e. Three current inputs from current transformers

The motor protection relay shall be manufactured by SymCom MotorSaver 777-P2 Series, Franklin Electric, GE/ABB Multilin, or equal.

PART 3 EXECUTION

3.1 GENERAL

Unless otherwise detailed or dimensioned, electrical layout drawings are diagrammatic. Actual conduit installation shall be coordinated with field conditions. Junction boxes and pull boxes with quantity as required shall be furnished and installed. The Contractor shall coordinate the location of electrical material and equipment with the work.

Electrical equipment shall be protected from dust, water, and damage.

3.2 RACEWAY, FITTINGS, AND SUPPORTS

- A. General: The Contractor shall limit the number of directional changes of conduit to a total of not more than 270 degrees in any run between pull boxes. Conduit runs shall be limited to 400 feet, less 100 feet for each 90-degree change in direction.

Signal conduit shall be separated from AC power and control conduits. The minimum separation shall be 12 inches for rigid steel and 24 inches for PVC conduits.

Tag power, signal and control conduits with stainless steel tag fastened to conduit with stainless steel cable. Tags shall be engraved with identification as designated on the single-line diagrams. Tags shall be installed at each conduit termination to an enclosure, MCC, control panel, disconnect, motor j-box, signal transmitter, sensor etc..

Cap each spare conduit as per detail shown on the drawings.

- B. Exposed Conduits: Rigid metallic conduit shall be assembled to provide a continuous ground path. Joints shall be made with standard couplings or threaded unions. Bends and offsets shall be made with a hicky or conduit bending machine or shall be factory preformed bends.

Exposed conduit shall be run on supports spaced not more than 10 feet apart and shall be constructed with runs parallel and perpendicular to walls, structural members, or intersections of vertical planes and ceiling. No conduit shall approach closer than 6 inches to any object operating above 30 degrees C.

Conduit supports shall be secured to concrete walls and ceilings by means of cast-in-place anchors, die cast, rustproof alloy expansion shields, or cast-flush anchors. Wooden plugs, plastic inserts, or gunpowder driven inserts are not acceptable as a base for securing conduit supports.

Liquidtight, flexible steel conduit shall be used for the final connection to equipment, devices, and instruments where flexibility is required.

The length of liquidtight flexible steel conduit shall not exceed the lesser of 15 times the trade diameter of the conduit or 36 inches.

- C. Embedded or Encased Conduit: Conduits constructed in concrete which is in contact with earth shall be separated from earth by at least 3 inches of concrete. Clearances equal to the nominal conduit diameter but not less than 2 inches, shall be maintained between encased or embedded conduits except where conduits cross or terminate.
- D. PVC-coated Rigid Galvanized Steel Conduit: All exposed conduits shall be PVC-coated rigid galvanized steel with matching conduit boxes and accessories.
- E. "Eys" seals: Install "Eys" seals as required per NEC, and as shown on the drawings for hazardous locations and locations as identified on the drawings. Fill the seals with compound recommended by the seal manufacturer.

3.3 CONDUCTORS, WIRE, AND CABLE

- A. General: Raceway construction shall be complete, cleaned, and protected from the weather prior to wire and cable being installed. Pulling wire and cable into conduit shall be completed without damaging or putting undue stress on the cable insulation. Soapstone, Talc, or UL listed pulling compounds are acceptable lubricants for pulling wire and cable. Grease is not acceptable. Nylon pull rope shall be pulled through the conduit immediately after concrete pour.

Each power, control, signal, and instrumentation conductor shall be identified at each terminal to which it is connected utilizing the wire markers specified in paragraph 260000-2.03 G.

600-volt conductors will be color coded as follows:

<u>480 V Power</u>	<u>120/208V Lighting and Receptacles</u>
Phase A – Brown	Phase A – Black
Phase B – Orange	Phase B – Red
Phase C – Yellow	Phase C – Blue
Neutral – White	Neutral – White
Ground – Green	Ground – Green

- B. 600-Volt Conductor and Cable: Slack shall be provided in junction and pull boxes. Slack shall be sufficient to allow cable or conductors to be routed along the walls of the box.

Conductors crossing hinges shall be bundled in groups not exceeding 12 and shall be so arranged that they will be protected from chaffing when the hinged member is moved.

Raceway fill limitations shall be as defined by NEC and the following:

Lighting and receptacle circuits may be together in the same conduit in accordance with derating requirements of the NEC. However, lighting and receptacle circuits shall not be in conduit with other circuits.

Solid wire shall not be lugged nor shall electrical spring connectors be used on any except for solid wires in lighting and receptacle circuits. Lugs and connectors shall be installed with a compression tool.

For submersible pump cable installation, follow the pump manufacturer’s recommendations and requirements. Furnish and install 316 stainless steel cable grips required to properly support the cables in addition to 316 stainless steel hooks and heavy duty, non corrosive, fiberglass cable ties as required. Cable grips shall be manufactured by Kellems, Hubbell, or equal.

- C. Signal and Instrumentation Cables: Signal and instrumentation circuits shall be run as individual shield twisted pairs or triads. Triads shall be used wherever three-wire circuits are required. Terminal blocks shall be provided at cable junction for running signal leads and shield drain wires. Each conductor shall be identified at such junctions. Instrumentation cables shall be continuous without any splices.

Shields shall not be used as a ground path.

3.4 WIRING DEVICES

Switches and receptacles for use outdoors and in process areas shall be mounted in "FD" type boxes or PVC-coated boxes in locations specified. Unless otherwise specified, switches shall be mounted 48 inches above the floor. Receptacles shall be mounted 18 inches above the floor in finished areas and 48 inches above the floor in process areas and outdoors unless otherwise specified.

3.5 INDIVIDUAL MOTOR STARTERS

Individual motor starters shall be mounted with the operating mechanism 48 inches above the finished floor unless otherwise specified. The Contractor shall size the overload heater elements to the nameplate full load amperes of the motor served. Motor circuit protectors shall be adjusted to the lowest setting not causing false tripping.

3.6 MISCELLANEOUS CONTROL DEVICES

Control stations shall be mounted 48 inches above the floor unless otherwise specified.

3.7 GROUNDING

Electrical equipment and enclosures, metal surfaces of equipment, and metal structural members shall be grounded. Grounding system shall be provided in compliance with the NEC and as specified on the drawings.

Embedded and buried cable connections shall be made by cast copper compression connectors utilizing diamond or hexagon dies and a hand compression tool for wire sizes 2 AWG and smaller and a hydraulic pump and compression head for wire size 2/0 AWG and larger. Embedded ground cables and fittings shall be securely attached to concrete reinforcing steel with tie wires.

Grounding conductors which extend beyond concrete surfaces for equipment connection shall be extended a sufficient length to reach the final connection point without splicing. Grounding conductors which project from a concrete surface shall be located as close as possible to a corner of the equipment pad, protected by conduit or terminated in a flush grounding plate.

Exposed ground connections shall be made by bolted connectors. Exposed grounding conductors shall be supported by noncorrosive metallic hardware at 4-foot intervals or less.

Grounding conductors entering enclosures shall be bonded together to the enclosure if it is metallic and to metallic raceway within terminating at the enclosure. Metal surfaces shall be cleaned prior to making grounding connections and bonds.

When install ground rods, field verify exact location of existing underground utilities such as water piping, gas piping, electrical conduits or ductbanks, vault or manholes etc prior to installation of the ground rods to avoid damage to the existing underground utilities.

3.8 LIGHTING FIXTURES

- A. General: The location and type of fixtures and receptacles are shown on the drawings. Raceways and wire shall be provided from the fixtures, switches, and receptacles to the lighting panel in accordance with the NEC.

Fixtures labeled to require conductors with a temperature rating exceeding 75 degrees C shall be spliced to circuit conductors in a separately mounted junction box. Fixture shall be connected to junction box using flexible conduit with a temperature rating equal to or greater than that of the fixture.

Labels and marks, except the UL label, shall be removed from exposed parts of the fixtures. Fixtures shall be cleaned when the project is ready for acceptance.

3.9 PANELBOARDS

The contractor shall type in the circuit descriptions on the circuit directory as shown on the final record drawings or panel schedule.

3.10 TESTING

- A. General: Prior to energizing the electrical circuits, the tests shall be performed as specified. Unless otherwise specified, a 500-volt megohmmeter shall be used for resistance measurements.

The measurements of the tests shall be recorded on the specified forms and provided in accordance with paragraph 260000-1.3.

- B. Insulation Resistance Measurements:

1. General: General insulation resistance measurements shall be made on conductors and energized parts of electrical equipment. Minimum acceptable values of insulation resistance shall be in accordance with the applicable ICEA, NEMA, or ANSI standards for the equipment or material being tested, unless otherwise specified. The ambient temperature at which insulation resistance is measured shall be recorded on the test form.
2. Conductor and Cable Tests: The phase-to-ground insulation resistance shall be measured for all circuits 120 volts and above except lighting circuits. Measurements may be made with motors and other equipment connected. Insulation resistance measurements shall be recorded and submitted to the Engineer for review and approval. Insulation with resistance of less than 10 megohms is not acceptable.
3. Motor Tests: All motors shall have their insulation resistance measured before they are connected. Motors 50 HP and larger shall have their insulation resistance measured at the time of delivery as well as when they are connected. Insulation resistance values less than 10 megohms are not acceptable.

- C. FUNCTIONAL CHECKOUT:

Prior to energization of equipment, the Contractor shall perform a functional checkout of the control circuit. Prior to functional testing, all protective devices shall be adjusted and made operative. Checkout shall consist of energizing each control circuit and operating each control, alarm or malfunction device and each interlock in turn to verify that the specified action occurs. Contractor shall submit a description of his proposed functional test procedures prior to the performance of functional checkout.

The Contractor shall verify that motors are connected to rotate in the correct direction. Verification may be accomplished by momentarily energizing the motor, provided the Contractor confirms that neither the motor nor the driven equipment will be damaged by reverse operation.

D. GROUNDING SYSTEM TESTS:

The Contractor shall test each grounding connection to determine the ground resistance. The grounding test shall be IEEE Standard 81. A plot of ground resistance readings for each isolated ground rod or ground mat shall be provided to the Construction Manager on 8-1/2 x 11 inch size graph paper. The current reference rod shall be driven at least 100 feet from the ground rod or grid under test. The measurements shall be made at 10-foot intervals beginning 25 feet from the test electrode and ending 75 feet from it, in direct line between the ground rod or center of grid and the current reference electrode.

A grounding system that shows greater than 2 ohm resistance for the flat portion of the plotted data shall be considered inadequately grounded. The Contractor shall add additional parallel connected ground rods and/or deeper driven rods until the ground resistance measurements meet the 2 ohm requirement. Ground rods required over that specified will be paid for as extra work. Use of salts, water, or compounds to attain the specified ground resistance is not acceptable.

3.11 DEMOLITION AND SALVAGED EQUIPMENT

Contractor shall be responsible for demolition of the existing electrical equipment and shall removed unused electrical equipment and discard them in proper manner. The following existing electrical equipment shall be protected during construction until being disconnected and returned to the District:

- a. Existing PG&E service energy meter (to PG&E)
- b. Existing PLC control panel at Princess Pump Station
- c. Existing telephone dialer

3.12 RECORD DRAWINGS

Record drawings refer to those documents clearly maintained and annotated by the Contractor during construction include record drawings in accordance with these specifications. Contractor shall submit record drawings that reflect as-installed conditions. Drawings do not conform or do not show as installed conditions shall be rejected and shall be resubmitted by the Contractor for no additional cost to the District until acceptance by the Engineer. Markups for deviations and changes shall be on a full size set of drawings.

3.13 SEQUENCE OF WORK

For general project construction sequence, refer to section 011400- Work Restrictions for details. For electrical work, Contractor shall follow Sequence of Work as shown on drawing E-19 and E-20. Submit plan for review and approval two weeks in advance. Follow all requirements including traffic controls and barricades to provide a safe area for the pedestrians.

TEMPORARY POWER DURING CONSTRUCTION

Temporary power required during construction shall include a portable rental 208V and 480V three-phase generators to provide backup power to the existing pump stations and the Main Plant. See sequence of work as shown on the drawings for details.

PERMANENT ELECTRIC SERVICE AND PHONE SERVICE

Coordinate with PG&E for installation of new, permanent, underground 120/208V service for the Princess pump station. Existing telephone service shall be remain. Contractor shall be responsible for complete underground, trenching for electrical service to the existing pump station as shown on the drawings. Prior to any trenching or underground digging, any and all underground utilities such as gas, water, sewage, and electric piping, conduits shall be identified to avoid damages to them. Coordinate all work with other disciplines and with PG&E.

SWITCH-OVER STRATEGY

The existing pump stations as well as the Main Plant shall remain functional while new work being built. Provide temporary power connections to temporary pumps as shown on the drawings.

When permanent service is completely installed, tested and functional and accepted by the District, temporary service and equipment may be removed. No electrical switchover work may commence until after the new generators and the new electrical gear have been successfully tested and passed.

END OF SECTION

**SECTION 260250
ELECTRICAL DEMOLITION AND MODIFICATIONS**

PART 1 GENERAL

1.1 SCOPE OF WORK

- A. Furnish all labor, materials, and incidentals required to demolish, modify and/or remove the electrical and instrumentation systems and equipment as shown on the Drawings or specified herein. All demolition work shall be performed by licensed electricians. All electrical sources shall be field verified to be de-energized prior to demolition work.
- B. Unless specifically noted as being reused, all conduit, wire, boxes, etc. detailed on the Drawings shall be new equipment installed under this Work.
- C. The electrical modifications and removals work shall consist of, but not necessarily be limited to, removal or modifications of existing equipment in the following generalized categories:
 - 1. Modification of motors, motor starters, panels, lights, outlets and other devices as indicated on the Drawings.
 - 2. Any electrically powered or controlled equipment indicated as being removed in this Contract and associated electrical appurtenances.
 - 3. Existing electrical equipment or electrical equipment associated with mechanical or process equipment which needs to be removed or relocated due to conflicts with new construction.
 - 4. Electrical control devices, starters, wiring, and other miscellaneous devices associated with equipment that will be modified or reused under this Contract.
 - 5. Instrumentation and control equipment and related conduit and wire associated with equipment being removed under this Contract.

1.2 RELATED WORK

- A. Additional requirements for equipment demolition and salvage are specified in Section 020500 – Demolition

1.3 SUBMITTALS

- A. All submittals shall be in accordance with Specifications.

PART 2 PRODUCTS (NOT USED)**PART 3 EXECUTION****3.1 EQUIPMENT TO BE REMOVED**

- A. Only the major electrical and instrumentation equipment to be removed is shown or noted on the Drawings and failure to detail all equipment exactly shall not relieve the Contractor from the responsibility for its removal as directed by the Engineer. Removal items such as wire, conduit, junction boxes, anchorages, etc. are in general not detailed on the Drawings.
- B. Where removal of electrical, instrumentation, or any other equipment with wired connections is called for in this Work, the work shall include the removal of the associated electrical hardware as specified herein unless noted otherwise.
- C. Unless otherwise noted, all wiring shall be removed from the conduits; boxes and fittings and all exposed conduit shall be removed. Unless specifically noted otherwise, concealed conduits shall be cut flush with the floor, wall, or ceiling and plugged with non-shrink grout.
- D. Electrical power, control, or instrumentation equipment, exposed conduit, wiring, etc. rendered inoperative by modifications to existing equipment under these sections or other Divisions of this contract shall be removed unless specifically noted that it is to be abandoned in place.
- E. Not all existing conduits are shown on the Drawings. In general, existing conduits are shown only where they may be reused; or where they potentially affect or may be affected by new work under this Contract; or for providing useful background information to the Contractor regarding the existing electrical installation.
- F. Where existing conduit or wire associated with removed equipment is to be reused, it will be specifically noted on the Drawings or Conduit and Cable Schedules.
- G. No existing conduits, wiring, or electrical appurtenances shall be removed or in any way damaged unless allowed by the provisions of this Section. Any existing conduits or wiring or other electrical appurtenances that are encountered as an obstruction to new construction which are not covered by the provisions of this Specification shall be brought to the attention of the District.
- H. Where functions of existing cables or conduits are replaced by new cable or conduits because of additions of new panels, instruments, revision to control strategy, etc., the existing cables and exposed conduits shall be removed unless noted otherwise. Where shown on the drawings, or where terminating in equipment enclosures, concealed conduits shall be retained and marked as spares.
- I. Equipment removed shall not be reused under this contract unless specifically noted on the Drawings or Specifications.

- J. To minimize disruptions to the existing plant operations, the schedule for modifications and removal of existing equipment shall be coordinated with and approved by the Engineer and District.
- K. Where, if applicable, any existing circuits are disconnected due to abandonment or removal of existing equipment, the remaining motor starters or circuit breakers for these circuits shall be retagged as spares. At motor control centers, the tags shall be laminated nameplates matching the existing ones. In circuit breaker panels, the circuit card or listing shall be changed.
- L. Demolished and removed equipment, conduit, wire, etc., shall become the property of the Contractor unless specifically noted otherwise on the Drawings. Contractor shall be responsible for disposing removed equipment in conformance with the requirements of Section 020500 - Demolition. Where specifically shown on the Drawings, selected electrical equipment shall be protected, removed and turned over to the District per the requirements of Section 020500 - Demolition.
- M. Refer to mechanical sections for removal of existing diesel fuel day tank and dispose of the hazardous substance in accordance with Federal and State Regulations.
- N. For abandon-in-place underground diesel fuel tank, all work shall be done in accordance with Federal, State and local applicable Regulations. All work shall be done by entity hired by the Contractor having a minimum of 5 to ten years in decommissioning underground fuel tanks. The following minimum procedures shall be followed by the contractor and or his subcontractors:
 - 1. Obtain work permit and permit from local AHJ to abandon in place underground fuel tank.
 - 2. Field verify of the location of the fuel tank and its fuel lines.
 - 3. Empty tank and clean tank following all safety procedures and precautions to avoid harmful flammable vapors from the Diesel fuel. Cleaning procedures shall include wiping, squeegeeing, removing and liquids and sludge from the tank. All cleaning steps and procedures shall follow latest American Petroleum Institute Publication "Cleaning Petroleum Storage Tanks".
 - 4. Take soil samples underneath and around tank areas and have them analyzed for any evidence of fuel leaks.
 - 5. After tank being cleaned and inspected and approved by the local inspector of the local AHJ, the tank may be filled with light weight concrete.
 - 6. Restore neatly surface area and any excavation openings with concrete and submit final records and documentation to the District.

END OF SECTION

**SECTION 260750
ELECTRICAL IDENTIFICATION**

PART 1 GENERAL

1.2 SUMMARY

- A. Section Includes: Identification of electrical conductors, raceways and equipment, and electrical equipment signs.
- B. Related Sections:
 - 1. Section 260000 – Requirements for Electrical Work

1.3 REFERENCES

- A. National Electrical Code (NEC):
 - 1. Article 110–22 - Disconnecting Means.
 - 2. Article 210–4 - Multi-wire Branch Circuits.
 - 3. Article 200 - Use and Identification of Grounded Conductors.
 - 4. Article 384 - Switchboards and Panelboards.
 - 5. Article 300 - Wiring Methods.

1.4 SUBMITTALS

- A. Shop Drawings:
 - 1. General: Submit Shop Drawings for electrical equipment room layouts, drawn at a minimum at 3/8 inch = 1 foot, scale.
 - 2. Cross Reference: Diagram shall carry a uniform and coordinated set of wire numbers and terminal block numbers to permit cross-referencing between the Contract Document Drawings, the Drawings prepared by the CONTRACTOR, and equipment O&M Manual Drawings.
 - 3. Drawing number cross references and continuation references shall also be provided. Contractor-prepared Drawings shall reference applicable CONTRACTOR Drawings such as P&IDs, control and logic diagrams, interface wiring diagrams, Panel Drawings, etc. Contractor-prepared Drawings shall also reference applicable Drawings provided by equipment manufacturers.
 - 4. On any Drawing prepared for this project, if a wire, circuit, enclosure, panel, or device is continued on another Drawing, the continuation Drawing shall be referenced (and vice-versa). Wherever wires are shown connected to terminals, the Drawings which show the continuation of the circuits on those terminals must be referenced.
 - 5. Interconnection Diagrams: Cables shall not be installed into raceways until the wiring interconnection diagrams are reviewed by the design engineer.
 - 6. Sample schematics and diagrams are indicated on typical detail Drawings for reference and understanding of minimum information required for submittal of

Shop Drawings schematics and diagrams, and submittal of O&M schematics and diagrams.

7. Include tagging system, labels, markers, hazard tape, nameplates, and signs.

B. Product Data: Include tagging system, labels, markers, and hazard tape.

C. Project Record Documents:

1. Document wire, cable, and conductor tags, and bundle tags installed in accordance with the Contract Documents.

2. Document installed wire, cable, and conductor tags and bundle tags when not specifically indicated.

3. Indicate on Record Drawings deviations from accepted Shop Drawing conductor identification.

1.5 QUALITY ASSURANCE

A. Pre-installation Meeting:

1. Conduct in accordance with Section 260000.

2. Purpose: To clearly define requirements specified for circuit/cable/conductor identification, hold a meeting including representatives of CONTRACTOR, DISTRICT, and ENGINEER prior to significant cable or conductor purchase and installation/termination.

PART 2 PRODUCTS

2.1 LABELS

A. Manufacturers: One of the following or equal:

1. Brady.

2. Seton.

B. Type: Sleeve type.

2.2 CONDUCTOR AND CABLE MARKERS

A. Manufacturers: One of the following or equal:

1. Brady.

2. Seton.

B. Type: Slip-on PVC sleeve or strap-on type.

C. Printed using Brady marker "XC PLUS," or equal.

D. Markers used in tunnels or other wet locations shall be on heat-shrinkable marking sleeves.

- E. Use self-laminating vinyl on white background for markers within electrical equipment such as panels, termination cabinets, motor control centers.
- F. Use engraved stainless steel tags with circuit designation fastened to direct buried cables in underground pull boxes as detailed on the drawings.

2.3 RACEWAYS IDENTIFICATION (TAGS)

- A. Conduit numbers shall be pressure stamped into a non corrosive 2 inch long, 1/2 inch wide stainless steel tape, Dymo marking system or equal. A tag with number shall be fixed with No. 18 AWG or larger type 304 stainless steel wire, to each conduit segment and at the end of each conduit and within 3 feet of each pull box, panelboard, and switchboard.

2.4 NAMEPLATES, LABELS AND SIGNS

- A. Nameplates:

- 1. Type: Black lamicoid with white letters.
- 2. Fastener: Round head stainless steel screws.

- B. Automatic Equipment and High Voltage Warning Signs:

- 1. Type: Suitable for exterior use and meeting OSHA regulations.

- C. Power Pole Labels: Vinyl plastic with details as shown on the drawings.

- 1. Manufacturers: One of the following or equal:
 - a. Brady.
 - b. Seton.

- D. Underground Hazard Tape: 6 inches wide. Detectable, aluminum with warning wording.

- 1. Manufacturers: One of the following or equal:
 - a. Panduit.
 - b. Thomas and Betts.

PART 3 EXECUTION

3.1 CIRCUIT IDENTIFICATION

- A. Identify 3-phase system conductors and cables as Phases A, B, and C and identify 1-phase system conductors and cables at electrical equipment including, but not limited to, switchgear, switchboards, panelboards, motor control centers, and motors.
 - 1. Match DISTRICT's existing electrical system identification scheme or meet requirements of the authority responsible for the project.
 - 2. 3-phase 480 Volts AC System Conductors: Phase A, brown; Phase B, orange; Phase C, yellow.

3. Single-Phase Conductors for 120/240 VAC Circuits: Phase A, black; Phase B, red; Phase C, blue.
 4. Neutral Conductor: White for 120 VAC and gray for 277 VAC.
 5. Insulated Equipment Grounding Conductor: Green.
 6. General Purpose AC Control Conductors: Violet.
 7. General Purpose DC Control Conductors: Violet with white stripes.
 8. Where used, identify 15 kilovolt class cables with 3 stripes of color tape specified in item 3 above.
 9. Where used, identify 5 kilovolt cable with 2 stripes of color tape specified in item 3 above.
- B. Use color coding and phasing consistent throughout the site. Bus bars at panelboards and motor control centers to be connected Phase A-B-C, top to bottom, or left to right facing connecting lugs.
- C. Conductors Number 2 American Wire Gauge (AWG) and smaller to be factory color coded with a separate color for each phase and neutral, which shall be used consistently throughout the system. Larger cables to be coded by the use of colored tape.
- D. In addition to color coding, for all 1-phase and 3-phase systems, identify each cable (single or multi-conductor) and conductor at each end, in each manhole, pullbox, cable tray, or other component of the raceway system. This identification is applicable to all power, control, alarm, signal, instrumentation cables, and conductors.
- E. Identify each cable (single or multi-conductor) and groups or bundles of individual single conductors in each manhole, pullbox, cable tray, or other component of the raceway system with circuit identification markers. Implement a "from-to" cable/conductor bundle tagging system as part of this identification effort.
- F. Identify each individual conductor at each termination. This includes such locations as switchgear, switchboards, motor control centers, variable frequency drives, control panels, junction/terminal boxes, all field devices, and all other locations where conductors are terminated. Identify the termination of these conductors in accordance with the accepted Shop Drawings. Tag conductors with sleeve type labels.
- G. Where more than 1 nominal voltage system exists, identify each ungrounded system conductor by phase and system. Permanently post means of identification at each branch-circuit panelboard, switchboard, switchgear, motor control center, or other type of power distribution equipment.
- H. Include the following minimum information for wire and cable identification.
1. Circuit number or load identification tag number as per section 269950.
 2. Origin (from source).
 3. Destination (to load).
- 3.2 NAMEPLATES
- A. Furnish and install nameplates for all electrical equipment indicated on the Drawings. Each disconnect control system, breaker, switch, panelboard, MCC, switchboard,

control panels etc., shall have nameplate indicating equipment name and circuit designation.

- B. Each disconnect means for service, feeder, branch, or equipment conductors and pushbutton stations shall have nameplates indicating its purpose or identifying the load.
- C. Each lighting switch and convenience outlet shall have a nameplate indicating its 120VAC power circuit number from lighting panelboard.

3.3 AUTOMATIC EQUIPMENT WARNING

- A. Mount permanent warning signs at mechanical equipment which may be started automatically or from remote locations. Fasten warning signs with round head stainless steel screws or bolts, located and mounted in a manner acceptable to ENGINEER.
- B. Mount permanent and conspicuous warning signs on (front and back) equipment, doorways to equipment rooms, pull boxes, manholes, where the voltage exceeds 600 volts.
- C. Place a warning ribbon or other effective means suitable for conditions above ductbank underground installations.
- D. Place warning signs on utilization equipment that has more than one source of power. Provide panel and circuit number of conductor tag of the power source disconnect.
- E. Place warning signs on utilization equipment that has 120 VAC control voltage source used for interlocking. Provide panel, circuit number, and conductor tag of control voltage source disconnect.

3.4 CONDUIT TAGS

- A. Install conduit tags (engraved stainless steel round tags) at each conduit termination to control panel, MCC, enclosure, disconnect, pull box, junction box etc.. Conduit tag names shall be as per single-line and control one-line diagrams shown on the drawings.

END OF SECTION

**SECTION 260800
PERMANENT DIESEL GENERATORS**

PART 1 GENERAL

1.1 SCOPE

- A. The Contractor shall furnish and install and test complete a permanent electric generating system which shall be rated for a minimum output of 350 kW for Main Plant and 450kW for Main Street Pump Station, at 0.8 power factor, 480-volt, 3-phase, 4-wire, 60-Hertz, 40-degree-C ambient, without exceeding NEMA MG1 temperature rise limits at rated load. Ratings of generators at other locations shall be as shown on the drawings.

The scope of work shall include demolition of the existing gensets prior to installation of the new gensets and associated auxiliary equipment. It is very critical that the new generators shall not exceed the foot prints/dimensions as shown on the construction documents.

- B. The standby electric generating system shall be, unless otherwise noted on the drawings, suitable for indoor installation, use and shall be rated for up to an altitude of 1000 feet without derating.
- C. The standby systems shall be a package of:
1. A diesel engine driven electric system to provide standby electric power.
 2. Engine mounted control system.
 3. Starting batteries with high cranking capacity in low temperature environment, battery charger.
 4. Skid-mounted accessories as specified.
 5. Engine skid-mounted control panel.
 6. All other equipment and accessories as required providing a complete and operating system.
 7. Outdoor critical roof mounted muffler for Main Plant location and ceiling mounted critical muffler with jacket for Main Street Pump Station.
 8. Day tank for Main Street Pump Station. (For Main Plant location, existing fuel tank and daytank will be reused)
 9. Above ground fuel tank for Main Street Pump Station shall be as per specification section 236900.
 10. For all other locations except Main Street and Main plant, the fuel tank shall be in the base of the genset frame as specified on the drawings.

- D. All materials, equipment, and parts comprising the units specified herein, shall be new and unused, of current manufacture and of the highest grade.

1.2 RELATED SECTIONS:

- A. Section 260000 – Requirements for Electrical Works.

1.3 QUALITY ASSURANCE:

- A. The engine, generator, and all major items of auxiliary equipment shall be manufactured in the U.S. by manufacturers currently engaged in the production of such equipment. The unit shall be factory assembled and tested by the engine manufacturer and shipped to the jobsite by his authorized dealer having a parts and service facility in the area. The performance of the generator shall be certified by manufacturer as to the full power rating stability, and voltage and frequency regulation, and field load tested at site.
- B. The unit offered under these Contract Documents shall be covered by the manufacturer's standard warranty or guarantee on new machines and shall be a minimum of two years.
- C. Before the equipment is delivered, a factory certified test log of the generator sets showing a minimum of $\frac{3}{4}$ hour testing with $\frac{1}{2}$ hour at 100 percent rated load, continuously, shall be submitted to the Engineer. This generating system shall be full-load tested at the manufacturer facilities for a period of 2 hours, with the manufacturer's providing necessary inductive load banks. Any defects which become evident during this test shall be corrected by the manufacturer at his own expense.
- D. On completion of the installation of the generator, field start-up shall be performed by a factory-trained dealer service representative. Operating and maintenance instruction books shall be supplied and procedures explained to operating personnel. This training session shall not be less than two hours.

1.4 SUBMITTALS

- A. Submit shop drawings and product data which shall include the following as a minimum:
 - 1. Catalog cuts, physical dimensions and installation details including conduit terminations.
 - 2. Wiring diagrams and control schematics including power connection requirements.
 - 3. Complete bills of material accounting for all equipment including loose spare parts.
 - 4. Air emission compliance certification for use in California and BAAQMD.
 - 5. Seismic calculations by a California registered professional engineer.
 - 6. Complete O&M Manual. (Hard copy and electronic copy in a CD)

PART 2 PRODUCTS**2.1 ENGINE AND ACCESSORIES**

- A. The engine shall be water cooled inline or Vee-type four-stroke cycle compression ignition Diesel engine.
- B. The engine governor shall maintain frequency regulation not to exceed 3 percent from no load to full rated load.
- C. The units shall be mounted on a structural steel subbase and shall be provided with suitable vibration isolators which shall be seismically fastened to the concrete slab with steel anchor bolts. The design shall conform to California seismic requirements as specified in the Special Provisions.
- D. Safety shutoffs for high water temperature, low oil pressure, over speed, and engine over crank shall be provided. For Main Plant generator, an engine-mounted radiator with blower type fan shall be sized to maintain safe operation at 115 degrees F maximum ambient temperature. The radiator shall be equipped for a duct adapter flange. Air flow restriction from the radiator shall not exceed 0.5 inch water gauge. For Main Street Pump Station, a remote radiator is required and shall have the following characteristics:
 - 1. It shall be as recommended and selected by the Generator manufacturer.
 - 2. Suitable for wall mounting. Vertical with direct drive, 15HP, 480V 3-phase cooling fan as a complete assembly.
 - 3. Dimensions shall not exceed existing wall opening of the replaced existing remote radiator.
 - 4. Manufactured by Rocore Model V17 as selected and preferred by Kohler.
- E. The engine cooling system shall be filled with a solution of 50 percent ethylene glycol.
- F. The engine exhaust shall be provided with a suitable critical residential type silencer manufactured by Kittel, Maxim, or approved equal. Provide a rain cap for the exhaust, vertical pipe. Spark arrestor shall be provided for the exhaust pipe.
- G. The engine's accessories such as gauges, fuel piping, fittings, and valves shall be supplied and installed with the engine in accordance with appropriate local codes and regulations. Provide two 2000 Watt, 240 VAC single-phase, thermostatically controlled jacket water heaters.
- H. A 24-volt DC electric starting system, as recommended by the engine manufacturer, with positive engagement drive and automatic starter cut out upon starting shall be furnished.
- I. Generator manual and automatic start-stop controls in the generator control panel shall be provided. Controls shall provide two auxiliary contacts for activating accessory items. Controls shall include a 30-second cranking cycle limit with lockout. (Three 10-second cranks or a single 30-second crank.)

- J. A 24-volt lead-acid storage battery set of the heavy-duty diesel starting type shall be provided. The battery set shall be of sufficient capacity to provide for 1-1/2 minutes total cranking time without recharging and shall be rated no less than 220 amp-hours. A battery rack and necessary cables and clamps shall be provided. A UL listed battery charger in a NEMA 12 enclosure shall be provided to float charge the batteries. The charger shall be heavy duty, industrial type suitable for use with 120VAC power input. It shall be as manufactured by LaMarch, Sens or equal.
- K. The engine shall be certified Tier 2 or Tier 3 by the California Air Resources Board to have a particulate matter (PM) emission rate of no greater than 0.1g/bhp-hr or stricter. The certification for the engine shall be submitted to the Engineer as proof that this requirement is met. The engine shall meet all other requirements by the Bay Area Air Quality Management District for emissions from a stationary emergency standby engine.

2.2 GENERATOR

- A. The generator shall be a 4-pole or 6-pole revolving field type with static exciter and magnetic amplifier or SCR voltage regulator. Commutator or commutator brushes will not be acceptable. Class F insulation shall be used on the stator and rotor, and both shall be further protected with 100 percent epoxy impregnation and an overcoat of resilient insulating material to reduce possible fungus and abrasive deterioration. The stator shall be directly connected to the engine flywheel housing, and the rotor shall be driven through a semi flexible driving flange to insure permanent alignment. Voltage regulation shall be within plus or minus 2 percent of rated voltage, from no load to full-load. The instantaneous voltage dip shall be less than 15 percent of rated voltage when full load and rated power factor is applied to the generator. Recovery to stable operation shall occur within 5 seconds. Stable or steady-state operation is defined as operation with terminal voltage remaining constant within plus or minus one percent of rated voltage. A rheostat shall provide a minimum of plus or minus 5 percent voltage adjustment from rated value. Temperature rise at full-load determined by resistance shall be within rating as defined by NEMA MG-1.
- B. The specified standby 350 kW and 450 kW shall be for continuous electrical service during use of the units.
- C. These ratings must be substantiated by manufacturer's standard published curves. Special ratings or maximum ratings will not be acceptable.
- D. A generator mounted, general purpose type, vibration isolated 14-gauge steel control panel shall be provided. Provide a ETHERNET-based communication port for connection to the existing SCADA PLC system.
- E. Control panel shall contain, but not be limited to the following digital (or electronic keyboard type display) equipment:
 - 1. Voltmeter, 3-1/2-inch, 2 percent accuracy
 - 2. Ammeter, 3-1/2-inch, 2 percent accuracy
 - 3. Voltmeter/ammeter phase selector switch

4. Frequency meter, 3-1/2-inch, dial type
 5. Manual, Test and Automatic starting controls
 6. Panel illumination lights and switch
 7. Voltage level adjustment rheostat
 8. Engine oil pressure gauge
 9. Engine water temperature gauge
 10. Indicator lights for low oil pressure, high water temperature, over speed, and over crank alarms and alarm reset pushbutton
 11. Running time meter and auxiliary dry contact
 12. Start pushbutton, stop pushbutton and HOA control mode selector switch
 13. Starting batteries low – high charge indicator.
 14. Provide a non-resettable totalizing meter that measures hours of operation or fuel usage.
 15. Provide common engine/generator fail alarm dry contact for remote indication. A RUN dry contact shall also be provided.
- A. A generator mounted main line molded case 600A circuit breaker (Main Plant), 800A circuit breaker (Main Street Pump Station) shall be installed as a load circuit interrupting and protection devices. For other sites, breakers shall be provided as per the genset's KW rating respectively. The circuit breakers shall be sized in accordance with provisions of the NEC. They shall operate both manually for normal switching function and automatically during overload and short circuit conditions. It shall be provided with ground-fault interruption.
- B. Generator exciter field type circuit breakers do not meet the above electrical standards and will not be acceptable for line protection.
- C. Provide loose recommended spare parts such as water hoses, fan belts, air and oil filters for no additional cost to the District.
- 2.3 DAY TANK (for Main Street Pump Station)
- A. Day tank shall be 200gal with double wall configuration
- B. See section 231113 Fuel Oil Piping for detailed requirements.

2.4 MANUFACTURERS:

- A. The diesel engine generator package shall be manufactured by Caterpillar, Kohler, Taylor packaged genset. For generators smaller than 100kW, other manufacturers such as MQ Power, Onan shall be acceptable.
- B. Generators with dimensions exceeding layout as shown on the drawings shall not be acceptable.

PART 3 EXECUTION

3.1 SYSTEM INSTALLATION

- A. Provide services of a factory-trained field engineer to review, check, test and supervise installation of the standby system as per manufacturer's recommendations and requirements. Verify exact location of conduit terminations with approved shop drawings prior to pouring concrete slab.
- B. Engine set shall be leveled and placed on vibration isolators. Shim with steel shims to ensure an even level installation.
- C. Check for correct phase rotation prior to performing at least four hours of full load test with the required load bank and record full load parameters such as engine temperature, oil pressure, load, power factor, water temperature, voltage, frequency and engine speed in rpm.
- D. Perform final functional tests including manual and automatic controls and protective devices. 2-hr full load test shall be performed with the station actual loads. Records of the field test including engine parameters shall be submitted for review and approval as part of required as-built documents. Refill generator's tank with no.2 diesel fuel (for the amount used in the testing) after testing without any additional charge to the District.
- E. Submit final as-built documents with any field changes and certification that the installed system has been functional properly and is ready for final acceptance by the District.

3.2 TRAINING:

- A. Provide 1 hour class room training session and 2 hours of on-site training session to the District's personnel. (Travel time not included).

END OF SECTION

**SECTION 260850
PORTABLE STANDBY GENERATOR**

PART 1 GENERAL**1.1 SCOPE:**

- A. The contractor shall furnish and deliver a portable standby generator which shall be rated for a minimum output of 230 kW, at 0.8 power factor, 480-volt and 240-volt, 3-phase, 4-wire, 60-Hertz, 40-degree-C ambient, without exceeding NEMA MG1 temperature rise limits at rated load.
- B. The portable standby generator shall be suitable for outdoor use and shall be rated for up to an altitude of 3300 feet without derating, and shall be suitable for use on California highways.
- C. The portable standby generator shall be a package of:
 - 1. A Diesel engine driven electric system to provide standby electric power.
 - 2. Engine mounted control system.
 - 3. Starting batteries with high cranking capacity in low temperature environment, battery charger.
 - 4. Skid Mounted accessories including fuel tank as specified.
 - 5. Engine skid-mounted control panel.
 - 6. All other equipment as required to provide a complete and operable system.
 - 7. Outdoor- type weather proof enclosure.
 - 8. Trailer transportable on California highways
- D. All materials, equipment, and parts comprising the units specified herein, shall be new and unused, of current manufacture and of the highest grade.

1.2 NOT USED**1.3 QUALITY ASSURANCE:**

- A. The engine, generator, and all major items of auxiliary equipment shall be manufactured in the U.S. by manufacturers currently engaged in the production of such equipment. The unit shall be factory assembled and tested by the engine manufacturer and shipped to the jobsite by his authorized dealer having a parts and service facility in the area. The performance of the generator shall be certified by manufacturer as to the full power rating stability, and voltage and frequency regulation, and field load tested at site.

- B. The unit offered under these Contract Documents shall be covered by the manufacturer's standard warranty or guarantee on new machines and shall be a minimum of two years.
- C. Before the equipment is delivered, a factory certified test log of the generator sets showing a minimum of ¾ hour testing with ½ hour at 100 percent rated load, continuously, shall be submitted to the ENGINEER. This generating system shall be full-load tested at the District's facilities in the presence of the ENGINEER for a period of 2 hours, with supplier providing necessary inductive load banks. Any defects which become evident during this test shall be corrected by the contractor at his own expense.
- D. On completion of the installation of the generator, start-up shall be performed by a factory-trained dealer service representative. Operating and maintenance instruction books shall be supplied and procedures explained to operating personnel. This training session shall not be less than four hours.

1.4 SUBMITTALS:

- A. Submit shop drawings and product data which shall include the following as a minimum:
 - 1. Catalog cuts, physical dimensions and installation details including conduit terminations.
 - 2. Wiring diagrams and control schematics including power connection requirements.
 - 3. Complete bills of material accounting for all equipment including loose spare parts.
 - 4. Air emission compliance certification.
 - 5. Complete O&M Manual.

PART 2 PRODUCTS

2.1 ENGINE AND ACCESSORIES:

- A. The engine shall be water cooled inline or Vee-type four-stroke cycle compression ignition Diesel engine. .
- B. The engine governor shall maintain frequency regulation not to exceed 3 percent from no load to full rated load.
- C. The units shall be mounted on a structural steel sub-base and shall be provided with suitable vibration isolators which are fastened to a structural steel base mounted on a trailer.
- D. Safety shutoffs for high water temperature, low oil pressure, overspeed, and engine overcrank shall be provided. An engine-mounted radiator with blower type fan shall be sized to maintain safe operation at 115 degrees F maximum ambient temperature. The radiator shall be equipped for a duct adapter flange. Air flow restriction from the radiator shall not exceed 0.5 inch water gauge.

- E. The engine cooling system shall be filled with a solution of 50 percent ethylene glycol.
- F. The engine exhaust shall be provided with a suitable critical residential type silencer manufactured by Kittel, Maxim, or approved equal.
- G. The engine's accessories such as gauges, piping, fittings, and valves shall be supplied and installed with the engine in accordance with appropriate local codes and regulations. Provide 1500 Watt, 120VAC single-phase, thermostatically controlled jacket water heater. This heater shall be connected to a 50-foot SO type cable, three-conductor, #12 AWG ending with a standard 3-prong 20 amp plug.
- H. A 12-volt or 24-volt DC electric starting system, as recommended by the engine manufacturer, with positive engagement drive and automatic starter cut out upon starting shall be furnished.
- I. Generator manual start-stop controls in the generator control panel shall be provided. Controls shall provide two auxiliary contacts for activating accessory items. Controls shall include a 30-second cranking cycle limit with lockout. (Three 10-second cranks or a single 30-second crank.)
- J. A 12-volt or 24-volt lead-acid storage battery set of the heavy-duty diesel starting type shall be provided. The battery set shall be of sufficient capacity to provide for 1-1/2 minutes total cranking time without recharging and shall be rated no less than 220 amp-hours. A battery rack and necessary cables and clamps shall be provided. A UL listed battery charger shall be provided to float charge the batteries. The charger shall be heavy duty, industrial type suitable for use with 120VAC power input. It shall be as manufactured by LaMarch, Sens or equal. The charger shall be connected to a 50-foot SO type cable, three-conductor, #12 AWG ending with a standard 3-prong 20 amp plug.
- K. The engine shall be certified by the California Air Resources Board to have a particulate matter (PM) emission rate of no greater than 0.1g/bhp-hr. The certification for the engine shall be submitted to the ENGINEER as proof that this requirement is met. The engine shall meet all other requirements by the Bay Area Air Quality Management District for emissions from an emergency standby engine. It shall be EPA TIER 3 and CARB Emission certified.
- L. Built-in fuel tank
- M. A sub-base mounted, built-in fuel tank shall be provided for the standby engine generator set. Fuel capacity shall be adequate to run the standby unit for 24 hours of continuous standby operation. The tanks shall have the following features:
 - 1. The generator sub-base tank shall be labeled under UL Special Purpose, Protected Secondary Containment Generator Base Tank. Tank shall be investigated using UL 142 standards. Tank shall be labeled vehicle and projectile resistant. Tank manufacturer shall be Fuel Technologies, Inc., Atascadero, CA or approved equal.
 - 2. The primary and secondary tanks shall be fabricated from minimum ¼" steel. It shall have overflow capture basin.

3. The tank shall be designed, tested and labeled per UL requirements to support a generator weight of at least 16,500 lbs including weight of trailer and fuel. Lift lugs shall be provided. Tank shall be 440 gal capacity for the 225-kW unit, and shall have leak detection system and alarm contacts for remote annunciation. Instruments shall include tank readout in engineering unit..
4. The primary fuel tank and secondary tank shall be tested at 5 PSI air pressure as outlined in UL 142.
5. The tank shall have UL 142 certified dual wall.
6. The tank shall have a 30-year warranty that includes the steel material as well as the primary and secondary wall and the paint.
7. The tank shall be provided with a 110% overspill containment box.
8. The tank shall be clearly labeled to include the type of product being dispensed, the capacity of the tank and the manufacturer's name, Fuel Technologies, Inc or equal.
9. The tank will be provided with an adequate stub-up area for access to connect the electrical conduits. Through inner tank stub-up area shall be available per generator design requirements.
10. Emergency venting shall be provided per UL 142 requirements.

2.2 GENERATOR:

- A. The generator shall be a 4-pole or 6-pole revolving field type with static exciter and magnetic amplifier or SCR voltage regulator. Commutator or commutator brushes will not be acceptable. Class F insulation shall be used on the stator and rotor, and both shall be further protected with 100 percent epoxy impregnation and an overcoat of resilient insulating material to reduce possible fungus and abrasive deterioration. The stator shall be directly connected to the engine flywheel housing, and the rotor shall be driven through a semiflexible driving flange to insure permanent alignment. Voltage regulation shall be within plus or minus 2 percent of rated voltage, from no load to full-load. The instantaneous voltage dip shall be less than 15 percent of rated voltage when full load and rated power factor is applied to the generator. Recovery to stable operation shall occur within 5 seconds. Stable or steady-state operation is defined as operation with terminal voltage remaining constant within plus or minus one percent of rated voltage. A rheostat shall provide a minimum of plus or minus 5 percent voltage adjustment from rated value. Temperature rise at full-load determined by resistance shall be within rating as defined by NEMA MG-1.
- B. The specified standby kW shall be for continuous electrical service during use of the unit.
- C. These ratings must be substantiated by manufacturer's standard published curves. Special ratings or maximum ratings will not be acceptable.

- D. A generator mounted, general purpose type, vibration isolated 14-gauge steel control panel shall be provided. This panel shall be padlockable.
- E. Control panel shall contain, but not be limited to the following digital (or keyboard type display) equipment:
 - 1. Voltmeter, 3-1/2-inch, 2 percent accuracy
 - 2. Ammeter, 3-1/2-inch, 2 percent accuracy
 - 3. Voltmeter/ammeter phase selector switch
 - 4. Frequency meter, 3-1/2-inch, dial type
 - 5. Manual, Test and Automatic starting controls
 - 6. Panel illumination lights and switch
 - 7. Voltage level adjustment rheostat
 - 8. Engine oil pressure gauge
 - 9. Engine water temperature gauge
 - 10. Indicator lights for low oil pressure, high water temperature, overspeed, and overcrank alarms and alarm reset pushbutton
 - 11. Running time meter and auxiliary dry contact
 - 12. Start pushbutton, stop pushbutton and HOA control mode selector switch
 - 13. Starting batteries low – high charge indicator
 - 14. Fuel tank level indicator.
 - 15. Fuel tank and pipe leak alarm auxiliary contacts for remote indication.
 - 16. Provide a non-resettable totalizing meter that measures hours of operation or fuel usage.
 - 17. Provide common fail alarm dry contact for remote indication.
- F. A generator mounted DISTRIBUTION PANEL equipped with the following devices:
 - 1. 700A main breaker 240V with 24V shunt trip
 - 2. 400A main breaker 480V with 24V shunt trip
 - 3. 50A 240V branch breaker
 - 4. 20A 240V branch breaker

5. 20A 120V branch breaker
 6. 15A 120V branch breaker
 7. 50A 240V twistlock receptacle
 8. 20A 240V twistlock receptacle
 9. 20A 120V twistlock receptacle
 10. 20A 120V groundfault receptacle
 11. 15A 120V groundfault receptacle
 12. 30A 120V battery charger/generator space heater receptacle
 13. 30A 120V Jacket water heater receptacle
 14. Remote start/stop contacts
 15. ½-inch ground lug
 16. Dual voltage (480V and 240V) load connection bus. Each bus shall be clearly labeled or marked to show proper phase rotation.
- G. Generator exciter field type circuit breakers do not meet the above electrical standards and will not be acceptable for line protection.

2.3 TRAILER:

- A. The trailer shall have run lights.
- B. Weather proof housing shall be 12-gauge steel
- C. Electric actuated hydraulic brakes and mechanical parking brakes
- D. Heavy duty safety chains and grab hooks
- E. Reinforced top wind drop jack

2.4 PORTABLE CABLE:

- A. Provide a 30-foot portable cable having the following characteristics:
 1. Cable shall be flexible, heavy duty, industrial type suitable for use for emergency and temporary connection. It shall be rated for 600V/2kV with three 500kcmil conductor and a ground. The cable shall be rated for 90 degree C, EPR insulation, nylon core reinforcement covered with an outside neoprene jacket.

2. One end of the cable shall be terminated with a 600V, female connector/receptacle, 600 amp rated manufactured by Meltric Corporation Catalog no. 49-64143 and angle handle Catalog no. 49-6A913-350. No other manufacturer shall be acceptable.
3. Other end of the cable shall be provided with copper lugs identified with color tapes and phase rotation identification.
4. Cable shall be manufactured by AmerCable, Southwire or approved equal.

2.5 MANUFACTURERS:

- A. The diesel engine generator package shall be manufactured by Caterpillar, Onan, or approved equal.

PART 3 EXECUTION

3.1 SYSTEM DELIVERY:

Prior to delivery the unit to the District, notify the District that the unit is ready to be tested at a facility located in the Bay Area, and the tests will be witnessed by a District representative.

1. Provide services of a factory-trained field engineer to review, check, and test of the portable standby generator as per manufacturer's recommendations and requirements.
2. Check for correct phase rotation prior to performing at least two hours of full load test with the load bank and record full load parameters such as engine temperature, oil pressure, load, power factor, water temperature, voltage, frequency and engine speed in rpm.
3. Perform functional tests including manual and automatic controls and protective devices. 2-hr full load test shall be performed with the load bank. Records of the field test including engine parameters shall be submitted for review and approval as part of required as-built documents. Refill tank with no.2 diesel fuel after testing.
4. Submit final as-built documents with any factory changes and certification that the generator has been functional properly and is ready for final acceptance by the District. Upon acceptance of the generator in writing from the District, deliver the generator to the District facility as instructed.

3.2 TRAINING:

- A. Provide two 2 hours of training sessions to the District's personnel. Training shall include classroom and hands-on sessions.

END OF SECTION

**SECTION 261600
LIGHTING**

PART 1 GENERAL

1.1 SUMMARY

- A. Section Includes: Lighting fixtures, lamps, ballasts, poles, and accessories.
- B. Related Sections:
 - 1. Section 260000 – Requirements for Electrical Work

1.2 REFERENCES

- A. Underwriter's Laboratories (UL):
 - 1. UL 1029 - High-Intensity Discharge Lamp Ballasts.
 - 2. UL 8750 – Standards for LED Light Fixtures

1.3 SUBMITTALS

- A. Product Data:
 - 1. Applicable coefficients of utilization tables, isolux chart of illumination on a horizontal plane, beam efficiency, horizontal and vertical beam spread, beam lumens.
 - 2. Submit pole seismic and wind-load design calculations before fixtures and poles are manufactured.
 - 3. Anchor concrete bases for lighting poles.
- B. Samples: Include finish sample for lighting fixtures and poles.
- C. Manufacturer's installation instructions.

PART 2 PRODUCTS

2.1 MANUFACTURERS

- A. Lighting Fixtures: As noted in section 260000
- B. Lamps: One of the following:
 - 1. General Electric Company.
 - 2. GTE Sylvania Lighting.
 - 3. Philips Lighting Company
- C. Ballasts for Fluorescent Lamps: One of the following solid-state or equal:
 - 1. Advance
 - 2. Holophane
 - 3. Lithonia
 - 4. Hubble.

5. Universal.
 6. GTE Sylvania Lighting.
- D. Ballasts, HID Lamps: One of the following or equal:
1. Advance.
 2. Holophane.
 3. GTE's Sylvania Lighting.
- E. Plugs and Receptacles: As specified in Section 260000.

2.2 FIXTURES

- A. Lighting Fixtures: As described in Section 260000.
1. Fixtures shall include lamps, ballasts, poles, mounting hardware, and appurtenances to provide complete operating units.
- B. Fluorescent Lamps:
1. Electronic type.
 2. T8 type, 32W; 2900 lumens.
- C. HID Lamps:
1. Metal halide or High Pressure Sodium.
- D. Compact Fluorescent Lamps:
1. Minimum 25W rated.

2.3 BALLASTS

- A. General:
1. Energy saving type suitable for use with energy saving lamps.
 2. High power factor type, with power factor not less than 90 percent.
 3. Cold weather (low temperature) rated for outdoor use.
 4. Internally fused ballast.
- B. Ballasts for Fluorescent Lamps:
1. Bear CBM and ETL labels certifying that ballasts meet pertinent requirements.
 - a. Electronic ballasts for T8 32W.
 - b. Energy saving ballasts for T12 40W lamps.
 2. Contain a built-in thermal protector to disconnect ballast permanently prior to failure, or be fused.
 3. High efficiency and constant wattage type.
 4. Of 2 windings where required by applicable codes.
 5. Use dimming ballasts with dimmer controlled fluorescent fixtures where indicated on the Drawings.
 6. Rated for location of installation.
- C. Ballasts for High Intensity Discharge Lamps:
1. Meet requirements of UL 1029.
 2. UL listed, UL labeled, or UL recognized.
 3. Copper windings.
 4. Be internally fused.

2.4 CONTROL TIMER

- A. Electromechanical type 7-day with skip a day functions made by Eagle Timer, Paragon or equal.

2.5 LIGHTING CONTACTORS

- A. Silver-cadmium-oxide, double-break contacts.
- B. 30 ampere rating for lighting circuits.
- C. Mechanically held.
- D. Number of poles as indicated on the Drawings with a minimum of 4 poles and a maximum of 12 poles for each contactor provided.
- E. Manufacturers: One of the following or equal:
 - 1. Square D Company, Type LX.
 - 2. ASCO, Model 917.

PART 3 EXECUTION

3.1 INSTALLATION

- A. Surface and Flush Mounted Fixtures: Solidly connected to a junction box.
- B. Suspended Fixtures: Hung utilizing pendant mounting or stainless steel chains and hooks. Electrically connect each fixture, or row of fixtures, and by rigid conduit and flexible conduit. Provide adequate supports with seismic restraints.
- C. Pole Mounted Fixtures: Mount on steel, aluminum, or fiberglass poles as described in Lighting Fixture Schedule and as indicated on the Drawings.
 - 1. Ground or bond metal poles to the plant grounding system.
 - 2. Poles shall have adequate handholes in accordance with NEC requirements.
 - 3. Poles shall have weatherproof switches, receptacles, photo cells where indicated on the Drawings.

3.2 SPARES

- A. Fixtures and Mounting Accessories: 1 spare for each type.

3.3 LIGHTING FIXTURE SCHEDULE

- A. As indicated on the drawings.

3.4 PROTECTION

- A. Protect products until accepted by District.

END OF SECTION

**SECTION 262220
MOTORS****PART 1 GENERAL**

1.1 SUMMARY

- A. Section Includes: Single phase motors, direct current motors, and 3-phase motors up to and including 400 horsepower.
- B. See Div 11 for submersible motors.

1.2 REFERENCES

- A. American Bearing Manufacturers Association (ABMA):
 - 1. ABMA 9 - Load Ratings and Fatigue Life for Ball Bearings.
 - 2. ABMA 11 - Load Ratings and Fatigue Life for Roller Bearings.
- B. Institute of Electrical and Electronic Engineers (IEEE).
- C. National Electrical Manufacturers' Association (NEMA):
 - 1. MG-1 - Motors and Generators.

1.3 DEFINITIONS

- A. Solid-State Motor Controller: Includes variable frequency drives and solid-state reduced voltage starters.
- B. Nominal Efficiency: The average full load efficiency value of a large population of the manufacturer's motors of the same design.
- C. Minimum Efficiency: The minimum full load efficiency value of any individual motor associated with the nominal motor efficiency.

1.4 SUBMITTALS

- A. Product Data:
 - 1. Descriptive bulletins.
 - 2. Outline Drawings with dimensions.
 - 3. Cut-away and exploded view Drawings.
 - 4. Parts list with material designations.
 - 5. Nameplate data.
 - 6. Motor weight, frame size, and conduit box location.
 - 7. Description of insulation system.
- B. Design and Performance Data:
 - 1. Bearing design and bearing life calculations.
 - 2. Performance Data Required by Schedule A, Nameplate Data, and Following Information:

- a. Service factor.
 - b. Efficiency at 1/2 and 3/4 load.
 - c. Power factor at 1/2 and 3/4 load.
 - d. When required for power factor improvement, capacitor size in KVAR with calculation data for motors sized 50 horsepower and above.
3. Special features including condensation heaters and winding temperature detectors.
 4. Performance data for motors with synchronous speed of 900 revolutions per minute and below.
 5. Factory test reports with test reference standard identified.

1.5 QUALITY ASSURANCE

A. Certification:

1. When motors are driven by variable speed drive systems, submit certification that selected motor:
 - a. Is capable of satisfactory performance under the intended load.
 - b. Is suitable for operation with the proposed variable speed drive unit.

B. Rating:

1. All motors provided for this project shall be suitable for an elevation of 1,250 feet without derating.

PART 2 PRODUCTS

2.1 MANUFACTURERS

A. Motors: One of the following or equal:

1. U. S. Motors.
2. General Electric.
3. Reliance.

2.2 ELECTRICAL MOTORS

A. General:

1. Manufactured with cast iron frames in accordance with NEMA MG 1, and in accordance with specified requirements.
2. Alternating Current Motors: Squirrel cage induction type suitable for 60 hertz power.
3. Where not otherwise specified or indicated on the Drawings:
 - a. Motors 1 Horsepower and Larger: 3-phase, 460 volt AC
 - b. Motors less than 1 Horsepower: Single phase, 120 volt AC
4. 2-Speed Motors: Dual winding design.
5. Temperature Rating and Altitude Requirements: Where not otherwise specified or indicated on the Drawings, provide motors that are rated suitable for continuous operation in 40 degree Celsius ambient temperature at project site altitude.
 - a. Temperature Rise Under Full Load: Not to exceed that for Class B insulation (80 degrees Celsius).

6. Motor Data: Specific motor data including horsepower, speed, and enclosure type are indicated on the Drawings and specified under equipment for which motor is required.
 7. Torque and Power of Motors:
 - a. Provide motors that develop sufficient torque for required service throughout acceleration range at voltage 10 percent less than motor nameplate rating.
 - b. Provide motors that develop sufficient torque when started using reduced voltage starters.
 8. Motor Leads and Insulating Material: Insulated leads with non-wicking, non-hygroscopic material. Class F insulation.
 9. Grounding Lugs: Provide inside conduit boxes for motor frame grounding.
 10. Hardware: Type 316 stainless steel.
- B. Provide motors that are special premium efficiency type, except motors that are to be used on hoisting equipment, heat pumps, unit heaters, sump pumps, and lubricating oil transfer pumps.
1. Provide premium efficiency type motors having nominal full load efficiencies and power factors as specified in Schedule A appended to this Section.
 2. Actual full load efficiency of individual motors within the nominal efficiency band shall not be less than the minimum efficiency value specified in Schedule A.
- C. Condensation Heaters:
1. Use: Required in motors in outdoor applications.
 2. Type: Cartridge or flexible wrap-around type installed within motor enclosure adjacent to core iron.
 3. Rating, Phase and Wattage: Rated for 120 volt, single phase with wattage as required.
 4. Bring power leads for heaters into conduit box.
- D. Winding Temperature Detectors:
1. When specified for individual equipment and on alternating current motors connected to a solid-state motor controller, provide factory installed winding temperature detector with leads terminating in conduit box.
 2. Provide detectors that protect motor against damage from overheating caused by single phasing, overload, high ambient temperature, abnormal voltage, locked rotor, frequent starts, or ventilation failure.
 - a. For Motors Less than 200 Horsepower: Provide detector that has normally closed contacts.
 - b. For Motors 200 Horsepower and Larger: Provide with resistance temperature detectors, 2 per each phase.
 3. Auxiliary Relays and Controls: Provide relays and controls and mount them in controller enclosure which is suitable for the environment.
- E. Internal Cooling Motors: Design motors having speeds of 900 revolutions per minute and less, and motors that are connected to solid-state motor controllers with special attention to internal cooling.
- ### 2.3 SINGLE PHASE MOTORS
- A. Capacitor start type rated for operation at 115 volts, 60 hertz, unless otherwise specified or indicated on the Drawings.

- B. Totally enclosed, fan cooled motors manufactured in accordance with NEMA MG 1-10.35.
- C. Ball Bearings: Sealed.
- D. 1/2 Horsepower or Less Fan Motors:
 - 1. Split-phase or shaded pole type when standard for the equipment.
 - 2. Open type when suitably protected from moisture, dripping water, and lint accumulation.
- E. Wound rotor or commutator type single-phase motors only when their specific characteristics are necessary for application and their use is acceptable to the ENGINEER.

2.4 3-PHASE MOTORS

- A. Suitable for 460 volt 3-phase power unless otherwise specified or indicated on the Drawings.
- B. NEMA Design B except where driven load characteristics require other than normal starting torque.
 - 1. Starting kilovolt ampere per horsepower (locked rotor) are not to exceed values specified in NEMA MG-1-10.37.
- C. Motor Bearings: Antifriction, regreasable, and filled initially with grease suitable for ambient temperatures to 40 degrees Celsius.
 - 1. Suitable for intended application and have ABMA B-10 rating life of 60,000 hours or more.
 - 2. Fit bearings with easily accessible grease supply, flush, drain, and relief fittings using extension tubes where necessary.
 - 3. Provide two pole motors with sleeve type bearings.
- D. Insulation Systems:
 - 1. Comply with NEMA 1-1.65.
 - 2. Class F system with Class B temperature rise.
 - 3. Resistant to attack by moisture, acids, alkalies, and mechanical or thermal shock.
- E. Conduit Boxes: Cast iron or stamped steel, split from top to bottom and capable of being rotated to 4 positions.
 - 1. Provide gaskets between following:
 - a. Frames and conduit boxes.
 - b. Conduit boxes and box covers.
- F. Motor Enclosures: When enclosure type is not specified in individual equipment specifications, provide a Totally Enclosed Fan Cooled (TEFC) enclosure for non-hazardous (unclassified) areas and explosion-proof enclosure for hazardous (classified) areas:
 - 1. Totally Enclosed Fan Cooled: Cast iron conduit box; 1.15 service factor at 40 degrees Celsius ambient; tapped drain holes with Type 316 stainless steel plugs for frames 286T and smaller, and automatic breather and drain devices for

- frames 324T and larger; upgraded insulation by minimum of 3 dips and bakes and sealer coat of epoxy or silicone.
2. Explosion-Proof: 1.15 service factor at 40 degrees Celsius; tapped drain holes with corrosion resistant plugs for frames 286T and smaller and automatic breather and drain devices for frames 324T and larger; UL label for Class I, Division 1, Group D hazardous area.
 3. For special application such as corrosive environment, use a Severe Duty motor. Corrosion resistant type conforming to motors designated by manufacturer as "Chemical Duty," "Mill and Chemical," "Custom Severe Duty," or similar applicable manufacturer's quality designation with 1.15 service factor at 40 degrees Celsius; tapped drain holes with Type 316 stainless steel plugs for frames 286T and smaller and automatic breather and drain devices for frames 324T and larger; epoxy finish; and upgraded insulation using encapsulated or dip and bake windings.
 4. Submersible: Watertight casing with insulated windings which are moisture resistant.
 - a. Pump Motors Specified to be Submersible: Provide motors having cooling characteristics suitable for continuous operation in totally, partially, or non-submerged condition without overheating or other damage.
 - b. Electrical Cables: Provide cables of adequate length to allow unit to be wired without splices.
 5. Open Drip Proof where specified elsewhere: Stamped steel conduit boxes; 1.15 service factor at 40 degrees Celsius.

2.5 MOTOR SIZES

- A. Motor sizes specified in the Specifications and indicated on the Drawings are minimum sizes.
- B. Provide motors, electrical circuits, and equipment of ample horsepower capacity to operate equipment without exceeding rated nameplate horsepower, full-load current at rated nameplate voltage, or overheating at maximum load capacity.

2.6 SOURCE QUALITY CONTROL

- A. Factory Testing of 3-Phase Motors:
 1. When specified in individual equipment Specifications, factory test motors. Include testing of:
 - a. No load current.
 - b. Locked rotor current.
 - c. Winding resistance.
 - d. High potential.
 2. Perform in accordance with applicable NEMA Standards.
 3. Furnish copies of test reports.

PART 3 EXECUTION

3.1 INSTALLATION

- A. Install motors in accordance with manufacturer's instructions.

3.2 SCHEDULES

- A. Schedule A: Full load motor efficiency and power factor rating requirements for premium efficiency, 460 volt, 3-phase horizontal motors.

<u>SCHEDULE A</u>							
FULL LOAD MOTOR EFFICIENCY AND POWER FACTOR RATING REQUIREMENTS FOR PREMIUM EFFICIENCY, 460 VOLT, 3 PHASE HORIZONTAL AND VERTICAL MOTORS							
		Protected (Open Drip Proof) ⁽¹⁾			Totally Enclosed Fan Cooled ⁽¹⁾		
Nominal Horsepower (Horsepower)	Syn. (revolutions per minute)	Minimum Efficiency (Percent)	Nominal Efficiency (Percent)	Power Factor (Percent)	Minimum Efficiency (Percent)	Nominal Efficiency (Percent)	Power Factor (Percent)
1	1,800	81.5	84.0	70.9	81.5	84.0	77.7
	1,200	78.5	81.5	57.0	78.5	81.5	57.0
2	3,600	81.5	84.0	87.7	84.0	86.5	87.7
	1,800	81.5	84.0	76.7	81.5	84.0	78.8
	1,200	84.0	86.5	68.1	85.5	87.5	68.1
3	3,600	80.0	82.5	90.4	84.0	86.5	82.8
	1,800	86.5	88.5	78.9	86.5	88.5	79.2
	1,200	87.5	89.5	71.0	87.5	89.5	71.0
5	3,600	86.5	81.5	84.5	86.5	88.5	87.0
	1,800	87.5	88.5	80.4	86.5	88.5	81.0
	1,200	88.5	89.5	73.0	87.5	89.5	74.4
	900	87.5	87.5	70.0	87.5	89.5	70.5
7-1/2	3,600	86.5	88.5	86.7	87.5	89.5	86.3
	1,800	87.5	89.5	83.3	88.5	90.2	84.4
	1,200	88.5	90.2	78.2	88.5	90.2	78.3
	900	87.5	89.5	72.0	87.5	89.5	72.0

<u>SCHEDULE A</u>							
FULL LOAD MOTOR EFFICIENCY AND POWER FACTOR RATING REQUIREMENTS FOR PREMIUM EFFICIENCY, 460 VOLT, 3 PHASE HORIZONTAL AND VERTICAL MOTORS							
		Protected (Open Drip Proof)⁽¹⁾			Totally Enclosed Fan Cooled⁽¹⁾		
Nominal Horsepower (Horsepower)	Syn. (revolutions per minute)	Minimum Efficiency (Percent)	Nominal Efficiency (Percent)	Power Factor (Percent)	Minimum Efficiency (Percent)	Nominal Efficiency (Percent)	Power Factor (Percent)
10	3,600	88.5	90.2	85.5	89.5	91.0	87.5
	1,800	88.5	90.2	82.8	88.5	90.2	86.0
	1,200	89.5	91.0	80.5	89.5	91.0	81.0
	900	89.5	91.0	75.8	88.5	90.2	76.0
15	3,600	88.5	90.2	86.7	89.5	91.0	87.4
	1,800	90.2	91.7	81.9	91.0	92.4	82.7
	1,200	89.5	91.0	81.7	90.2	91.7	78.9
	900	89.5	91.0	76.8	88.5	90.2	77.0
20	3,600	90.2	91.7	87.1	90.2	91.7	88.7
	1,800	91.0	92.4	83.1	91.0	92.4	84.2
	1,200	90.2	91.7	83.7	90.2	91.7	79.0
	900	90.2	91.7	77.2	89.5	91.0	77.2
25	3,600	90.2	91.7	88.1	91.0	92.4	85.5
	1,800	91.7	93.0	82.7	92.4	93.6	84.3
	1,200	91.0	92.4	79.2	91.0	92.4	83.5
	900	90.2	91.7	76.3	90.2	91.7	76.4
30	3,600	91.7	93.0	88.3	91.0	92.4	73.9
	1,800	91.7	93.0	83.3	92.4	93.6	83.1
	1,200	91.7	93.0	82.1	91.7	93.0	83.5
	900	91.7	93.0	76.0	91.0	92.4	76.5

<u>SCHEDULE A</u>							
FULL LOAD MOTOR EFFICIENCY AND POWER FACTOR RATING REQUIREMENTS FOR PREMIUM EFFICIENCY, 460 VOLT, 3 PHASE HORIZONTAL AND VERTICAL MOTORS							
		Protected (Open Drip Proof) ⁽¹⁾			Totally Enclosed Fan Cooled ⁽¹⁾		
Nominal Horsepower (Horsepower)	Syn. (revolutions per minute)	Minimum Efficiency (Percent)	Nominal Efficiency (Percent)	Power Factor (Percent)	Minimum Efficiency (Percent)	Nominal Efficiency (Percent)	Power Factor (Percent)
40	3,600	92.4	93.6	89.2	92.4	93.6	87.5
	1,800	93.0	94.1	80.8	93.0	94.1	82.3
	1,200	92.4	93.6	82.2	92.4	93.6	80.5
	900	91.7	93.0	75.0	91.7	93.0	75.5
50	3,600	91.7	93.0	86.3	91.7	93.0	87.7
	1,800	93.0	94.1	83.3	93.0	94.1	84.2
	1,200	92.4	93.6	83.0	92.4	93.6	80.6
	900	92.4	93.6	79.2	91.7	93.0	79.5
60	3,600	92.4	93.6	88.8	92.4	93.6	88.9
	1,800	94.1	95.0	84.5	94.1	95.0	84.2
	1,200	93.6	94.5	84.4	93.0	94.1	85.4
	900	92.4	93.6	78.8	91.7	93.0	79.3
400	1800	95.4	96.2	90.1	93.4	94	88.4
	1200	96.3	96.7	86.5	95.2	95.5	80.3
	900	96.3	96.5	77.5	95.2	95.4	72.7

NOTES:

- (1) Motor data for continuous duty, NEMA Design B, 1.15 service factor, 40 degrees Celsius ambient, Class F insulation, 3 phase, 460 volt, at listed speed rating.
- (2) Correct to 95 percent power factor and submit capacitor size in KVAR as specified in Article titled "Submittals."
- (3) Totally enclosed fan cooled efficiencies apply to both horizontal and vertical motors.

END OF SECTION

**SECTION 264220
MOTOR CONTROL**

PART 1 GENERAL

1.1 SUMMARY

- A. Section Includes: Manual starters, magnetic contactors, overload relays, combination starters and related motor controllers.
- B. Related Sections:
 - 1. Section 260000 – Requirements for Electrical Work
 - 2. Section 269200 – 600V Motor Control Center

1.2 REFERENCES

- A. National Electrical Code (NEC):
 - 1. Article 430 - Motors, Motor Circuits and Controllers.
- B. National Electrical Manufacturers Association (NEMA).
- C. Underwriter Laboratories (UL)

1.3 SUBMITTALS

- A. Shop Drawings: Submit in accordance with Section 260000.
- B. Manufacturer's installation instructions.

1.4 WARRANTY

- A. Submit manufacturer's standard warranty.

PART 2 PRODUCTS

2.1 MANUFACTURERS

- A. Manual Motor Starters: One of the following or equal:
 - 1. Square D Company.
 - 2. Allen-Bradley.
 - 3. General Electric/ABB.
- B. Full Voltage Magnetic Starters: One of the following or equal:
 - 1. GE/ABB.
 - 2. Allen-Bradley.
 - 3. Square D Company.
- C. Reduced Voltage Closed-transition Autotransformer Starters: One of the following or equal:

1. GE/ABB.
2. Square D Company.
3. Allen-Bradley.

2.2 STARTERS

- A. Suitable for the horsepower ratings specified, and in accordance with NEC Article 430.
- B. Verify motor ratings and coordinate starter and overload trip ratings with actual horsepower and nameplate current ratings of motors installed.
- C. Magnetic Contactors: Factory adjusted and chatter free.
- D. Overload Relays: Install bimetallic type overload relays in each line conductor as indicated on the Drawings. Provide contacts for remote monitoring of overload status as indicated on the Drawings.
- E. Mount extended overload reset buttons to be accessible for operation without opening door of enclosure. Plastic overload relay reset buttons with plastic operator shafts are unacceptable.
- F. Provide starters Size 2 and larger with arc quenchers on load breaking contacts.
- G. Minimum Size Starter: NEMA Size 1, and not smaller than size indicated on the Drawings.
- H. Provide starters of sufficient size to accommodate motors furnished, including larger starters required for larger motors supplied by CONTRACTOR.
- I. Combination Starters: Furnish complete with a 120 volt control transformer and accessories unless otherwise noted.
- J. Control Fuses: Size and furnish as required and where indicated in the schematics.

2.3 MANUAL MOTOR STARTERS

- A. Across-the-line manual motor starters for motors up to 1 horsepower, 600 volts shall have the electrical characteristics indicated on the Drawings.
- B. Provide single-pole or 3 pole as indicated on the Drawings with overload devices.
- C. Provide handles that clearly indicate the ON, OFF with lockout, and TRIPPED positions, pilot light, and positive, quick-make, quick-break mechanisms.
- D. Provide enclosures as indicated on the Drawings. Where not indicated, provide NEMA 12 enclosures for indoor location and NEMA 3R or 4X stainless steel enclosures for outdoor locations and corrosive, chemical areas. Provide enclosures compatible with type of conduit system being used for each specific application.

2.4 FULL VOLTAGE MAGNETIC STARTERS

- A. Across-the-line full voltage magnetic starters for up to 600 volts shall have electrical characteristics indicated on the Drawings.
- B. Provide positive, quick-make, quick-break mechanisms; padlockable enclosure doors; 3 overload relays with plus or minus 15 percent adjustment from nominal heater rating on the overload relay; cover mounted reset button, and at least 3 reversible contacts in addition to hold-in contact.
- C. Provide magnetic starter enclosures as indicated on the Drawings. Where not indicated, provide NEMA 12 enclosures for indoor locations and NEMA 3R or 4X stainless steel enclosures for outdoor locations and corrosive, chemical areas. Provide enclosures compatible with type of conduit being used for each specific application.
- D. Provide magnetic starters in accordance with latest NEMA Standards.
- E. Provide pilot devices such as push buttons, pilot lights (push-to-test type), running time meter, motor protector modules as shown on the drawings.

PART 3 EXECUTION

3.1 INSTALLATION

- A. Install motor starters in accordance with section 260000.

3.2 APPLICATION

- A. Supply circuit breaker trip elements and starter overload trip elements to meet above normal ambient temperatures where such conditions are anticipated (subject to ENGINEER's acceptance).

3.3 DEMONSTRATION

- A. Demonstrate successful operation of equipment.

3.4 PROTECTION

- A. Protect products until acceptance by DISTRICT.

END OF SECTION

**SECTION 269200
600 VOLT MOTOR CONTROLLERS (MC)**

PART 1 GENERAL

1.1 DESCRIPTION

- A. Scope: This section specifies freestanding, factory assembled, customized 600 volt motor controller (MC) section.
- B. Equipment List: The controller and entire assembly specified herein shall be manufactured by Tesco, KBL, MCC, or equal.

1.2 RELATED SECTIONS

- A. Section 260000 – Requirements for Electrical Work.

1.3 REFERENCES

This section contains references to the following documents. They are a part of this section as specified and modified. In case of conflict between the requirements of this section and those of the listed documents, the requirements of this section shall prevail.

Reference	Title
ANSI/NEMA ICS 1-88	General Standards for Industrial Controls and Systems
ANSI/NEMA ICS 2-88	Industrial Control Devices, Controllers and Assemblies
JIC EMP 1-67	Electrical Standards for Mass Production Equipment
UL 508A and 698A	Industrial Control Panels

1.4 SUBMITTALS

- A. The following specific submittal requirements for the MC's shall be followed:
 - 1. Contractor shall formally place an order with motor controller manufacturer within sixty days or sooner of the award of the contract. The order shall include all relevant drawings and specs including any addendum items associated with the equipment.
 - 2. Contractor shall submit shop drawings to the District within 6 weeks of the order being placed.
 - 3. Contractor shall forward review comments to motor controller manufacturer within two days after receipt of the comments by the District.

4. Contractor shall provide the release for manufacturing to MC manufacturer within 3 business days of receiving a favorably reviewed submittal and shall provide the District with the shipping date.
 5. Prior to shipment, a factory test of the equipment optionally witnessed by the District shall occur.
- B. The following information contain in three-ring binder shall be provided and submitted in accordance with Section 260000:
1. Elementary connection, single-line diagram, individual equipment control schematic diagrams, and interconnection diagrams as required in accordance with JIC EMP 1 and/or NEMA ICS standards. Each and every terminal shall be identified with terminal number. Remote mounted pilot devices shall also be identified on wiring diagram.
 2. List of starters and feeder tap compartments indicating the size and type of circuit protection. Nameplate schedule for each equipment compartment door shall also be submitted.
 3. Interrupting withstand and continuous current rating of:
 - a. Bus bars
 - b. Feeder tap units
 - c. Starter units
 - d. Main incoming units
 4. A copy of this specification section with addenda updates, and all referenced sections with addenda updates, with each paragraph check marked to show specification compliance or marked to show deviations.

PART 2 PRODUCTS

2.1 ACCEPTABLE PRODUCTS

- A. Motor controller shall comply with ANSI/NEMA ICS 2-322, AC General-Purpose Motor Control Centers, and UL 845 or UL508A.

2.2 SEISMIC REQUIREMENT

MC shall have provisions for being tied down to resist seismic forces as per latest California Building Code requirements. Provide certification from the MC manufacturer that the MC meets and exceeds seismic requirements for the project location in the San Francisco Bay Area.

2.3 FINISH AND COLOR

- A. The MC enclosure shall be free-standing, NEMA 3RX, vented, 316 stainless steel enclosure.

2.4 WIRING

- A. General: Motor controller shall be provided with NEMA Class II, Type B wiring. All starter units shall have terminal blocks for control wiring. Terminal blocks shall be provided for power wiring for starters size 2 and smaller. Motor control centers shall be provided with all necessary interconnecting wiring and interlocking. When a relay cubicle is specified on the drawings or schedules, wire directly to the relay sockets of plug-in relays as part of the interconnecting wiring. Provide elementary and connection diagrams for each starter unit and an interconnection diagram for the entire motor control center.
- B. Power Wire: Power wire shall be copper 90 degrees C, dry locations, "MTW" insulated, sized to suit load; minimum power wire size shall be No. 12 AWG copper stranded. All power cable terminals shall be color coded with color tapes and shall indicate circuit designation as shown on single-line diagram.
- C. Control Wire: Control wire shall be No. 16 AWG stranded copper wire, rated 90 degrees C and UL listed for panel wiring.
- D. Terminations and Cable Connections:
 - 1. Terminals: Control wiring shall be lugged with ring-tongue or locking spade crimp type terminals made from electrolytic copper, tin-plated.
 - 2. Cable Connectors: Cable connectors for use with stranded copper wire, sizes No. 8 AWG to 1000 MCM, shall be UL listed. Dished conical washers shall be used for each bolted connection. Connectors shall be reusable and shall be rated for use with copper conductors. Incoming line and outgoing feeder compartments shall be provided with crimp type lugs, 3M, Burndy, or equal.
- E. Conductor Markers: Markers used for identification shall meet the requirements of paragraph 260000-2.7.

2.5 MAIN AND FEEDER BRANCH CIRCUIT PROTECTION

Circuit Breakers (Thermal Magnetic): Thermal-magnetic circuit breakers shall be molded case equipped with toggle type handle, quick-make, quick-break over center switching mechanism that is trip-free so that breaker cannot be held closed against short circuits and abnormal currents. The tripped position shall be clearly indicated by breaker handle maintaining a position between "ON" and "OFF." All poles shall open, close, and trip simultaneously. Minimum short circuit capacity shall be 42,000 amps symmetrical at 480V AC. Main breaker of 200 amp or larger shall be provided with solid-state multi function trip units with long time, long time delay, short time, short time delay and ground fault protection. Main breaker for MCC shall be provided with a shunt trip coil and with two auxiliary switches rated for 5A minimum.

2.6 MOTOR CONTROLLER UNITS

General: Motor starter units shall be combination type with contactor motor circuit protector as specified on the drawings. The starter units shall have a minimum combination UL listing of 42,000 amps RMS, symmetrical at 480V AC.

- B. Motor Circuit Protectors: The molded case motor circuit protector shall operate on the magnetic principle with a current sensing coil in each of the three poles to provide an instantaneous trip for short circuit protection. The trip setting shall be adjustable from 700 to 1300 percent of the motor full load amperes from the front of the breaker. The motor circuit protector shall be set at its lowest position at the factory.
- C. Control Transformers: Each control transformer shall be rated 480/240-120V, single phase, 2 wire, 60 Hz. The transformer shall be sized for the load it feeds but shall not be less than the minimum ratings as follows:

NEMA starter size	Minimum transformer volt-ampere rating
1	100
2	150
3	200
4	300

- 1. Each control transformer shall be provided with time-delay, slow-blow secondary fuse rated to interrupt 10,000 amperes short circuit at 250 volts AC. Two primary fuses rated to interrupt 200,000 amperes at 600 volts shall be provided on all starters. Provide an extra 50VA for CPTs of motor control circuit serves motor space heater.
- 2. Fuse holder for secondary fuse shall be drawout indicating type and mounted on the door of the compartment. Fuse holders for primary fuses shall be fuse clips with full barriers between fuses.
- E. Spare Parts: Provide the following spares with no additional costs to the District:
 - a. Two dozens control fuses.
 - b. Three 100VA CPTs
 - c. Three pushbuttons and three pilot lights of each type.
 - d. One gallon of touch up paint.
 - e. One remote electronic keypad
- F. Auxiliary Contacts: Contactors shall be equipped with auxiliary contacts, rated 10 amperes at 120 volts AC. Unless otherwise specified on the drawings, each contactor shall be equipped with two normally open and two normally closed electrically isolated auxiliary contacts. Auxiliary contacts shall be wired out to terminal blocks. Refer to drawings for actual quantities required.
- G. Overload Relay: The overload relay with three heater elements shall protect the power wiring and motor from excessive overcurrents. The relay shall be ambient compensated and have adjustment from 90 to 110 percent of the normal heater rating. The sensing element shall be bimetal type and conform to ANSI/NEMA ICS 2-222.06, Class 20 tripping time.
- H. Terminal Blocks: Terminal blocks shall be screw type rated 600 volts; 20 amperes for control wiring and 30 amperes power wiring (starters size 3 and larger shall terminate the power leads directly to the contactor). The number of terminal blocks shall be

specified on the drawings. Terminal blocks shall be provided with integral marking strips and shall be permanently marked with the conductor number as specified on the drawings. Internal wiring shall be connected on one side of the terminal block; outgoing conductors shall be connected to the other side.

2.7 MISCELLANEOUS

A. General:

1. Control devices such as pushbuttons, selector switches, indicating lights (push-to-test type) and overload reset pushbuttons shall be mounted on the unit compartment door.
2. The control devices shall comply with the requirements of Section 260000.
3. Wire motor protection relay Minicas II provided by the pump manufacturer as part of the control circuitries as shown on the drawings. This relay shall be installed away from the power cables or power components of the drive to avoid possible interferences.
4. Wire Automatic Transfer Switch (ATS) as per the design drawings and as recommended by the manufacturer.

B. Provide a permanent pocket on the side of the MC to hold as-built documents and O&M Manual.

C. Nameplates: Nameplates shall be provided in accordance with the requirements of paragraph 260000-2.11 Nameplates shall be provided for all cubicles and compartments. A Master nameplate with large lettering (one-inch high) shall be provided identifying name of the motor control center.

D. Other components: Refer to the drawings for other components such as ATS, Power Distribution Panel, power and control transformers, mini loadcenter etc. to be located within the Motor Controller. These components are specified in this section and other sections of Div 26 specification.

2.8 PRODUCT DATA

A. The following information shall be provided in accordance with Section 013000:

1. Applicable operation and maintenance information as specified in Section 260000.
2. Dimensions and weights.
3. Installation instructions.
5. Manufacturer's product data of each component.

PART 3 EXECUTION

3.1 GENERAL

- A. The motor controller sections shall be erected in accordance with the recommendations of the manufacturer and with the details specified herein.
- B. The overload relay heater elements shall be provided by the Contractor and sized based on the actual full load amperes of the motor connected to the starter. Provide a summary of all overload heaters including the motor nameplate data and corresponding overload heater catalog number and rating as part of as-built drawings for review and approval.
- C. The motor circuit protectors shall be adjusted by the Contractor to the lowest setting not causing false tripping.
- D. Install anchor bolts to hold down MC as per typical detail shown on the drawing to resist seismic activities. Install anchor bolts to hold down auxiliary enclosure as per the manufacturer's requirements to meet seismic requirements per California Building Code. Caulk around the base of the auxiliary enclosure after installation.
- E. Provide color coded tapes and circuit identification to all power cables and terminals. Refer to section 260000 for color assignment.

3.2 FIELD TESTS

- A. Motor controller shall be tested in accordance with Section 260000. Testing shall include individual controller. Submit final as-built prior to performing final acceptance test. Field test records shall include data such as motor nameplate rating, overload catalog number and rating and actual full load current drawn by the installed equipment at 100% speed.

3.3 TRAINING

- A. Provide training to the DISTRICT's electrical and instrumentation staff. Training shall include one session of four hours which includes one two hours of theory of operations and two hours of hand-on practice. Training shall be performed by the manufacturers' field representatives.

END OF SECTION

**SECTION 269380
POWER SYSTEM STUDY**

PART 1 GENERAL

1.1 REQUIREMENTS

- A. The Contractor shall prepare a short circuit (SC), load flow (LF), and protective device evaluation/coordination (PDEC) and arc flash study for the Princess Pump Station electrical power system in accordance with the requirements of these Specifications and as shown.
- B. The study shall include all portions of the electrical distribution system from the utility service for utility power sources down to and including the 208V distribution system. This shall include all new electrical equipment as shown on the single-line diagram.
- C. It is the responsibility of the Contractor to obtain the short circuit information required for the study from PG&E. The equipment characteristics and data in the study shall match the equipment and associated impedance actually being installed.
- D. The Contractor shall expedite and be fully responsible for collection of data from equipment suppliers, from the District to assure completion of the studies as required for final approval of the distribution equipment shop drawings and/or prior to release of the equipment for manufacture.
- E. The study shall be performed with SKM Electrical Engineering software.

1.2 QUALIFICATIONS OF ORGANIZATION RESPONSIBLE FOR THE STUDY

- A. Short circuit studies, protective device evaluation studies, and protective device coordination studies shall be performed by a professional electrical engineer from the medium voltage switchgear manufacturer or an electrical testing agency who has been regularly engaged in short circuit and protective device coordination services for a period of at least 10 years. The study shall utilize proven computer programs for making three-phase fault duty and single phase ground fault duty calculations. The studies shall be signed and stamped by a professional electrical engineer, registered in the State of California, responsible for the studies. This service shall be performed by Square D Engineering services, Emerson Electric Reliability Services, Eaton Engineering Services, or General Electric Engineering Services.

1.3 REFERENCE SPECIFICATIONS, CODES AND STANDARDS

- A. The short circuit and protective device coordination study shall be conducted under the applicable standards of the American National Standards Institute (ANSI), and the latest edition of the National Electrical Code (NEC). Specifically, the following standards shall apply.

ANSI/IEEE 141 - Recommended Practice for Electrical Power Distribution for Industrial Plants

ANSI/IEEE 242 - Recommended Practice for Protection, and Coordination of Industrial, and Commercial Power Systems

ANSI/IEEE C 37.010 - Application Guide for AC High-Voltage Circuit Breakers Rated on a Symmetrical Current Basis

ANSI/IEEE C 37.13 - Low-Voltage AC Power Circuit Breakers Used in Enclosures

ANSI/IEEE C 57.109 - Guide for Transformer Through-Fault-Current Duration

1.4 CONTRACTOR SUBMITTAL

- A. The short circuit protective device coordination report shall conform to the requirements of this Section entitled "Power Systems Study."
- B. Studies related to distribution system protection and coordination shall be submitted to the District prior to receiving final approval of the distribution equipment shop drawings and/or prior to release of equipment for manufacture. If formal completion of the studies may cause delay in equipment manufacture, approval from the Engineer may be obtained for a preliminary submittal of sufficient detail to ensure that device selection will be adequate. Preliminary submittal shall indicate the computer program (preferably SKM) for use in performing the work of this section. Submit both hard copy and electronic version including completed SKM databases to the PWP. A copy of a full size 22" x 34" single-line diagram folded in a plastic 8.5" x 11" holder shall be submitted as part of the submittal.
- C. Protective device and coordination evaluation studies must be approved by the District and the Engineer prior to system implementation and testing.

PART 2 PRODUCTS

2.1 GENERAL

- A. The study organization shall prepare a single-line diagram of the power system. This single-line diagram shall identify all specific components considered in the study, and the ratings as well as contributions of all power devices (This includes, but is not limited to: transformers, circuit breakers, relays, fuses, buses, conduit material and cables). The study input data shall include the power company's short circuit contribution, resistance and reactance components of the branch impedances, the X/R ratios, base quantities selected, and other source impedances.

2.2 SHORT CIRCUIT STUDY (SC)

- A. The short circuit study shall be performed with the aid of a digital computer program and shall be in accordance with ANSI C37.5, IEEE Standard 242,

and IEEE Standard 141. The short circuit study shall include a minimum of two general groups of system-wide calculations including 3-phase bolted faults and single-phase line-to-ground faults. The study shall produce a minimum of 4 complete system-wide sets of data and calculation results including 4 sets covering 3-phase bolted faults and 6 sets covering single-phase line-to-ground faults. The 4 sets of data and results for each group shall correspond to switching, motor and power supply operating arrangements for the worst-case faults on the bus of:

1. Combination Meter and Main Disconnect
- B. Typical operating arrangements for worst-case faults are indicated in Table 1

**SHORT CIRCUIT STUDY
OPERATING ARRANGEMENTS FOR WORST-CASE FAULTS**

TABLE 1

Short Circuit Case	Location	Notes
Utility service available (bolted 3-phase fault) and ground fault at main electrical service equipment)	Main WWTP	Not required as arc flash analysis was recently performed
Same as above	Main Street Pump Station	Same as above
Same as above	Locust Street Pump Station	Required
Same as above	Princess Pump Station	Required
Same as above	Marin City Pump Station	Required
Same as above	Gate 5 Pump Station	Required
Same as above	Anchor Street Pump Station	Required
Same as above	Spinnaker Drive Pump Station	Required

2.3 PROTECTIVE DEVICE EVALUATION STUDY (PDEC)

- A. A protective device evaluation study shall be performed to determine the adequacy of current transformers, circuit breakers, molded case switches, relays, fuses, and other protective devices by tabulating and comparing the short circuit ratings of these devices with the calculated fault currents. Any problem areas or inadequacies in the equipment due to prospective short-circuit currents shall be promptly brought to the Engineer’s attention.

2.4 PROTECTIVE DEVICE COORDINATION STUDY (PDEC)

- A. A protective device coordination study shall be performed to provide the necessary calculations required to select or check the selection of power fuse

ratings, protective relay characteristics and settings, ratios and characteristics of associated current transformers, and low-voltage breaker trip characteristics and settings.

2.5 TIME / CURRENT COORDINATION CURVES (PDEC)

- A. As a minimum, the time current coordination curves for the power distribution system shall include the following on 5 cycle log-log graph paper:
1. Time-current curve for each circuit breaker, protective relay or fuse showing graphically that the settings will provide protection and selectivity within industry standards. Each curve shall be identified; relay taps and time dial settings shall be specified. Curves shall be provided for ground fault settings as well as phase bolted fault settings.
 2. Time-current curves for each device shall be positioned to provide the maximum selectivity to minimize system disturbances during fault clearing. Where selectivity cannot be achieved, the Engineer shall be notified as to the cause.
 3. Time-current curves and points for transformer, motor, bus, cable and equipment damage.
 4. Circuit interrupting device operating and interrupting times.
 5. Indicate maximum fault values on the graph.
 6. Sketch of bus and breaker arrangement.
 7. Magnetizing inrush points of transformers.
 8. Motor starting currents and thermal limits of motors 150 HP and above.
 9. All restrictions of the ANSI and National Electrical Code shall be adhered to and proper coordination intervals and separation of characteristics curves shall be maintained.

2.6 LOAD FLOW (LF)

- A. Several steady state load flow studies shall be conducted. The initial tap settings of all the 208-volt transformers shall deliver the nominal 208 volts at the associated switchboard and MC main buses, and all transformers seeing all connected load running at full load. For any power system with a combined 100hp of motor loads or larger, a steady state load flow with the above initial conditions shall be provided for the following cases and shall be submitted for Engineer review.
1. Load flow studies shall be provided for the two switching and operational arrangements described in Table 1 for the short circuit calculations.

2. Load flow studies shall show all major node voltages and branch currents including motor terminals, associated MCC, and associated transformers both secondary and primary. A summary of identified branch voltage drops shall be provided to identify voltage drop problems.

2.7 ARC FLASH HAZARD ANALYSIS

- A. Perform an arc flash hazard analysis after the short circuit and protective device coordination study has been completed.
- B. The analysis shall be calculated by means of the SKM Power Tools for Windows computer software package. Pertinent data, rationale employed, and assumptions in developing the calculations shall be incorporated in the introductory remarks of the study.
- C. The analysis shall be in accordance with latest applicable NFPA 70E, OSHA 29-CFR, Part 1910 Sub part S and IEEE 1584 Standards.
- D. The arc flash analysis will determine the following:
 1. Flash Hazard Protection Boundary
 2. Limited Approach Boundary
 3. Restricted Boundary
 4. Prohibited Boundary
 5. Incident Energy Level
 6. Required Personal Protective Equipment Class
 7. Type of Fire Rated Clothing
- E. Produce an Arc Flash Warning label listing items above for each of the major equipment covered in the scope of work. Also include the bus name and voltage. Labels shall be printed in color and be printed on adhesive backed heavy duty vinyl Labels.
- F. Produce Bus Detail sheets that list the items D 1-7 from above and the following additional items:
 1. Bus Name
 2. Upstream Protective Device Name, Type, and Settings
 3. Bus Line to Line Voltage
- G. Produce Arc Flash Evaluation Summary Sheet listing the following additional items:

1. Bus Name
2. Upstream Protective Device Name, Type, and Settings
3. Bus Line to Line Voltage
4. Bus Bolted Fault
5. Protective Device Bolted Fault Current
6. Arcing Fault Current
7. Protective Device Trip / Delay Time
8. Breaker Opening Time
9. Solidly Grounded Column
10. Equipment Type
11. Gap
12. Arc Flash Boundary
13. Working Distance
14. Incident Energy
15. Required Protective Fire Rated Clothing Type and Class

2.8 STUDY REPORT

The results of the power system study shall be summarized in a final report signed and stamped by a California registered professional engineer. The report shall include the following minimum sections:

- A. Introduction, executive summary and recommendations, assumptions, reduced copy of the one-line drawing and a folded full size one-line drawing.
- B. Arc Flash Evaluations Summary Spreadsheet
- C. Bus Detail Sheets
- D. Coordination curves and settings of protective devices
- E. Arc Flash Hazard Warning Labels printed in color on adhesive backed labels. On each label, date, name, address and phone of the organization performing the study shall be indicated. Each label shall not be smaller than 4" by 6". For outdoor electrical equipment, the labels shall be suitable for outdoor usage and shall be water-proof.

PART 3 EXECUTION**3.1 FIELD INVESTIGATION**

- A. The Contractor shall provide a field data of motor, transformer, conduit, buses, cable, switchboard, protective device, interlocks, operational and setting data and nameplate information from new electrical equipment in the field for input into the studies.

3.2 PROTECTIVE DEVICE TESTING, CALIBRATION TESTING, CALIBRATION AND ADJUSTMENT

- A. For breakers with multi-function electronic settings, the Low Voltage 600V equipment manufacturer may have an option to provide the services of a qualified field engineer and necessary tools and equipment to test, calibrate, and adjust the protective relays, motor protection devices, and circuit breaker trip devices as recommended in the power system coordination study.

3.3 STUDY REPORT

- A. The results of the power system study shall be summarized in a final report. Six bound copies of the final report signed and sealed with PE stamp and signature shall be submitted. The report shall include the following:
 - 1. Description, purpose, basis, criteria, scope and assumptions of the study.
 - 2. Single-line diagram drawing with short circuit data and details.
 - 3. Tabulation of all protective devices, which shall be identified on the single line diagram. Devices ratings versus calculated short circuit duties, and commentary regarding same. Device settings versus transformer inrush currents, motor starting currents and calculated short circuit currents and commentary regarding same.
 - 4. Time/current coordination, colored curves for all protective devices.
 - 5. Computerized fault current calculations and input data.
 - 6. Specific recommendations on equipment ratings, protective device settings and ratings.
 - 7. Equipment and wire input data impedances shall be clearly listed.
 - 8. Instrumentation, condition, and connections for each study
 - 9. Transformer, motor and cable damage curves.
 - 10. Equipment hazard category and labels.

3.4 RECOMMENDED CHANGES

- A. If recommended changes in breakers or fuses or protective devices will provide coordination where coordination was not originally possible, the Contractor shall incorporate these changes at no additional cost to the District. Additional protective devices recommended by this study, not shown or specified in the Contract Documents, may be proposed, but are not part of the requirements of the Contract Documents.

3.5 FINAL STUDY REPORT

- A. After all the District's submittal comments and any setting revisions to the equipment have been incorporated, a Final Study Report (hard copy and electronic version) shall be submitted to the Engineer prior to implementation of the settings and affixing the arc flash labels on the electrical equipment..
- B. Computer disk copies of the report input and output SKM data bases shall be submitted with the final report in addition to the 4 bound copies. The SC, LF and PDEC computer program name version and phone number and address of the manufacturer shall be indicated.

3.6 LABEL IMPLEMENTATION

- A. Affix all respective arc flash labels on all electrical equipment that have been covered by the study.

END OF SECTION

**SECTION 269500
ELECTRICAL TESTING**

PART 1 GENERAL

1.1 SUMMARY

- A. Section Includes: Acceptance testing of electrical system, wiring, equipment, and grounding.
- B. Related Sections:
 - 1. 260000 – Requirements for Electrical Work.

1.2 REFERENCES

- A. National Electrical Testing Association (NETA):
 - 1. ATS-1995: Acceptance Testing Specifications for Electric Power Distribution Equipment and Systems.
- B. ANSI: Test Procedures for Electrical Equipment.
- C. ASTM: American Society for Testing and Materials.
- D. ANSI/IEEE: Recommended Practices for Testing: Machinery, Ground Impedance, Cables and Terminations.

1.3 SUBMITTALS

- A. Pre-Test Submittals:
 - 1. Testing service qualifications.
 - 2. Test personnel qualifications (resumes).
 - 3. Equipment testing schedule.
 - 4. Test data forms, custom edited for difference types of electrical equipment. Test forms shall be specific for the tested equipment, the Owner (District), and the location.
- B. Post-Test Submittals: Summary Test Report consists of the following:
 - 1. Summary of testing for the project.
 - 2. Description of the equipment tested.
 - 3. Description of the test and test procedures.
 - 4. Test results for each apparatus and motorized equipment.
 - 5. Conclusions and recommendations.
 - 6. Completed test forms, including tester's and witness's signatures.
 - 7. List of test equipment and calibration documents.
 - 8. Date and time.
 - 9. A copy of this specification section with each paragraph check marked indicating compliance or marked with explicit deviations.

- C. Submit Equipment Testing Schedule no later than 7 days prior to scheduled date of testing.
- D. Project Record Documents: Submit as specified in Section 260000. Note or indicate wiring deviations from Contract Documents on Project Record Documents.

1.4 QUALITY ASSURANCE

- A. Testing Firm Qualifications:
 - 1. Obtain the services of an independent testing service firm that meets the Federal OSHA criteria for accreditation of testing laboratories, Title 29, Part 1910.7 and has a work history and qualifications acceptable to ENGINEER.
 - 2. Prequalified Testing Services and Manufacturing Firms:
 - a. General Electric Company.
 - b. Square D Company Technical Service Divisions.
 - c. Electrical Reliability Services
 - d. Siemens/Westinghouse Technical Services.
 - 3. Testing service or testing personnel may be accepted or rejected based upon, but not limited to, the testing equipment intended to be used, the qualifications of the firm, and personnel.
- B. Test Equipment Traceability:
 - 1. Testing firm shall have a calibration program to maintain test instrumentation and equipment within rated accuracy, including stickers with calibration dates record.
 - 2. Equipment and instruments used to evaluate electrical performance shall be calibrated to a standard traceable to the National Institute of Standards and Technology.
 - 3. Test equipment operating instructions and procedures shall be with the test equipment.

PART 2 PRODUCTS

Not Used.

PART 3 EXECUTION

3.1 SAFETY AND PRECAUTIONS

- A. Testing firm shall perform tests following a safe practice in accordance with OSHA and accident prevention procedures by National Safety Council and applicable codes.
- B. Tests shall be performed with apparatus de-energized, except as necessary for equipment performance and functional test.

3.2 EXAMINATION

- A. Verify that electrical work is free from improper grounds, short circuits, and overloads.

- B. Verify correctness of wiring first by visual comparison of the conductor connections with connection diagrams.
- C. Make individual circuit continuity checks by using electrical circuit testers.
- D. Verify correctness of wiring by actual electrical operation of electrical and mechanical devices in both manual and automatic modes of operation.

3.3 IMPLEMENTATION OF POWER SYSTEMS STUDIES

- A. Prior to perform acceptance testing, the testing firm shall inspect and verify adequate short circuit rating of electrical equipment as determined by the short circuit study specified in Section 269380.
- B. The testing firm shall implement the adequate settings and calibration of protective relays, circuit breakers, fuses and other applicable protective devices as recommended in the protective devices coordination study in Section 269380.

3.4 ACCEPTANCE TESTING

- A. General Requirements:
 - 1. Perform testing and allow DISTRICT and ENGINEER to witness testing.
 - 2. Perform tests to assure that electrical equipment will operate within industry and manufacturer's published tolerances, and will perform safely. Record test result data, to be used as a baseline for future tests.
 - 3. Test motorized equipment to verify conformance with the Contract Documents and for acceptance.
 - 4. Equipment for which acceptable test data has not been submitted, or has been submitted but rejected, shall be deemed as not meeting Contract requirements.
- B. Equipment and Materials Inspection and Test Procedures. Complete test reports for each individual piece of equipment and systems:
 - 1. Switchboard Assemblies (Low Voltage):
 - a. Visual and Mechanical Inspection:
 - 1) Compare equipment nameplate and data with drawings and specifications.
 - 2) Inspect physical, electrical, and mechanical condition.
 - 3) Confirm correct application of manufacturer's recommended lubricants.
 - 4) Verify appropriate anchorage, required area clearances, physical damage, and correct alignment.
 - 5) Inspect all doors, panels, and sections for paint, dents, scratches, fit, and missing hardware.
 - 6) Verify that fuse and/or circuit breaker sizes and types correspond to drawings and coordination study as well as to the circuit breaker's address for microprocessor-communication packages.
 - 7) Verify that current and potential transformer ratios correspond to drawings.
 - 8) Verify tightness of accessible bolted electrical connections by calibrated torque-wrench method in accordance with manufacturer's published data.

- 9) Confirm correct operation and sequencing of electrical and mechanical interlock systems.
 - a) Attempt closure on locked-open devices. Attempt to open locked-closed devices.
 - b) Make key exchange with devices operated in off-normal positions.
 - 10) Clean Switchboard.
 - 11) Inspect insulators for evidence of physical damage or contaminated surfaces.
 - 12) Verify correct barrier and shutter installation and operation.
 - 13) Exercise all active components.
 - 14) Inspect all mechanical indicating devices for correct operation.
 - 15) Verify that filters are in place and/or vents are clear.
 - 16) Test operation, alignment, and penetration of instrument transformer withdrawal disconnects, current-carrying and grounding.
 - 17) Inspect control power transformers.
 - a) Inspect physical damage, cracked insulation, broken leads, and tightness of connections, defective wiring, and overall general condition.
 - b) Verify that primary and secondary fuse ratings or circuit breakers match drawings.
 - c) Verify correct functioning of draw out disconnecting and grounding contacts and interlocks.
- b. Electrical Tests:
- 1) Perform tests on all instrument transformers.
 - 2) Perform ground-resistance tests.
 - 3) Perform resistance tests through ball bus joints with a low-resistance ohmmeter. Any joints that cannot be directly measured due to permanently installed insulation wrap shall be indirectly measured from closet accessible connection.
 - 4) Perform insulation-resistance tests on each bus section, phase-to-phase and phase-to-ground.
 - 5) Perform an over potential or megger test on each bus section, each phase-to-ground with phases not under test grounded, in accordance with manufacturer's published data.
 - 6) Perform control wiring performance test.
 - 7) Perform current injection tests on the entire current circuit in each section of switchboard.
 - 8) Determine accuracy of all meters and calibrate.
 - 9) Perform phasing check on double-ended switchboard to insure correct bus phasing from each source.
 - 10) Perform the following tests on control power transformers.
 - a) Perform insulation-resistance tests. Perform measurements from winding-to-winding and each winding-to-ground.
 - b) Perform secondary wiring integrity test. Disconnect transformer at secondary terminals and connect secondary wiring to correct secondary voltage. Confirm correct potential at all devices.
 - c) Verify correct function of control transfer relays located in switchgear with multiple power sources.
 - 11) Potential Transformer Circuits:

- a) Perform secondary wiring integrity test. Disconnect transformer at secondary terminals and connect secondary wiring to correct secondary voltage. Confirm potential at all devices.
 - 12) Verify operation of switchgear/switchboard heaters.
2. Protective Relays: All relays installed on switchboard shall be tested regardless if the units are not indicated on the subsequent list.
 - a. Visual and Mechanical Inspection
 - 1) Compare equipment nameplate data with drawing and specifications.
 - 2) Inspect relays and cases for physical damage. Remove shipping restraint material.
 - 3) Tighten case connections. Inspect cover for correct gasket seal. Clean cover glass. Inspect shorting hardware, connection paddles, and/or knife switches. Remove any foreign material from the case. Verify target reset.
 - 4) Inspect relay for foreign material, particularly in disc slots of the damping and electromagnets. Verify disk clearance. Verify contact clearance and spring bias. Inspect spiral spring convolutions. Inspect disk and contacts for freedom of movement and correct travel. Verify tightness of mounting hardware and connections. Burnish contacts. Inspect bearings and/or pivots.
 - 5) Set relays in accordance with coordination study supplied by Waste Management.
 - b. Electrical Tests
 - 1) Perform insulation-resistance test on each circuit-to-frame. Determine from the manufacturer's instructions the allowable procedures for this test for the solid-state and microprocessor-based relays.
 - 2) Inspect targets and indicators
 - a) Determine pickup and dropout of electromechanical targets.
 - b) Verify operation of all light-emitting diode indicators.
 - c) Set contrast for liquid-crystal display readouts.
3. Instrument Transformers
 - a. Visual and Mechanical Inspection
 - 1) Compare equipment nameplate and data with drawings and specifications.
 - 2) Inspect physical and mechanical condition.
 - 3) Verify correct connection of transformers with system requirements.
 - 4) Verify that adequate clearances exist between primary and secondary circuit wiring.
 - 5) Verify tightness of accessible bolted electrical connections by calibrated torque-wrench method in accordance with manufacturer's published data.
 - 6) Verify that all required grounding and shorting connections provide contact.
 - 7) Verify correct operation of transformer withdrawal mechanism and grounding operation.
 - 8) Verify correct primary and secondary fuse sizes for potential transformers.
 - b. Electrical Tests - Current Transformers

- 1) Perform insulation-resistance test of the current transformer and wiring-to-ground at 1000 volts dc. Do not perform this test on solid-state devices.
- 2) Perform a polarity test of each current transformer.
- 3) Perform a ratio-verification test using the voltage or current method in accordance with ANSI C57.13.1 (IEEE Guide to Field Testing of Relaying Current Transformers).
- 4) Measure current circuit burdens at transformer terminals and determine the total burden.
- c. Electrical Tests - Voltage Transformers
 - 1) Perform insulation-resistance test winding-to-winding and each winding-to-ground. Do not perform this test with solid-state devices connected.
 - 2) Perform a polarity test on each transformer to verify the polarity marks or H1-X1 relationship as applicable.
 - 3) Perform a turn's ratio test on all tap positions, if applicable.
4. Metering
 - a. Visual and Mechanical Inspection
 - 1) Compare equipment nameplate and data with drawings and specifications.
 - 2) Inspect physical and mechanical condition.
 - 3) Verify tightness of electrical connections.
 - 4) Inspect cover gasket, cover glass, conditions of spiral spring, disc clearance, contacts, and case-shorting contacts, as applicable.
 - 5) Verify mechanically for freedom of movement, correct travel and alignment, and tightness of mounting hardware.
 - b. Electrical Tests
 - 1) Check calibration of meters at all cardinal points.
 - 2) Verify all instruments multipliers.
 - 3) Electrically confirm that current transformer and voltage transformer secondary circuits are intact.
5. Transformers
 - a. Visual and Mechanical Inspection
 - 1) Compare equipment nameplate and data with drawings and specifications.
 - 2) Inspect physical and mechanical condition.
 - 3) Verify removal of any shipping bracing after final placement.
 - 4) Inspect impact recorder prior to unloading, if applicable.
 - 5) Verify that alarm, control, and trip settings on temperature indicators are as specified.
 - 6) Verify that cooling fans and pumps operate correctly and that fan and pump motors have correct overcurrent protection.
 - 7) Verify operation of all alarm, control, and trip circuits from temperature and level indicators, pressure relief device, and fault pressure relay.
 - 8) Verify tightness of accessible bolted electrical connections by calibrated torque-wrench method in accordance with manufacturer's published data.
 - 9) Verify correct liquid level in all tanks and bushings.
 - 10) Verify that positive pressure is maintained on nitrogen-blanketed transformers.

- 11) Perform specific inspections and mechanical tests as recommended by manufacturer.
 - 12) Verify correct equipment grounding.
 - b. Electrical Tests
 - 1) Perform insulation-resistance tests, winding-to-winding and each winding-to-ground with test voltage. Test duration shall be for ten minutes with resistances tabulated at thirty seconds, one minute, and ten minutes. Calculate polarization index.
 - 2) Perform a turns-ratio test on all no-load tap-changer positions and all load tap-changer positions. Verify that tap setting is as specified. Verify that winding polarities are in accordance with nameplate.
 - 3) Measure resistance of each high-voltage winding in each no-load tap-changer positions. Measure resistance of each low-voltage winding in each load tap-changer position, if applicable.
 - 4) If core ground strap is accessible, measure core insulation resistance at 500 volts dc.
 - c. Test Values
 - 1) Bolt-torque levels shall be in accordance with data by manufacturer.
 - 2) Insulation-resistance test values.
 - 3) The polarization index should be compared to manufacturer's factory test results. If manufacturer's data is not available, acceptance test results will serve as baseline data.
 - 4) Turns-ratio test results shall not deviate more than one-half percent from either the adjacent coils or the calculated ratio.
 - 5) Consult manufacturer if winding-resistance measurements vary more than one percent from adjacent windings.
 - 6) Consult manufacturer if core insulation is less than one megohm at 500 volts dc.
6. Low-Voltage Power Circuit Breakers where shown on the drawings.
- a. Visual and Mechanical Inspection
 - 1) Compare equipment nameplate and data with drawings and specifications.
 - 2) Inspect physical and mechanical condition.
 - 3) Confirm correct application of manufacturer's recommended lubricants.
 - 4) Inspect anchorage, alignment, and grounding. Inspect arc chutes. Inspect moving and stationary contacts for condition, wear, and alignment.
 - 5) Verify that all maintenance devices are available for servicing and operating the breaker.
 - 6) Verify that primary and secondary contact wipe and other dimensions vital to satisfactory operation of the breaker are correct.
 - 7) Perform all mechanical operator and contact alignment tests on both the breaker and its operating mechanism.
 - 8) Verify tightness of accessible bolted electrical connections by calibrated torque-wrench method. Refer to manufacturer's instructions.
 - 9) Check cell fit and element alignment.
 - 10) Check racking mechanism.
 - b. Electrical Tests

- 1) Perform a contact-resistance test.
 - 2) Perform an insulation-resistance test at 1000 volts dc from pole-to-pole and from each pole-to-ground with breaker closed and across open contacts of each phase.
 - 3) Make adjustments for the final settings in accordance with the coordination study supplied by Waste Management.
 - 4) Determine minimum pickup current by primary current injection.
 - 5) Determine long-time delay by primary current injection.
 - 6) Determine short-time pickup and delay by primary current injection.
 - 7) Determine ground-fault pickup and delay by primary current injection.
 - 8) Determine instantaneous pickup value by primary current injection.
 - 9) Activate auxiliary protective devices, such as ground-fault or under voltage relays, to insure operation of shunt trip devices. Check the operation of electrically-operated breakers in their cubicles.
 - 10) Verify correct operation of any auxiliary features such as trip and pickup indicators, zone interlocking, electrical close and trip operation, trip-free and anti-pump function.
 - 11) Check charging mechanism.
- c. Test Values
- 1) Bolt-torque levels shall be in accordance with data specified by manufacturer.
 - 2) Compare microhm or millivolt drop values to adjacent poles and similar breakers. Investigate deviations of more than 25 percent.
 - 3) Insulation resistance shall not be less than 100 megohms. Investigate values less than 100 megohms.
 - 4) Trip characteristics of breakers shall fall within manufacturer's published time-current tolerance bands.
7. Motor Control Centers, Motor Controllers and Motor Starters: Low Voltage
- a. Visual and Mechanical Inspection
 - 1) Compare equipment nameplate and data with drawings and specifications.
 - 2) Inspect physical and mechanical condition.
 - 3) Verify tightness of accessible bolted electrical connections by calibrated torque-wrench method in accordance with manufacturer's published data.
 - b. Electrical Tests
 - 1) Insulation Tests
 - a) Measure insulation-resistance of each combination starter, phase-to-phase and phase-to-ground, with the starter contacts closed and the protective device open. Test voltage shall be in accordance with manufacturer's instructions for devices with solid-state components.
 - b) Test the motor overload relay elements by injecting primary current through the overload circuit and monitoring trip time of the overload element.

Note: Test times for thermal trip units will, in general, be longer than manufacturer's curve if single-pole testing is performed. Optionally test with all poles in series for time test and each pole separately for comparison. (Refer to NEMA ICS 2-1993, Part 4.)

- c. Test Values
 - 1) Bolt-torque levels shall be in accordance with data specified by manufacturer.
 - 2) Insulation-resistance values.
 - 3) Overload trip times shall be in accordance with manufacturer's published data.
 - d. Motor Control Center Bus
 - 1) See section switchgear assemblies for inspection and tests of MCC bus.
8. Circuit Breakers: Low-Voltage Molded Case - 100 Amp or Larger Only
- a. Visual and Mechanical Inspection
 - 1) Compare equipment nameplate and data with drawings and specifications.
 - 2) Inspect circuit breaker for correct mounting.
 - 3) Operate circuit breaker to insure smooth operation.
 - 4) Inspect case for cracks or other defects.
 - 5) Verify tightness of accessible bolted electrical connections by calibrated torque-wrench method in accordance with manufacturer's published data.
 - b. Electrical Tests
 - 1) Perform a contact-resistance test.
 - 2) Perform an insulation-resistance test at 1000 volts dc from pole-to-pole and from each pole-to-ground with breaker closed and across open contacts of each phase.
 - 3) Perform adjustments for the final settings in accordance with the coordination study.
 - 4) Perform long-time delay time-current characteristics tests by passing 300 percent through each pole separately unless series testing is required to defeat ground fault functions.
 - 5) Determine short-time pickup and delay by primary current injection.
 - 6) Determine ground-fault pickup and time delay by primary current injection.
 - 7) Determine instantaneous pickup current by primary injection using run-up or pulse method.
 - 8) Verify correct operation of any auxiliary features such as trip and pickup indicators, zone interlocking, electrical close and trip operation, trip-free, and anti-pump function.
 - c. Test Values
 - 1) Bolt-torque levels shall be in accordance with data specified by manufacturer.
 - 2) Compare microhm or millivolt drop values to adjacent poles and similar breakers. Investigate deviations of more than 25 percent. Investigate any value exceeding manufacturer's recommendations.
 - 3) Insulation resistance shall not be less than 100 megohms.
 - 4) Trip characteristics of breakers shall fall within manufacturer's published time-current tolerance bands, including adjustment factors.
9. Rotating Machinery: AC Motors
- a. Visual and Mechanical Inspection

- 1) Compare equipment nameplate and data with drawings and specifications.
 - 2) Inspect physical and mechanical condition.
 - 3) Inspect for correct anchorage, mounting, grounding, connection, and lubrication.
 - 4) Verify tightness of accessible bolted electrical connections by calibrated torque-wrench method in accordance with manufacturer's published data.
 - 5) When applicable, perform special tests such as air gap spacing and pedestal alignment.
 - 6) Verify the absence of unusual mechanical or electrical noise or signs of overheating during initial test run.
 - b. Electrical Tests: Induction Motors
 - 1) Perform insulation-resistance tests in accordance with ANSI/IEEE Standard 43.
 - a) Motors larger than 200 horsepower: Test duration shall be for ten minutes. Calculate polarization index.
 - b) Motors 200 horsepower and less: Test duration shall be for one minute. Calculate the dielectric-absorption ratio.
 - 2) Test motor starter in accordance with Section 7.16 of these specifications.
 - 3) Verify that resistance temperature detector (RTD) circuits conform to drawings. Verify that metering or relaying devices using the RTD's have the correct rating.
 - 4) Verify that the motor space heater is functional.
 - 5) Perform a rotation test to insure correct shaft direction.
 - 6) Measure running current and evaluate relative to load conditions and nameplate full-load amperes.
10. Capacitors where shown on the drawings:
- a. Visual and Mechanical Inspection
 - 1) Compare equipment nameplate and data with drawings and specifications.
 - 2) Inspect physical and mechanical condition.
 - 3) Inspect capacitors for correct mountings and required clearances.
 - 4) Verify that capacitors are electrically connected in their specified configuration.
11. Low-Voltage Surge Protection Devices where shown on the drawings:
- a. Visual and Mechanical Inspection
 - 1) Compare equipment nameplate and data with drawings and specifications.
 - 2) Inspect physical and mechanical condition.
 - 3) Inspect for correct mounting and adequate clearances.
 - 4) Verify tightness of accessible bolted electrical connections by calibrated torque-wrench method in accordance with manufacturer's published data.
 - 5) Verify that the ground lead on each device is individually attached to a ground bus or ground electrode.
12. Dry Type Transformers

- a. Air-Cooled, 600 Volt and Below (167kVA Single-Phase, 500 kVA Three-Phase and Smaller)
 - 1) Compare equipment nameplate and data with drawings and specifications.
 - 2) Inspect physical and mechanical condition.
 - 3) Verify that resilient mounts are free and that any shipping brackets have been removed.
 - 4) Perform insulation-resistance test. Calculate polarization index. Measurements shall be made from winding-to-winding and each winding-to-ground. Test voltages and minimum resistance.
 - 5) Verify that winding turns-ratio measurements and polarities are in accordance with nameplate.
 - 6) Verify that as-left tap connections are as specified.

- 13. Grounding Systems
 - a. Visual and Mechanical Inspection
 - 1) Verify ground system is in compliance with drawings and specifications.
 - b. Electrical Tests
 - 1) Perform fall-of-potential test or alternative in accordance with IEEE Standard 81-1991 on the main grounding electrode or system.
 - 2) Perform point-to-point tests to determine the resistance between the main grounding system and all major electrical equipment frames, system neutral, and/or derived neutral points.
 - c. Test Values
 - 1) The resistance between the main grounding electrode and ground should be no greater than five ohms for commercial or industrial systems and one ohm or less for generating grounds unless otherwise specified.
 - 2) Investigate point-to-point resistance values which exceed 0.5 ohm.

- 14. Ground-Fault Protection Systems
 - a. Visual and Mechanical Inspection
 - 1) Compare equipment nameplate and data with drawings and specifications.
 - 2) Visually inspect the components for damage and errors in polarity or conductor routing.
 - 3) Verify tightness of all electrical connections including control circuits.
 - 4) Verify correct operation of all functions of the self-test panel.
 - 5) Verify that the control power transformer has adequate capacity for the system.
 - 6) Set pickup and time-delay settings in accordance with the settings provided in the coordination study. Record appropriate operation and test sequences as required by NEC Article 230-95.
 - b. Electrical Tests
 - 1) Verify that relay does not operate at 90 percent of the pickup setting.

- 15. Low-Voltage Cables: 600 Volt
 - a. Visual and Mechanical Inspection
 - 1) Compare cable data with drawings and specifications.

- 2) Inspect exposed sections of cables for physical damage and correct connection in accordance with single-line diagram.
 - 3) Verify tightness of accessible bolted electrical connections by calibrated torque-wrench method in accordance with manufacturer's published data.
 - 4) Inspect compression-applied connectors for correct cable match and indentation.
 - 5) Verify cable color coding with applicable engineer's specifications and National Electrical Code standards.
- b. Electrical Tests
- 1) Perform a insulation resistance test on all cables.
16. Systems Function Tests
- a. Perform system function tests upon completion of equipment tests. It is the purpose of system function tests to prove the correct interaction of all sensing, processing, and action devices.
 - b. Develop test parameters for the purpose of evaluating performance of all integral components and their functioning as a complete unit within design requirements. Perform these tests.
 - c. Verify the correct operation of all interlock safety devices for fail-safe functions in addition to design function.
 - d. Verify the correct operation of all sensing devices, alarms, and indicating devices.

3.5 SUMMARY TEST REPORT

- A. Upon completion of testing of all electrical equipment, submit summary test report in a 3-ring binder with proper tabs and table of contents for review and approval.

END OF SECTION

**SECTION 269550
LOW-VOLTAGE AUTOMATIC TRANSFER SWITCHES**

PART 1 GENERAL

1.1 SCOPE

- A. The Contractor shall furnish and install, for Main Street location, wall-mounted, indoor type low-voltage (600 volts and below) 600A 480V three-phase, 3-pole, automatic transfer switch (ATS) function having the ratings, features/accessories and enclosures as specified herein. It shall be installed and integrated in a motor control center as detailed on the drawings. For other locations, the ATS shall be provided as part of the genset package specified on the drawings.

- B. The contractor shall procure service from IEM, the original manufacturer of the switchboard at Main street site, to remove the existing ATS, and to furnish, install and test new ATS with required modifications. IEM contact: Ms Stephanie Guenther (510) 360-1225 email: Stephanie.Guenther@iemfg.com .

1.2 RELATED SECTIONS

- A. Section 260000 – Electrical Requirements

1.3 REFERENCES

- A. The automatic transfer switches and all components shall be designed, manufactured and tested in accordance with the latest applicable standards of UL and NEMA as follows:
 - 1. UL 50 -- Cabinets and Boxes
 - 2. UL 489 -- Molded Case Circuit Breakers
 - 3. UL 508 -- Industrial Control Systems
 - 4. UL 1008 -- Transfer Switches
 - 5. UL 1087 -- Molded Case Switches
 - 6. NEMA ICS -- Industrial Controls and Systems.

1.4 SUBMITTALS -- FOR REVIEW/APPROVAL

- A. The following information shall be submitted to the ENGINEER:
 - 1. Master drawing index
 - 2. Dimensioned outline drawing
 - 3. Schematic diagram and theory of operation

4. Component list
5. Conduit entry/exit locations
6. Assembly ratings including:
 - a. Short-circuit rating
 - b. Voltage
 - c. Continuous current.

1.5 REGULATORY REQUIREMENTS

- A. A certificate of compliance with UL 1008 must be submitted for the transfer switches to be supplied. The certificate is not required if the manufacturer's published data submitted and approved reflect a UL 1008 listing. Proof of UL 1008 listing does not, however, relieve the Contractor of compliance with other provisions of this specification.

1.6 DELIVERY, STORAGE AND HANDLING

- A. The automatic transfer switches shall be delivered to the job site suitably protected against the environmental conditions and with space heaters wired for temporary connection to prevent condensation while in storage.

1.7 OPERATION AND MAINTENANCE MANUALS

- A. Submit in accordance with the requirements of the Special Provisions, copies of the equipment and maintenance manuals shall be provided.
- B. Operation and maintenance manuals shall include the following information:
 1. Instruction books and/or leaflets
 2. Recommended renewal parts list
 3. Drawings and information required by section 1.4.

PART 2 PRODUCTS

2.1 MANUFACTURERS

- A. The automatic transfer switch shall be the product of ASCO 7000 Series as per the District Standards.

2.2 RATINGS

- A. The transfer switch shall have withstand, closing and interrupting ratings of 65,000 amperes minimum. Continuous Ampere rating shall be 600A 480V three-phase, three-pole as shown on the drawings.
- B. The voltage rating of the transfer switch shall be no less than the system voltage rating. The continuous current rating of the transfer switch shall be no less than the maximum continuous current requirements of the system.
- C. The transfer switch shall be 100% equipment rated for continuous duty as shown on the drawings and shall conform to the applicable requirements of UL 1008 for emergency system total load.
- D. All pilot devices and relays shall be of the industrial type with self-cleaning contacts and rated 10-amperes.
- E. The automatic transfer switches shall be fully rated to protect all types of loads, inductive and resistive, from loss of continuity of power, without derating, either open or enclosed.
- F. Transfer switches shall have a minimum 60-cycle withstand rating of 42 kA. The transfer switch shall be rated for application with upstream power circuit breakers and insulated case circuit breakers having short-time delay settings of up to 30 cycles. Contacts shall not weld when used with upstream overcurrent protective devices that do not incorporate instantaneous trip units.

2.3 CONSTRUCTION

- A. The transfer switches shall consist of completely enclosed contact assemblies and a separate control logic panel. The contact assemblies shall be operated by a non-fused motor operator or stored energy mechanism and be energized only momentarily during transfer, providing inherently double throw switching action. Control power for all transfer operations shall be derived from the line side of the source to which the load is being transferred.
- B. Transfer switches shall be capable of being operated manually or automatically under full load conditions. Manual operation shall be accomplished via a permanently affixed manual operator or integrally mounted pushbutton operators located on the face of the contact assemblies. Removable manual operating handles and handles which move in the event that electrical operators should suddenly become energized while performing a manual transfer operation are not acceptable. The manual operator shall provide the same contact-to-contact transfer time as provided under normal automatic operation to prevent possible flashovers from switching the main contacts slowly. In addition, provisions shall be made to allow disengagement of the electrical operator during manual operation.
- C. Each transfer switch shall be positively interlocked both mechanically and electrically to prevent simultaneous closing of both sources under either automatic or manual operation. Main contacts shall be mechanically locked in position in both normal and emergency positions. A neutral position shall not be possible under normal electrical operation unless a delayed transition accessory is required for switching highly inductive

loads. Each transfer switch shall have a manual neutral position for load circuit maintenance. A transfer switch position indicator shall be visible from the front of the switch to show to which source the transfer switch is connected.

- D. Inspection and replacement of all separate arcing contacts (moving and stationary) shall be possible from the front of the transfer switch.
- E. An electronic sensing and control logic panel shall be separately mounted from the power switching portion of the transfer switch. The two sections shall be connected by control cables with plug-in connectors. The control section shall be capable of being disconnected from the power section for maintenance purposes.
- F. The logic circuit shall utilize electronic components mounted on printed circuit boards to accomplish functions such as timing, time delays, and voltage and frequency monitoring. LEDs shall be furnished to indicate the operation of each solid-state function. Modifications shall be available for field installation without voiding the UL label.
- G. The transfer switch shall be equipped with a voltage selection plug making it suitable for operation on standard voltages from 208 through 600 volts AC, 50 or 60 hertz, by placing the voltage selection plug in the proper voltage receptacle.
- H. Provide large or double lugs to accommodate extra cables for future use with a portable load bank as detailed on the drawings.

2.4 WIRING/TERMINATIONS

- A. Terminal blocks shall conform to NEMA ICS 4. Terminal facilities shall be arranged for entrance of external conductors from the top or bottom of the enclosure. The main transfer switch terminals shall be suitable for the termination of conductors shown on the plans.

2.5 SEQUENCE OF OPERATION

- A. The transfer switch shall automatically transfer its load circuit to a standby power supply upon failure of its normal or preferred source.
- B. Upon loss of phase-to-phase voltage of the normal source to 80% of nominal, and after a time delay, adjustable from 0.5 to 15 seconds, to override momentary dips and/or outages, a 10-ampere, 30-Vdc contact shall close to initiate starting of the emergency or standby source power plant. Transfer to the alternate source shall take place immediately upon attainment of 90% of rated voltage and frequency of that source. For switches not involving engine generator sets as power plants, transfer shall occur after an adjustable time delay of 1 to 60 seconds to override momentary dips and outages.
- C. When the normal source has been restored to 90% of rated voltage, and after a time delay, adjustable from 0.5 to 32 minutes (to ensure the integrity of the normal power source), the load shall be automatically retransferred to the normal source. The transfer switch shall also have the capability to be programmed in such a manner that the re-transfer can also be done manually.

- D. A time delay, adjustable from 0.5 to 32 minutes, shall delay shutdown of the emergency or standby power source after retransfer to allow the generator to run unloaded for cool-down, after which the generator shall be automatically shut down.
- E. If the emergency or standby power should fail while carrying the load, transfer to the normal power supply shall be made instantaneously upon restoration of the normal source to satisfactory conditions.

2.6 ENCLOSURE

- A. The transfer switch shall be an open type to be installed in an existing switchboard line-up.
- B. Modify existing switchboard cover to accommodate installation of the control devices as detailed on the drawings.

2.7 ACCESSORIES

- A. The following logic and options shall be supplied:
 - 1. The logic of the transfer switch shall function via a microprocessor. The set points shall be field adjustable without the use of special tools. The switch shall have a multi-tap voltage selection plug for ease of voltage adjustment in the field. LED lights shall be included on the exterior of the switch to show:

Normal	Source	Available
Emergency	Source	Available
Normal	Source	Connected
Emergency	Source	Connected
Load Energized.		

- B. A digital readout shall display each option as it is functioning. Readouts shall display actual line-to-line voltage, line frequency and timers. When timers are functioning, the microprocessor shall display the timer counting down. All set points can be re-programmed from the front of the switch when the switch is in the program mode. A test pushbutton shall be included as part of the microprocessor. The switch shall include the following:
 - a. Provide a time delay transfer from the normal power source to the emergency power source (0 seconds to 30 minutes). This option does not effect the engine start circuit.
 - b. Provide a timer to override a momentary power outage or voltage fluctuation (0 seconds to 120 seconds).
 - c. Provide a time delay transfer from the emergency power source to the normal power source (0 seconds to 30 minutes).
 - d. Provide a timer to allow the generator to run unloaded after re-transfer to the normal power supply (1 second to 30 minutes).

- e. Provide single-phase under voltage and under frequency sensing on the emergency power source. Voltage shall be factory set at 90% pickup and 80% dropout. Frequency sensing shall be set at 58-hertz pickup and 56-hertz dropout.
 - f. Provide a pilot light to indicate that the switch is in the normal position as an integral part of the microprocessor.
 - g. Provide a pilot light to indicate that the switch is in the emergency position as an integral part of the microprocessor.
 - h. Provide a pilot light to indicate that the normal power is available as an integral part of the microprocessor.
 - i. Provide a pilot light to indicate that the emergency power is available as an integral part of the microprocessor.
 - j. Provide auxiliary relay contacts that are energized when the power is available on the normal source.
 - k. Provide auxiliary relay contacts that are energized when the power is available on the emergency source.
 - l. Provide double lugs on the load side for connections to loads.
- C. The following features shall be provided:
- 1. Time delay normal to emergency, adjustable.
 - 2. Time delay emergency to normal, adjustable.
 - 3. Green pilot light to indicate switch in normal position and red pilot light to indicate switch in emergency position.
 - 4. White pilot lights marked "Normal Source" and "Emergency Source" to indicate that respective source voltages are available.
 - 5. Tripped position indicating lights for both sources.
 - 6. Relay auxiliary contacts (2 NO and 2 NC) to indicate transfer switch position and the availability of each source.
- D. The following features shall also be provided:
- 1. Time delay engine start, adjustable.
 - 2. Time delay engine cool off, adjustable.
 - 3. Engine start dry contact.

4. Loadbank dump dry contact (for future use)
 5. Frequency/voltage relay for emergency source, frequency adjustable from 45 to 60 Hz and voltage fixed at 90% pickup, 70% dropout.
 6. Delayed transition time delay, adjustable from 0 to 120 seconds, to allow disconnection of the load during transfer in either direction to prevent excessive inrush currents due to out-of-phase switching of large inductive loads.
 7. Four-position selector switch permitting four (4) modes of transfer switch operation: TEST (simulates normal power outage), AUTO (standard automatic operation), OFF (de-energizes control relays and opens the engine start circuit for maintenance purposes), ENGINE START (retains transfer switch in normal position and initiates a testing of the engine start circuit). Furnish white pilot light for OFF indication.
- E. A transfer switch position indicator shall be visible from the front of the switch.
- F. Provide pump station exerciser (selectable load, no-load transfer). The exerciser will be 7 day selectable for any duration for any day during the week.
- G. Provide an Ethernet (Modbus TCP/IP) communication port for future use.

PART 3 EXECUTION

3.1 EXAMINATION

Check for shipping materials and remove them before installation. Observe for any damage on the enclosure.

3.2 FACTORY TESTING

- A. The following standard factory tests shall be performed on the equipment provided under this section. All tests shall be in accordance with the latest version of UL and NEMA standards.
1. Insulation check to ensure the integrity of insulation and continuity of the entire system.
 2. Visual inspection to ensure that the switch matches the specification requirements and to verify that the fit and finish meet quality standards.
 3. Mechanical tests to verify that the switch's power sections are free of mechanical hindrances.
 4. Electrical tests to verify the complete electrical operation of the switch and to set up time delays and voltage sensing settings of the logic.
- B. The manufacturer shall provide three (3) certified copies of factory test reports.

3.3 INSTALLATION

- A. The Contractor shall install all equipment per the manufacturer's recommendations and the Contract Drawings. Contractor shall have a service of the ATS manufacturer field engineer to assist the installation of the ATS. This field work shall be one day minimum in addition to required field startup and training.

3.4 FIELD ADJUSTMENTS

- A. The Contractor shall field adjust all timing and voltage settings of the transfer switch as necessary for proper operation of the unit.

3.5 FIELD TESTING

- A. Demonstrate satisfactory operations of the installed unit to the Engineer for acceptance. Make sure to have the transfer switch programmed in such a manner that re-transfer functions shall be manually initiated by the District attending staff.
- B. Check to make sure rodent protection screens have been installed. Fuel tank been filled up.

3.6 TRAINING

- A. Provide one 1-hr classroom training session and one 2-hr onsite training session to the District's electrical personnel. (Travel time not included)

END OF SECTION